| CSE 311: Foundations of Computing                  | announcements   |
|--|---|
| Fall 2014  | Hand in Homework 9 now  |
| Lecture 30: Wrap up                                | <ul> <li>Pick up all old homework and exams now</li> </ul>  |
|  | <ul> <li>Solutions will be available on-line (username 311 password Turing) by tomorrow.</li> </ul>           |
| The states   | Review sessions   |
|  | <ul> <li>Saturday and Sunday, 4pm, EEB 125</li> </ul>   |
|  | <ul> <li>List of Final Exam Topics, Practice Final and sample<br/>exam questions linked on the web</li> </ul> |
|  | – Bring your questions to the review session!   |
|  | Final exam  |
|  | <ul> <li>Monday, 2:30-4:20 pm or 4:30-6:20, Kane 210</li> </ul>   |
|  | <ul> <li>Fill in Catalyst Survey by Sunday, 3pm to choose.</li> </ul>   |
|  |   |
| General phenomenon: can't tell a book by its cover | Even harder problems  |

and you can't tell what a program does just by its code...

**Rice's Theorem:** In general there is no way to tell anything about the input/output (I/O) behavior of a program **P** just given it code!

Note: The statement above is not precise, and we didn't prove it, so this isn't something you can use on homework or exams

- With the halting problem, by using the Universal machine (a program interpreter) we can simulate P and input x and always get the true answers correct

   we can't be sure about answering false
- For other problems we can always answer false correctly but maybe not the true answers
- There are natural problems where you can't even do that!
  - The EQUIV problem is an example of this kind of even harder problem

### **Quick lessons**

- Don't rely on the idea of improved compilers and programming languages to eliminate major programming errors
  - truly safe languages can't possibly do general computation
- Document your code!!!!
  - there is no way you can expect someone else to figure out what your program does with just your code ....since....in general it is provably impossible to do this!

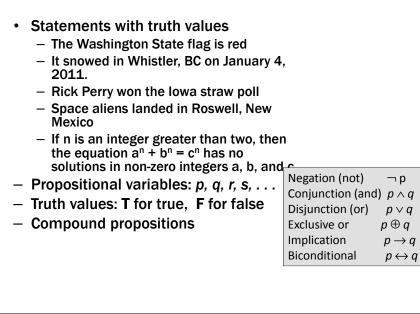
## CSE 311: Foundations of Computing

Fall 2014 The "5 minute" version

### about the course

- From the CSE catalog:
  - CSE 311 Foundations of Computing I (4)
     Examines fundamentals of logic, set theory, induction, and algebraic structures with applications to computing; finite state machines; and limits of computability.
     Prerequisite: CSE 143; either MATH 126 or MATH 136.
- What this course is about:
  - Foundational structures for the practice of computer science and engineering

## propositional logic



## logical equivalence

- Terminology: A compound proposition is a
  - Tautology if it is always true
  - Contradiction if it is always false
  - Contingency if it can be either true or false
  - $p \lor \neg p$

 $p \oplus p$ 

 $(p \rightarrow q) \land p$ 

$$(p \land q) \lor (p \land \neg q) \lor (\neg p \land q) \lor (\neg p \land \neg q)$$

## logical equivalence

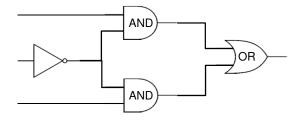
- *p* and *q* are logically equivalent iff
   *p* ↔ *q* is a tautology
- The notation  $p \equiv q$  denotes p and q are logically equivalent
- De Morgan's Laws:

 $\neg (p \land q) \equiv \neg p \lor \neg q$  $\neg (p \lor q) \equiv \neg p \land \neg q$ 

## digital circuits

- Computing with logic
  - -T corresponds to 1 or "high" voltage
  - F corresponds to 0 or "low" voltage
- Gates
  - Take inputs and produce outputs Functions
  - Several kinds of gates
  - Correspond to propositional connectives
     Only symmetric ones (order of inputs irrelevant)

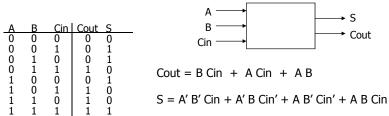
## combinational logic circuits

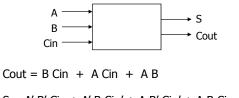


Wires can send one value to multiple gates

## a simple example: 1-bit binary adder

- Inputs: A, B, Carry-in
- А • Outputs: Sum, Carry-out В S





Cout Cir

B В В

## **Truth Tables to Boolean Logic**

|   | DAY  | d2d1d0 | L | c0 | c1 | c2 | c3 |
|---|------|--------|---|----|----|----|----|
|   | SunS | 000    | 0 | 0  | 1  | 0  | 0  |
|   | SunL | 000    | 1 | 0  | 0  | 0  | 1  |
|   | MonS | 001    | 0 | 0  | 1  | 0  | 0  |
| c3 = (DAY == SUN and LEC) or (DAY == MON and LEC)                         | MonL | 001    | 1 | 0  | 0  | 0  | 1  |
|   | TueS | 010    | 0 | 0  | 1  | 0  | 0  |
| c3 = (d2 == 0 && d1 == 0 && d0 == 0 && L == 1)                            | TueL | 010    | 1 | 0  | 0  | 1  | 0  |
| (d2 == 0 && d1 == 0 && d0 == 1 && L == 1)                                 | WedS | 011    | 0 | 0  | 1  | 0  | 0  |
| c3 = d2'•d1'•d0'•L + d2'•d1'•d0•L   | WedL | 011    | 1 | 0  | 0  | 1  | 0  |
| $c_3 = d_2 \cdot d_1 \cdot d_0 \cdot L + d_2 \cdot d_1 \cdot d_0 \cdot L$ | Thu  | 100    | - | 0  | 1  | 0  | 0  |
|   | FriS | 101    | 0 | 1  | 0  | 0  | 0  |
|   | FriL | 101    | 1 | 0  | 1  | 0  | 0  |
|   | Sat  | 110    | - | 1  | 0  | 0  | 0  |
|   | -    | 111    | - | -  | -  | -  | -  |
|   |      |        |   | •  |    |    |    |

## **Boolean Algebra**

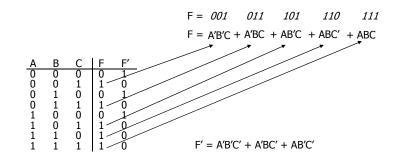
- Boolean algebra to circuit design
- **Boolean algebra** •
  - a set of elements B containing {0, 1}
  - binary operations { + , }
  - and a unary operation { ' }
  - such that the following axioms hold:

1. the set B contains at least two elements: 0, 1

| For any a, b, c in B: |   |   |
|-----------------------|---|---|
| 2. closure:           | a + bisin B                               | a •b is in B                                  |
| 3. commutativity:     | a + b = b + a                             | a • b = b • a                                 |
| 4. associativity:     | a + (b + c) = (a + b) + c                 | a • (b • c) = (a • b) • c                     |
| 5. identity:          | a + 0 = a                                 | a • 1 = a                                     |
| 6. distributivity:    | $a + (b \cdot c) = (a + b) \cdot (a + c)$ | $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$ |
| 7. complementarity:   | a + a' = 1                                | a • a' = 0                                    |

## sum-of-products canonical forms

- · Also known as disjunctive normal form
- Also known as minterm expansion



## **Predicate Logic**

## **Predicate or Propositional Function**

- A function that returns a truth value, e.g.,
  - "x is a cat"
  - "x is prime"
  - "student x has taken course y"
  - "x > y"

```
"x + y = z" or Sum(x, y, z)
```

"5 < x"

Predicates will have variables or constants as arguments.

## statements with quantifiers

- $\forall x (Even(x) \lor Odd(x))$
- $\exists x (Even(x) \land Prime(x))$
- $\forall x \exists y (Greater(y, x) \land Prime(y))$
- $\forall x (\operatorname{Prime}(x) \rightarrow (\operatorname{Equal}(x, 2) \lor \operatorname{Odd}(x)))$
- $\exists x \exists y(Equal(x, y + 2) \land Prime(x) \land Prime(y))$

## **English to Predicate Logic**

• "Red cats like tofu"

Cat(x) Red(x) LikesTofu(x)

• "Some red cats don't like tofu"

## De Morgan's laws for Quantifiers

 $\neg \forall x \ P(x) \equiv \exists x \neg P(x)$  $\neg \exists x \ P(x) \equiv \forall x \neg P(x)$ 

#### "There is no largest integer"

 $\neg \exists x \forall y (x \ge y)$  $\equiv \forall x \neg \forall y (x \ge y)$  $\equiv \forall x \exists y \neg (x \ge y)$  $\equiv \forall x \exists y (y > x)$ 

"For every integer there is a larger integer"

Domain: Positive Integers

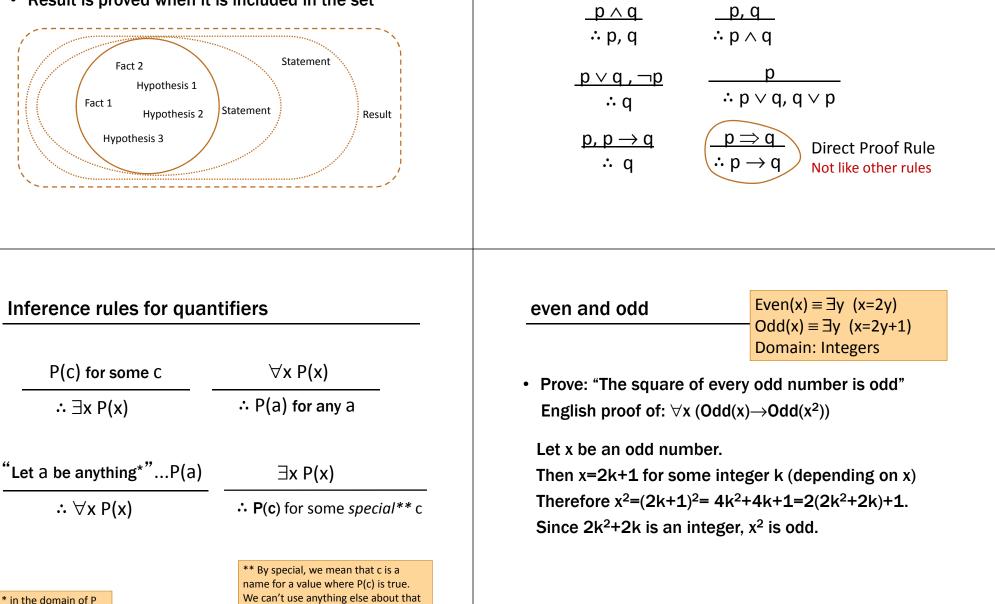
Even(x) Odd(x) Prime(x) Greater(x,y) Equal(x,y)

## Proofs

- Start with hypotheses and facts
- · Use rules of inference to extend set of facts
- Result is proved when it is included in the set

## **Simple Propositional Inference Rules**

Excluded middle plus two inference rules per binary connective, one to eliminate it and one to introduce it



value, so c has to be a NEW variable!

### Definitions

• A and B are equal if they have the same elements

 $\mathsf{A} = \mathsf{B} \equiv \forall x \ (x \in \mathsf{A} \leftrightarrow x \in \mathsf{B})$ 

• A is a subset of B if every element of A is also in B

 $A \subseteq B \equiv \forall x (x \in A \rightarrow x \in B)$ 

• Note:  $(A = B) \equiv (A \subseteq B) \land (B \subseteq A)$ 

Set Operations
$$A \cup B = \{x : (x \in A) \lor (x \in B)\}$$
 Union $A \cap B = \{x : (x \in A) \land (x \in B)\}$  Intersection $A \setminus B = \{x : (x \in A) \land (x \notin B)\}$  Set Difference $A \oplus B = \{x : (x \in A) \oplus (x \in B)\}$  Symmetric  
Difference $\overline{A} = \{x : x \notin A\}$   
(with respect to universe U)Complement

Empty Set, Power set, Cartesian ProductBitwise Operation• Power set of a set A = set of all subsets of A01101104 $\mathcal{P}(A) = \{B : B \subseteq A\}$  $\vee$  00110111e.g. Days = {M, W, F}01011010 $\mathcal{P}(Days) = \{\emptyset, \{M, W\}, \{F\}, \{M, W\}, \{W, F\}, \{M, W\}, \{W, F\}, \{M, W, F\}, \{M, W,$ 

| Bitwise Operations                         |       |         |  |
|--|-------|---------|--|
| 01101101<br><u>v 00110111</u><br>01111111  | Java: | z=x   y |  |
| 00101010<br><u> 00001111</u><br>00001010   | Java: | z=x&y   |  |
| 01101101<br><u> ① 00110111</u><br>01011010 | Java: | z=x^y   |  |

#### **One-Time Pad**

- Alice and Bob privately share random n-bit vector K
  - Eve does not know K
- Later, Alice has n-bit message m to send to Bob
  - Alice computes  $C = m \oplus K$
  - Alice sends C to Bob
  - Bob computes m = C  $\oplus$  K which is (m  $\oplus$  K)  $\oplus$  K
- Eve cannot figure out m from C unless she can guess K



## division theorem

Let *a* be an integer and *d* a positive integer. Then there are *unique* integers *q* and *r*, with  $0 \le r < d$ , such that a = dq + r.

 $q = a \operatorname{div} d$   $r = a \operatorname{mod} d$ 

## Arithmetic, mod 7

 $a +_7 b = (a + b) \mod 7$  $a \times_7 b = (a \times b) \mod 7$ 

|   | _ |   | _ |   | _ |   |   |
|---|---|---|---|---|---|---|---|
| + | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
| 0 | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | 1 | 2 | 3 | 4 | 5 | 6 | 0 |
| 2 | 2 | 3 | 4 | 5 | 6 | 0 | 1 |
| 3 | 3 | 4 | 5 | 6 | 0 | 1 | 2 |
| 4 | 4 | 5 | 6 | 0 | 1 | 2 | 3 |
| 5 | 5 | 6 | 0 | 1 | 2 | 3 | 4 |
| 6 | 6 | 0 | 1 | 2 | 3 | 4 | 5 |

| х | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
|---|---|---|---|---|---|---|---|
| 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 1 | 0 | 1 | 2 | 3 | 4 | 5 | 6 |
| 2 | 0 | 2 | 4 | 6 | 1 | 3 | 5 |
| 3 | 0 | 3 | 6 | 2 | 5 | 1 | 4 |
| 4 | 0 | 4 | 1 | 5 | 2 | 6 | 3 |
| 5 | 0 | 5 | 3 | 1 | 6 | 4 | 2 |
| 6 | 0 | 6 | 5 | 4 | 3 | 2 | 1 |

## modular arithmetic

Let a and b be integers, and m be a positive integer. We say a *is congruent to b modulo m* if m divides a - b. We use the notation  $a \equiv b \pmod{m}$  to indicate that a is congruent to b modulo m.

Let a and b be integers, and let m be a positive integer. Then  $a \equiv b \pmod{m}$  if and only if a mod  $m = b \mod m$ .

Let m be a positive integer. If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$ , then  $a + c \equiv b + d \pmod{m}$  and

 $a + c = b + d \pmod{m}$  and  $ac \equiv bd \pmod{m}$ 

Let a and b be integers, and let m be a positive integer. Then  $a \equiv b \pmod{m}$  if and only if a mod m = b mod m.

## Two's Complement Representation

n bit signed integers, first bit will still be the sign bit

Suppose  $0 \le x < 2^{n-1}$ ,

x is represented by the binary representation of xSuppose  $0 \le x \le 2^{n-1}$ ,

-x is represented by the binary representation of  $2^n - x$ 

Key property: Twos complement representation of any number y is equivalent to y mod 2<sup>n</sup> so arithmetic works mod 2<sup>n</sup>

99 = 64 + 32 + 2 + 1 18 = 16 + 2

For n = 8: 99: 0110 0011 -18: 1110 1110

## modular exponentiation mod 7

| х | 1 | 2 | 3 | 4 | 5 | 6 |  |
|---|---|---|---|---|---|---|--|
| 1 | 1 | 2 | 3 | 4 | 5 | 6 |  |
| 2 | 2 | 4 | 6 | 1 | 3 | 5 |  |
| 3 | 3 | 6 | 2 | 5 | 1 | 4 |  |
| 4 | 4 | 1 | 5 | 2 | 6 | 3 |  |
| 5 | 5 | 3 | 1 | 6 | 4 | 2 |  |
| 6 | 6 | 5 | 4 | 3 | 2 | 1 |  |

| а | a1 | a² | a³ | $a^4$ | a <sup>5</sup> | a <sup>6</sup> |  |
|---|----|----|----|-------|----------------|----------------|--|
| 1 | 1  | 1  | 1  | 1     | 1              | 1              |  |
| 2 | 2  | 4  | 1  | 2     | 4              | 1              |  |
| 3 | 3  | 2  | 6  | 4     | 5              | 1              |  |
| 4 | 4  | 2  | 1  | 4     | 2              | 1              |  |
| 5 | 5  | 4  | 6  | 2     | 3              | 1              |  |
| 6 | 6  | 1  | 6  | 1     | 6              | 1              |  |

## hashing

- Map values from a large domain, 0...M-1 in a much smaller domain, 0...n-1
- Index lookup
- Test for equality
- Hash(x) = x mod p
  - $-(\text{or Hash}(x) = (ax + b) \mod p)$
- Often want the hash function to depend on all of the bits of the data
  - Collision management

## **Repeated Squaring – small and fast**

Since  $a \mod m \equiv a \pmod{m}$  for any a

we have  $a^2 \mod m = (a \mod m)^2 \mod m$ 

- and  $a^4 \mod m = (a^2 \mod m)^2 \mod m$
- and  $a^8 \mod m = (a^4 \mod m)^2 \mod m$
- and  $a^{16} \mod m = (a^8 \mod m)^2 \mod m$
- and  $a^{32} \mod m = (a^{16} \mod m)^2 \mod m$

Can compute  $a^k \mod m$  for  $k=2^i$  in only i steps

## Primality

An integer *p* greater than 1 is called *prime* if the only positive factors of *p* are 1 and *p*.

A positive integer that is greater than 1 and is not prime is called *composite*.

## **Fundamental Theorem of Arithmetic**

Every positive integer greater than 1 has a unique prime factorization

48 = 2 • 2 • 2 • 2 • 3 45,523 = 45,523 321,950 = 2 • 5 • 5 • 47 • 137 1,234,567,890 = 2 • 3 • 3 • 5 • 3,607 • 3,803

#### **Euclid's Theorem**

There are an infinite number of primes.

**Proof by contradiction:** 

Suppose that there are only a finite number of primes:  $p_1, p_2, \dots, p_n$ 

## **GCD** and Factoring

 $a = 2^3 \cdot 3 \cdot 5^2 \cdot 7 \cdot 11 = 46,200$ 

 $b = 2 \cdot 3^2 \cdot 5^3 \cdot 7 \cdot 13 = 204,750$ 

 $GCD(a, b) = 2^{\min(3,1)} \bullet 3^{\min(1,2)} \bullet 5^{\min(2,3)} \bullet 7^{\min(1,1)} \bullet 11^{\min(1,0)} \bullet 13^{\min(0,1)}$ 

Factoring is expensive! Can we compute GCD(a,b) without factoring?

#### **Euclid's Algorithm**

#### $GCD(x, y) = GCD(y, x \mod y)$

```
int GCD(int a, int b){ /* a >= b, b > 0 */
    int tmp;
    while (b > 0) {
        tmp = a % b;
        a = b;
        b = tmp;
    }
    return a;
}
```

Example: GCD(660, 126)

## **Extended Euclidean algorithm**

• Can use Euclid's Algorithm to find *s*, *t* such that Solving  $ax \equiv b \pmod{m}$  for unknown x when gcd(a,m) = 1.gcd(a,b) = sa + tb• e.g. gcd(35,27): 35 = 1 • 27 + 8 35 - 1 • 27 = 8  $27=3 \cdot 8 + 3$   $27-3 \cdot 8 = 3$ **1**. Find *s* such that sa + tm = 1 $8 = 2 \cdot 3 + 2$   $8 - 2 \cdot 3 = 2$ **2.** Compute  $a^{-1} = s \mod m$ , the multiplicative  $3 = 1 \cdot 2 + 1$   $3 - 1 \cdot 2 = 1$ inverse of  $a \mod m$  $2 = 2 \cdot 1 + 0$ 3. Set  $x = (a^{-1} \cdot b) \mod m$  Substitute back from the bottom  $1=3-1 \cdot 2 = 3-1(8-2 \cdot 3) = (-1) \cdot 8+3 \cdot 3$  $= (-1) \cdot 8 + 3 (27 - 3 \cdot 8) = 3 \cdot 27 + (-10) \cdot 8$  $= 3 \cdot 27 + (-10) \cdot (35 - 1 \cdot 27) = (-10) \cdot 35 + 13 \cdot 27$ Mathematical Induction strong induction *P*(0) P(0) $\forall k (P(k) \rightarrow P(k+1))$  $\forall k \left( \left( P(0) \land P(1) \land P(2) \land \dots \land P(k) \right) \to P(k+1) \right)$  $\therefore \forall n P(n)$  $\therefore \forall n P(n)$ **Base Case** 1. Prove P(0) **1**. By induction we will show that P(n) is true for every  $n \ge 0$ 2. Let k be an arbitrary integer  $\geq 0$ Inductive **2.** Base Case: Prove P(0)**Hypothesis** 3. Assume that P(k) is true 3. Inductive Hypothesis: Assume that for some arbitrary integer  $k \ge 0$ , P(j) is true 4. ... Inductive 5. Prove P(k+1) is true for every j from 0 to kStep 6.  $P(k) \rightarrow P(k+1)$ Direct Proof Rule 4. Inductive Step: 7.  $\forall$  k (P(k)  $\rightarrow$  P(k+1)) Intro  $\forall$  from 2-6 Prove that P(k + 1) is true using the Inductive Hypothesis (that P(j) is true for all values  $\leq k$ ) 8. ∀ n P(n) Induction Rule 1&7 5. Conclusion: Result follows by induction Conclusion

**Solving Modular Equations** 

## **5 Steps To Inductive Proofs In English**

#### **Proof:**

- **1**. "We will show that P(n) is true for every  $n \ge 0$  by Induction."
- 2. "Base Case:" Prove P(0)
- 3. "Inductive Hypothesis:"
  Assume P(k) is true for some arbitrary integer k ≥ 0"
  4. "Inductive P(k) is true for some arbitrary integer k ≥ 0"
- 4. "Inductive Step:" Want to prove that P(k+1) is true: Use the goal to figure out what you need.Make sure you are using I.H. and point out where

you are using it. (Don't assume P(k+1) !!)

5. "Conclusion: Result follows by induction"

## **Strong Induction**

$$\begin{split} & P(0) \\ & \forall k \, \left( \left( P(0) \wedge P(1) \wedge P(2) \wedge \cdots \wedge P(k) \right) \rightarrow P(k+1) \right) \end{split}$$

 $\therefore \forall n P(n)$ 

Follows from ordinary induction applied to  $Q(n) = P(0) \land P(1) \land P(2) \land \dots \land P(n)$ 

## strong induction english proofs

- **1.** By induction we will show that P(n) is true for every  $n \ge 0$
- **2.** Base Case: Prove P(0)
- 3. Inductive Hypothesis: Assume that for some arbitrary integer  $k \ge 0$ , P(j) is true for every *j* from 0 to *k*
- 4. Inductive Step: Prove that P(k + 1) is true using the Inductive Hypothesis (that P(j) is true for all values  $\leq k$ )
- 5. Conclusion: Result follows by induction

## recursive definitions of functions

- F(0) = 0; F(n + 1) = F(n) + 1 for all  $n \ge 0$
- G(0) = 1;  $G(n + 1) = 2 \times G(n)$  for all  $n \ge 0$
- $0! = 1; (n+1)! = (n+1) \times n!$  for all  $n \ge 0$
- H(0) = 1;  $H(n + 1) = 2^{H(n)}$  for all  $n \ge 0$

## Strings

- An alphabet  $\Sigma$  is any finite set of characters
- The set  $\Sigma^{\bigstar}$  of strings over the alphabet  $\Sigma$  is defined by
  - **Basis:**  $\varepsilon \in \Sigma^*$  ( $\varepsilon$  is the empty string)
  - **Recursive:** if  $w \in \Sigma^*$ ,  $a \in \Sigma$ , then  $wa \in \Sigma^*$

## **Function Definitions on Recursively Defined Sets**

#### Length:

len ( $\mathcal{E}$ ) = 0; len (wa) = 1 + len(w); for  $w \in \Sigma^*, a \in \Sigma$ 

#### **Reversal:**

$$\begin{split} \varepsilon^{\mathrm{R}} &= \varepsilon \\ (wa)^{\mathrm{R}} &= aw^{\mathrm{R}} \ \text{for} \ w \in \Sigma^*, \ a \in \Sigma \end{split}$$

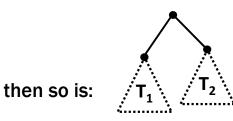
#### **Concatenation:**

 $\begin{array}{l} x \bullet \mathcal{E} = \ x \ \text{for} \ x \in \Sigma^* \\ x \bullet \ wa = (x \bullet \ w)a \ \text{for} \ x, w \in \Sigma^*, a \in \Sigma \end{array}$ 

## **Rooted Binary Trees**

- Basis: is a rooted binary tree
- Recursive step:

If  $T_1$  and  $T_2$  are rooted binary trees,



## **Structural Induction**

How to prove  $\forall x \in S, P(x)$  is true:

**Base Case:** Show that P(u) is true for all specific elements u of S mentioned in the *Basis step* 

**Inductive Hypothesis:** Assume that *P* is true for some arbitrary values of *each* of the existing named elements mentioned in the *Recursive step* 

**Inductive Step:** Prove that P(w) holds for each of the new elements w constructed in the *Recursive step* using the named elements mentioned in the Inductive Hypothesis

**Conclude** that  $\forall x \in S, P(x)$ 

#### **Regular Expressions** Length: $len(\varepsilon) = 0$ len(wa) = 1 + len(w) for $w \in \Sigma^*$ , $a \in \Sigma$ Regular expressions over $\Sigma$ • Basis: **Reversal:** $\mathcal{E}^{R} = \mathcal{E}$ $\emptyset$ , $\varepsilon$ are regular expressions $(wa)^{R} = aw^{R}$ for $w \in \Sigma^{*}$ , $a \in \Sigma$ **a** is a regular expression for any $a \in \Sigma$ **Concatenation:** • Recursive step: $\mathbf{x} \bullet \mathbf{\varepsilon} = \mathbf{x}$ for $\mathbf{x} \in \Sigma^*$ - If **A** and **B** are regular expressions then so are: $x \bullet wa = (x \bullet w)a$ for $x \in \Sigma^*$ , $a \in \Sigma$ **(A** ∪ **B**) Number of c's in a string: (**AB**) $\#_{c}(\varepsilon) = 0$ **A\*** $\#_c(wc) = \#_c(w) + 1$ for $w \in \Sigma^*$ $\#_c(wa) = \#_c(w)$ for $w \in \Sigma^*$ , $a \in \Sigma$ , $a \neq c$ 54 regular expressions

- 0\*
- 0\*1\*
- (0 ∪ 1)\*
- (0\*1\*)\*
- (0 ∪ 1)\* 0110 (0 ∪ 1)\*
- (0 ∪ 1)\* (0110 ∪ 100)(0 ∪ 1)\*

- **Examples**
- 0\*
- **0\*1**\*
- (0 ∪ 1)0(0 ∪ 1)0
- (**0\*1\***)\*
- $(0 \cup 1)$ \* 0110  $(0 \cup 1)$ \*
- (00 ∪ 11)\* (01010 ∪ 10001)(0 ∪ 1)\*

| Context-Free Grammars   | Context-Free Grammars  |
|---|--|
| <b>Example:</b> $S \rightarrow 0S0 \mid 1S1 \mid 0 \mid 1 \mid \epsilon$  | <b>Grammar for</b> $\{0^n1^n : n \ge 0\}$ (all strings with same # of 0's and 1's with all 0's before 1's)   |
| Example: $S \rightarrow 0S \mid S1 \mid \epsilon$   | Example: $S \rightarrow (S) \mid SS \mid \epsilon$   |
| building precedence in simple arithmetic expressions  | definitions for relations  |
| • E – expression (start symbol)<br>• T – term F – factor I – identifier N - number<br>E $\rightarrow$ T   E+T<br>T $\rightarrow$ F   F*T<br>F $\rightarrow$ (E)   I   N<br>I $\rightarrow$ x   y   z<br>N $\rightarrow$ 0   1   2   3   4   5   6   7   8   9 | Let A and B be sets,<br>A binary relation from A to B is a subset of $A \times B$<br>Let A be a set,<br>A binary relation on A is a subset of $A \times A$<br>Let R be a relation on A<br>R is reflexive iff $(a,a) \in R$ for every $a \in A$<br>R is symmetric iff $(a,b) \in R$ implies $(b,a) \in R$<br>R is antisymmetric iff $(a,b) \in R$ and $a \neq b$ implies $(b,a) \notin R$ |

R is transitive iff  $(a,b) \in R$  and  $(b, c) \in R$  implies  $(a, c) \in R$ 

#### **Combining Relations**

Let R be a relation from A to B. Let S be a relation from B to C.

The composition of R and S, S • R is the relation from A to C defined by:

#### $S \circ R = \{(a, c) \mid \exists b \text{ such that } (a,b) \in R \text{ and } (b,c) \in S\}$

Intuitively, a pair is in the composition if there is a "connection" from the first to the second.

#### **Relations**

 $(a,b) \in$  Parent: b is a parent of a  $(a,b) \in$  Sister: b is a sister of a Aunt = Sister ° Parent **Grandparent = Parent ° Parent** 

 $\mathbf{R}^2 = \mathbf{R} \circ \mathbf{R} = \{(a, c) \mid \exists b \text{ such that } (a,b) \in \mathbf{R} \text{ and }$ (b,c)∈ **R**}

 $R^0 = \{(a,a) \mid a \in A\}$  $R^1 = R$  $\mathbf{R}^{n+1} = \mathbf{R}^n \circ \mathbf{R}$ 

 $S \circ R = \{(a, c) \mid \exists b \text{ such that } (a, b) \in R \text{ and } (b, c) \in S\}$ 

#### n-ary relations

| Let $A_1, A_2,, A_n$ be sets. An n-ary relation on                    |  |
|---|--|
| these sets is a subset of $A_1 \times A_2 \times \ldots \times A_n$ . |  |

| Student_ID | Name       | GPA  | Student_ID | Major       |
|------------|------------|------|------------|-------------|
| 328012098  | Knuth      | 4.00 | 328012098  | CS          |
| 481080220  | Von Neuman | 3.78 | 481080220  | CS          |
| 238082388  | Russell    | 3.85 | 481080220  | Mathematics |
| 238001920  | Einstein   | 2.11 | 238082388  | Philosophy  |
| 1727017    | Newton     | 3.61 | 238001920  | Physics     |
| 348882811  | Karp       | 3.98 | 1727017    | Mathematics |
| 2921938    | Bernoulli  | 3.21 | 348882811  | CS          |
| 2921939    | Bernoulli  | 3.54 | 1727017    | Physics     |
|            |            |      | 2921938    | Mathematics |

2921939

Mathematics

## matrix representation for relations

Relation R on  $A = \{a_1, \dots, a_n\}$ 

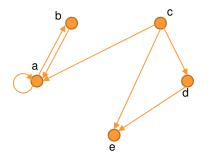
$$m_{ij} = \begin{cases} 1 \text{ if } (a_i, a_j) \in R, \\ 0 \text{ if } (a_i, a_j) \notin R. \end{cases}$$

#### $\{(1, 1), (1, 2), (1, 4), (2, 1), (2, 3), (3, 2), (3, 3), (4, 2), (4, 3)\}$

## representation of relations

Directed Graph Representation (Digraph)

 $\{(a,\,b),\ (a,\,a),\ (b,\,a),\ (c,\,a),\ (c,\,d),\ (c,\,e)\ (d,\,e)\ \}$ 



## **Connectivity In Graphs**

Let R be a relation on a set A. There is a path of length **k** from a to b if and only if  $(a,b) \in R^k$ 

Two vertices in a graph are connected iff there is a path between them.

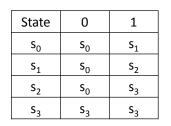
Let R be a relation on a set A. The connectivity relation R\* consists of the pairs (a,b) such that there is a path from a to b in R.

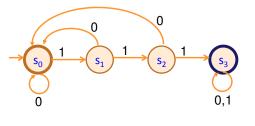
$$R^* = \bigcup_{k=1}^{\infty} R^k$$

 $k{=}0$  Note: The Rosen text uses the wrong definition of this quantity. What the text defines (ignoring k=0) is usually called R<sup>+</sup>

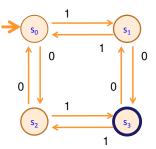
## **Finite State Machines**

- States
- Transitions on inputs
- Start state and final states
- The language recognized by a machine is the set of strings that reach a final state

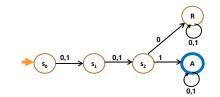


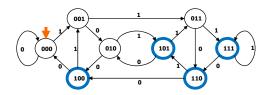


## accept strings with odd # of 1's and odd # of 0's



## The beginning versus the end

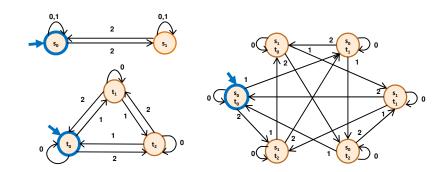




## product construction

Combining FSMs to check two properties at once

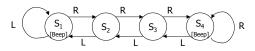
New states record states of both FSMs



## State Machines with Output

|                       | Inp            | Output         |      |
|-----------------------|----------------|----------------|------|
| State                 | L              | R              |      |
| <b>s</b> <sub>1</sub> | $S_1$          | S <sub>2</sub> | Веер |
| s <sub>2</sub>        | $s_1$          | s <sub>3</sub> |      |
| S <sub>3</sub>        | s <sub>2</sub> | S <sub>4</sub> |      |
| S <sub>4</sub>        | S <sub>3</sub> | s <sub>4</sub> | Веер |

"Tug-of-war"





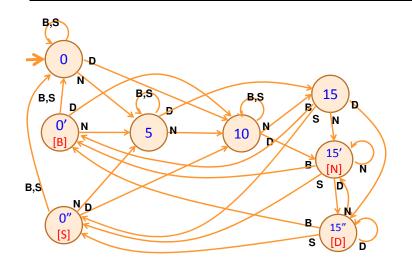
Vending Machine

Butterfinger

Enter 15 cents in dimes or nickels Press S or B for a candy bar

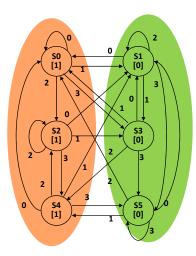


## Vending Machine, v1.0



Adding additional "unexpected" transitions

## state minimization example



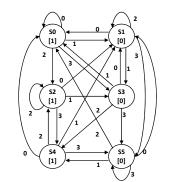
| present<br>state | 0  | nex<br>1 | output |    |   |
|------------------|----|----------|--------|----|---|
| S0               | SO | S1       | S2     | S3 | 1 |
| S1               | S0 | S3       | S1     | S5 | 0 |
| S2               | S1 | S3       | S2     | S4 | 1 |
| S3               | S1 | S0       | S4     | S5 | 0 |
| S4               | SO | S1       | S2     | S5 | 1 |
| S3<br>S4<br>S5   | S1 | S4       | S0     | S5 | 0 |
|                  | -  |          |        |    | - |

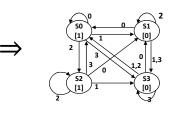
state transition table

Put states into groups based on their outputs (or whether they are final states or not)

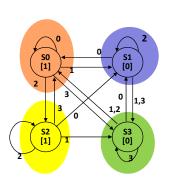
## state minimization

## Finite State Machines with output at states





## minimized machine

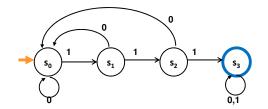


| present<br>state | 0         | next<br>1      | t stat<br>2 | e<br>3    | output |
|------------------|-----------|----------------|-------------|-----------|--------|
| <b>SO</b>        | <b>SO</b> | <b>S1</b>      | <b>S2</b>   | <b>S3</b> | 1      |
| <b>S1</b>        | <b>SO</b> | <b>S3</b>      | <b>S1</b>   | <b>S3</b> | 0      |
| <b>S2</b>        | <b>S1</b> | <b>S3</b>      | <b>S2</b>   | <b>SO</b> | 1      |
| S3               | <b>S1</b> | <b>SO</b>      | <b>SO</b>   | <b>S3</b> | 0      |
| t                |           | state<br>ition |             | 2         |        |

#### another way to look at DFAs

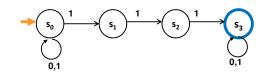
Definition: The label of a path in a DFA is the concatenation of all the labels on its edges in order

Lemma: x is in the language recognized by a DFA iff x labels a path from the start state to some final state

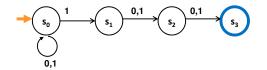


## nondeterministic finite automaton (NFA)

- Graph with start state, final states, edges labeled by symbols (like DFA) but
  - Not required to have exactly 1 edge out of each state labeled by each symbol— can have 0 or >1
  - Also can have edges labeled by empty string  $\epsilon$
- **Definition:** x is in the language recognized by an NFA if and only if x labels a path from the start state to some final state



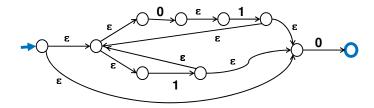
## nondeterministic finite automaton

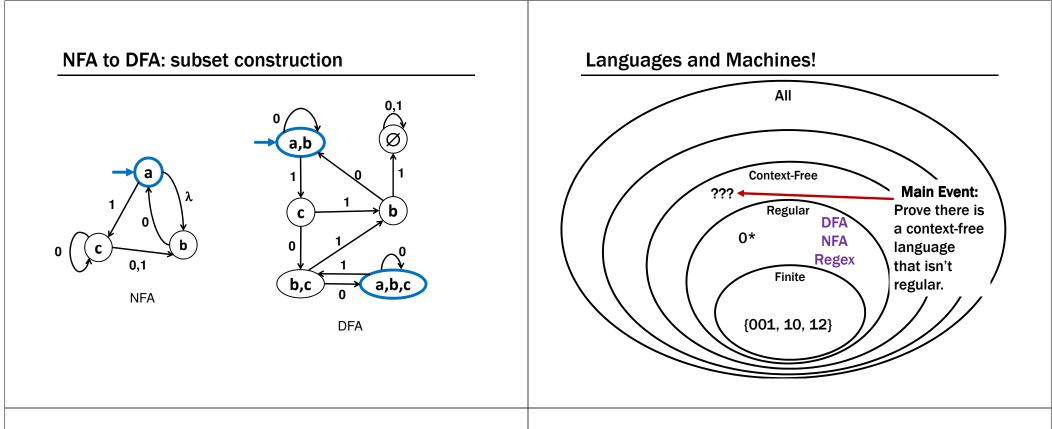


Accepts strings with a 1 three positions from the end of the string

Building an NFA from a Regular Expression

## (01 ∪1)\*0





B = {binary palindromes} can't be recognized by any DFA

Consider the infinite set of strings

 $S=\{1, 01, 001, 0001, 00001, ...\} = \{0^n 1 : n \ge 0\}$ 

Suppose we are given an arbitrary DFA M.

 Goal: Show that some x ∈ B and some y ∉ B both must end up at the same state of M

Since **S** is infinite we know that two different strings in **S** must land in the same state of **M**, call them  $0^{i}1$  and  $0^{j}1$  for  $i \neq j$ .



• That also must be true for  $0^i 1z$  and  $0^j 1z$  for any  $z \in \{0,1\}^*$ ! In particular, with  $z=0^i$  we get that  $0^i 10^i$  and  $0^j 10^i$  end up at the same state of M. Since  $0^j 10^i \in B$  and  $0^j 10^i \notin B$  (because  $i \neq j$ ) M does not recognize B.  $\therefore$  no DFA can recognize B.

## Showing a Language L is not regular

- 1. Find an infinite set  $S=\{s_0, s_1, ..., s_n, ...\}$  of string prefixes that you think will need to be remembered separately
- 2. "Let **M** be an arbitrary DFA. Since **S** is infinite and **M** is finite state there must be two strings  $s_i$  and  $s_j$  in **S** for some  $i \neq j$  that end up at the same state of **M**."

Note: You don't get to choose which two strings  $\mathbf{s}_{\mathbf{l}}$  and  $\mathbf{s}_{\mathbf{l}}$ 

3. Find a string t (typically depending on  $s_i$  and/or  $s_i$ ) such that  $s_i$ t is in L, and or  $s_i$ t is not in L, and

| L | 0. | s <sub>j</sub> t is in L |  |
|---|----|--------------------------|--|
|   |    |                          |  |

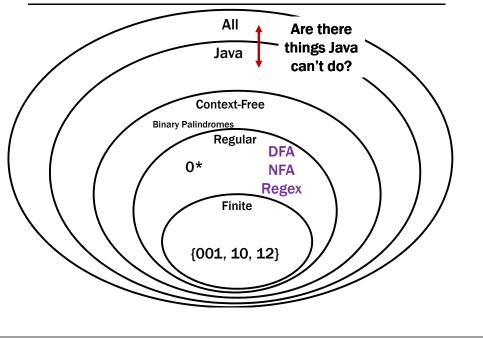
- 4. "Since  $s_i$  and  $s_j$  both end up at the same state of M, and we appended the same string t, both  $s_i t$  and  $s_j t$  end at the same state of M. Since  $s_i t \in L$  and  $s_i t \notin L$ , M does not recognize L."
- 5. "Since M was arbitrary, no DFA recognizes L."

sit is not in

## **Pattern Matching DFA**

# 

## Languages and Machines!



## cardinality

- A set S is countable iff we can write it as S={s<sub>1</sub>, s<sub>2</sub>, s<sub>3</sub>, ...} indexed by N
- Set of integers is countable  $\frac{1}{21}$   $\frac{1}{212}$   $\frac{1}{213}$   $\frac{1}{214}$   $\frac{1}{215}$   $\frac{1}{216}$   $\frac{1}{215}$   $\frac{1}{215}$
- Set of rationals is countable  $\frac{4/1}{5/1}$   $\frac{4/2}{5/2}$   $\frac{4/3}{5/3}$   $\frac{4/4}{5}$   $\frac{4/5}{5/6}$ - "dovetailing"  $\frac{6/1}{5/2}$   $\frac{6/3}{6/4}$   $\frac{6/5}{6/6}$   $\frac{6/6}{6/6}$
- $\Sigma^*$  is countable
  - $\{0,1\}^* =$ 
    - $\{0, 1, 00, 01, 10, 11, 000, 001, 010, 011, 100, 101, \ldots\}$

7/1 7/2 7/3 7/4 7/5 ....

• Set of all (Java) programs is countable

## Flipped Diagonal Number D

| _                   |           | 1              | 2              | 3              | 4              | Flippi         | ing R          | ule:           |                       |     |     |
|---------------------|-----------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|-----------------------|-----|-----|
| D<br>۲ <sub>1</sub> | <b>0.</b> | 5 <sup>1</sup> | 0              | 0              | 0              | lf digi        | it is 5        | i ma           | ke it                 | 1   |     |
| r <sub>2</sub>      | 0.        | 3              | 3 <sup>5</sup> | 3              | 3              | If digi        |                |                |                       |     |     |
| r <sub>3</sub>      | 0.        | 1              | 4              | 2 <sup>5</sup> | 8              | 5              | 7              | 1              | 4                     |     |     |
| r <sub>4</sub>      | 0.        | 1              | 4              | 1              | 5 <sup>1</sup> | 9              | 2              | 6              | 5                     |     | ••• |
| r <sub>5</sub>      | 0.        | 1              | 2              | 1              | 2              | 2 <sup>5</sup> | 1              | 2              | 2                     | ••• | ••• |
| r <sub>6</sub>      | 0.        | 2              | 5              | 0              | 0              | 0              | 0 <sup>5</sup> | 0              | 0                     |     | ••• |
| r <sub>7</sub>      | 0.        | 7              | 1              | 8              | 2              | 8              | 1              | 8 <sup>5</sup> | 2                     |     |     |
| r <sub>8</sub>      | 0.        | 6              | 1              | 8              | 0              | 3              | 3              | 9              | <b>4</b> <sup>5</sup> |     | ••• |
|                     | ••••      | •••            | ••••           | ••••           |                | •••            | •••            |                | •••                   | ••• |     |

## **The Halting Problem**

Given: - CODE(P) for any program P

- input **x** 

Output: true if P halts on input x false if P does not halt on input x

**Theorem** (Turing): There is no program that solves the halting problem "The halting problem is undecidable" Does **D**(CODE(**D**)) halt?

public static void D(x) {
 if (H(x,x) == true) {
 while (true); /\* don't halt \*/
 }
 else {
 return; /\* halt \*/
 }
}

H solves the halting problem implies that H(CODE(**D**),x) is **true** iff **D**(x) halts, H(CODE(**D**),x) is **false** iff not

Suppose D(CODE(D)) halts.
Then, we must be in the second case of the if.
So, H(CODE(D), CODE(D)) is false
Which means D(CODE(D)) doesn't halt

Suppose D(CODE(D)) doesn't halt. Then, we must be in the first case of the if. So, H(CODE(D), CODE(D)) is true. Which means D(CODE(D)) halts.



|  |  | Some possible inputs <b>x</b> |                        |                      |                      |                      |    |            | D behaves like<br>flipped diagonal |      |     |
|--|--|-------------------------------|------------------------|----------------------|----------------------|----------------------|----|------------|------------------------------------|------|-----|
|  | <p<sub>1&gt;</p<sub>   | <p<sub>2&gt;</p<sub>          | <p<sub>3&gt; ·</p<sub> | <p<sub>4&gt;</p<sub> | <p<sub>5&gt;</p<sub> | <p<sub>6&gt;</p<sub> |    | tlipp      | bed d                              | lago | nal |
| P <sub>1</sub>                                     | 01   | 1                             | 1                      | 0                    | 1                    | 1                    | 1  | 0          | 0                                  | 0    | 1   |
| P <sub>2</sub>                                     | 1  | <mark>1</mark> 0              | 0                      | 1                    | 0                    | 1                    | 1  | 0          | 1                                  | 1    | 1   |
| P <sub>3</sub>                                     | 1  | 0                             | <b>1</b> 0             | 0                    | 0                    | 0                    | 0  | 0          | 0                                  | 0    | 1   |
| P <sub>4</sub>                                     | 0  | 1                             | 1                      | 01                   | 1                    | 0                    | 1  | 1          | 0                                  | 1    | 0   |
| • P <sub>5</sub>                                   | 0  | 1                             | 1                      | 1                    | <b>1</b> 0           | 1                    | 1  | 0          | 0                                  | 0    | 1   |
|  | 1  | 1                             | 0                      | 0                    | 0                    | <b>1</b> 0           | 1  | 0          | 1                                  | 1    | 1   |
| P7   | 1  | 0                             | 1                      | 1                    | 0                    | 0                    | 01 | 0          | 0                                  | 0    | 1   |
| P <sub>6</sub><br>P <sub>7</sub><br>P <sub>8</sub> | 0  | 1                             | 1                      | 1                    | 1                    | 0                    | 1  | <b>1</b> 0 | 0                                  | 1    | 0   |
| P <sub>9</sub>                                     |  |                               |                        |                      |                      |                      |    |            |                                    |      |     |
|  |  |                               |                        | •                    |                      |                      |    |            |                                    |      |     |
|  | ( <b>P</b> , <b>x</b> ) entry is <b>1</b> if program <b>P</b> halts on input <b>x</b><br>and <b>0</b> if it runs forever |                               |                        |                      |                      |                      |    |            |                                    | C    |     |

## But first another hard halting-related problem

#### Halting Problem:

Given: - CODE(P) for any program P

- input **x** 

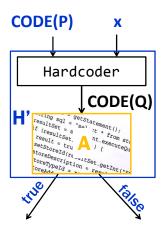
Output: true if P halts on input x false if P does not halt on input x

#### HaltsNoInput Problem:

Given: - CODE(Q) for any program QOutput: true if Q halts without reading any inputfalse if Q reads input or runs forever without reading any input.

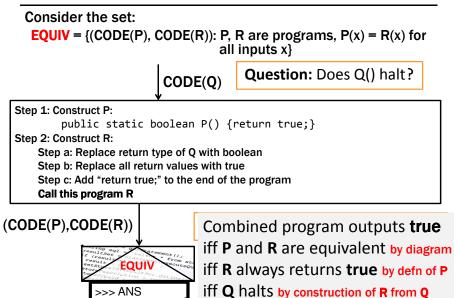
#### Showing there is no program solving HaltsNoInput

Suppose that hypothetical program A solves HaltsNoInput problem. Combine with Hardcoder:



m. Combine with Hardcoder:
H' outputs true on inputs CODE(P) and x
iff A outputs true on input CODE(Q) by diagram
iff Q() reads no input and (always) halts by property of A
iff P(x) halts by definition of Hardcoder
If A existed then H' would solve the Halting Problem: Impossible

#### Showing EQUIV is Undecidable



## **Turing machines**

## **Church-Turing Thesis**

Any reasonable model of computation that includes all possible algorithms is equivalent in power to a Turing machine

- Evidence
  - Intuitive justification
  - Huge numbers of equivalent models to TM's based on radically different ideas

## what is a Turing machine?



## what is a turing machine?



# sample Turing machine

|                | -                   | 0                                    | 1                   |  |  |
|----------------|---------------------|--------------------------------------|---------------------|--|--|
| s <sub>1</sub> | (1,s <sub>3</sub> ) | ( <b>1</b> , <b>s</b> <sub>2</sub> ) | (0,s <sub>2</sub> ) |  |  |
| s <sub>2</sub> | (H,s <sub>3</sub> ) | (R,s <sub>1</sub> )                  | (R,s <sub>1</sub> ) |  |  |
| s <sub>3</sub> | (H,s <sub>3</sub> ) | (R,s <sub>3</sub> )                  | (R,s <sub>3</sub> ) |  |  |

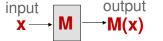


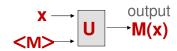
#### Turing's big idea: machines as data

- Original Turing machine definition
  - A different "machine" M for each task
  - Each machine M is defined by a finite set of possible operations on finite set of symbols
    - M has a finite description as a sequence of symbols, its "code" denoted <M>
- You already are used to this idea with the notion of the program code or text but this was a new idea in Turing's time.

#### Turing's idea: a Universal Turing Machine

- A Turing machine interpreter U
  - On input <M> and its input x, U outputs the same thing as M does on input x
  - At each step it decodes which operation M would have performed and simulates it.
- One Turing machine is enough
  - Basis for modern stored-program computer
     Von Neumann studied Turing's UTM design





#### General phenomenon: can't tell a book by its cover

and you can't tell what a program does just by its code...

**Rice's Theorem:** In general there is no way to tell anything about the input/output (I/O) behavior of a program P just given it code!

Note: The statement above is not precise, and we didn't prove it, so this isn't something you can use on homework or exams

#### **Quick lessons**

- Don't rely on the idea of improved compilers and programming languages to eliminate major programming errors
  - truly safe languages can't possibly do general computation
- Document your code!!!!
  - there is no way you can expect someone else to figure out what your program does with just your code ....since....in general it is provably impossible to do this!

