

Recursion

UW CSE 160

Winter 2017



To seal: moisten flap,
fold over, and seal

Three recursive algorithms

- Sorting
 - GCD (greatest common divisor)
 - Exponentiation
- Used in cryptography,
which protects information
and communication

Sorting a list



Sir Anthony Hoare

- Python's **sorted** function returns a sorted version of a list.
`sorted([3, 1, 4, 1, 5, 9])`
 $\Rightarrow [1, 1, 3, 4, 5, 9]$
- How could you implement **sorted**?
- Idea (“quicksort”, invented in 1960):
 - Choose an arbitrary element (the “pivot”)
 - Collect the smaller items and put them on its left
 - Collect the larger items and put them on its right

First version of quicksort (broken)

```
def quicksort(lst):  
    """Return a sorted version of lst."""  
    pivot = lst[0]  
    smaller = [elt for elt in lst if elt < pivot]  
    larger = [elt for elt in lst if elt > pivot]  
    return smaller + [pivot] + larger
```

```
print quicksort([3, 1, 4, 1, 5, 9])
```

⇒ [1, 1, 3, 4, 5, 9]

There are three problems with this definition
Write a test case for each problem

Problems with first version of quicksort

1. The “smaller” and “larger” lists aren’t sorted
2. Fails if the input list is empty
3. Duplicate elements equal to the pivot are lost

Final version of quicksort

```
def quicksort(lst):  
    """Return a sorted version of lst."""  
    if len(lst) < 2:  
        return lst  
    pivot = lst[0]  
    smaller = [elt for elt in lst if elt < pivot]  
    pivots = [elt for elt in lst if elt == pivot]  
    larger = [elt for elt in lst if elt > pivot]  
    return quicksort(smaller) + pivots + quicksort(larger)
```

General form of a recursive algorithm

- Determine whether the problem is small or large
- If the problem is small: (“base case”)
 - Solve the whole thing
- If the problem is large: (“recursive case”)
 - Divide the problem, creating one or more smaller problems
 - Ask someone else to solve the smaller problems
 - Recursive call to do most of the work
 - (Maybe) Do a small amount of postprocessing on the result(s) of the recursive call(s)

Recursion design philosophy

- Recursion expresses the essence of divide and conquer
 - Solve a smaller subproblem(s), then
 - Use the answer(s) to solve the original problem
- Passing the buck: I am willing to do a small amount of work, as long as I can offload most of the work to someone else.
- Wishful thinking: If someone else solves most of the problem, then I will do the rest.

Decomposition for recursion

List algorithms:

- Base case: short (or empty) list
- Recursive case: process
 - all but the first element of the list, or
 - The smaller subproblem is only a tiny bit smaller
 - The postprocessing combines the first element of the list with the recursive result
 - half of the list
 - Often recursively process both halves
 - The postprocessing combines the two recursive results

Numeric algorithms:

- Base case: small number (often 1 or 0)
- Recursive case: process a smaller value
 - 1 less than the original value
 - half of the original value
 - ...

File system:

- Base case: single file
- Recursive case: process a subdirectory

Geographical algorithms:

- Base case: small area
- Recursive case: smaller part of a map (or other spatial representation)

Recursion: base and inductive cases

- A recursive algorithm always has:
 - a **base case** (no recursive call)
 - an inductive or **recursive case** (has a recursive call)
 - solves a smaller problem
- What happens if you leave out the base case?
- What happens if you leave out the inductive case?

Factorial

```
def fact(num):  
    """ Assumes num is an int > 0, return n! """  
    if num == 1:  
        return num  
    else:  
        return num * fact(num - 1)  
  
print fact(3)  
print fact(1)  
print fact(2)
```

Sum List

```
def sum_list(lst):  
    """Returns sum of numbers in list.  
    Returns zero for an empty list."""  
    if len(lst) == 0:  
        return 0  
    else:  
        return lst[0] + sum_list(lst[1:])  
  
sum_list([1, 3, 6])
```

Fibonacci

```
def fib(n):  
    """Returns the nth Fibonacci number."""  
    if n == 0 or n == 1:  
        return 1  
    else:  
        return fib(n - 1) + fib(n - 2)  
  
print fib(6)
```

GCD (greatest common divisor)

$\text{gcd}(a, b)$ = largest integer that divides both a and b

- $\text{gcd}(4, 8) = 4$
- $\text{gcd}(15, 25) = 5$
- $\text{gcd}(16, 35) = 1$

How can we compute GCD?

Euclid's method for computing GCD

(circa 300 BC, still commonly used!)

$$\gcd(a, b) = \begin{cases} a & \text{if } b = 0 \\ \gcd(b, a) & \text{if } a < b \\ \gcd(a-b, b) & \text{otherwise} \end{cases}$$



Python code for Euclid's algorithm

```
def gcd(a, b):  
    """Return the greatest common divisor of a and b."""  
    if b == 0:  
        return a  
    elif a < b:  
        return gcd(b, a)  
    else:  
        return gcd(a - b, b)
```


Exponentiation

Goal: Perform exponentiation, using only addition, subtraction, multiplication, and division. (Example: 3^4)

```
def exp(base, exponent):  
    """Return baseexponent.  
       Exponent is a non-negative integer."""  
    if exponent == 0:  
        return 1  
    else:  
        return base * exp(base, exponent - 1)
```

Example:

`exp(3, 4)`

`3 * exp(3, 3)`

`3 * (3 * exp(3, 2))`

`3 * (3 * (3 * exp(3, 1)))`

`3 * (3 * (3 * (3 * exp(3, 0))))`

`3 * (3 * (3 * (3 * 1)))`

Faster exponentiation

Suppose the exponent is even.

Then, $\text{base}^{\text{exponent}} = (\text{base} * \text{base})^{\text{exponent}/2}$

Examples: $3^4 = 9^2$ $9^2 = 81^1$ $5^{12} = 25^6$ $25^6 = 625^3$

New implementation:

```
def exp(base, exponent):  
    """Return baseexponent.  
       Exponent is a non-negative integer."""  
    if exponent == 0:  
        return 1  
    elif exponent % 2 == 0:  
        return exp(base * base, exponent / 2)  
    else:  
        return base * exp(base, exponent - 1)
```

Comparing the two algorithms

Original algorithm: 12 multiplications

exp(5, 12)
5 * exp(5, 11)
5 * 5 * exp(5, 10)
5 * 5 * 5 * exp(5, 9)
...
5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * exp(5, 0)
5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 1
5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5
5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 25
5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 5 * 125
...
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Fast algorithm: 5 multiplications

exp(5, 12)
(5 * 5)⁶
exp(25, 6)
(25 * 25)³
exp(625, 3)
625 * exp(625, 2)
(625 * 625)¹
625 * exp(390625, 1)
625 * 390625 * exp(390625, 0)
625 * 390625 * 1
625 * 390625
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Speed matters:

In cryptography, exponentiation is done with 600-digit numbers.

Recursion vs. iteration

- Any recursive algorithm can be re-implemented as a loop instead
 - This is an “iterative” expression of the algorithm
- Any loop can be implemented as recursion instead
- Sometimes recursion is clearer and simpler
 - Mostly for data structures with a recursive structure
- Sometimes iteration is clearer and simpler