CSE 143
Lecture 5

Binary search; complexity

reading: 13.1 - 13.2

slides created by Marty Stepp and Hélène Martin
http://www.cs.washington.edu/143/

Sequential search

- **sequential search**: Locates a target value in an array / list by examining each element from start to finish. Used in `indexOf`.
  - How many elements will it need to examine?
  - Example: Searching the array below for the value **42**:

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	2	7	10	15	20	22	25	30	36	42	50	56	68	85	92	103

i

  - Notice that the array is sorted. Could we take advantage of this?

Binary search (13.1)

- **binary search**: Locates a target value in a *sorted* array / list by successively eliminating half of the array from consideration.
  - How many elements will it need to examine?
  - Example: Searching the array below for the value **42**:

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	2	7	10	15	20	22	25	30	36	42	50	56	68	85	92	103

min mid max

Arrays.binarySearch

```
// searches an entire sorted array for a given value
// returns its index if found;  a negative number if not found
// Precondition: array is sorted
Arrays.binarySearch(array, value)

// searches given portion of a sorted array for a given value
// examines minIndex (inclusive) through maxIndex (exclusive)
// returns its index if found;  a negative number if not found
// Precondition: array is sorted
Arrays.binarySearch(array, minIndex, maxIndex, value)
```

- The `binarySearch` method in the `Arrays` class searches an array very efficiently if the array is sorted.
  - You can search the entire array, or just a range of indexes (useful for "unfilled" arrays such as the one in `ArrayIntList`)

Using `binarySearch`

```
// index     0  1  2  3   4   5   6   7   8   9  10  11  12  13  14  15
int[] a = {-4, 2, 7, 9, 15, 19, 25, 28, 30, 36, 42, 50, 56, 68, 85, 92};
int index  = Arrays.binarySearch(a, 0, 16, 42);   // index1 is 10
int index2 = Arrays.binarySearch(a, 0, 16, 21);   // index2 is -7
```

- `binarySearch` returns the index where the value is found
- if the value is *not* found, `binarySearch` returns:

  `-(insertionPoint + 1)`

  - where `insertionPoint` is the index where the element *would* have been, if it had been in the array in sorted order.
  - To insert the value into the array, negate `insertionPoint` + 1

```
int indexToInsert21 = -(index2 + 1);  // 6
```

Runtime Efficiency (13.2)

- How much better is binary search than sequential search?

- **efficiency**: A measure of the use of computing resources by code.
  - can be relative to speed (time), memory (space), etc.
  - most commonly refers to run time

- Assume the following:
  - Any single Java statement takes the same amount of time to run.
  - A method call's runtime is measured by the total of the statements inside the method's body.
  - A loop's runtime, if the loop repeats N times, is N times the runtime of the statements in its body.

Efficiency examples

```
statement1;
statement2;
statement3;
```

3

```
for (int i = 1; i <= N; i++) {
    statement4;
}
```

N

```
for (int i = 1; i <= N; i++) {
    statement5;
    statement6;
    statement7;
}
```

3N

4N + 3

Efficiency examples 2

```
for (int i = 1; i <= N; i++) {
    for (int j = 1; j <= N; j++) {
        statement1;
    }
}
```

N^2

```
for (int i = 1; i <= N; i++) {
    statement2;
    statement3;
    statement4;
    statement5;
}
```

$4N$

$N^2 + 4N$

- How many statements will execute if N = 10? If N = 1000?

Algorithm growth rates (13.2)

- We measure runtime in proportion to the input data size, N.
  - **growth rate**: Change in runtime as N changes.

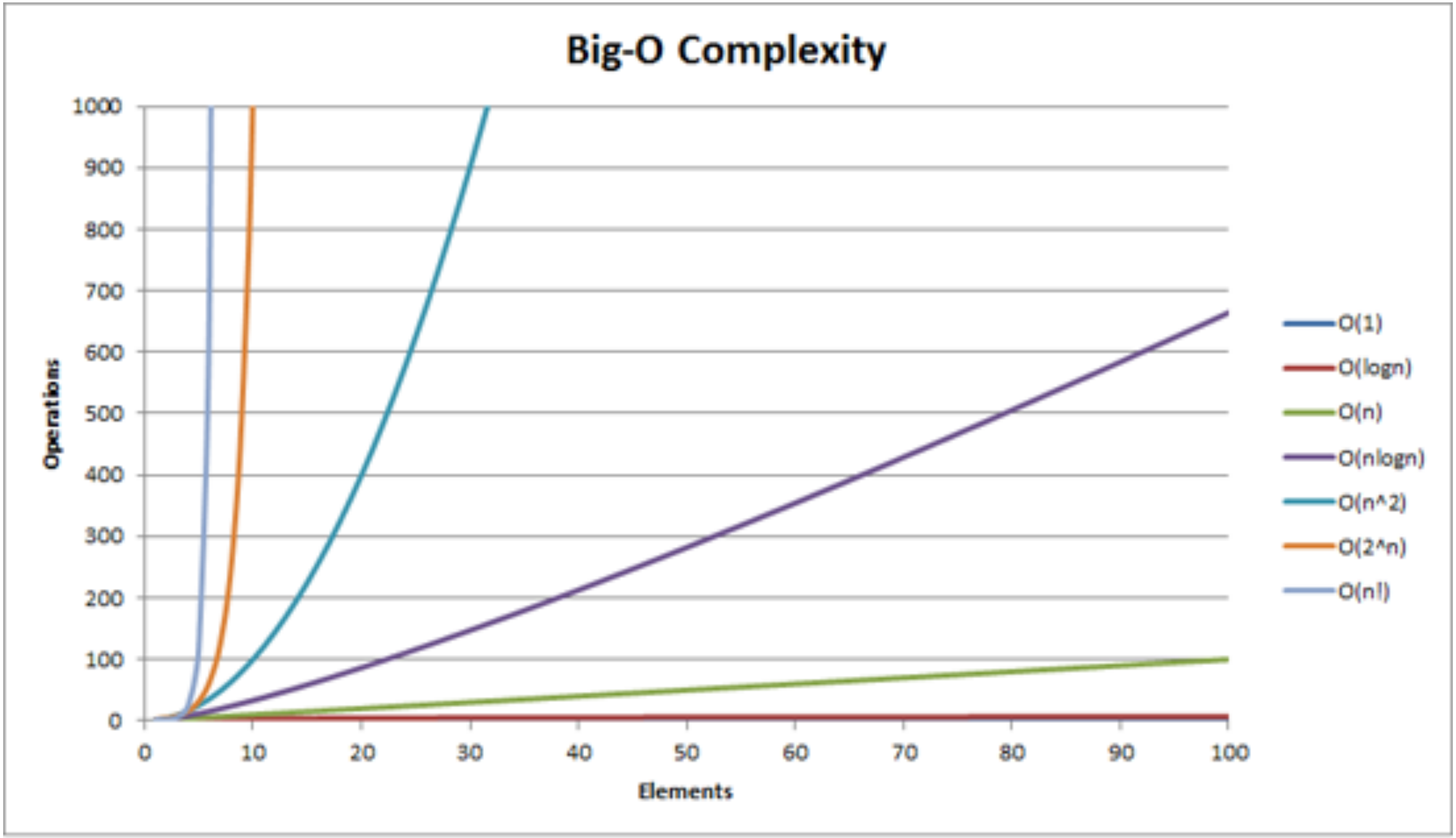
- Say an algorithm runs $\mathbf{0.4N^3 + 25N^2 + 8N + 17}$ statements.
  - Consider the runtime when N is *extremely large* .
  - We ignore constants like 25 because they are tiny next to N.
  - The highest-order term (N^3) dominates the overall runtime.
  - We say that this algorithm runs "on the order of" N^3.
  - or $\mathbf{O(N^3)}$ for short ("**Big-Oh** of N cubed")

Complexity classes

- **complexity class**: A category of algorithm efficiency based on the algorithm's relationship to the input size N.

Class	Big-Oh	If you double N, ...	Example
constant	O(1)	unchanged	10ms
logarithmic	$O(\log_2 N)$	increases slightly	175ms
linear	O(N)	doubles	3.2 sec
log-linear	$O(N \log_2 N)$	slightly more than doubles	6 sec
quadratic	$O(N^2)$	quadruples	1 min 42 sec
cubic	$O(N^3)$	multiplies by 8	55 min
...	...	...	...
exponential	$O(2^N)$	multiplies drastically	$5 * 10^{61}$ years

Complexity classes



From http://recursive-design.com/blog/2010/12/07/comp-sci-101-big-o-notation/ - post about a Google interview

Sequential search

- What is its complexity class?

```
public int indexOf(int value) {
    for (int i = 0; i < size; i++) {
        if (elementData[i] == value) {
            return i;
        }
    }
    return -1;   // not found
}
```

N

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	2	7	10	15	20	22	25	30	36	42	50	56	68	85	92	103

- On average, "only" N/2 elements are visited
  - 1/2 is a constant that can be ignored

Collection efficiency

- Efficiency of our `ArrayIntList` or Java's `ArrayList`:

Method	ArrayList
`add`	O(1)
`add(`**index**`, `**value**`)`	O(N)
`indexOf`	O(N)
`get`	O(1)
`remove`	O(N)
`set`	O(1)
`size`	O(1)

Binary search

- **binary search** successively eliminates half of the elements.
  - *Algorithm:* Examine the middle element of the array.
    - If it is too big, eliminate the right half of the array and repeat.
    - If it is too small, eliminate the left half of the array and repeat.
    - Else it is the value we're searching for, so stop.

  - Which indexes does the algorithm examine to find value **42**?
  - What is the runtime complexity class of binary search?

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	2	7	10	15	20	22	25	30	36	42	50	56	68	85	92	103

min

mid

max

Binary search runtime

- For an array of size N, it eliminates ½ until 1 element remains.

  N, N/2, N/4, N/8, ..., 4, 2, 1

  – How many divisions does it take?

- Think of it from the other direction:
  – How many times do I have to multiply by 2 to reach N?

  1, 2, 4, 8, ..., N/4, N/2, N

  – Call this number of multiplications "x".

  $2^x = N$

  $\mathbf{x = \log_2 N}$

- Binary search is in the **logarithmic** complexity class.

Max subsequence sum

- Write a method `maxSum` to find the largest sum of any contiguous subsequence in an array of integers.
  - Easy for all positives: include the whole array.
  - What if there are negatives?

index	0	1	2	3	4	5	6	7	8
value	2	1	-4	10	15	-2	22	-8	5

Largest sum: 10 + 15 + -2 + 22 = 45

  - (Let's define the max to be 0 if the array is entirely negative.)

- Ideas for algorithms?

Algorithm 1 pseudocode

```
maxSum(a):
    max = 0.
    for each starting index i:
        for each ending index j:
            sum = add the elements from a[i] to a[j].
            if sum > max,
                max = sum.

    return max.
```

index	0	1	2	3	4	5	6	7	8
value	2	1	-4	10	15	-2	22	-8	5

Algorithm 1 code

- What complexity class is this algorithm?
  - **$O(N^3)$**. Takes a few seconds to process 2000 elements.

```
public static int maxSum1(int[] a) {
    int max = 0;
    for (int i = 0; i < a.length; i++) {
        for (int j = i; j < a.length; j++) {
            // sum = add the elements from a[i] to a[j].
            int sum = 0;
            for (int k = i; k <= j; k++) {
                sum += a[k];
            }
            if (sum > max) {
                max = sum;
            }
        }
    }
    return max;
}
```

Flaws in algorithm 1

- Observation: We are redundantly re-computing sums.
  - For example, we compute the sum between indexes 2 and 5:
    a[2] + a[3] + a[4] + a[5]
  - Next we compute the sum between indexes 2 and 6:
    a[2] + a[3] + a[4] + a[5] + a[6]
  - We already had computed the sum of 2-5, but we compute it again as part of the 2-6 computation.
  - Let's write an improved version that avoids this flaw.

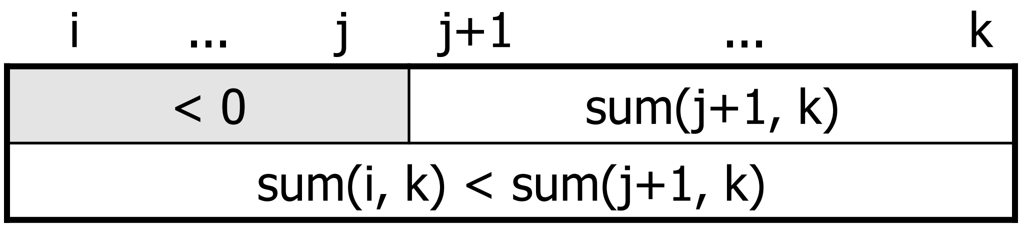
Algorithm 2 code

- What complexity class is this algorithm?
  - **O(N^2)**. Can process tens of thousands of elements per second.

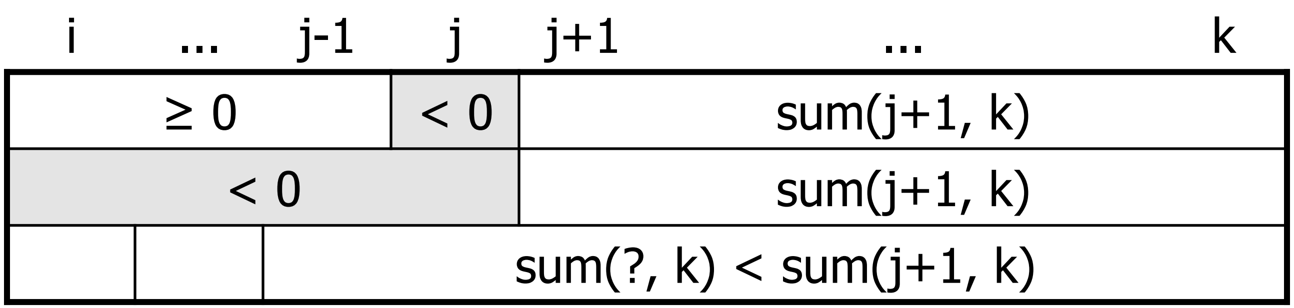
```
public static int maxSum2(int[] a) {
    int max = 0;
    for (int i = 0; i < a.length; i++) {
        int sum = 0;
        for (int j = i; j < a.length; j++) {
            sum += a[j];
            if (sum > max) {
                max = sum;
            }
        }
    }
    return max;
}
```

A clever solution

- *Claim 1* : A max range cannot start with a negative-sum range.



- *Claim 2* : If sum(i, j-1) ≥ 0 and sum(i, j) < 0, any max range that ends at j+1 or higher cannot start at any of i through j.



  – Together, these observations lead to a very clever algorithm...

Algorithm 3 code

- What complexity class is this algorithm?
  - **O(N)**. Handles many millions of elements per second!

```
public static int maxSum3(int[] a) {
    int max = 0;
    int sum = 0;
    int i = 0;
    for (int j = 0; j < a.length; j++) {
        if (sum < 0) {    // if sum becomes negative, max range
            i = j;        // cannot start with any of i - j-1
            sum = 0;      // (Claim 2)
        }
        sum += list[j];
        if (sum > max) {
            max = sum;
        }
    }
    return max;
}
```