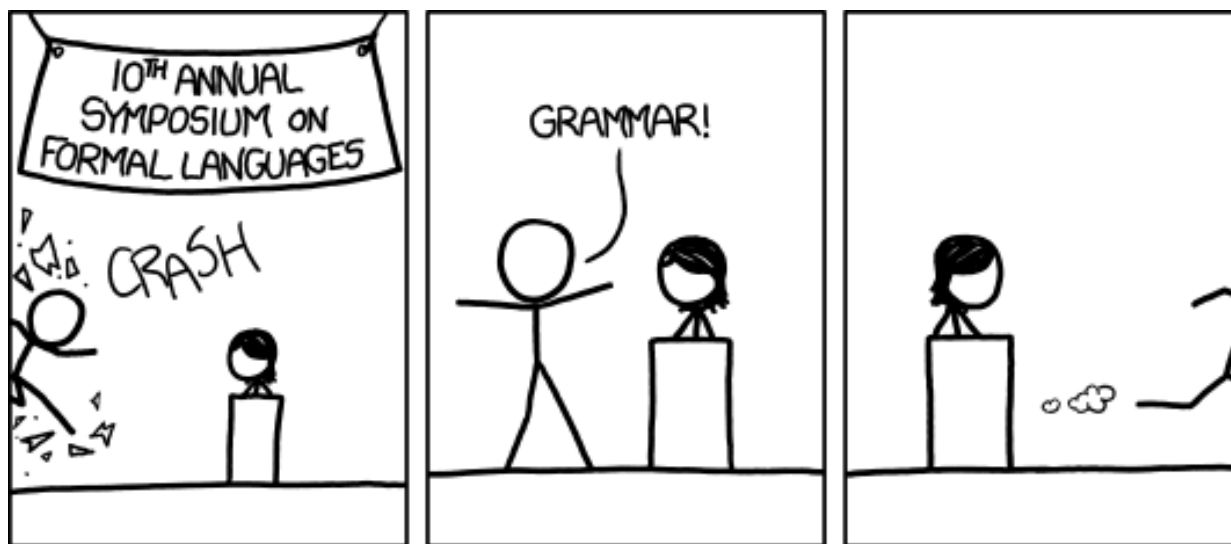


# cse 311: foundations of computing

Fall 2015

## Lecture 20: Regular expressions and context-free grammars

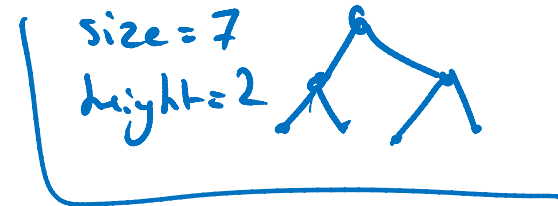


# size vs. height

**Claim:** For every rooted binary tree  $T$ ,  $\text{size}(T) \leq 2^{\text{height}(T)+1} - 1$

$$P(T) = \text{"size}(T) \leq 2^{\text{height}(T)+1} - 1\text{"}$$

$$\text{Base Case: } P(\cdot) \quad \text{size}(\cdot) = 1 \leq 2^{0+1} - 1 = 2 - 1 = 1$$



IH: For  $T_1, T_2$ ,  $P(T_1), P(T_2)$  hold.

IS:  $P(\underbrace{T_1, T_2}_{T_3})$  holds

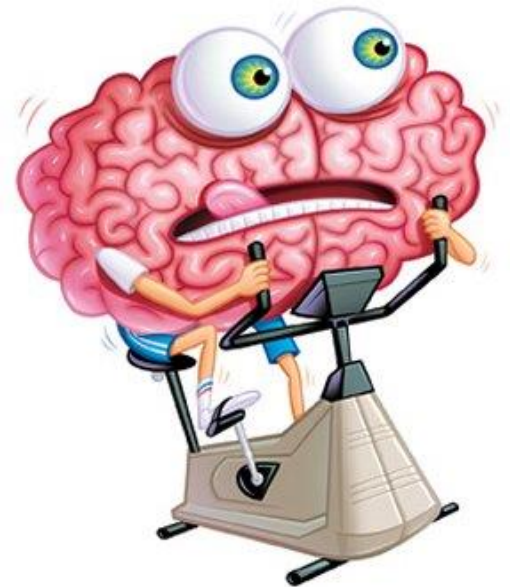
$$\begin{aligned} \text{size}(T_3) &= 1 + \text{size}(T_1) + \text{size}(T_2) \stackrel{\text{IH}}{\leq} 1 + 2^{\text{height}(T_1)+1} - 1 + 2^{\text{height}(T_2)+1} - 1 \\ &\leq 2 \cdot (2^{\text{height}(T_1)} + 2^{\text{height}(T_2)}) - 1 \\ &\leq 2 \cdot 2 \cdot (2^{\max\{\text{height}(T_1), \text{height}(T_2)\}}) - 1 \\ &= 2 \cdot 2^{\max\{\text{height}(T_1), \text{height}(T_2)\}+1} \\ &= 2 \cdot 2^{\text{height}(T_3)+1} - 1 = 2^{\text{height}(T_3)+1} - 1 \\ &\Rightarrow P(T_3). \end{aligned}$$

# cse 311: foundations of computing

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# languages: sets of strings

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Sets of strings that satisfy special properties are called **languages**.

Examples:

$$L \subseteq \Sigma^*$$

- English sentences
- Syntactically correct Java/C/C++ programs
- $\Sigma^*$  = All strings over alphabet  $\Sigma$
- Palindromes over  $\Sigma$
- Binary strings that don't have a 0 after a 1
- Legal variable names, keywords in Java/C/C++
- Binary strings with an equal # of 0's and 1's

$$L = \{ 0 a_1 a_2 \dots a_k 0 : a_1, \dots, a_k \in \{0, 1\} \}$$

# regular expressions

## Regular expressions over $\Sigma$

- Basis:

$\emptyset, \varepsilon$  are regular expressions

$a$  is a regular expression for any  $a \in \Sigma$

$$\begin{aligned} L_1 &= \emptyset \\ L_2 &= \{\varepsilon\} & \varepsilon \in L_2 \\ & & \varepsilon \notin L_1 \\ L_3 &= \{a, \varepsilon\} \end{aligned}$$

- Recursive step:

– If **A** and **B** are regular expressions then so are:

**(A  $\cup$  B)**

**(AB)**

**A\***

# each regular expression is a “pattern”

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$\epsilon$  matches the **empty string**

$a$      $\{a\}$

$a$  matches the one character string  $a$

$(A \cup B)$  matches all strings that either  $A$  matches or  $B$  matches (or both)

$\{a \cup b\}$      $\{a, b\}$

$(AB)$  matches all strings that have a first part that  $A$  matches followed by a second part that  $B$  matches

$A^*$  matches all strings that have any number of strings (even 0) that  $A$  matches, one after another

$\{\epsilon, A, AA, AAA, \dots\}$

# examples

- $001^*$  =  $\{00, 001, 0011, 00111, \dots\}$

- $0^*1^*$  =  $\{\epsilon, 0, 1, 01, 001, 011, \dots\}$   
ones need to follow zeros

- $(0 \cup 1)0(0 \cup 1)0$  =  $\{0000, 0010, 1000, 1010\}$

- $\underbrace{(0^*1^*)^*}_{(0 \cup 1)^*} = \sum_1^*$

- $(0 \cup 1)^* 0110 (0 \cup 1)^*$  any string containing 0110

- $(00 \cup 11)^* = \{a, b\}^*$   $\begin{matrix} a=00 \\ b=11 \end{matrix}$

# regular expressions in practice

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- Used to define the “tokens”: e.g., legal variable names, keywords in programming languages and compilers
- Used in **grep**, a program that does pattern matching searches in UNIX/LINUX
- Pattern matching using regular expressions is an essential feature of PHP
- We can use regular expressions in programs to process strings!



# regular expressions in Java

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- Pattern p = Pattern.compile("a\*b");
- Matcher m = p.matcher("aaaaab");
- boolean b = m.matches();

[01] a 0 or a 1    ^ start of string    \$ end of string

[0-9] any single digit    \. period    \, comma    \- minus

. any single character

ab a followed by b    **(AB)**

(a|b) a or b    **(A ∪ B)**

a? zero or one of a    **(A ∪ ε)**

a\* zero or more of a    **A\***

a+ one or more of a    **AA\***

- e.g.  $^{\wedge}[\backslash-+]?[0-9]^*(\backslash.\|\backslash,)^?[0-9]^{\wedge}\$$

General form of decimal number e.g. 9.12 or -9,8 (Europe)



# more examples

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- All binary strings that have at least one 1.

$$(0^*1)^*1(0^*1)^*$$

- All binary strings that have an even # of 1's

$$\cancel{(0^*10^*10^*10^*)^*}$$

0000

$$(0^*10^*1)^*0^*$$

$$\cancel{(0^*11)^*}$$



- All binary strings that *don't* contain 101

# limitations of regular expressions

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- **Not all languages can be specified by regular expressions**
- Even some easy things like
  - Palindromes
  - Strings with equal number of 0's and 1's
- But also more complicated structures in programming languages
  - Matched parentheses
  - Properly formed arithmetic expressions
  - etc.

# context-free grammars

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- A Context-Free Grammar (CFG) is given by a finite set of substitution rules involving
  - A finite set  $V$  of *variables* that can be replaced
  - Alphabet  $\Sigma$  of *terminal symbols* that can't be replaced
  - One variable, usually  $S$ , is called the *start symbol*
- The rules involving a variable  $A$  are written as

$$A \rightarrow w_1 \mid w_2 \mid \cdots \mid w_k$$

where each  $w_i$  is a string of variables and terminals:

$$w_i \in (V \cup \Sigma)^*$$

# how CFGs generate strings

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- Begin with start symbol **S**
- If there is some variable **A** in the current string you can replace it by one of the  $w$ 's in the rules for **A**
  - $A \rightarrow w_1 \mid w_2 \mid \dots \mid w_k$
  - Write this as  $xAy \Rightarrow xwy$
  - Repeat until no variables left
- The set of strings the CFG generates are all strings produced in this way that have no variables

Example:  $S \rightarrow OS0 \mid 1S1 \mid 0 \mid 1 \mid \varepsilon$

Example:  $S \rightarrow OS \mid S1 \mid \varepsilon$

Grammar for  $\{0^n 1^n : n \geq 0\}$

(all strings with same # of 0's and 1's with all 0's before 1's)

Example:  $S \rightarrow (S) \mid SS \mid \varepsilon$



# simple arithmetic expressions

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$E \rightarrow E+E \mid E * E \mid (E) \mid x \mid y \mid z \mid 0 \mid 1 \mid 2 \mid 3 \mid 4$   
 $\mid 5 \mid 6 \mid 7 \mid 8 \mid 9$

Generate  $(2 * x) + y$

Generate  $x + y * z$  in two fundamentally different ways

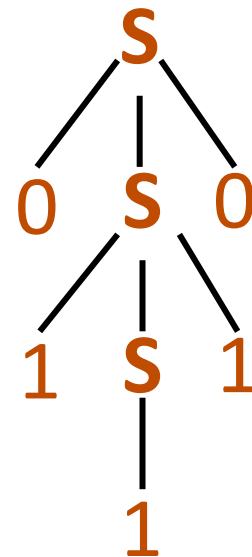
Suppose that grammar  $G$  generates a string  $x$

A **parse tree** of  $x$  for  $G$  has

- Root labeled  $S$  (start symbol of  $G$ )
- The children of any node labeled  $A$  are labeled by symbols of  $w$  left-to-right for some rule  $A \rightarrow w$
- The symbols of  $x$  label the leaves ordered left-to-right

$S \rightarrow OSO \mid 1S1 \mid 0 \mid 1 \mid \varepsilon$

Parse tree of **01110**:



# CFGs and recursively-defined sets of strings

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- A CFG with the start symbol **S** as its only variable recursively defines the set of strings of terminals that **S** can generate
- A CFG with more than one variable is a simultaneous recursive definition of the sets of strings generated by *each* of its variables
  - Sometimes necessary to use more than one

# building precedence in simple arithmetic expressions

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- **E** – expression (start symbol)
- **T** – term   **F** – factor   **I** – identifier   **N** - number

**E** → **T** | **E+T**

**T** → **F** | **F\*T**

**F** → (**E**) | **I** | **N**

**I** → x | y | z

**N** → 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9

# Backus-Naur form (same as CFG)

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## BNF (Backus-Naur Form) grammars

- Originally used to define programming languages
- Variables denoted by long names in angle brackets, e.g.  
    <identifier>, <if-then-else-statement>,  
    <assignment-statement>, <condition>  
    ::= used instead of  $\rightarrow$

# BNF for C

---

```
statement:
  ((identifier | "case" constant-expression | "default") ":")*
  (expression? ";" |
  block |
  "if" "(" expression ")" statement |
  "if" "(" expression ")" statement "else" statement |
  "switch" "(" expression ")" statement |
  "while" "(" expression ")" statement |
  "do" statement "while" "(" expression ")" ";" |
  "for" "(" expression? ";" expression? ";" expression? ")" statement |
  "goto" identifier ";" |
  "continue" ";" |
  "break" ";" |
  "return" expression? ";"
  )

block: "{" declaration* statement* "}"

expression:
  assignment-expression%

assignment-expression: (
  unary-expression (
    "=" | "*=" | "/=" | "%=" | "+=" | "-=" | "<<=" | ">>=" | "&=" |
    "^=" | "|="
  )
  )* conditional-expression

conditional-expression:
  logical-OR-expression ( "?" expression ":" conditional-expression )?
```

Back to middle school:

$\langle \text{sentence} \rangle ::= \langle \text{noun phrase} \rangle \langle \text{verb phrase} \rangle$

$\langle \text{noun phrase} \rangle ::= \langle \text{article} \rangle \langle \text{adjective} \rangle \langle \text{noun} \rangle$

$\langle \text{verb phrase} \rangle ::= \langle \text{verb} \rangle \langle \text{adverb} \rangle | \langle \text{verb} \rangle \langle \text{object} \rangle$

$\langle \text{object} \rangle ::= \langle \text{noun phrase} \rangle$

Parse:

The yellow duck squeaked loudly

The red truck hit a parked car