

## CSE 143

### MergeSort

N&H Exercise 13.4, Exercise 17.3

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## Divide & Conquer Revisited

• Quicksort illustrates "Divide and Conquer" approach:

- 1. Divide the array into two parts, in some sensible way  
Quicksort: "Partition"
- 2. Sort the two parts separately (recursively)
- 3. Recombine the two halves easily  
Quicksort: nothing to do at this step

• Mergesort takes similar steps

- 1. Divide the array
- 2. Sort the parts recursively
- 3. Recombine the parts

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## Mergesort

- 1. Split in half
  - just take the first half and the second half of the array, *without* rearranging
  - sort the halves separately
- 3. Combining the sorted halves ("merge")
  - repeatedly pick the least element from each array
  - compare, and put the smaller in the resulting array
  - example: if the two arrays are  
1 12 15 20  
5 6 13 21 30  
The "merged" array is  
1 5 6 12 13 15 20 21 30
- note: we will need a temporary result array

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## Mergesort Code

```
// Sort A[0..N-1] into ascending order
void mergesort(int A[], int N) {
    mergesort_help(A, 0, N-1);
}
// Sort A[lo..hi] into ascending order
void mergesort_help(int A[], int lo, int hi) {
    if (lo < hi) {
        int mid = (lo + hi) / 2;
        mergesort_help(A, lo, mid);
        mergesort_help(A, mid + 1, hi);
        merge(A, lo, mid, hi);
    }
}
```

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## Merge Code

```
// merge sequences A[lo..mid] & A[mid+1..hi],
// leaving merged result in A[lo..hi]
void merge(int A[], int lo, int mid, int hi){
    int left = lo; int right = mid + 1;
    int tempArray[MAX_SIZE]; //C++ notation - not valid Java
    for (int i = 0; i <= hi-lo; ++i) {
        assert (left <= mid || right <= hi);
        assert (left <= mid+1 && right <= hi+1);
        if (right == hi+1
            || (left <= mid) && (A[left] < A[right]))
            tempArray[i] = A[left++];
        else
            tempArray[i] = A[right++];
    }
    for (i = 0; i <= hi-lo; ++i)
        A[lo + i] = tempArray[i];
}
```

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## Mergesort Example

8 4 2 9 5 6 1 7

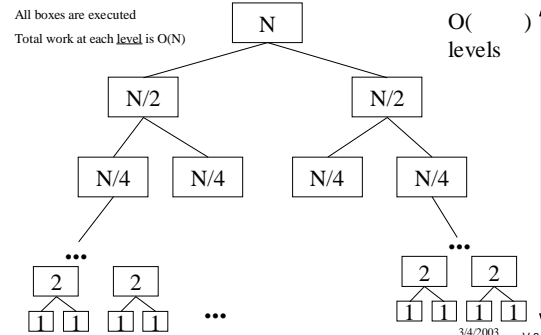
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## Mergesort Complexity

- Time complexity of merge() =  $O(\text{ })$ 
  - N is size of the part of the array being sorted
- Recursive calls:
  - Two recursive calls at each level of recursion, each does "half" the array at a cost of  $O(N/2)$
  - How many levels of recursion?

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## Mergesort Recursion



## Mergesort Space Complexity

- "Efficiency" refers to use of resources
  - Very often *time* (number of steps) is the resource
  - Could also be *space* (memory)
- Mergesort needs a temporary array at each call
  - Total temp. space is  $N$  at each level
  - Space complexity of  $O(N \log N)$
- Compare with Quicksort, Selection Sort, etc:
  - None of them required a temp array
  - All were "in-place" sorts: space complexity  $O(N)$

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## External Sorting

- *Random Factoid: Merging is the usual basis for sorting large data files*
  - Sometimes called "external" sorting
- Big files won't fit into memory all at once
- Pieces of the file are brought into memory, sorted internally, written out to sorted "runs" (subfiles) and then merged.
- Goes all the way back to early computers
  - Main memories and disks were extremely small
  - Large data files were stored on tape, which had (and still have) extremely high storage capacities

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