# How to find the limits of algorithms?

Anup Rao



### Computers

are really awesome,

### Computers

are really awesome,

but is there something they cannot do?

### Yes! [Godel,Turing 1930's]

















# What we do know

# linear time algorithms are optimal

you have to read all the input

#### diagonalization (like halting)

does a given program stop in T steps? you need T steps to answer this

# **Common misconceptions**

#### Sorting requires at least nlog(n) time

we don't know this

# How can we prove lowerbounds on running time?

**Multiparty communication complexity** 





















If  $f(x):\{0,1\}^n \rightarrow \{0,1\}$  can be computed in time t,



protocol with ~t players

- player knows 1 bit of x
- player sends 1 bit
- player receives  $\leq 2$  bits
- at end, someone knows f(x)

Remarks essentially equivalent to algorithms If you can show that there is no such protocol, then there is no algorithm with running time program execution

[V77, HR14] If  $f(x):\{0,1\}^n \rightarrow \{0,1\}$  can be computed in parallel time O(log n), with total work O(n),

#### x=0010011101010010010111100010101

protocol with n/loglog(n) players

- player knows some n<sup>0.1</sup> bits of x
- player broadcasts 1 bit
- at end, someone knows f(x)

[V77, HR14] If  $f(x):\{0,1\}^n \rightarrow \{0,1\}$  can be computed in parallel time O(log n), with total work O(n),



[V77, HR14] If  $f(x):\{0,1\}^n \rightarrow \{0,1\}$  can be computed in parallel time O(log n), with total work O(n),



[V77, HR14] If  $f(x):\{0,1\}^n \rightarrow \{0,1\}$  can be computed in parallel time O(log n), with total work O(n),

### x=001001110101001001011110001010101

protocol with n/loglog(n) players

- player knows some n<sup>0.1</sup> bits of x
- player broadcasts 1 bit
- at end, someone knows f(x)

[V77, HR14] If  $f(x):\{0,1\}^n \rightarrow \{0,1\}$  can be computed in parallel time O(log n), with total work O(n),

### x=<mark>00100111010</mark>1001000101111000101001

protocol with n/loglog(n) players

- player knows some n<sup>0.1</sup> bits of x
- player broadcasts 1 bit
- at end, someone knows f(x)

[V77, HR14] If  $f(x):\{0,1\}^n \rightarrow \{0,1\}$  can be computed in parallel time O(log n), with total work O(n),

### x=00100111010100000101111000101001

protocol with n/loglog(n) players

- player knows some n<sup>0.1</sup> bits of x
- player broadcasts 1 bit
- at end, someone knows f(x)

[V77, HR14] If  $f(x):\{0,1\}^n \rightarrow \{0,1\}$  can be computed in parallel time O(log n), with total work O(n),

### x=0010011101010010010<mark>11110001010</mark>01

protocol with n/loglog(n) players

- player knows some n<sup>0.1</sup> bits of x
- player broadcasts 1 bit
- at end, someone knows f(x)

[V77, HR14] If  $f(x):\{0,1\}^n \rightarrow \{0,1\}$  can be computed in parallel time O(log n), with total work O(n),

#### x=0010011101010010010111100010101

protocol with n/loglog(n) players

- player knows some n<sup>0.1</sup> bits of x
- player broadcasts 1 bit
- at end, someone knows f(x)

# 2 party communication

What is f(X,Y) ?






What is f(X,Y) ?

 $m_1()$ 







What is f(X,Y) ?

 $m_1(X$ 

m<sub>2</sub>(m<sub>1</sub>,Y)



n bits



What is f(X,Y) ?

 $m_1(\lambda$ 

 $m_2(m_1, Y)$ 



n bits



What is f(X,Y) ?

 $m_1()$ 

 $m_2(m_1, Y)$ 



n bits





Is X=Y ?







Is X=Y ?

# Requires n bits communication





### Pigeonhole principle



#### n+1 pigeons cannot fit in n holes



**Thm:** Checking if X=Y requires n bits of communication.



**Thm:** Checking if X=Y requires n bits of communication.

**Pf:** Suppose there is protocol with < n bits of communication.



**Thm:** Checking if X=Y requires n bits of communication.

**Pf:** Suppose there is protocol with < n bits of communication.

There are  $2^n$  inputs (x,y) with x=y pigeons



**Thm:** Checking if X=Y requires n bits of communication.

**Pf:** Suppose there is protocol with < n bits of communication.

There are  $2^n$  inputs (x,y) with x=y pigeons There are  $< 2^n$  possible transcripts m

holes



**Thm:** Checking if X=Y requires n bits of communication.

**Pf:** Suppose there is protocol with < n bits of communication.

There are  $2^n$  inputs (x,y) with x=y pigeons There are <  $2^n$  possible transcripts m holes There must be x=y, x'=y', with x≠x', m(x,y) = m(x',y')



**Thm:** Checking if X=Y requires n bits of communication.

**Pf:** Suppose there is protocol with < n bits of communication.

There are  $2^{n}$  inputs (x,y) with x=y pigeons There are <  $2^{n}$  possible transcripts m holes There must be x=y, x'=y', with x≠x', m(x,y) = m(x',y') But then m(x,y') = m(x,y)! The protocol has a bug.



X⊆{I,2,...,n}

Is |X∩Y|=0?

Requires n bits communication



Y⊆{I,2,...,n}

n bits



**Thm:** Checking if  $|X \cap Y| = 0$  requires n bits of communication.

**Pf:** Suppose there is protocol with < n bits of communication.

There are  $2^n$  inputs (x,y) with y=complement(x) pigeons

There are < 2<sup>n</sup> possible transcripts m holes

There must be y=complement(x), y'=complement(x'), with  $x \neq x'$ , m(x,y) = m(x',y').

But then m(x,y') = m(x,y), but  $|x \cap y'| > 0$ .



protocol with n/loglog(n) players

- player knows some n<sup>0.1</sup> bits of x
- player broadcasts 1 bit
- at end, someone knows f(x)

each player represents a "critical" line of program execution

### **Overlapping information**



n bits

Is X=Y=Z?

## Requires n bits communication





#### Overlapping information Is X=Y=Z?







Y,Z

### **Overlapping information**

Is X=Y=Z?

# 2 bits of communication suffice!

X=Y? Y=Z? determine answer



Y,Z

n bits

X,Y



## **Overlapping information**

Is  $|X \cap Y \cap Z|$  even?

[BNS,1990] n bits of communication required



X,Y⊆{I,2,...,n}

n bits



Z,X⊆{I,2,...,n}

n bits

Y,Z⊆{I,2,...,n}













from 1 to 2?

**Conjecture:** there is no way to do this with a short protocol

#### Conclusions

- Lots of cool combinatorial problems that we don't know how to solve
- Lots of room for interesting math

### Thanks

### **Overlapping information**



Is  $|X \cap Y \cap Z| = 0$ ?

Open for a long time



X,Y⊆{I,2,...,n}

n bits



Z,X⊆{I,2,...,n}

n bits

 $Y,Z \subseteq \{1,2,...,n\}$ 

### **Overlapping information**



Is  $|X \cap Y \cap Z| = 0$ ?

Open for a long time

Other applications
Separations between circuit classes
proof complexity lower bounds

 oracle separations between complexity classes



Y,Z⊆{1,2,...,n}

n bits

n}

### Prior work

k players, k sets, each knows k-1 sets

 $|X_1 \cap X_2 \cap \ldots \cap X_k| = 0?$ 

| Reference            | communication reqd.                |
|----------------------|------------------------------------|
| [T03], [BPSW06]      | $\log(n)/k$                        |
| [LS09], [CA08]       | $n^{1/(k+1)}/2^{2^{O(k)}}$         |
| [BH09]               | $2^{\Omega(\sqrt{\log(n)/k})}/2^k$ |
| [S12]                | $n^{1/4}/2^{k/2}$                  |
| [ <mark>S13</mark> ] | $\sqrt{n}/k2^k$                    |
| [This work]          | $n/4^k$                            |

[G92]:  $k^2n/2^k$  bits suffice

| Prior work                                  |   |  |
|---|---|--|
| k players, k sets, each knows k-1 sets      |   |  |
| $ X_1 \cap X_2 \cap \ldots \cap X_k  = 0$ ? |   |  |
| Reference                                   | communication reqd.   |  |
| [T03], [BPSW06]                             | Our bound $\log(n)/k$   |  |
| [LS09], [CA08]                              | <ul> <li>the simplest proof: 3 pages</li> </ul>                             |  |
| [BH09]                                      | <ul> <li>we also simplify Sherstov's<br/>randomized lower bound.</li> </ul> |  |
| [S12]                                       | skipping several steps  |  |
| [S13]                                       | $\sqrt{n}/k2^k$   |  |
| [This work]                                 | $n/4^k$   |  |

[G92]:  $k^2n/2^k$  bits suffice

k players, k sets, each knows k-1 sets.  $|X_1 \cap X_2 \cap ... \cap X_k| = 0$ ?

k players, k sets, each knows k-1 sets.  $|X_1 \cap X_2 \cap ... \cap X_k| = 0$ ?

Partition universe into  $m=n/16(4^k)$  parts, each of size  $16(4^k)$ 

k players, k sets, each knows k-1 sets.  $|X_1 \cap X_2 \cap ... \cap X_k| = 0$ ?

Partition universe into  $m=n/16(4^k)$  parts, each of size  $16(4^k)$ 

In each part,  $X_1,...,X_{k-1}$ : random sets intersecting in one point,  $X_k$ : independent uniformly random set. (Note: these sets almost always intersect!)

k players, k sets, each knows k-1 sets.  $|X_1 \cap X_2 \cap ... \cap X_k| = 0$ ?

Partition universe into  $m=n/16(4^k)$  parts, each of size  $16(4^k)$ 

In each part,  $X_1,...,X_{k-1}$ : random sets intersecting in one point,  $X_k$ : independent uniformly random set. (Note: these sets almost always intersect!)

 $D_i$ : indicator variable for no intersection in i'th part
#### **Proof Outline**

k players, k sets, each knows k-1 sets.  $|X_1 \cap X_2 \cap ... \cap X_k| = 0$ ?

Partition universe into  $m=n/16(4^k)$  parts, each of size  $16(4^k)$ 

In each part,  $X_1,...,X_{k-1}$ : random sets intersecting in one point,  $X_k$ : independent uniformly random set. (Note: these sets almost always intersect!)

 $D_i$ : indicator variable for no intersection in i'th part

## **Thm [implicit in S12]**: If $\pi$ is computed in communication c

$$\left| \mathbb{E} \left[ \pi(X_1, \dots, X_k) \cdot (-1)^{\sum_{i=1}^m D_i} \right] \right| \le 2^{c-2m}$$

#### **Proof Outline**

k players, k sets, each knows k-1 sets.  $|X_1 \cap X_2 \cap ... \cap X_k| = 0$ ?

Partition universe into  $m=n/16(4^k)$  parts, each of size  $16(4^k)$ 

In each part,  $X_1,...,X_{k-1}$ : random sets intersecting in one point,  $X_k$ : independent uniformly random set. (Note: these sets almost always intersect!)

 $D_i$ : indicator variable for no intersection in i'th part

## **Thm [implicit in S12]**: If $\pi$ is computed in communication c

$$\mathbb{E}\left[\pi(X_1,\ldots,X_k)\cdot(-1)^{\sum_{i=1}^m D_i}\right] \le 2^{c-2m}$$

If  $\pi$  computes disjointness, when  $\pi = 1, \sum_{i=1}^{m} D_i = m$ .

#### **Proof Outline**

k players, k sets, each knows k-1 sets.  $|X_1 \cap X_2 \cap ... \cap X_k| = 0$ ?

Partition universe into  $m=n/16(4^k)$  parts, each of size  $16(4^k)$ 

In each part,  $X_1,...,X_{k-1}$ : random sets intersecting in one point,  $X_k$ : independent uniformly random set. (Note: these sets almost always intersect!)

 $D_i$ : indicator variable for no intersection in i'th part

## **Thm [implicit in S12]**: If $\pi$ is computed in communication c

$$\mathbb{E}\left[\pi(X_1,\ldots,X_k)\cdot(-1)^{\sum_{i=1}^m D_i}\right] \le 2^{c-2m}$$

m

If  $\pi$  computes disjointness, when  $\pi = 1, \sum_{i=1}^{n} D_i = m$ .

Thus LHS=  $2^{-m} \leq 2^{c-2m} \Rightarrow c \geq m$ 

Define f(j) probability protocol outputs 1 when  $\sum D_i = j$  .

i=1

m

Define f(j) probability protocol outputs 1 when  $\sum_{i=1}^{j} D_i = j$ .

Thm: If f(j) defined using a protocol,  $\forall r > c$  $\left| \mathbb{E} \left[ f((D_1 + \ldots + D_r)m/r) \cdot (-1)^{D_1 + \ldots + D_r} \right] \right| \le 2^{-12r}.$ 

Define f(j) probability protocol outputs 1 when  $\sum_{i=1}^{j} D_i = j$ .

Thm: If f(j) defined using a protocol,  $\forall r > c$  $\left| \mathbb{E} \left[ f((D_1 + \ldots + D_r)m/r) \cdot (-1)^{D_1 + \ldots + D_r} \right] \right| \le 2^{-12r}.$ 

**Thm**: f can be approximated by a degree c polynomial.

Define f(j) probability protocol outputs 1 when  $\sum_{i=1}^{j} D_i = j$ .

Thm: If f(j) defined using a protocol,  $\forall r > c$  $\left| \mathbb{E} \left[ f((D_1 + \ldots + D_r)m/r) \cdot (-1)^{D_1 + \ldots + D_r} \right] \right| \le 2^{-12r}.$ 

**Thm**: f can be approximated by a degree c polynomial.



### Open problems

- Prove lower bounds of n for these communication models. (Anything better than n/2<sup>k</sup>).
- Candidate problem:



- Input: k matchings of size n
  Start at red, walk clockwise for n/100 steps, do we end at even vertex?
- Careful: When k = 3, there is a protocol that can compute 3rd step in o(n) communication!
   [PRS97]

#### Algorithms vs Multiparty Communication

[Valiant] If  $f(x):\{0,1\}^n \rightarrow \{0,1\}$  can be computed in parallel time O(log n), with total work O(n), Candidate Hard

# x=001001110101001

protocol with n/loglog(n) players

- player knows some n<sup>0.1</sup> bits of x
- player broadcasts 1 bit
- at end, someone knows f(x)

Given a graph on n vertices, where every vertex has out-degree 1, is 1 connected to 2?

**Function** 

each player represents a "critical" line of program execution