

# CSE 143

## Recursion

Chapter 2

Advanced Reading: Chapter 5

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## Recursion

- A **recursive** definition is one which is defined in terms of itself
- Example: Compound interest: "The **value of an investment after 10 years** is equal to the **value after 9 years plus the 10<sup>th</sup> year's interest.**"
  - To compute using this definition, you need to find the 9<sup>th</sup> year's value; that is based on the 8<sup>th</sup> year's value, which is derived from the 7<sup>th</sup> year's value, etc. etc.
  - There are also non-recursive formulas to calculate the final value.

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## Palindromes Example

- Definition I (non-recursive): A phrase is a palindrome if it reads the same forward and backwards  
*Sometimes we ignore spaces, punctuation, etc.*
- Definition II (recursive): A phrase is a palindrome if the 1st and last letters are the same, and what's inside is itself a palindrome (or is empty).

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## Insist without Iterating

```
char InsistOnYorN (void) {
    char answer;
    cout << "Please enter y or n: " << endl;
    cin >> answer;
    switch (answer) {
        case 'y': return 'y';
        case 'n': return 'n';
        default:
            return InsistOnYorN();
    }
}
```

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## Computer Science Examples

- Recursive procedure: a procedure that invokes itself
- Recursive data structures: a data structure may contain a pointer to an instance of the same type

```
struct Node {
    int data;
    Node *next;
};
```
- Recursive (inductive) definitions: if A and B are arithmetic expressions, then (A) + (B) is a valid expression
  - Try describing this non-recursively: hard or impossible

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## Factorial Example

$n!$  ("n factorial") can be defined in two ways:

- Non-recursive definition

$$n! = n * (n-1) * (n-2) * \dots * 2 * 1$$

- Recursive definition

$$n! = \begin{cases} 1 & , \text{ if } n = 1 \\ n * (n-1)! & , \text{ if } n > 1 \end{cases}$$

0! is usually defined to be 1

Undefined for negative numbers

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## Factorial (2)

- How do we write a function that reflects the recursive definition?

```
int factorial(int n) {
    assert(n >= 1);
    if ( n == 1 )
        return 1;
    else
        return n * factorial(n-1);
}
```

- The `factorial` function invokes itself.
- How can this work?

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## How Recursion Works: Simplified Model

- Every time you call a function, you get a fresh copy of it.**
  - If you call recursively, you end up with more than one copy of the function active
- When you exit a function, only that copy of it goes away.**
- In reality...
  - there's only one copy of the code (instructions), but separate copies of the data (variables and parameters)

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## How Recursion Works: Details

- Review: local variables and formal params are
  - allocated when `{ }` block is entered,
  - deleted when block is exited.
- Here's how:
  - Whenever a function is called (or `{ }` block is entered), a new "activation record" is created, containing:
    - a separate copy of all local variables and parameters
    - control info, such as where to return to
  - Activation record is alive until the function returns. Then it is destroyed.
  - This applies *whether or not* function is recursive!

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## Tracing the Process

- To trace function calls
  - draw a box each time a function is called.
  - draw an arrow from caller to called function
  - label data (local vars, params) inside the box
  - indicate the returned value (if any)
  - cross out the box after return and don't reuse it!
- Question: how is this different from a "static call graph"?
- Note that *no* special handling is needed just because a function happens to be recursive!

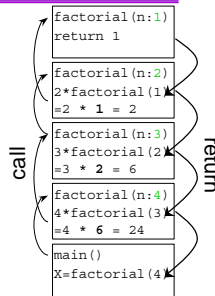
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## Trace Example

```
int factorial(int n) {
    if ( n == 1 )
        return 1;
    else
        return n * factorial(n-1);
}

...

int main (void) {
    int x = factorial(4);
    cout << "4! = " << x << endl;
    ...
}
```



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## What is Recursion?

- A programming technique
    - a function calling itself
  - An approach to problem-solving
    - Look for smaller problems similar to the larger problem
  - A way of thinking about algorithms
    - Turns out to lead to good mathematical analyses
  - The natural algorithmic technique when recursive data structures are involved
- Recursion takes practice*
- Eventually it becomes a natural habit of thought

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## What About Efficiency??

- Is recursion faster/slower/smarter/more powerful etc. than iteration? We'll talk about that, too -- later
- Learning *how* to drive a car, vs learning *when and where* to drive a car.
  - Different kinds of knowledge
  - The first especially requires focused practice

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## Infinite Recursion

- **Mathematically:**
    - $n! = n * (n-1)! = (n-1)! * n$
    - Why not program it as  $(n-1)! * n$  in that order?
- ```
int BadFactorial(n) {
    int x = BadFactorial(n-1);
    if ( n == 1 )
        return 1;
    else
        return n * x;
}
```
- What is the value of `BadFactorial(2)`?
  - The rule: Must always have some way to make recursion stop, otherwise it runs forever:

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## Using Recursion Properly

- For correct recursion (recursion that does something useful and eventually stops), need two parts:
  1. One or more **base cases** that are not recursive  
`if ( n == 1 ) return 1; // no recursion in this case`
  2. One or more **recursive cases** that operate on *smaller* problems that get *closer* to a base case  
`return n * factorial(n-1);`  
`//factorial(n-1) is a smaller problem than factorial (n)`
- The base case(s) should **always** be checked before the recursive calls

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## Searching an Array

- Problem statement: Given an array A of N ints, search for an element with value x
  - First, an iterative solution: "Linear Search"
- ```
// Return index of x if found, or -1 if not
int Find (int A[], int N, int x)
{
    for ( int i = 0; i < N; i++ )
        if ( A[i] == x )
            return i;
    return -1;
}
```
- How efficient is Linear Search?
    - Might find x on first step, or you might have to check all N values
    - On average, it takes about N/2 times through the loop

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## Binary Search

- If array is *sorted*, we can search faster
  - Start search in middle of array  
`if x is right there in the middle, you're done`
  - If x is less than middle element, need to search only in lower half
  - If x is greater than middle element, need to search only in upper half
  - continue the search within the half chosen
- Why is this faster than linear search?
  - At each step, linear search throws out *one* element
  - Binary search throws out *half* of remaining elements
- Why is recursion natural here?

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## Example

Find 26 in the following sorted array:

```
1 3 4 7 9 11 15 19 22 24 26 31 35 50 61
                        ↑
                22 24 26 31 35 50 61
                                ↑
                    22 24 26
                            ↑
                            26
                                ↑
```

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## Binary Search (Recursive)

```
int BSearch(int A[], int size, int x) {
    return findInRange(A, x, 0, size-1);
}

int findInRange(int A[], int x, int lo, int hi) {
    if (lo > hi) return -1;
    int mid = (lo+hi) / 2;
    if (x == A[mid])
        return mid;
    else if (x < A[mid])
        return findInRange(A, x, lo, mid-1);
    else
        return findInRange(A, x, mid+1, hi);
}
```

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## Linear vs Binary Search

- Linear search is simpler to program
- But much slower, except for very small N.
- How many array elements are examined?  
Ex.:  $N = 1,000,000$ 
  - Linear search: 500,000 on average
  - Binary search: only about **20 (!)**
- Mathematically: how many times do you have to cut N in half before you get down to 1?
  - That is,  $2^? = N$
  - Solution:  $\log_2 N$ .

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## Kick-off and Helper Functions

- Previous example illustrates a common pattern:
  - Top-level "kick-off" function
    - Not itself recursive
    - Starts the recursion going
    - Returns the ultimate answer
  - Helper function
    - Contains the actual recursion
    - May require additional parameters to keep track of the recursion. (Actually solving a more general problem.)
- Client programs only need call the kick-off function

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## Recursion with Array Params

```
double sum (double iArray [ ], int from, int to) {
    //find the sum of all elements in the array between index "from" and index "to"
    if (from > to)
        return 0.0;
    return iArray[from] + sum (iArray, from+1, to);
}

//Client code:
double CashValues[200];
...
double total = sum (CashValues, 0, 199);
```

- Implemented without kick-off/helper structure
  - but probably would benefit from having it

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## What does this function do?

```
int mystery (int x) {
    assert (x > 0);
    if (x == 1)
        return 0;
    int temp = mystery (x / 2);
    return 1 + temp;
}
```

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## Recursion vs. Iteration, I

- When to use recursion?
  - Processing recursive data structures
  - "Divide & Conquer" algorithms:
    1. Divide problem into subproblems
    2. Solve each subproblem recursively
    3. Combine subproblem solutions
- When to use iteration instead?
  - Nonrecursive data structures
  - Problems without obvious recursive structure
  - Problems with obvious iterative solution
  - Functions with a large "footprint"  
especially when many iterations are needed

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## Recursion vs. Iteration, II

- In theory, recursion and iteration are **completely** equivalent: any iteration can be rewritten using recursion, and vice-versa
- Recursion often gives simpler code
- Iteration is often more efficient
  - somewhat faster, less memory (but more code)
- A compromise:
  - If the problem is naturally recursive, design the algorithm recursively first
  - Later convert to iteration if needed for efficiency
  - General principle: "Make it right, then make it efficient"

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## Dueling Factoids

- **Factoid 1:** Some programming languages provide *no* iteration control statements!
  - loops must be implemented through recursion
  - rely on the compiler to make it efficient
  - Prolog, pure LISP
- **Factoid 2:** Not all programming languages support recursion!
  - COBOL, FORTRAN (at least early versions)
  - Many highly paid programmers *never* use recursion
    - So... why do we make you do it??

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## Summary

- Recursion is something defined in terms of itself
- Activation records make it work
- Elements of recursive functions
  - Base case(s)
  - Recursive case(s)
    - Base case always checked first
- When to use/when to avoid

*As the course unfolds, we'll see more and more cases where recursion is natural to use*

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