#### 2008 Nobel Prize in Chemistry: GFP

Osamu Shimomura (Woods Hole, & Boston U) GFP from *Aequorea victoria* Martin Chalfie (Columbia) used as a biomarker Roger Y. Tsien (UCSD) GFP photochemistry & new colors



Shimomura "never interested in applications" – just wanted to figure out how they glowed



Green fluorescent protein (GFP) consists of 238 amino acids. This chain folds up into the shape of a beer can. Inside the beer can structure the amino acids 65, 66 and 67 form the chemical group that absorbs UV and blue light, and fluoresces green.



# Livet et al (2007) Nature 450, 56-63



## CSEP 590A Computational Biology Autumn 2008

Lecture 3: BLAST Alignment score significance PCR and DNA sequencing

# Tonight's plan

BLAST Scoring Weekly Bio Interlude: PCR & Sequencing

# A Protein Structure: (Dihydrofolate Reductase)



## **Topoisomerase I**



# **BLAST**:

#### Basic Local Alignment Search Tool Altschul, Gish, Miller, Myers, Lipman, J Mol Biol 1990

The most widely used comp bio tool

- Which is better: long mediocre match or a few nearby, short, strong matches with the same total score? score-wise, exactly equivalent
  - biologically, later may be more interesting, & is common

at least, if must miss some, rather miss the former

BLAST is a heuristic emphasizing the later speed/sensitivity tradeoff: BLAST may miss former, but gains greatly in speed

# **BLAST: What**

Input:

a query sequence (say, 300 residues)

a data base to search for other sequences similar to the query (say, 10<sup>6</sup> - 10<sup>9</sup> residues)

a score matrix  $\sigma(r,s)$ , giving cost of substituting r for s (& perhaps gap costs)

various score thresholds & tuning parameters

Output:

"all" matches in data base above threshold

"E-value" of each

## **BLAST: How**

Idea: only parts of data base worth examining are those near a good match to some short subword of the query

- Break query into overlapping words w<sub>i</sub> of small fixed length (e.g. 3 aa or 11 nt)
- For each w<sub>i</sub>, find (empirically, ~50) "neighboring" words v<sub>ij</sub> with score  $\sigma(w_i, v_{ij}) > \text{thresh}_1$

Look up each v<sub>ii</sub> in database (via prebuilt index) --

i.e., exact match to short, high-scoring word Extend each such "seed match" (bidirectional) Report those scoring > thresh<sub>2</sub>, calculate E-values

#### **BLAST: Example**



## BLOSUM 62

	Α	R	Ν	D	С	Q	Е	G	Η	Ι	L	Κ	Μ	F	Ρ	S	Т	W	Υ	V
Α	4	-1	-2	-2	0	-1	-1	0	-2	-1	-1	-1	-1	-2	-1	1	0	-3	-2	0
R	-1	5	0	-2	-3	1	0	-2	0	-3	-2	2	-1	-3	-2	-1	-1	-3	-2	-3
Ν	-2	0	6	1	-3	0	0	0	1	-3	-3	0	-2	-3	-2	1	0	-4	-2	-3
D	-2	-2	1	6	-3	0	2	-1	-1	-3	-4	-1	-3	-3	-1	0	-1	-4	-3	-3
С	0	-3	-3	-3	9	-3	-4	-3	-3	-1	-1	-3	-1	-2	-3	-1	-1	-2	-2	-1
Q	-1	1	0	0	-3	5	2	-2	0	-3	-2	1	0	-3	-1	0	-1	-2	-1	-2
Е	-1	0	0	2	-4	2	5	-2	0	-3	-3	1	-2	-3	-1	0	-1	-3	-2	-2
G	0	-2	0	-1	-3	-2	-2	6	-2	-4	-4	-2	-3	-3	-2	0	-2	-2	-3	-3
н	-2	0	1	-1	-3	0	0	-2	8	-3	-3	-1	-2	-1	-2	-1	-2	-2	2	-3
Ι	-1	-3	-3	-3	-1	-3	-3	-4	-3	4	2	-3	1	0	-3	-2	-1	-3	-1	3
L	-1	-2	-3	-4	-1	-2	-3	-4	-3	2	4	-2	2	0	-3	-2	-1	-2	-1	1
К	-1	2	0	-1	-3	1	1	-2	-1	-3	-2	5	-1	-3	-1	0	-1	-3	-2	-2
Μ	-1	-1	-2	-3	-1	0	-2	-3	-2	1	2	-1	5	0	-2	-1	-1	-1	-1	1
F	-2	-3	-3	-3	-2	-3	-3	-3	-1	0	0	-3	0	6	-4	-2	-2	1	3	-1
Ρ	-1	-2	-2	-1	-3	-1	-1	-2	-2	-3	-3	-1	-2	-4	7	-1	-1	-4	-3	-2
S	1	-1	1	0	-1	0	0	0	-1	-2	-2	0	-1	-2	-1	4	1	-3	-2	-2
Т	0	-1	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-1	-2	-1	1	5	-2	-2	0
W	-3	-3	-4	-4	-2	-2	-3	-2	-2	-3	-2	-3	-1	1	-4	-3	-2	11	2	-3
Υ	-2	-2	-2	-3	-2	-1	-2	-3	2	-1	-1	-2	-1	3	-3	-2	-2	2	7	-1
V	0	-3	-3	-3	-1	-2	-2	-3	-3	3	1	-2	1	-1	-2	-2	0	-3	-1	4

#### **BLAST Refinements**

"Two hit heuristic" -- need 2 nearby, nonoverlapping, gapless hits before trying to extend either
"Gapped BLAST" -- run heuristic version of Smith -Waterman, bi-directional from hit, until score drops by fixed amount below max

PSI-BLAST -- For proteins, iterated search, using "weight matrix" pattern from initial pass to find weaker matches in subsequent passes Many others

## Significance of Alignments

Is "42" a good score? Compared to what?

Usual approach: compared to a specific "null model", such as "random sequences"

# Hypothesis Testing: A Very Simple Example

Given: A coin, either fair (p(H)=1/2) or biased (p(H)=2/3)

Decide: which

How? Flip it 5 times. Suppose outcome D = HHHTH Null Model/Null Hypothesis  $M_0$ : p(H)=1/2Alternative Model/Alt Hypothesis  $M_1$ : p(H)=2/3Likelihoods:

 $P(D | M_0) = (1/2) (1/2) (1/2) (1/2) (1/2) = 1/32$  $P(D | M_1) = (2/3) (2/3) (2/3) (1/3) (2/3) = 16/243$ 

Likelihood Ratio:

$$\frac{p(D \mid M_1)}{p(D \mid M_0)} = \frac{16/243}{1/32} = \frac{512}{243} \approx 2.1$$

I.e., alt model is  $\approx 2.1x$  more likely than null model, given data

# Hypothesis Testing, II

Log of likelihood ratio is equivalent, often more convenient

add logs instead of multiplying...

- "Likelihood Ratio Tests": reject null if LLR > threshold LLR > 0 disfavors null, but higher threshold gives stronger
  - evidence against
- Neyman-Pearson Theorem: For a given error rate, LRT is as good a test as any (subject to some fine print).

## p-values

- The *p-value* of such a test is the probability, assuming that the null model is true, of seeing data as extreme or more extreme that what you actually observed
- E.g., we observed 4 heads; p-value is prob of seeing 4 or 5 heads in 5 tosses of a fair coin
- Why interesting? It measures *probability that we would be making a mistake in rejecting null*.
- Usual scientific convention is to reject null only if p-value is < 0.05; sometimes demand p << 0.05
- Can analytically find p-value for simple problems like coins; often turn to simulation/permutation tests for more complex situations; as below

## A Likelihood Ratio

Defn: two proteins are *homologous* if they are alike because of shared ancestry; similarity by descent

suppose among proteins overall, residue x occurs with frequency  $p_x$  then in a random alignment of 2 random proteins, you would expect to find x aligned to y with prob  $p_x p_y$ 

suppose among *homologs*, x & y align with prob p<sub>xy</sub>

are seqs X & Y homologous? Which is more likely, that the alignment reflects chance or homology? Use a *likelihood ratio test.* 



## Non-ad hoc Alignment Scores

Take alignments of homologs and look at frequency of x-y alignments vs freq of x, y overall

Issues

biased samples evolutionary distance

BLOSUM approach

large collection of trusted alignments (the BLOCKS DB) subsetted by similarity, e.g. BLOSUM62 => 62% identity



e.g. http://blocks.fhcrc.org/blocks-bin/getblock.pl?IPB013598

## ad hoc Alignment Scores?

Make up any scoring matrix you like

Somewhat surprisingly, under pretty general assumptions<sup>\*\*</sup>, it is *equivalent* to the scores constructed as above from some set of probabilities p<sub>xy</sub>, so you might as well understand what they are

NCBI-BLAST: +1/-2

WU-BLAST: +5/-4

\*\* e.g., average scores should be negative, but you probably want that anyway, otherwise local alignments turn into global ones, and some score must be > 0, else best match is empty

## BLOSUM 62

	Α	R	Ν	D	С	Q	Е	G	Η	Ι	L	Κ	Μ	F	Ρ	S	Т	W	Υ	V
Α	4	-1	-2	-2	0	-1	-1	0	-2	-1	-1	-1	-1	-2	-1	1	0	-3	-2	0
R	-1	5	0	-2	-3	1	0	-2	0	-3	-2	2	-1	-3	-2	-1	-1	-3	-2	-3
Ν	-2	0	6	1	-3	0	0	0	1	-3	-3	0	-2	-3	-2	1	0	-4	-2	-3
D	-2	-2	1	6	-3	0	2	-1	-1	-3	-4	-1	-3	-3	-1	0	-1	-4	-3	-3
С	0	-3	-3	-3	9	-3	-4	-3	-3	-1	-1	-3	-1	-2	-3	-1	-1	-2	-2	-1
Q	-1	1	0	0	-3	5	2	-2	0	-3	-2	1	0	-3	-1	0	-1	-2	-1	-2
Е	-1	0	0	2	-4	2	5	-2	0	-3	-3	1	-2	-3	-1	0	-1	-3	-2	-2
G	0	-2	0	-1	-3	-2	-2	6	-2	-4	-4	-2	-3	-3	-2	0	-2	-2	-3	-3
н	-2	0	1	-1	-3	0	0	-2	8	-3	-3	-1	-2	-1	-2	-1	-2	-2	2	-3
Ι	-1	-3	-3	-3	-1	-3	-3	-4	-3	4	2	-3	1	0	-3	-2	-1	-3	-1	3
L	-1	-2	-3	-4	-1	-2	-3	-4	-3	2	4	-2	2	0	-3	-2	-1	-2	-1	1
К	-1	2	0	-1	-3	1	1	-2	-1	-3	-2	5	-1	-3	-1	0	-1	-3	-2	-2
Μ	-1	-1	-2	-3	-1	0	-2	-3	-2	1	2	-1	5	0	-2	-1	-1	-1	-1	1
F	-2	-3	-3	-3	-2	-3	-3	-3	-1	0	0	-3	0	6	-4	-2	-2	1	3	-1
Ρ	-1	-2	-2	-1	-3	-1	-1	-2	-2	-3	-3	-1	-2	-4	7	-1	-1	-4	-3	-2
S	1	-1	1	0	-1	0	0	0	-1	-2	-2	0	-1	-2	-1	4	1	-3	-2	-2
Т	0	-1	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-1	-2	-1	1	5	-2	-2	0
W	-3	-3	-4	-4	-2	-2	-3	-2	-2	-3	-2	-3	-1	1	-4	-3	-2	11	2	-3
Υ	-2	-2	-2	-3	-2	-1	-2	-3	2	-1	-1	-2	-1	3	-3	-2	-2	2	7	-1
V	0	-3	-3	-3	-1	-2	-2	-3	-3	3	1	-2	1	-1	-2	-2	0	-3	-1	4

## Overall Alignment Significance, I A Theoretical Approach: EVD

- Let  $X_i$ ,  $1 \le i \le N$ , be indp. random variables drawn from some (non -pathological) distribution
- Q. what can you say about distribution of  $y = sum\{X_i\}$ ?
- A. y is approximately normally distributed
- Q. what can you say about distribution of  $y = max\{X_i\}$ ?
- A. it's approximately an Extreme Value Distribution (EVD)

$$P(y \le z) \approx \exp(-KNe^{-\lambda(z-\mu)}) \qquad (*)$$

For ungapped local alignment of seqs x, y,  $N \sim |x|^*|y|$ 

 $\lambda$ , K depend on scores, etc., or can be estimated by curve-fitting random scores to (\*). (cf. reading)



Normal

EVD

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# **EVD** Pro/Con

Pro:

gives p-values for alignment scores

Con:

It's only approximate

parameter estimation

theory may not apply. E.g., it is NOT known to hold for gapped alignments (although empirically it seems to work pretty well).

# Overall Alignment Significance, II Empirical (via randomization)

generate N random sequences (say N =  $10^3 - 10^6$ ) align x to each & score

if k of them have better score than alignment of x to y, then the (empirical) probability of a chance alignment as good as observed x:y alignment is < (k+1)/(N+1) e.g., if 0 of 99 are better, you can say "estimated p < .01"</li>
How to generate "random" sequences?
Alignment scores often sensitive to sequence composition so uniform 1/20 or 1/4 is a bad idea even background p<sub>i</sub> can be dangerous Better idea: *permute* y N times

## **Generating Random Permutations**

```
for (i = n-1; i > 0; i--){
    j = random(0..i);
    swap X[i] <-> X[j];
}
```



## **Permutation Pro/Con**

Pro:

Gives empirical p-values for alignments with characteristics like sequence of interest, e.g. residue frequencies

Con:

- Can be inaccurate if your method of generating random sequences is unrepresentative
- E.g., probably better to preserve di-, tri-residue statistics and/or other higher-order characteristics, but increasingly hard to know exactly what to model & how

Slow

Especially if you want to assess low-probability p-values

## **E-values**

"p-value": *probability* of a score more extreme than observed in a given random target data base

E-value: expected *number* of matches that good or better in a random data base of the given size & composition

```
Related: P = 1 - exp(-E)
```

```
E = 5 <--> P = .993
```

E = 10 <--> P = .99995

 $E = .01 \iff P = E - E^2/2 + E^3/3! \dots \approx E$ 

both equally valid; E-value is perhaps a more intuitively interpretable quantity, & perhaps makes role of data base size

more explicit

#### Issues

What if the model is wrong?

E.g., are adjacent positions really independent?

# Summary

BLAST is a highly successful search/alignment heuristic. It looks for alignments anchored by short, strong, ungapped "seed" alignments

Assessing statistical significance of alignment scores is crucial to practical applications

score matrices derived from "likelihood ratio" test of trusted alignments vs random "null" model

for gapless alignments, Extreme Value Distribution (EVD) is theoretically justified for overall significance of alignment scores; empirically seems ok for gapped alignments, too permutation tests are a simple (but brute force) alternative

## Weekly Bio(tech) Interlude

3 Nobel Prizes: PCR: Kary Mullis, 1993 Electrophoresis: A.W.K. Tiselius, 1948 DNA Sequencing: Frederick Sanger, 1980

#### PCR





Geyser, Yellowstone National Park



## PCR

Ingredients:

many copies of deoxy nucleotide triphosphates
many copies of two primer sequences (~20 nt each)
readily synthesized
many copies of Taq polymerase (*Thermus aquaticus*),
readily available commercialy
as little as 1 strand of template DNA
a programmable "thermal cycler"
Amplification: million to billion fold
Range: up to 2k bp routinely; 50k with other enzymes & care *Very widely used*; forensics, archeology, cloning, sequencing, ...

## **DNA Forensics**

E.g. FBI "CODIS" (combined DNA indexing system) data base

pick 13 short, variable regions of human genome amplify each from, e.g., small spot of dried blood measure product lengths (next slides)

PCR is important for all the reasons that amplifiers are important in electronics, e.g., sample size is reduced from grams of tissue to a few cells

## **Gel Electrophoresis**

DNA/RNA backbone is negatively charged Molecules moves slowly in gels under an electric field agarose gels for large molecules polyacrylamide gels for smaller ones Smaller molecules move faster

So, you can separate DNAs & RNAs by size

Nobel Chem prize, 1948 Arne Wilhelm Kaurin Tiselius



## **DNA Sequencing**

Like one-cycle, one-primer PCR Suppose 0.1% of A's: are *di*-deoxy adenosine's; backbone can't extend carry a green florescent dye Separate by capillary gel electrophoresis If frags of length 42, 49, 50, 55 ... glow green, those positions are A's Ditto C's (blue), G's (yellow), T's (red)

## **DNA Sequencing**



# **DNA Sequencing**

Highly automated Typically can "read" about 600 nt in one run "Whole Genome Shotgun" approach: cut genome randomly into ~ G / 600 x 10 fragments sequence each reassemble by computer



Complications: repeated region, missed regions, sequencing errors, chimeric DNA fragments, ... But overall accuracy ~10<sup>-4</sup>, if careful

## "Next Generation" Sequencing

40 million microscopic PCR "colonies" on 1x2" slide "read" ~50 bp of sequence from end of each Automated takes 2-3 days costs a few thousand dollars generates ~ terabyte of data (mostly images)

that's ~  $\frac{1}{2}$  of a human genome other approaches: long reads, single molecules

# Summary

PCR allows simple *in vitro* amplification of minute quantities of DNA (having pre-specified boundaries)

Sanger sequencing uses

a PCR-like setup with modified chemistry to generate varying length prefixes of a DNA template with the last nucleotide of each color-coded

gel electrophoresis to separate DNA by size, giving sequence

Sequencing random overlapping fragments allows genome sequencing

"Next Gen" sequencing: throughput up, cost down (a lot)