## Reading

## 15. Subdivision curves

## Subdivision curves

## Idea:

- repeatedly refine the control polygon

$$
P_{0} \rightarrow P_{1} \rightarrow P_{2} \rightarrow \cdots
$$

- curve is the limit of an infinite process

$$
C=\lim _{i \rightarrow \infty} P_{i}
$$



Recommended:

- Stollnitz, DeRose, and Salesin. Wavelets for Computer Graphics: Theory and Applications, 1996, section 6.1-6.3, A.5.


## Chaikin's algorithm

Chakin introduced the following "corner-cutting" scheme in 1974:

- Start with a piecewise linear curve
- Insert new vertices at the midpoints (the splitting step)
- Average each vertex with the "next" neighbor (the averaging step)
- Go to the splitting step




## Averaging masks

The limit curve is a quadratic B -spline!
Instead of averaging with the nearest neighbor, we can generalize by applying an averaging mask during the averaging step:

$$
r=\left(\ldots, r_{-1}, r_{0}, r_{1}, \ldots\right)
$$

In the case of Chaikin's algorithm:

$$
r=
$$

## Lane-Riesenfeld algorithm (1980)

Use averaging masks from Pascal's triangle:

$$
r=\frac{1}{2^{n}}\left(\binom{n}{0},\binom{n}{1}, \cdots,\binom{n}{n}\right)
$$

Gives B-splines of degree $n+1$.
$\mathrm{n}=0$ :
$\mathrm{n}=1$ :
$\mathrm{n}=2$ :

## Subdivide ad nauseum?

After each split-average step, we are closer to the limit surface.

How many steps until we reach the final (limit) position?

Can we push a vertex to its limit position without infinite subdivision? Yes!

## Local subdivision matrix

Consider the cubic B-spline subdivision mask:

$$
\frac{1}{4}\left(\begin{array}{lll}
1 & 2 & 1
\end{array}\right)
$$

Now consider what happens during splitting and averaging:


We can write equations that relate points at one subdivision level to points at the previous:

$$
\begin{aligned}
& Q_{L}^{1}=\frac{1}{2}\left(Q_{L}^{0}+Q^{0}\right)=\frac{1}{8}\left(4 Q_{L}^{0}+4 Q^{0}\right) \\
& Q^{1}=\frac{1}{8}\left(Q_{L}^{0}+6 Q^{0}+Q_{R}^{0}\right) \\
& Q_{R}^{1}=\frac{1}{2}\left(Q^{0}+Q_{R}^{0}\right)=\frac{1}{8}\left(4 Q^{0}+4 Q_{R}^{0}\right)
\end{aligned}
$$

## Local subdivision matrix

We can write this as a recurrence relation in matrix form:

$$
\begin{aligned}
& \left(\begin{array}{l}
Q_{L}^{j} \\
Q^{j} \\
Q_{R}^{j}
\end{array}\right)=\frac{1}{8}\left(\begin{array}{lll}
4 & 4 & 0 \\
1 & 6 & 1 \\
0 & 4 & 4
\end{array}\right)\left(\begin{array}{l}
Q_{L}^{j-1} \\
Q^{j-1} \\
Q_{R}^{j-1}
\end{array}\right) \\
& Q^{j}=S Q^{j-1}
\end{aligned}
$$

Where the $Q^{\prime}$ 's are row vectors and $S$ is the local subdivision matrix.

We can think about the behavior of each coordinate independently. For example, the x-coordinate:

$$
\begin{aligned}
\left(\begin{array}{c}
x_{L}^{j} \\
x^{j} \\
x_{R}^{j}
\end{array}\right) & =\frac{1}{8}\left(\begin{array}{lll}
4 & 4 & 0 \\
1 & 6 & 1 \\
0 & 4 & 4
\end{array}\right)\left(\begin{array}{c}
x_{L}^{j-1} \\
x^{j-1} \\
x_{R}^{j-1}
\end{array}\right) \\
X^{j} & =S X^{j-1}
\end{aligned}
$$

## Local subdivision matrix, cont'd

Tracking just the $x$ components through subdivision:

$$
X^{j}=S X^{j-1}=S \cdot S X^{j-2}=S \cdot S \cdot S X^{j-3}=\cdots=S^{j} X^{0}
$$

The limit position of the $x$ 's is then:

$$
X^{\infty}=\lim _{j \rightarrow \infty} S^{j} X^{0}
$$

OK, so how do we apply a matrix an infinite number of times??

## Eigenvectors and eigenvalues

To solve this problem, we need to look at the eigenvectors and eigenvalues of $S$. First, a review...

Let $v$ be a vector such that:

$$
S v=\lambda v
$$

We say that $v$ is an eigenvector with eigenvalue $\lambda$.
An $n \times n$ matrix can have $n$ eigenvalues and eigenvectors:

$$
\begin{gathered}
S v_{1}=\lambda_{1} v_{1} \\
\vdots \\
S v_{n}=\lambda_{n} v_{n}
\end{gathered}
$$

For non-defective matrices, the eigenvectors form a basis, which means we can re-write $X$ in terms of the eigenvectors:

$$
x=\sum^{n} a_{i} v_{i}
$$

## To infinity, but not beyond...

Now let's apply the matrix to the vector X :

$$
s X=s \sum^{n} a_{i} v_{i}=\sum^{n} a_{i} S v_{i}=\sum^{n} a_{i} \lambda_{i} v_{i}
$$

Applying it $j$ times:

$$
s^{j} X=s^{j} \sum^{n} a_{i} v_{i}=\sum^{n} a_{i} s^{j} v_{i}=\sum^{n} a_{i} \lambda_{i}^{j} v_{i}
$$

Let's assume the eigenvalues are sorted so that:

$$
\lambda_{1}>\lambda_{2}>\lambda_{3} \geq \cdots \geq \lambda_{n}
$$

Now let $j$ go to infinity.
If $\lambda_{1}>1$, then...
If $\lambda_{1}<1$, then...
If $\lambda_{1}=1$, then:

$$
S^{\infty} X=\sum^{n} a_{i} \lambda_{i}^{\infty} v_{i}=a_{1} v_{1}
$$

## Evaluation masks

What are the eigenvalues and eigenvectors of our cubic B-spline subdivision matrix?

$$
\begin{array}{lll}
\lambda_{1}=1 & \lambda_{2}=\frac{1}{2} & \lambda_{3}=\frac{1}{4} \\
v_{1}=\left(\begin{array}{l}
1 \\
1 \\
1
\end{array}\right) & v_{2}=\left(\begin{array}{c}
-1 \\
0 \\
1
\end{array}\right) & v_{3}=\left(\begin{array}{c}
2 \\
-1 \\
2
\end{array}\right)
\end{array}
$$

We're OK!

But where did the $x$-coordinates end up?

## Recipe for subdivision curves

The evaluation mask for the cubic B-spline is:

$$
\frac{1}{6}\left(\begin{array}{lll}
1 & 4 & 1
\end{array}\right)
$$

Now we can cook up a simple procedure for creating subdivision curves:

- Subdivide (split+average) the control polygon a few times. Use the averaging mask.
- Push the resulting points to the limit positions. Use the evaluation mask.

Question: what is the tangent to the curve?
Answer: apply the second left eigenvector, $u_{2}$, as a tangent mask.

## Evaluation masks, cont'd

To finish up, we need to compute $a_{1}$.
It turns out that, if we call $v_{i}$ the "right eigenvectors" then there are a corresponding set of "left eigenvectors" with the same eigenvalues such that:

$$
\begin{gathered}
u_{1}^{T} S=\lambda_{1} u_{1}^{T} \\
\vdots \\
u_{n}^{T} S=\lambda_{n} u_{n}^{T}
\end{gathered}
$$

Using the first left eigenvector, we can compute:

$$
x^{\infty}=a_{1}=u_{1}^{T} X^{0}
$$

In fact, this works at any subdivision level:

$$
x^{\infty}=S^{\infty} X^{i}=u_{1}^{T} X^{j}
$$

The same result obtains for the $y$-coordinate:

$$
y^{\infty}=S^{\infty} Y^{i}=u_{1}^{T} Y^{j}
$$

We call $u_{i}$ an evaluation mask.

## DLG interpolating scheme (1987)

Slight modification to algorithm:

- splitting step introduces midpoints
- averaging step only changes midpoints

For DLG (Dyn-Levin-Gregory), use:

$$
r=\frac{1}{16}(-2,6,10,6,-2)
$$




Since we are only changing the midpoints, the points after the averaging step do not move.

## Summary

## What to take home:

- How to perform the splitting and averaging steps
- What an evaluation mask is and how to use it
- An appreciation for the mathematics behind subdivision curves

