

## CSE 143 Java

### Hashing

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### Review

- Want to implement Sets of objects
  - Want fast `contains()`, `add()`
- One strategy: a sorted list
  - OK `contains()`: use binary search
  - Slow `add()`: have to maintain list in sorted order
- Another strategy: a binary search tree
  - OK `contains()`: use binary search through tree
  - OK `add()`: use binary search to find right place to insert

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### A Magical Strategy

- What if... we had a magic method that could *convert each possible element value into its own unique integer*?
  - Takes an element, returns an integer (called a *hash code*)
  - Called a *perfect hash function*
- Then we could store the set elements in an array, with each element stored at an index equal to its hash code



- Array access is constant time – very fast:  $O(1)$
- If computing the hash value is also  $O(1)$ , lookup is  $O(1)$ 
  - Beats  $O(\log n)$ , which is the best we've seen so far

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### Hash Function Example

- Suppose we wanted to hash on a person's last name
- Use the individual characters of the name to compute a number
  - Example: cast each char to its int value, add all the int values
- Use the integer as an index into an array
- Drawbacks?
  - Array would be very large
  - "Soto" and "Soot" hash to the same value
    - Called a "collision"
- There are better string hash functions

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### If Only We Had A Perfect Hash...

- A *Perfect* hash function is one which has no collisions
  - two different objects never have the same hash code

How fast is `contains()`?

- Would just test whether value at the hash location index was non-null
- Fast!
- How fast is `add()`?
  - would just set the index to contain the element
  - Fast!

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### Perfect vs. Imperfect Hash Functions

- *Perfect* hash functions are practical to implement only in limited cases
  - When the set of possible elements is small and known in advance
- But "*imperfect*" hash functions are practical
- An *imperfect (or regular) hash function* can produce collisions
- Imperfect hash functions compromise the promise of fast performance
  - How?
  - Can we salvage the design?

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## Solution: Buckets

- Instead of each array position containing the set elements directly...
  - it can contain a *list* of elements that all share the same hash code
  - This list is called a *bucket*
  - Unlike ordinary buckets, this kind can never be full!
- To test whether an element is in the set:
  - Use the hash code to find the correct bucket
  - Search that bucket's list for the element
- Add works similarly



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## More about Buckets

- If hash function is good, then most elements will be in different buckets, and each bucket will be short
  - Most of the time, `contains()` and `add()` will be fast!
- There will probably be unused buckets – particularly at first
  - No data value happens to hash to a particular bucket
- Tradeoff:
  - more buckets: shorter linked lists, more unused space
  - fewer buckets: longer linked lists, less unused space
- Footnote: This design is *open hashing*; there is a variation called *closed hashing* too.

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## Object Hash Codes in Java

- Class `Object` defines a method `hashCode()` which returns an integer code for an object
- Strives to be different for different objects, but might not always be
  - Generally, you should assume the default `hashCode` in Java is very imperfect
- Subclasses can override this if a more suitable hash function is appropriate for instances

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## Hash Codes in Your Own Classes

- Subclasses should override `hashCode()` if a more suitable hash function is appropriate for instances
- Key rule: if `o1` and `o2` are different objects, then if`o1.equals(o2) == true`it must also be true that`o1.hashCode() == o2.hashCode()`
- Corollary: If you override either of `hashCode()` or `equals(...)` in a class, you probably should override the other one to be consistent
- **Danger:** The Java system cannot enforce these rules. A well-designed (“proper”) class will follow them as a matter of good practice

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## HashCode for Complex Objects in Java

- Key idea: calculate a hash code value using the fields that are considered in method `equals`
- Hash codes for individual fields
  - Boolean: 0 or 1; int, char: cast to int; float, double, long: get the bits (see ref.)
  - Object reference: assuming this field implements `equals` by recursively calling `equals` on its parts, call `get` the `hashCode` for the fields
- Combining the field hash codes – one possibility

```
result = 17;
for each hash code c for some part of the object, set result = 37*result+c;
return result
```
- Source: *Effective Java* by Joshua Bloch (A-W, 2001)  
[Great Java book!]

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## HashMap: Java Library Dictionary Class

- The `java.util.HashMap` implements a dictionary using a hash table
  - Uses the objects `hashCode()` method
- Key operations (interface `Map`)

```
public interface Map {
    // associate the given key with the given value
    public Object put(Object key, Object value);
    // Return the value associated with the key, or null if no such value
    public Object get(Object key);
    // Remove the key and its associated object from the map
    public Object remove(Object key);
}
```

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## Implementing a HashSet Class

- **HashSet**: an implementation of Set using hashing

```
public class HashSet implements Set {
    private List[] buckets; // buckets[k] is a list of elements that satisfy
                           // elem.hashCode() % nBuckets == k
                           // buckets[k]==null if no elems have hashcode k

    private static final nBuckets = 101; // default # of buckets
    public HashSet() {
        buckets = new List[nBuckets]; // each elem initialized to null
    }
    ...
}
```

- Generally, having a prime number of buckets produces a decent distribution of objects among the buckets

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## Computing the Bucket Number

- **Algorithm**:

- Compute the object's hash code
- Convert it into a legal index into the buckets array: something in the range 0..buckets.length-1

```
/** Return the index in buckets where the elem would be found, if it's in the set */
private int bucketNum(Object elem) {
    return elem.hashCode() % buckets.length;
}
```

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## Adding a New Element

```
public boolean add(Object elem) {
    int i = bucketNum(elem);
    List bucket = buckets[i];
    if (bucket == null) {
        // this is the first element in this bucket; create the bucket list first
        bucket = new ArrayList();
        buckets[i] = bucket;
    } else { // return false if elem is already contained in the set
        if (bucket.contains(elem)) { return false; }
    } // otherwise add element to bucket's list
    bucket.add(elem);
    return true;
}
```

- Note that this (and following) code relies on fact that array elements are null when an array is first created

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## Checking Whether an Element is In the Set

```
public boolean contains(Object elem) {
    int i = bucketNum(elem);
    List bucket = buckets[i];
    if (bucket == null) {
        // empty bucket
        return false;
    } else {
        // look for element in non-empty bucket
        return bucket.contains(elem);
    }
}
```

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## How Efficient is HashSet?

- **Parameters**
  - $n$  number of items stored in the HashSet
  - $b$  number of buckets
- **Load factor**:  $n/b$  – ratio of # entries to # buckets
- **Cost of contains() and add()** is roughly constant, independent of the size of the set, provided that:
  - Hash function is good – distributes keys evenly throughout buckets  
Ensures that buckets are all about the same size: no really long buckets
  - Load factor is small  
Don't have to search too far in any bucket
- **In the average case, the fastest set implementation!**
  - In the worst case, the slowest...

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## Some Issues

- **Interesting issues for data structures courses**
  - **How do you pick a good hash function?**  
Needs to be  $O(1)$  and produce few duplicates
  - **How do you keep the load factor small?**  
One answer: Grow the buckets array and rehash all the elements if the load factor gets too large
- Take CSE373 or CSE326 to learn more!

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## Summary

- Hash functions "guess" the right index to look for an element
  - Can do it faster than binary search can
- If most buckets are short (e.g.  $\leq 3$  elements), then works very well
- To keep buckets small, need:
  - good hash functions and
  - the ability to grow the buckets array

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## Comparing Data Structures

- We now have several implementations of data structures in which we can store and search for objects
  - Array-based lists
  - Linked lists
  - Trees
    - Binary search trees, in particular
  - Hash sets
- Each offers various tradeoffs of performance for common operations
  - Add, remove, contains, iterate (either in random or sequential order)
- Which one is best?

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*It Depends*

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