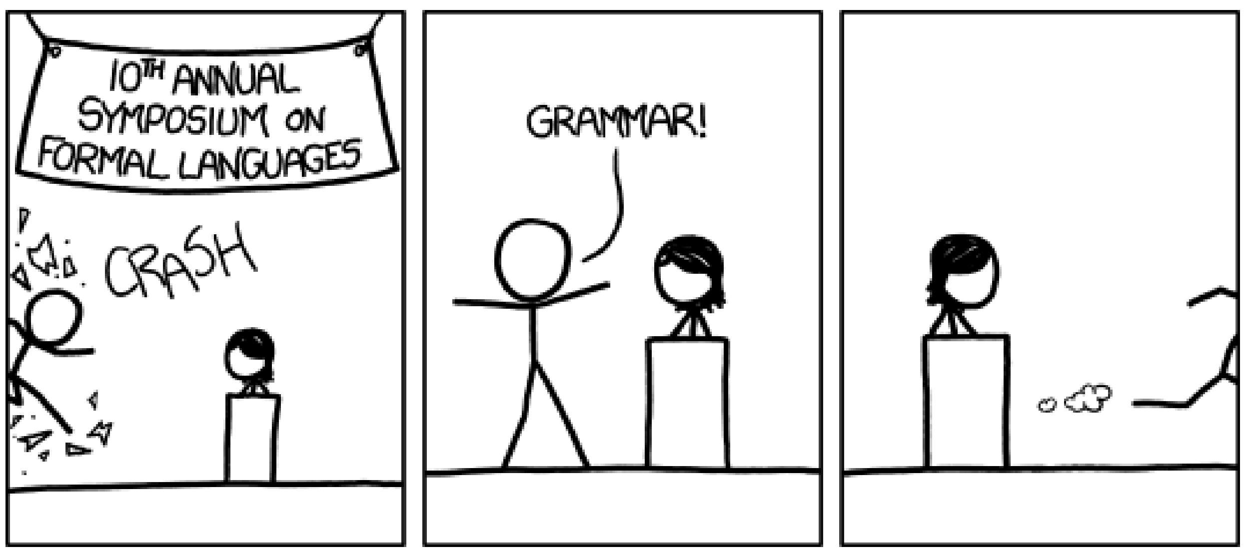
Fall 2015

Lecture 21: Context-free grammars and finite state machines



more examples

- All binary strings that have at least one 1.

- All binary strings that have an even # of 1's

- All binary strings that *don't* contain 101

limitations of regular expressions

- Not all languages can be specified by regular expressions

- Even some easy things like
  - Palindromes
  - Strings with equal number of 0's and 1's

- But also more complicated structures in programming languages
  - Matched parentheses
  - Properly formed arithmetic expressions
  - etc.

- A Context-Free Grammar (CFG) is given by a finite set of substitution rules involving
  - A finite set **V** of *variables* that can be replaced
  - Alphabet Σ of *terminal symbols* that can't be replaced
  - One variable, usually **S**, is called the *start symbol*

- The rules involving a variable **A** are written as

$$\mathbf{A} \rightarrow w_1 \mid w_2 \mid \cdots \mid w_k$$

where each w_i is a string of variables and terminals:

$$w_i \in (\mathbf{V} \cup \Sigma)^*$$

how CFGs generate strings

- Begin with start symbol **S**

- If there is some variable **A** in the current string you can replace it by one of the w's in the rules for **A**
  - $\mathbf{A} \rightarrow w_1 \mid w_2 \mid \cdots \mid w_k$
  - Write this as $x\mathbf{A}y \Rightarrow xw_1y$
  - Repeat until no variables left

- The set of strings the CFG generates are all strings produced in this way that have no variables

Example: $\mathbf{S} \rightarrow 0\mathbf{S}0 \mid 1\mathbf{S}1 \mid 0 \mid 1 \mid \varepsilon$

Example: $\mathbf{S} \rightarrow 0\mathbf{S} \mid \mathbf{S}1 \mid \varepsilon$

Grammar for $\{0^n 1^n : n \geq 0\}$

(all strings with same # of 0's and 1's with all 0's before 1's)

Example: Grammar for Matched Paranthesis $\Sigma = \{(,)\}$.

simple arithmetic expressions

$\mathbf{E} \rightarrow \mathbf{E+E} \mid \mathbf{E*E} \mid (\mathbf{E}) \mid x \mid y \mid z \mid 0 \mid 1 \mid 2 \mid 3 \mid 4$
$\mid 5 \mid 6 \mid 7 \mid 8 \mid 9$

Generate $(2*x) + y$

Generate $x+y*z$ in two fundamentally different ways

parse trees

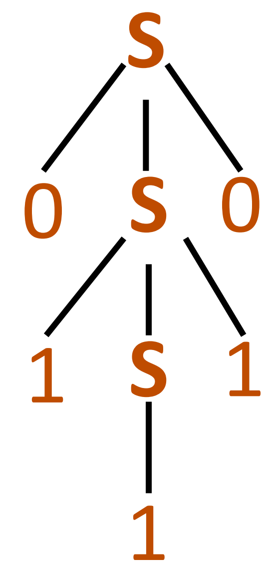
Suppose that grammar G generates a string x

A **parse tree** of x for G has

- Root labeled S (start symbol of G)
- The children of any node labeled **A** are labeled by symbols of w left-to-right for some rule **A** $\rightarrow$ w
- The symbols of x label the leaves ordered left-to-right

$$\mathbf{S} \rightarrow 0\mathbf{S}0 \mid 1\mathbf{S}1 \mid 0 \mid 1 \mid \varepsilon$$

Parse tree of 01110:



CFGs and recursively-defined sets of strings

- A CFG with the start symbol **S** as its only variable recursively defines the set of strings of terminals that **S** can generate

- A CFG with more than one variable is a simultaneous recursive definition of the sets of strings generated by *each* of its variables
  - Sometimes necessary to use more than one

building precedence in simple arithmetic expressions

- **E** – expression (start symbol)
- **T** – term **F** – factor **I** – identifier **N** - number

$$
\begin{aligned}
\mathbf{E} &\rightarrow \mathbf{T} \mid \mathbf{E}+\mathbf{T} \\
\mathbf{T} &\rightarrow \mathbf{F} \mid \mathbf{F}*\mathbf{T} \\
\mathbf{F} &\rightarrow (\mathbf{E}) \mid \mathbf{I} \mid \mathbf{N} \\
\mathbf{I} &\rightarrow x \mid y \mid z \\
\mathbf{N} &\rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9
\end{aligned}
$$

Backus-Naur form (same as CFG)

BNF (Backus-Naur Form) grammars

- Originally used to define programming languages
- Variables denoted by long names in angle brackets, e.g.

  <identifier>, <if-then-else-statement>,

  <assignment-statement>, <condition>

  $::=$ used instead of $\rightarrow$

BNF for C

```
statement:
  ((identifier | "case" constant-expression | "default") ":")*
  (expression? ";" |
   block |
   "if" "(" expression ")" statement |
   "if" "(" expression ")" statement "else" statement |
   "switch" "(" expression ")" statement |
   "while" "(" expression ")" statement |
   "do" statement "while" "(" expression ")" ";" |
   "for" "(" expression? ";" expression? ";" expression? ")" statement |
   "goto" identifier ";" |
   "continue" ";" |
   "break" ";" |
   "return" expression? ";"
  )

block: "{" declaration* statement* "}"

expression:
  assignment-expression%

assignment-expression: (
    unary-expression (
      "=" | "*=" | "/=" | "%=" | "+=" | "-=" | "<<=" | ">>=" | "&=" |
      "^=" | "|="
    )
  )* conditional-expression

conditional-expression:
  logical-OR-expression ( "?" expression ":" conditional-expression )?
```

parse trees

Back to middle school:

<sentence>::=<noun phrase><verb phrase>

<noun phrase>::==<article><adjective><noun>

<verb phrase>::=<verb><adverb>|<verb><object>

<object>::=<noun phrase>

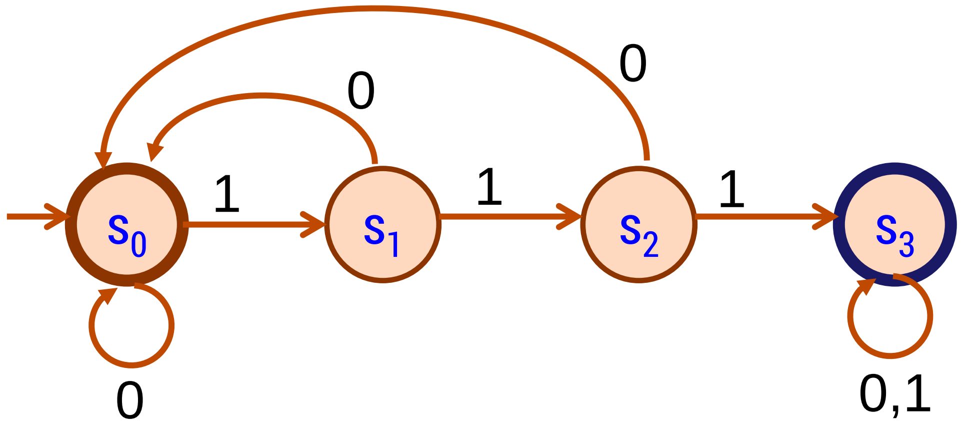
Parse:

The yellow duck squeaked loudly

The red truck hit a parked car

- States
- Transitions on inputs
- Start state and final states
- The language recognized by a machine is the set of strings that reach a final state

State	0	1
s_0	s_0	s_1
s_1	s_0	s_2
s_2	s_0	s_3
$\mathbf{s_3}$	s_3	s_3



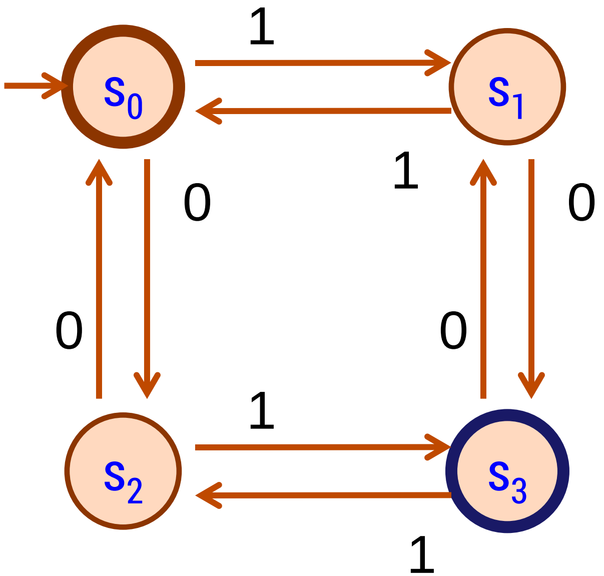
applications of FSMs (aka finite automata)

- Implementation of regular expression matching in programs like `grep`
- Control structures for sequential logic in digital circuits
- Algorithms for communication and cache-coherence protocols
  - Each agent runs its own FSM
- Design specifications for reactive systems
  - Components are communicating FSMs

applications of FSMs (aka finite automata)

- Formal verification of systems
  - Is an unsafe state reachable?
- Computer games
  - FSMs provide worlds to explore
- Minimization algorithms for FSMs can be extended to more general models used in
  - Text prediction
  - Speech recognition

what language does this machine recognize?



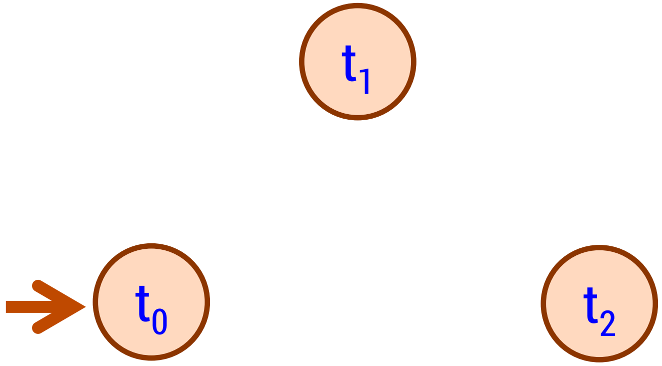
can we recognize these languages with DFAs?

- $\emptyset$
- Σ^*
- $\{ x \in \{0,1\}^* : \text{len}(x) > 1\}$

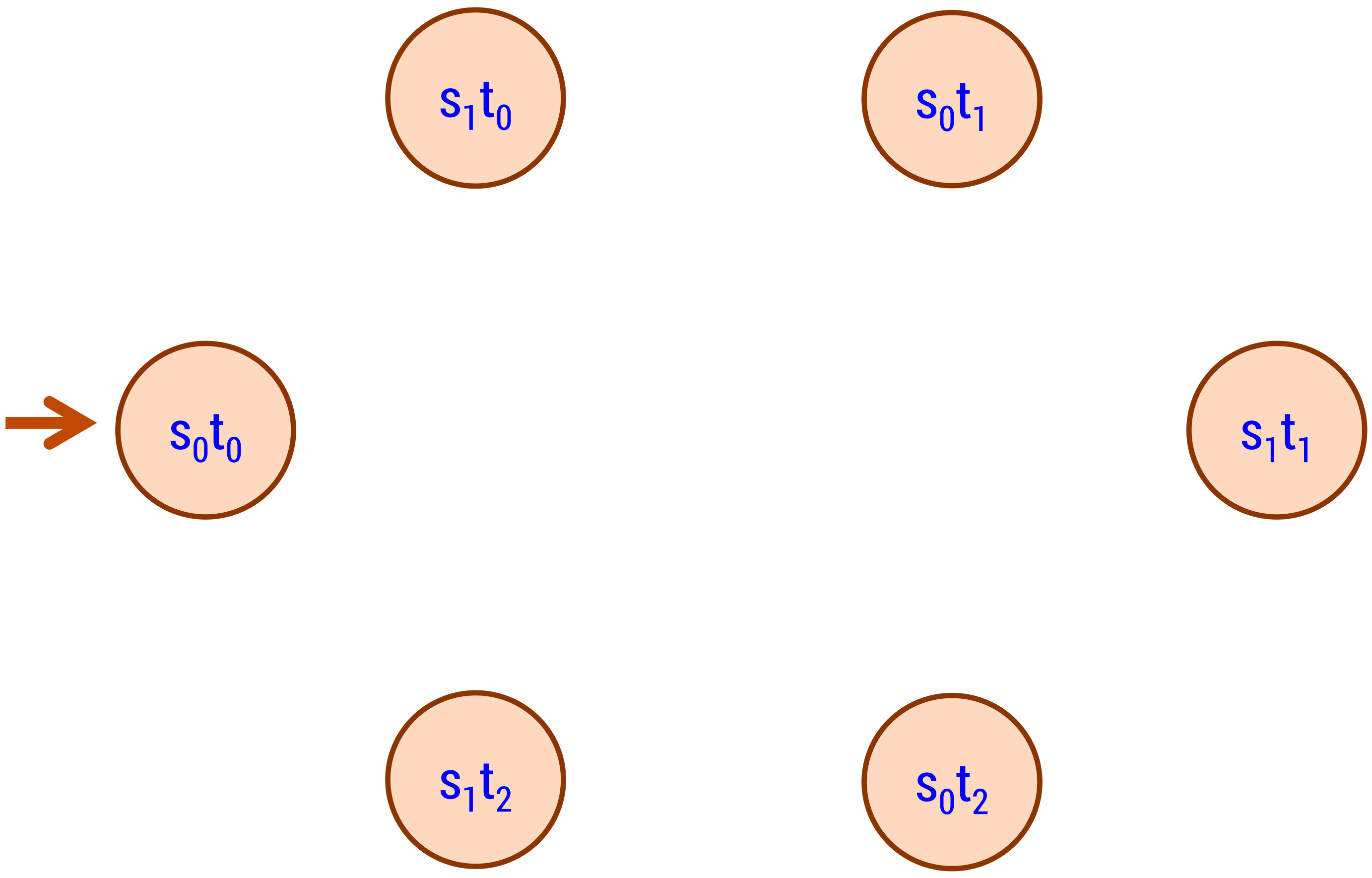
FSM that accepts binary strings with a 1 three positions from the end

M_1: Strings with an even number of 2's

M_2: Strings where the sum of digits mod 3 is 0



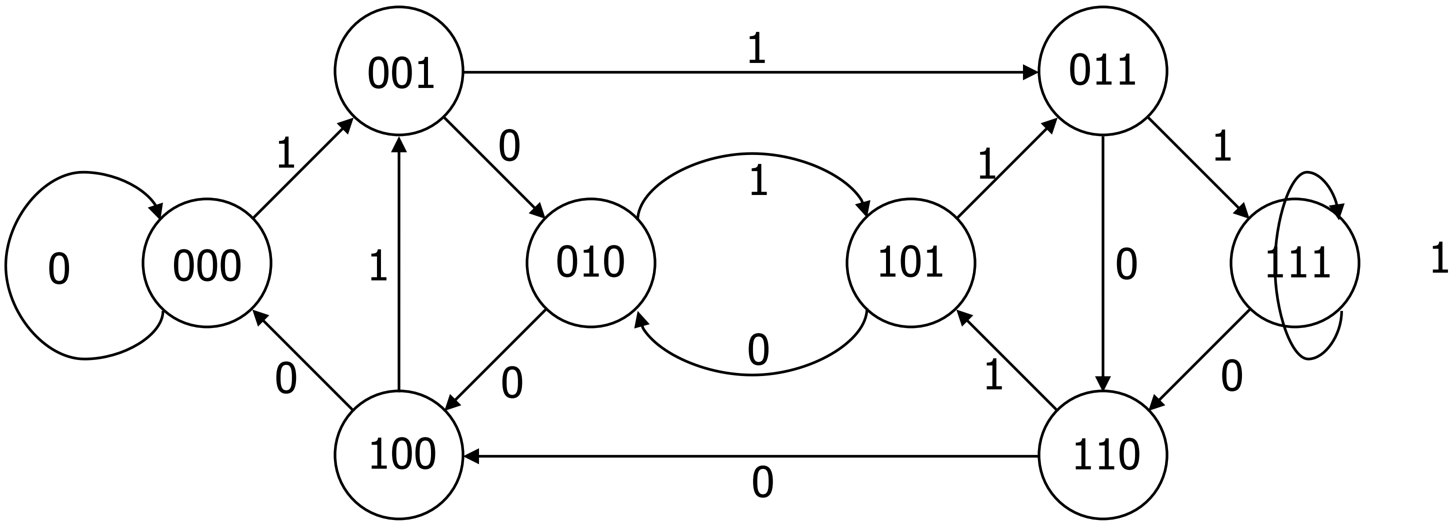
both: even number of 2's and sum mod 3 = 0

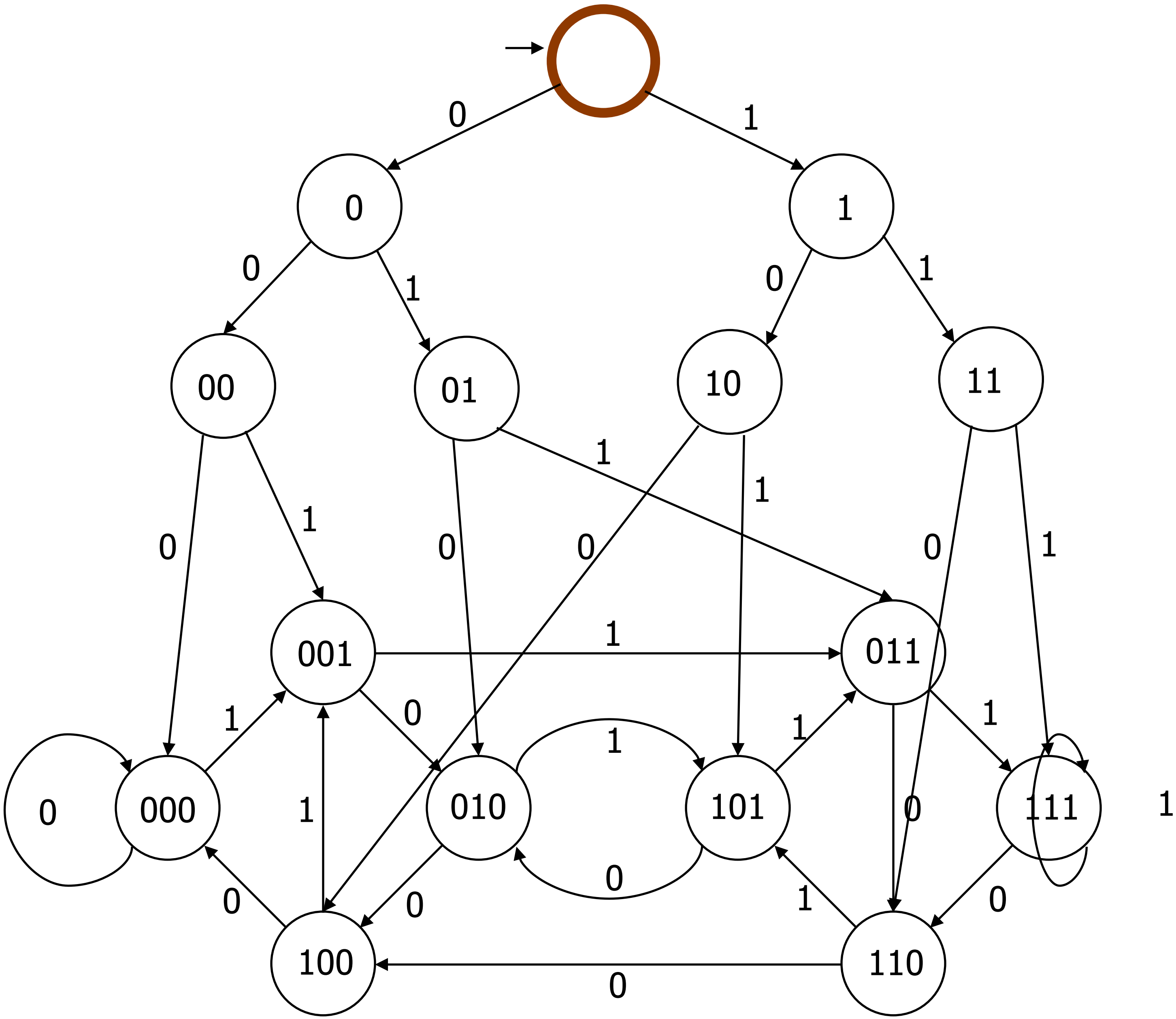


DFA that accepts strings of a’s, b’s, c’s with no more than 3 a’s

3 bit shift register

"Remember the last three bits"




0
1
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011
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101
110
111
0
1