

CSE 311: Foundations of Computing

Fall 2013

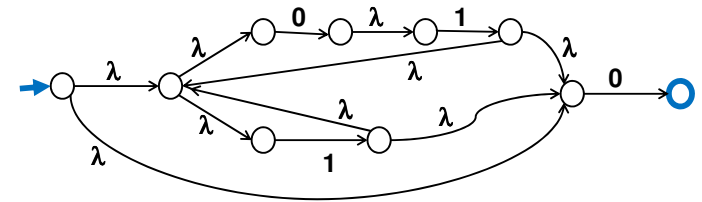
Lecture 25: Non-regularity and limits of FSMs



highlights

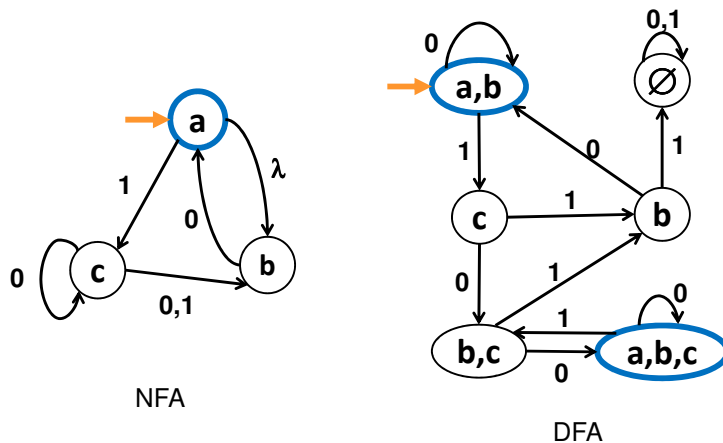
NFAs from Regular Expressions

$(01 \cup 1)^*0$

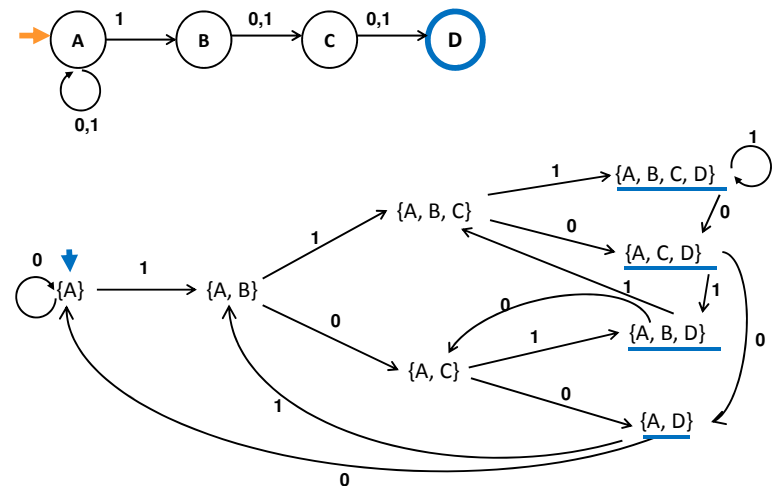


highlights

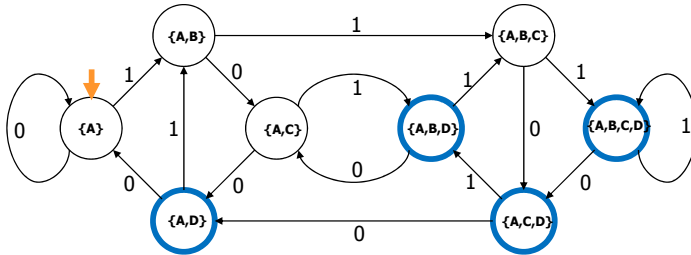
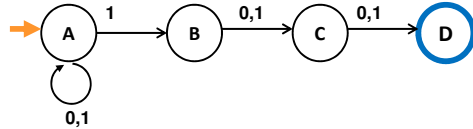
"Subset construction": NFA to DFA



1 in third position from end



redrawing



DFA \equiv regular expressions

We have shown how to build an optimal DFA for every regular expression

- Build NFA
- Convert NFA to DFA using subset construction
- Minimize resulting DFA

Theorem: A language is recognized by a DFA if and only if it has a regular expression

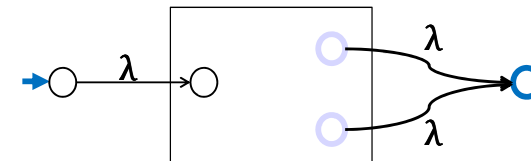
generalized NFAs

- Like NFAs but allow
 - Parallel edges
 - Regular Expressions as edge labels

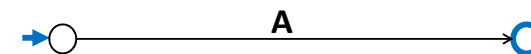
NFAs already have edges labeled λ or a
- An edge labeled by **A** can be followed by reading a string of input chars that is in the language represented by **A**
- A string x is accepted iff there is a path from start to final state labeled by a regular expression whose language contains x

starting from an NFA

Add new start state and final state



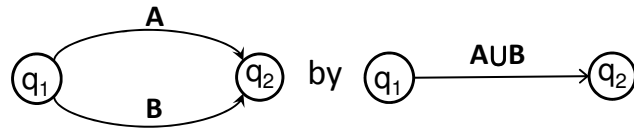
Then eliminate original states one by one, keeping the same language, until it looks like:



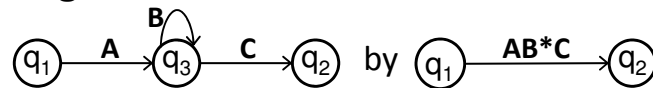
Final regular expression will be **A**

only two simplification rules

- Rule 1:** For any two states q_1 and q_2 with parallel edges (possibly $q_1=q_2$), replace



- Rule 2:** Eliminate non-start/final state q_3 by replacing all

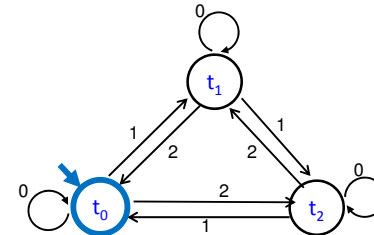


for every pair of states q_1, q_2 (even if $q_1=q_2$)

converting an NFA to a regular expression

Consider the DFA for the mod 3 sum

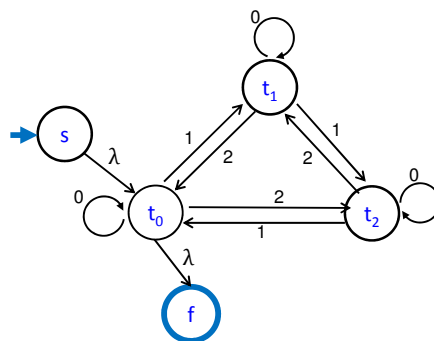
- Accept strings from $\{0,1,2\}^*$ where the digits mod 3 sum of the digits is 0



splicing out a node

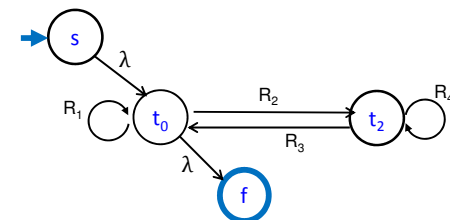
Label edges with regular expressions

$t_0 \rightarrow t_1 \rightarrow t_0$: 10^*2
 $t_0 \rightarrow t_1 \rightarrow t_2$: 10^*1
 $t_2 \rightarrow t_1 \rightarrow t_0$: 20^*2
 $t_2 \rightarrow t_1 \rightarrow t_2$: 20^*1

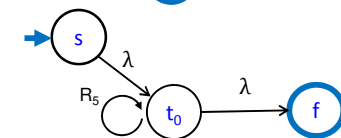


finite automaton without t_1

R_1 : $0 \cup 10^*2$
 R_2 : $2 \cup 10^*1$
 R_3 : $1 \cup 20^*2$
 R_4 : $0 \cup 20^*1$



R_5 : $R_1 \cup R_2 R_4^* R_3$



Final regular expression:

$(0 \cup 10^*2 \cup (2 \cup 10^*1)(0 \cup 20^*1)^*(1 \cup 20^*2))^*$

what can finite state machines do?

- We've seen how we can get DFAs to recognize all regular languages
- What about some other languages we can generate with CFGs?
 - $\{0^n 1^n : n \geq 0\}$?
 - binary palindromes?
 - strings of balanced parentheses?

$A = \{0^n 1^n : n \geq 0\}$ cannot be recognized by any DFA

Consider the infinite set of strings

$$S = \{\lambda, 0, 00, 000, 0000, \dots\}$$

Claim: No two strings in S can end at the same state of any DFA for A

Proof:

Suppose $n \neq m$ and 0^n and 0^m end at the same state p of some DFA for A . Since $0^n 1^n$ is in A , following 1^n after state p must lead to a final state.

But then the DFA would also accept $0^m 1^n$ which is a contradiction to the DFA recognizing A .

Given claim, the # of states of any DFA for A must be $\geq |S|$ which is not finite, which is impossible for a DFA.

$B = \{\text{binary palindromes}\}$ can't be recognized by any DFA

Consider the infinite set of strings

$$S = \{\lambda, 0, 00, 000, 0000, \dots\} = \{0^n : n \geq 0\}$$

Claim: No two strings in S can end at the same state of any DFA for B

Proof:

Suppose $n \neq m$ and 0^n and 0^m end at the same state p of some DFA for B . Since $0^n 10^n$ is in B , following 10^n after state p must lead to a final state.

But then the DFA would also accept $0^m 10^n$ which is not in B and is a contradiction since the DFA recognizes B .

Given claim, the # of states of any DFA for B must be $\geq |S|$ which is not finite, which is impossible for a DFA.

general: how to show language L has no DFA

- Find a "hard" infinite set $S = \{s_0, s_1, \dots, s_n, \dots\}$ of strings that might be prefixes of strings in L
- Show that S is hard by showing that no two strings $s_n \neq s_m$ in S can end at the same state of any DFA recognizing L
 - For each pair $s_n \neq s_m$ find an extender string t depending on n, m so that exactly one of $s_n t$ and $s_m t$ is in L
- Conclude that any DFA for L would need $\geq |S|$ states which is not finite, and so impossible

P = {strings of balanced parentheses}

pattern matching

- **Given**
 - a string, **s**, of **n** characters
 - a pattern, **p**, of **m** characters
 - usually $m \ll n$
- **Find**
 - all occurrences of the pattern **p** in the string **s**
- **Obvious algorithm:**
 - try to see if **p** matches at each of the positions in **s**
stop at a failed match and try the next position

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string **s** = x y x x y x y x y y x y x y x y y x y x y x x
pattern **p** = x y x y y x y x y x x

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string **s** = x y x x y x y x y y x y x y y x y x y y x y x y x x
 x y x y y x y x y x x

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string **s** = x **y** x x y x y x y y x y x y x y y x y x y x x
x **y** x **y**
x **y** x y y x y x y x x

string **s** = x y **x** **y** x y x y y x y x y x y y x y x y x x
x **y** x **y**
x
x **y** x y y x y x y x x

string **s** = x y x **x** **y** **x** **y** **x** **y** **x** y y x y x y x y y x y x y x x
x **y** x **y**
x
x **y**
x **y** x **y** **y** x y x y x x

string **s** = x y x x **y** x y x y y x y x y y x y x y x x
x **y** x **y**
x
x **y**
x **y** x **y** **y**
x **y** x y y x y x y x x

string **s** = x y x x y x y x y y x y x y x y y x y x y x x

x y x y
 x
 x y
 x y x y y
 x
 x y x y y x y x y x x

string **s** = x y x x y x y x y y x y x y y x y x y x x

x y x y
 x
 x y
 x y x y y
 x
 x y x y y x y x y x x
 x y x y y x y x y x x

String **s** = x y x x y x y x y x y x y x y y x y x y x x

x y x y
 x
 x y
 x y x y y
 x
 x y x y y x y x y x x
 x
 x y x y y x y x y x x

string **s** = x y x x y x y x y x y y x y x y y x y x y x x

x y x y
 x
 x y
 x y x y y
 x
 x y x y y x y x y x x
 x
 x y x
 x y x y y x y x y x x

string **s** = x y x x y x y x y **y** x y x y x y y x y x y x x

x y x y

x

x y

x y x y y

x

x y x y y x y x y x x

x

x y x

x

x y x y y x y x y x x

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string **s** = x y x x y x y x y y **x** **y** **x** **y** **x** **y** **x** **y** **x** **x**

x y x y

x

x y

x y x y y

x

x y x y y x y x y x x

x

x y x

x

x

x **y** **x** **y** **y** **x** **y** **x** **y** **x** **x**

30

string **s** = x y x x y x y x y y x **y** x y x y y x y x y x x

x y x y

x

x y

x y x y y

x

x y x y y x y x y x x

x

x y x

x

x

x y x y y

x y x y y x y x y x x

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string **s** = x y x x y x y x y y x y **x** **y** **x** **y** **y** **x** **y** **x** **y** **x** **x**

x y x y

x

x y

x y x y y

x

x y x y y x y x y x x

x

x y x

x

x

x y x y y

x

x **y** **x** **y** **y** **x** **y** **x** **y** **x** **x**

Worst-case time
 $O(mn)$

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string **s** = x y x x y x y x y y x y x y x y y x y x y x x
 x y x y
 x y x y y
 x y x y y x y x y x x
 x y x y y x y x y x x
 x y x y y x y x y x x

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better pattern matching via finite automata

- Build a DFA for the pattern (preprocessing) of size $O(m)$
 - Keep track of the 'longest match currently active'
 - The DFA will have only $m+1$ states
- Run the DFA on the string **n** steps
- Obvious construction method for DFA will be $O(m^2)$ but can be done in $O(m)$ time.
- Total $O(m+n)$ time

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building a DFA for the pattern

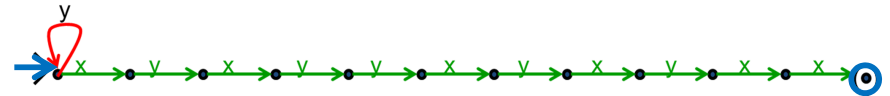
pattern **p** = x y x y y x y x y x x



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preprocessing the pattern

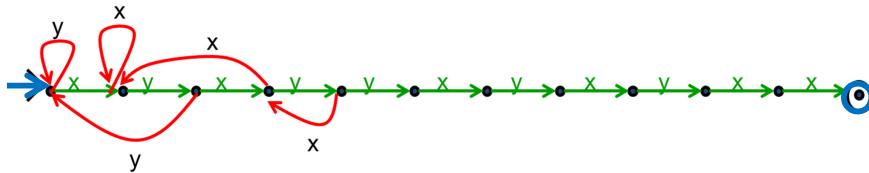
pattern **p** = x y x y y x y x y x x



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preprocessing the pattern

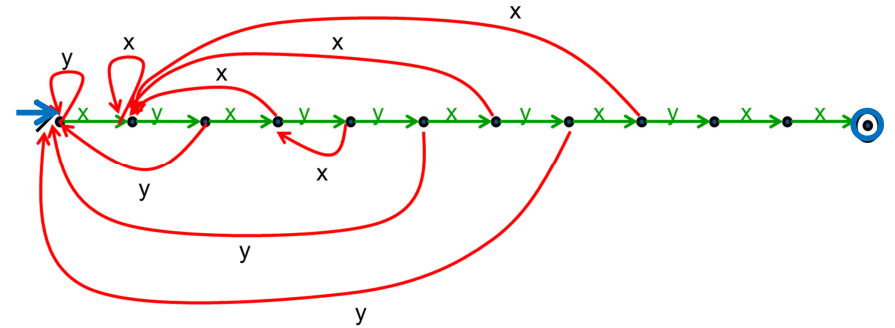
pattern $p = x y x y y x y x y x x$



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preprocessing the pattern

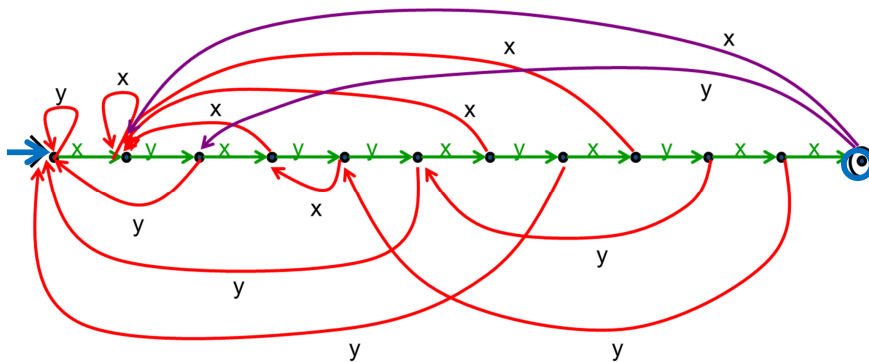
pattern $p = x y x y y x y x y x x$



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preprocessing the pattern

pattern $p = x y x y y x y x y x x$



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generalizing

- Can search for arbitrary combinations of patterns
 - Not just a single pattern
 - Build NFA for pattern then convert to DFA 'on the fly'.
Compare DFA constructed above with subset construction for the obvious NFA.

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