## Subdivision curves

## Reading

Stollnitz, DeRose, and Salesin. Wavelets for Computer Graphics: Theory and Applications, 1996, section 6.1-6.3, A. 5.

## Subdivision curves

Idea:

- repeatedly refine the control polygon

$$
\begin{aligned}
\boldsymbol{P}_{\mathbf{0}} \longrightarrow & \boldsymbol{P}_{\mathbf{1}} \longrightarrow \boldsymbol{P}_{\mathbf{2}} \longrightarrow \cdots \\
& \lim _{i \rightarrow \infty} P_{i}
\end{aligned}
$$

- curve is the limit of an infinite process






## Chaikin's algorithm

Chakin introduced the following "corner-cutting" scheme in 1974:

- Start with a piecewise linear curve
- Insert new vertices at the midpoints (the splitting step)
- Average each vertex with the "next" neighbor (the averaging step)
- Go to the splitting step



## Averaging masks

The limit curve is a quadratic B-spline!
Instead of averaging with the nearest neighbor, we can generalize by applying an averaging mask during the averaging step:

$$
r=\left(\mathrm{K}, r_{-1}, r_{0}, r_{1}, \mathrm{~K}\right)
$$

In the case of Chaikin's algorithm:

$$
r=
$$

## Lane-Riesenfeld algorithm (1980)

Use averaging masks from Pascal's triangle:

$$
r=\frac{1}{2^{n}}\left(\binom{n}{0},\binom{n}{1}, \cdots,\binom{n}{n}\right)
$$

Gives B-splines of degree $n+1$.
$\mathrm{n}=0$ :
$\mathrm{n}=1$ :
$\mathrm{n}=2$ :

## Subdivide ad nauseum?

After each split-average step, we are closer to the limit surface.

How many steps until we reach the final (limit) position?
Can we push a vertex to its limit position without infinite subdivision? Yes!

## Local subdivision matrix

Consider the cubic B-spline subdivision mask: $\frac{1}{4}\left(\begin{array}{lll}1 & 2 & 1\end{array}\right)$
Now consider what happens during splitting and averaging:


Relating points at one subdivision level to points at the previous:

$$
\begin{aligned}
& Q_{L}^{1}=\frac{1}{2}\left(Q_{L}^{0}+Q^{0}\right)=\frac{1}{8}\left(4 Q_{L}^{0}+4 Q^{0}\right) \\
& Q^{1}=\frac{1}{8}\left(Q_{L}^{0}+6 Q^{0}+Q_{R}^{0}\right) \\
& Q_{R}^{1}=\frac{1}{2}\left(Q^{0}+Q_{R}^{0}\right)=\frac{1}{8}\left(4 Q^{0}+4 Q_{R}^{0}\right)
\end{aligned}
$$

## Local subdivision matrix

We can write this as a recurrence relation in matrix form:

$$
\begin{aligned}
\left(\begin{array}{l}
Q_{L}^{j} \\
Q^{j} \\
Q_{R}^{j}
\end{array}\right) & =\frac{1}{8}\left(\begin{array}{lll}
4 & 4 & 0 \\
1 & 6 & 1 \\
0 & 4 & 4
\end{array}\right)\left(\begin{array}{l}
Q_{L}^{j-1} \\
Q^{j-1} \\
Q_{R}^{j-1}
\end{array}\right) \\
\mathbf{Q}^{j} & =\mathbf{S Q}^{j-1}
\end{aligned}
$$

$\mathbf{Q}$ 's are row vectors and $\mathbf{S}$ is the local subdivision matrix.

Looking at the x -coordinate independently:

$$
\begin{aligned}
\left(\begin{array}{l}
x_{L}^{j} \\
x^{j} \\
x_{R}^{j}
\end{array}\right) & =\frac{1}{8}\left(\begin{array}{lll}
4 & 4 & 0 \\
1 & 6 & 1 \\
0 & 4 & 4
\end{array}\right)\left(\begin{array}{l}
x_{L}^{j-1} \\
x^{j-1} \\
x_{R}^{j-1}
\end{array}\right) \\
\mathbf{X}^{j} & =\mathbf{S X}^{j-1}
\end{aligned}
$$

## Local subdivision matrix, cont'd

Tracking just the $x$ components through subdivision:

$$
\begin{aligned}
\mathbf{X}^{j} & =\mathbf{S X}^{j-1}=\mathbf{S} \cdot \mathbf{S} \mathbf{X}^{j-2}=\mathbf{S} \cdot \mathbf{S} \cdot \mathbf{S} \mathbf{X}^{j-3}=\mathrm{L} \\
& =\mathbf{S}^{j} \mathbf{X}^{0}
\end{aligned}
$$

The limit position of the x 's is then:

$$
X^{\infty}=\lim _{j \rightarrow \infty} S^{j} X^{0}
$$

OK, so how do we apply a matrix an infinite number of times??

## Eigenvectors and eigenvalues

To solve this problem, we need to look at the eigenvectors and eigenvalues of $S$. First, a review...

Let $v$ be a vector such that:

$$
S v=\lambda v
$$

We say that $v$ is an eigenvector with eigenvalue $\lambda$.
An $n \times n$ matrix can have $n$ eigenvalues and eigenvectors:

$$
\begin{aligned}
& S v_{1}=\lambda_{1} v_{1} \\
& \quad \mathrm{M} \\
& S v_{n}=\lambda_{n} v_{n}
\end{aligned} \quad X=\sum^{n} a_{i} v_{i}
$$

For non-defective matrices, the eigenvectors form a basis, which means we can re-write $X$ in terms of the eigenvectors:

## To infinity, but not beyond...

Now let's apply the matrix to the vector X:

$$
S X=S \sum^{n} a_{i} v_{i}=\sum^{n} a_{i} S v_{i}=\sum^{n} a_{i} \lambda_{i} v_{i}
$$

Applying it $j$ times:

$$
S^{j} X=S^{j} \sum^{n} a_{i} v_{i}=\sum^{n} a_{i} S^{j} v_{i}=\sum^{n} a_{i} \lambda_{i}^{j} v_{i}
$$

Let's assume the eigenvalues are sorted so that:

$$
\lambda_{1}>\lambda_{2}>\lambda_{3} \geq \mathrm{L} \geq \lambda_{n}
$$

Now let $j$ go to infinity.
If $\lambda_{1}>1$, then...
If $\lambda_{1}<1$, then...

$$
S^{\infty} X=\sum^{n} a_{i} \lambda_{i}^{\infty} v_{i}=a_{1} v_{1}
$$

If $\lambda_{1}=1$, then:

## Evaluation masks

What are the eigenvalues and eigenvectors of our cubic Bspline subdivision matrix?

$$
\begin{array}{lll}
\lambda_{1}=1 & \lambda_{2}=\frac{1}{2} & \lambda_{3}=\frac{1}{4} \\
v_{1}=\left(\begin{array}{l}
1 \\
1 \\
1
\end{array}\right) & v_{2}=\left(\begin{array}{c}
-1 \\
0 \\
1
\end{array}\right) & v_{3}=\left(\begin{array}{c}
2 \\
-1 \\
2
\end{array}\right)
\end{array}
$$

We're OK!
But where did the x -coordinates end up?

## Evaluation masks, cont'd

To finish up, we need to compute $a_{l}$.
It turns out that, if we call $v_{i}$ the "right eigenvectors" then there are a corresponding set of "left eigenvectors" with the same eigenvalues such that:

$$
\begin{gathered}
u_{1}^{T} S=\lambda_{1} u_{1}^{T} \\
\mathrm{M} \\
u_{n}^{T} S=\lambda_{n} u_{n}^{T}
\end{gathered}
$$

Using the first left eigenvector, we can compute: $x^{\infty}=a_{1}=u_{1}^{T} X^{0}$
In fact, this works at any subdivision level: $\quad x^{\infty}=S^{\infty} X^{j}=u_{1}^{T} X^{j}$
The same result obtains for the y-coordinate: $\quad y^{\infty}=S^{\infty} Y^{j}=u_{1}^{T} Y^{j}$
We call $u_{i}$ an evaluation mask.

## Recipe for subdivision curves

The evaluation mask for the cubic B-spline is:

$$
\frac{1}{6}\left(\begin{array}{lll}
1 & 4 & 1
\end{array}\right)
$$

Now we can cook up a simple procedure for creating subdivision curves:

- Subdivide (split+average) the control polygon a few times. Use the averaging mask.
- Push the resulting points to the limit positions. Use the evaluation mask.

Question: what is the tangent to the curve?
Answer: apply the second left eigenvector, $u_{2}$, as a tangent mask.

## DLG interpolating scheme (1987)

Slight modification to algorithm:

- splitting step introduces midpoints
- averaging step only changes midpoints

For DLG (Dyn-Levin-Gregory), use:

$$
r=\frac{1}{16}(-2,6,10,6,-2)
$$




Since we are only changing the midpoints, the points after the averaging step do not move.

## Building complex models



## Subdivision surfaces

Chaikin's use of subdivision for curves inspired similar techniques for subdivision.

Iteratively refine a control polyhedron (or control mesh) to produce the limit surface

$$
\sigma=\lim _{j \rightarrow \infty} M^{j}
$$

using splitting and averaging steps.


There are two types of splitting steps:

- vertex schemes
- face schemes


## Vertex schemes

A vertex surrounded by $n$ faces is split into $n$ subvertices, one for each face:


Original
Doo-Sabin subdivision:


After splitting


## Face schemes

Each quadrilateral face is split into four subfaces:


Original


After splitting

Catmull-Clark subdivision:


## Face schemes, cont.

Each triangular face is split into four subfaces:


Original


After splitting

Loop subdivision:


## Averaging step

Once again we can use masks for the averaging step:


Vertex labeling


Averaging mask

$$
Q \leftarrow \frac{\alpha(n)+Q_{1}+\mathrm{L}+Q_{n}}{\alpha(n)+n}
$$

where

$$
\alpha(n)=\frac{n(1-\beta(n))}{\beta(n)} \quad \beta(n)=\frac{5}{4}-\frac{(3+2 \cos (2 \pi / n))^{2}}{32}
$$

(carefully chosen to ensure smoothness.)

## Adding creases without trim curves

Sometimes, particular feature such as a crease should be preserved. With NURBS surfaces, this required the use of trim curves.
For subdivision surfaces, we just modify the subdivision mask:


Loop crease/boundary edge
Buttery crease/boundary edge
This gives rise to $\mathrm{G}^{0}$ continuous surfaces.


## Creases without trim curves, cont.

Here's an example using Catmull-Clark surfaces of the kind found in Geri's Game:


