

# cse 311: foundations of computing

Spring 2015

## Lecture 19: Structural induction and regular expressions



- An *alphabet*  $\Sigma$  is any finite set of characters.

e.g.  $\Sigma = \{0,1\}$  or  $\Sigma = \{A, B, C, \dots X, Y, Z\}$  or

$\Sigma =$

1		28	┌	95	▯	153	Ö	186	┆	219	█
2	☉	29	↔	96	▯	154	Û	187	┆	220	█
3	♥	30	↗	97-122	a-z	155	€	188	┆	221	█
4	♦	31	▼	123	{	156	£	189	┆	222	█
5	♣	32	(space)	124		157	¥	190	┆	223	█
6	♠	33	!	125	}	158	₽	191	┆	224	α
7	●	34	"	126	~	159	f	192	┆	225	β
8	■	35	#	127	△	160	á	193	┆	226	Γ
9	○	36	\$	128	Ç	161	í	194	┆	227	π
10	◼	37	%	129	ü	162	ó	195	┆	228	Σ
11	σ	38	&	130	é	163	ú	196	┆	229	σ

- The set  $\Sigma^*$  of *strings* over the alphabet  $\Sigma$  is defined by
  - **Basis:**  $\varepsilon \in \Sigma^*$  ( $\varepsilon$  is the empty string)
  - **Recursive:** if  $w \in \Sigma^*$ ,  $a \in \Sigma$ , then  $wa \in \Sigma^*$

# function definitions on recursively defined sets

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## Length:

$$\text{len}(\varepsilon) = 0;$$

$$\text{len}(wa) = 1 + \text{len}(w); \text{ for } w \in \Sigma^*, a \in \Sigma$$

## Reversal:

$$\varepsilon^R = \varepsilon$$

$$(wa)^R = aw^R \text{ for } w \in \Sigma^*, a \in \Sigma$$

## Concatenation:

$$x \bullet \varepsilon = x \text{ for } x \in \Sigma^* \quad \begin{array}{l} x \bullet (a_1 a_2 \dots a_k) \\ = (x \bullet (a_1 \dots a_{k-1})) a_k \\ = \dots \end{array}$$

$$x \bullet wa = (x \bullet w)a \text{ for } x, w \in \Sigma^*, a \in \Sigma$$

$$= x a_1 a_2 \dots a_k$$

How to prove  $\forall x \in S, P(x)$  is true:

**Base Case:** Show that  $P(u)$  is true for all specific elements  $u$  of  $S$  mentioned in the *Basis step*

**Inductive Hypothesis:** Assume that  $P$  is true for some arbitrary values of *each* of the existing named elements mentioned in the *Recursive step*

**Inductive Step:** Prove that  $P(w)$  holds for each of the new elements  $w$  constructed in the *Recursive step* using the named elements mentioned in the Inductive Hypothesis

**Conclude** that  $\forall x \in S, P(x)$

# structural induction for strings

Let  $S$  be a set of strings over  $\Sigma = \{a, b\}$  defined by  $wu \rightarrow$

**Basis:**  $a \in S$

**Recursive:**

If  $w \in S$  then  $wa \in S$  and  $wba \in S$

If  $u, v \in S$  then  $uv \in S$

$$\text{By IH} \rightarrow \begin{aligned} \#_a(wu) &= \#_a(w) + \#_a(u) \\ \#_b(wu) &= \#_b(w) + \#_b(u) \end{aligned}$$

$$\Rightarrow \#_a(uv) > \#_b(uv)$$

$$\Rightarrow P(uv)$$

**Claim:** If  $w \in S$  then  $w$  has more  $a$ 's than  $b$ 's. □

$P(w) =$  "  $w$  has more  $a$ 's than  $b$ 's "

Base case:  $a$  has more  $a$ 's than  $b$ 's so  $P(a)$  holds.

IH: Assume  $P(w), P(u), P(v)$  for some  $u, v, w \in S$

If  $\#_a(w) > \#_b(w)$  then  $\#_a(wa) > \#_b(wa) \Rightarrow P(wa)$

then  $\#_a(wba) > \#_b(wba) \Rightarrow P(wba)$

proof continued?

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prove:  $\text{len}(x \cdot y) = \text{len}(x) + \text{len}(y)$  for all  $x, y \in \Sigma^*$

Let  $P(y)$  be " $\text{len}(x \cdot y) = \text{len}(x) + \text{len}(y)$  for all  $x \in \Sigma^*$ " //

Goal:  $P(y) \quad \forall y \in \Sigma^*$

Base case:  $\text{len}(x \cdot \varepsilon) = \text{len}(x) = \text{len}(x) + \text{len}(\varepsilon) \Rightarrow P(\varepsilon)$   
( $\forall x \in \Sigma^*$ )  $\uparrow$  def of  $\cdot$   $\uparrow$  def of  $\text{len}$

IH: Assume  $P(w)$  for some  $w \in \Sigma^*$ .

IS: Fix  $x \in \Sigma^*$ .

$\text{len}(x \cdot (wa)) = \text{len}((x \cdot w)a) = 1 + \text{len}(x \cdot w) \Rightarrow P(wa)$   
 $\uparrow$  def of  $\cdot$   $\uparrow$  def of  $\text{len}$

$\downarrow$   
 $= 1 + \text{len}(x) + \text{len}(w)$

$\uparrow$  def of  $\text{len}$   
 $= \text{len}(x) + \text{len}(wa)$

By strong induction  
 $\forall y \in \Sigma^* P(y)$ .

**Length:**

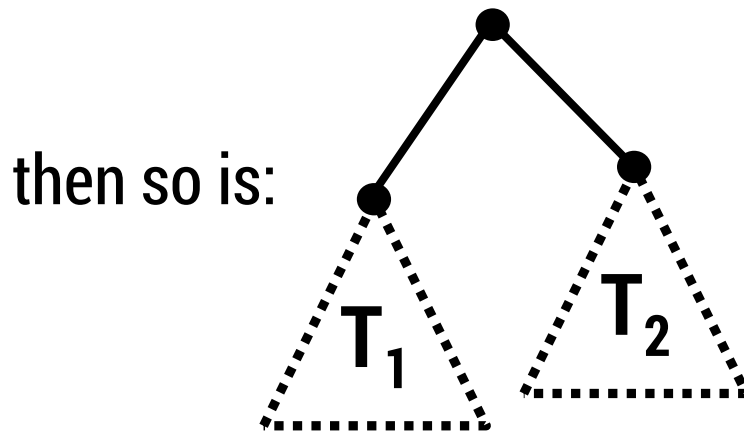
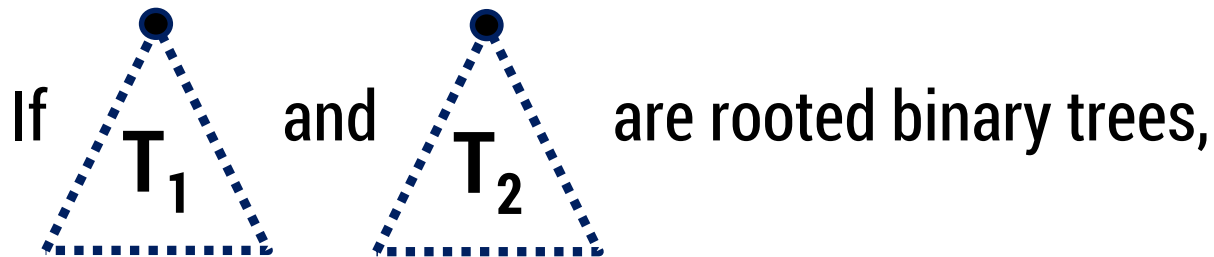
$\text{len}(\varepsilon) = 0;$

$\text{len}(wa) = 1 + \text{len}(w);$  for  $w \in \Sigma^*, a \in \Sigma$

# review: rooted binary trees

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- **Basis:**  $T$  is a rooted binary tree
- **Recursive step:**





# defining a function on rooted binary trees

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- $\text{size}(\bullet) = 1$

- $\text{size} \left( \begin{array}{c} \bullet \\ / \quad \backslash \\ \bullet \quad \bullet \\ \text{\scriptsize } T_1 \quad \text{\scriptsize } T_2 \end{array} \right) = 1 + \text{size}(T_1) + \text{size}(T_2)$

- $\text{height}(\bullet) = 0$

- $\text{height} \left( \begin{array}{c} \bullet \\ / \quad \backslash \\ \bullet \quad \bullet \\ \text{\scriptsize } T_1 \quad \text{\scriptsize } T_2 \end{array} \right) = 1 + \max\{\text{height}(T_1), \text{height}(T_2)\}$

# size vs. height

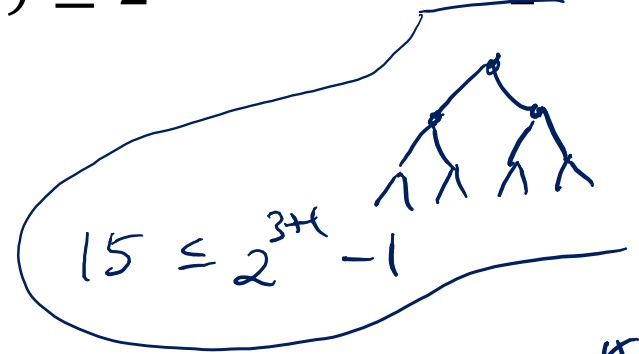
**Claim:** For every rooted binary tree  $T$ ,  $\text{size}(T) \leq 2^{\text{height}(T)+1} - 1$

$$P(T) = \text{"size}(T) \leq 2^{\text{height}(T)+1} - 1 \text{"}$$

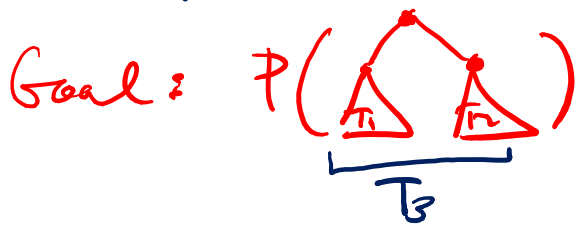
Base case:

$$\text{size}(\cdot) = 1 = 2^{0+1} - 1$$

$$= 2^{\text{height}(\cdot)+1} - 1 \Rightarrow P(\cdot)$$



IH:  $P(T_1)$  and  $P(T_2)$  for some RBTs  $T_1, T_2$



$$\left. \begin{aligned} & 2 \cdot 2^{\max(\text{height}(T_1), \text{height}(T_2))+1} - 1 \\ & = 2 \cdot 2^{\text{height}(T_3)} - 1 \\ & = 2^{\text{height}(T_3)+1} - 1 \end{aligned} \right\} \Rightarrow P(T_3)$$

$$\text{size}(T_3) = 1 + \text{size}(T_1) + \text{size}(T_2)$$

$$\begin{aligned} \text{IH} \Rightarrow & \leq 1 + (2^{\text{height}(T_1)+1} - 1) + (2^{\text{height}(T_2)+1} - 1) \\ & = 2 \cdot (2^{\text{height}(T_1)} + 2^{\text{height}(T_2)}) - 1 \leq 2 \cdot 2 \cdot 2^{\max(\text{height}(T_1), \text{height}(T_2))} - 1 \end{aligned}$$

# languages: sets of strings

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Sets of strings that satisfy special properties are called **languages**.

Examples:

$$L \subseteq \Sigma^*$$

- English sentences
- Syntactically correct Java/C/C++ programs
- $\Sigma^*$  = All strings over alphabet  $\Sigma$
- Palindromes over  $\Sigma$
- Binary strings that don't have a 0 after a 1
- Legal variable names, keywords in Java/C/C++
- Binary strings with an equal # of 0's and 1's