

CSE 311 Foundations of Computing I

Lecture 28
Cardinality, Countability & Computability
Autumn 2012

Announcements

- Reading this week
 - 7th edition: 2.5 (Cardinality) + p. 201 and 13.5
 - 6th edition: pp. 158-160 (Cardinality)+ p 177 and 12.5
 - 5th edition: Pages 233-236 (Cardinality), p. ? and 11.5
- Homework 10 due Friday
- Topic list and sample final exam problems have been posted
- Comprehensive final, roughly 67% of material post midterm
- Review session, Saturday, December 8, 10 am – noon (tentatively)
- Final exam, Monday, December 10
 - 2:30-4:20 pm or 4:30-6:20 pm, Kane 220.
 - If you have a conflict, contact instructors ASAP

Last lecture highlights

- Languages that cannot be recognized by any DFA
 - $\{0^n1^n : n \geq 0\}$
 - Palindromes
- Linear time algorithm for pattern recognition using a Finite Automaton

Computing & Mathematics

Computers as we know them grew out of a desire to avoid bugs in mathematical reasoning

A Brief History of Reasoning

- Ancient Greece
 - Deductive logic
 - Euclid's Elements
 - Infinite things are a problem
 - Zeno's paradox



A Brief History of Reasoning

- 1670's-1800's Calculus & infinite series
 - Suddenly infinite stuff really matters
 - Reasoning about infinite still a problem
 - Tendency for buggy or hazy proofs
 - Mid-late 1800's
 - Formal mathematical logic
 - Boole Boolean Algebra
 - Theory of infinite sets and cardinality
 - Cantor
- "There are more real #'s than rational #'s"

A Brief History of Reasoning

- 1900
 - Hilbert's famous speech outlines goal: mechanize all of mathematics
23 problems
- 1930's
 - Gödel, Turing show that Hilbert's program is impossible.
 - Gödel's Incompleteness Theorem
 - Undecidability of the Halting Problem

Both use ideas from Cantor's proof about reals & rationals

Autumn 2012

CSE 311

7

A Brief History of Reasoning

- 1930's
 - How can we formalize what algorithms are possible?
 - Turing machines (Turing, Post)
 - basis of modern computers
 - Lambda Calculus (Church)
 - basis for functional programming
 - μ -recursive functions (Kleene)
 - alternative functional programming basis
- All are equivalent!

Autumn 2012

CSE 311

8

Turing Machines

Church-Turing Thesis

Any reasonable model of computation that includes all possible algorithms is equivalent in power to a Turing machine

- Evidence
 - Huge numbers of equivalent models to TM's based on radically different ideas

Autumn 2012

CSE 311

9

Starting with Cantor

- How big is a set?
 - If S is finite, we already defined $|S|$ to be the number of elements in S .
 - What if S is infinite? Are all of these sets the same size?
 - Natural numbers \mathbf{N}
 - Even natural numbers
 - Integers \mathbf{Z}
 - Rational numbers \mathbf{Q}
 - Real numbers \mathbf{R}

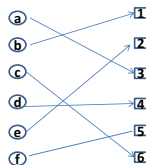
Autumn 2012

CSE 311

10

Cardinality

Def: Two sets A and B are the same size (same cardinality) iff there is a 1-1 and onto function $f:A \rightarrow B$



Also applies to infinite sets

Autumn 2012

CSE 311

11

Cardinality

- The natural numbers and even natural numbers have the same cardinality:

0 1 2 3 4 5 6 7 8 9 10 ...

0 2 4 6 8 10 12 14 16 18 20 ...

n is matched with $2n$

Autumn 2012

CSE 311

12

Countability

Definition: A set is *countable* iff it is the same size as some subset of the natural numbers

Equivalent: A set S is *countable* iff there is an onto function $g: \mathbb{N} \rightarrow S$

Equivalent: A set S is *countable* iff we can write $S = \{s_1, s_2, s_3, \dots\}$

The set of all integers is countable

Is the set of positive rational numbers countable?

- We can't do the same thing we did for the integers
 - Between any two rational numbers there are an infinite number of others

Positive Rational Numbers

1/1	1/2	1/3	1/4	1/5	1/6	1/7	1/8	...
2/1	2/2	2/3	2/4	2/5	2/6	2/7	2/8	...
3/1	3/2	3/3	3/4	3/5	3/6	3/7	3/8	...
4/1	4/2	4/3	4/4	4/5	4/6	4/7	4/8	...
5/1	5/2	5/3	5/4	5/5	5/6	5/7	...	
6/1	6/2	6/3	6/4	6/5	6/6	...		
7/1	7/2	7/3	7/4	7/5	...			
...				

{Positive Rational Numbers} is Countable

1/1	1/2	1/3	1/4	1/5	1/6	1/7	1/8	...
2/1	2/2	2/3	2/4	2/5	2/6	2/7	2/8	...
3/1	3/2	3/3	3/4	3/5	3/6	3/7	3/8	...
4/1	4/2	4/3	4/4	4/5	4/6	4/7	4/8	...
5/1	5/2	5/3	5/4	5/5	5/6	5/7	...	
6/1	6/2	6/3	6/4	6/5	6/6	...		
7/1	7/2	7/3	7/4	7/5	...			
...				

{Positive Rational Numbers} is Countable

$$\mathbb{Q}^+ = \{1/1, 2/1, 1/2, 3/1, 2/2, 1/3, 4/1, 2/3, 3/2, 1/4, 5/1, 4/2, 3/3, 2/4, 1/5, \dots\}$$

List elements in order of

- numerator+denominator
- breaking ties according to denominator
 - Only k numbers when the total is k

Technique is called “dovetailing”

Claim: Σ^* is countable for every finite Σ

The set of all Java programs is countable

What about the Real Numbers?

Q: Is *every* set is countable?

A: Theorem [Cantor] The set of real numbers (even just between 0 and 1) is NOT countable

Proof is by contradiction using a new method called "diagonalization"

Proof by contradiction

- Suppose that $\mathbb{R}^{[0,1]}$ is countable
- Then there is some listing of all elements
 $\mathbb{R}^{[0,1]} = \{r_1, r_2, r_3, r_4, \dots\}$
- We will prove that in such a listing there must be at least one missing element which contradicts statement " $\mathbb{R}^{[0,1]}$ is countable"
- The missing element will be found by looking at the decimal expansions of $r_1, r_2, r_3, r_4, \dots$

Real numbers between 0 and 1: $\mathbb{R}^{[0,1]}$

- Every number between 0 and 1 has an infinite decimal expansion:
 $1/2 = 0.5000000000000000000000000000...$
 $1/3 = 0.3333333333333333333333333333...$
 $1/7 = 0.14285714285714285714285714285...$
 $\pi - 3 = 0.14159265358979323846264...$
 $1/5 = 0.1999999999999999999999999999...$
 $= 0.200000000000000000000000000000...$

Representations as decimals

Representation is unique except for the cases that decimal ends in all 0's or all 9's.

$$x = 0.1999999999999999999999999999...$$

$$10x = 1.9999999999999999999999999999...$$

$$9x = 1.8 \text{ so } x = 0.2000000000000000000000000000...$$

Won't allow the representations ending in all 9's
All other representations give elements of $\mathbb{R}^{[0,1]}$

Supposed Listing of $\mathbb{R}^{(0,1)}$

		1	2	3	4	5	6	7	8	9	...
r_1	0.	5	0	0	0	0	0	0	0
r_2	0.	3	3	3	3	3	3	3	3
r_3	0.	1	4	2	8	5	7	1	4
r_4	0.	1	4	1	5	9	2	6	5
r_5	0.	1	2	1	2	2	1	2	2
r_6	0.	2	5	0	0	0	0	0	0
r_7	0.	7	1	8	2	8	1	8	2
r_8	0.	6	1	8	0	3	3	9	4
...

Supposed Listing of $\mathbb{R}^{(0,1)}$

		1	2	3	4	5	6	7	8	9	...
r_1	0.	5	0	0	0	0	0	0	0
r_2	0.	3	3	3	3	3	3	3	3
r_3	0.	1	4	2	8	5	7	1	4
r_4	0.	1	4	1	5	9	2	6	5
r_5	0.	1	2	1	2	2	1	2	2
r_6	0.	2	5	0	0	0	0	0	0
r_7	0.	7	1	8	2	8	1	8	2
r_8	0.	6	1	8	0	3	3	9	4
...

Flipped Diagonal

		1	2	3	4	...
r_1	0.	5 ¹	0	0	0	...
r_2	0.	3	3 ⁵	3	3	...
r_3	0.	1	4	2 ⁵	8	...
r_4	0.	1	4	1	5 ¹	...
r_5	0.	1	2	1	2	2 ⁵ 1 2 2 ...
r_6	0.	2	5	0	0	0 0 ⁵ 0 0 ...
r_7	0.	7	1	8	2	8 1 8 ⁵ 2 ...
r_8	0.	6	1	8	0	3 3 9 4 ⁵ ...

Flipping Rule:
 If digit is 5, make it 1
 If digit is not 5, make it 5

Flipped Diagonal Number **D**

		1	2	3	4	5	6	7	8	9	...
$D =$	0.	1									
			5								
				5							
					1						
						5					
							1				
								5			
									5		
										5	

D is in $\mathbb{R}^{(0,1)}$

But for all n , we have $D \neq r_n$ since they differ on n^{th} digit (which is not 0 or 9)
 \Rightarrow list was incomplete
 $\Rightarrow \mathbb{R}^{(0,1)}$ is not countable

The set of all functions $f : \mathbb{N} \rightarrow \{0,1,\dots,9\}$ is not countable

Non-computable functions

- We have seen that
 - The set of all (Java) programs is countable
 - The set of all functions $f : \mathbb{N} \rightarrow \{0,1,\dots,9\}$ is not countable
- So...
 - There must be some function $f : \mathbb{N} \rightarrow \{0,1,\dots,9\}$ that is not computable by any program!