

# CSE 311 Foundations of Computing I

Lecture 15  
Recursive Definitions and Structural Induction  
Autumn 2011

## Announcements

- Reading assignments
  - Today:
    - 5.3 7<sup>th</sup> Edition
    - 4.3 6<sup>th</sup> Edition
    - 3.4 5<sup>th</sup> Edition (not all there)
- Midterm Friday, Nov 4
  - Closed book, closed notes
  - Sample midterm questions available on website
  - Extra office hours Thursday, times TBA

## Highlights from last lecture

- Strong Induction
$$P(0)$$
$$\forall k ((P(0) \wedge P(1) \wedge P(2) \wedge \dots \wedge P(k)) \rightarrow P(k+1))$$
$$\therefore \forall n P(n)$$
- Strong Induction proof layout:
  1. By induction we will show that  $P(n)$  is true for every  $n \geq 0$
  2. Base Case: Prove  $P(0)$
  3. Inductive Hypothesis: Assume that for some arbitrary integer  $k \geq 0$ ,  $P(j)$  is true for every  $j$  from 0 to  $k$
  4. Inductive Step: Prove that  $P(k+1)$  is true using Inductive Hypothesis that  $P(j)$  is true for all values  $\leq k$
  5. Conclusion: Result follows by induction

## Fibonacci Numbers

- $f_0 = 0; f_1 = 1; f_n = f_{n-1} + f_{n-2}$

## Bounding the Fibonacci Numbers

- Theorem:  $2^{n/2-1} \leq f_n < 2^n$  for  $n \geq 2$

## Fibonacci numbers and the running time of Euclid's algorithm

- Theorem: Suppose that Euclid's algorithm takes  $n$  steps for  $\text{gcd}(a,b)$  with  $a > b$ , then  $a \geq f_{n+1}$   
so  $a \geq 2^{(n-1)/2}$ 
  - # of steps at most twice # of bits of  $a$
- Set  $r_{n+1}=a, r_n=b$  then Euclid's alg. computes
$$r_{n+1} = q_n r_n + r_{n-1} \quad \text{each quotient } q_i \geq 1$$
$$r_n = q_{n-1} r_{n-1} + r_{n-2} \quad r_1 \geq 1$$
$$\dots$$
$$r_3 = q_2 r_2 + r_1$$
$$r_2 = q_1 r_1$$

## Recursive Definitions of Sets

- Recursive definition
  - Basis step:  $0 \in S$
  - Recursive step: if  $x \in S$ , then  $x + 2 \in S$
  - Exclusion rule: Every element in  $S$  follows from basis steps and a finite number of recursive steps

## Recursive definitions of sets

Basis:  $6 \in S; 15 \in S;$   
Recursive: if  $x, y \in S$ , then  $x + y \in S;$

Basis:  $[1, 1, 0] \in S, [0, 1, 1] \in S;$   
Recursive:  
if  $[x, y, z] \in S, \alpha \text{ in } \mathbb{R}$ , then  $[\alpha x, \alpha y, \alpha z] \in S$   
if  $[x_1, y_1, z_1], [x_2, y_2, z_2] \in S$   
then  $[x_1 + x_2, y_1 + y_2, z_1 + z_2] \in S$

Powers of 3

## Recursive Definitions of Sets: General Form

- Recursive definition
  - *Basis step*: Some specific elements are in  $S$
  - *Recursive step*: Given some existing named elements in  $S$  some new objects constructed from these named elements are also in  $S$ .
  - Exclusion rule: Every element in  $S$  follows from basis steps and a finite number of recursive steps

## Structural Induction: proving properties of recursively defined sets

How to prove  $\forall x \in S. P(x)$  is true:

- **Base Case**: Show that  $P$  is true for all specific elements of  $S$  mentioned in the *Basis step*
- **Inductive Hypothesis**: Assume that  $P$  is true for some arbitrary values of each of the existing named elements mentioned in the *Recursive step*
- **Inductive Step**: Prove that  $P$  holds for each of the new elements constructed in the *Recursive step* using the named elements mentioned in the Inductive Hypothesis
- Conclude that  $\forall x \in S. P(x)$

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## Structural Induction versus Ordinary Induction

- Ordinary induction is a special case of structural induction:
  - Recursive Definition of  $\mathbb{N}$ 
    - Basis:  $0 \in \mathbb{N}$
    - Recursive Step: If  $k \in \mathbb{N}$  then  $k+1 \in \mathbb{N}$
- Structural induction follows from ordinary induction
  - Let  $Q(n)$  be true iff for all  $x \in S$  that take  $n$  Recursive steps to be constructed,  $P(x)$  is true.

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## Using Structural Induction

- Let  $S$  be given by
  - Basis:  $6 \in S; 15 \in S;$
  - Recursive: if  $x, y \in S$ , then  $x + y \in S.$
- Claim: Every element of  $S$  is divisible by 3

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## Strings

- An *alphabet*  $\Sigma$  is any finite set of characters.
- The set  $\Sigma^*$  of *strings* over the alphabet  $\Sigma$  is defined by
  - Basis:  $\lambda \in S$  ( $\lambda$  is the empty string)
  - Recursive: if  $w \in \Sigma^*$ ,  $x \in \Sigma$ , then  $wx \in \Sigma^*$

## Function definitions on recursively defined sets

$\text{Len}(\lambda) = 0$ ;  
 $\text{Len}(wx) = 1 + \text{Len}(w)$ ; for  $w \in \Sigma^*$ ,  $x \in \Sigma$

$\text{Concat}(w, \lambda) = w$  for  $w \in \Sigma^*$   
 $\text{Concat}(w_1, w_2x) = \text{Concat}(w_1, w_2)x$  for  $w_1, w_2 \in \Sigma^*$ ,  $x \in \Sigma$