Natural Language Processing (CSEP 517): Sequence Models

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To-Do List

- ► Online quiz: due Sunday
- ► Read: Collins (2011), which has somewhat different notation; Jurafsky and Martin (2016a,b,c)
- ► A2 due April 23 (Sunday)

Linguistic Analysis: Overview

Every linguistic analyzer is comprised of:

- 1. Theoretical motivation from linguistics and/or the text domain
- 2. An algorithm that maps \mathcal{V}^{\dagger} to some output space \mathcal{Y} .
- 3. An implementation of the algorithm
 - Once upon a time: rule systems and crafted rules
 - ► Most common now: supervised learning from annotated data
 - ► Frontier: less supervision (semi-, un-, reinforcement, distant, ...)

Sequence Labeling

After text classification $(\mathcal{V}^{\dagger} \to \mathcal{L})$, the next simplest type of output is a **sequence labeling**.

$$\langle x_1, x_2, \dots, x_\ell \rangle \mapsto \langle y_1, y_2, \dots, y_\ell \rangle$$

 $\boldsymbol{x} \mapsto \boldsymbol{y}$

Every word gets a label in \mathcal{L} . Example problems:

- ▶ part-of-speech tagging (Church, 1988)
- spelling correction (Kernighan et al., 1990)
- word alignment (Vogel et al., 1996)
- ▶ named-entity recognition (Bikel et al., 1999)
- compression (Conroy and O'Leary, 2001)

The Simplest Sequence Labeler: "Local" Classifier

Define features of a labeled word in context: $\phi(x,i,y)$.

Train a classifier, e.g.,

$$\begin{split} \hat{y}_i &= \operatorname*{argmax}_{y \in \mathcal{L}} s(\boldsymbol{x}, i, y) \\ &\stackrel{\mathsf{linear}}{=} \operatorname*{argmax}_{y \in \mathcal{L}} \mathbf{w} \cdot \boldsymbol{\phi}(\boldsymbol{x}, i, y) \end{split}$$

Decide the label for each word independently.

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Decide the label for each word independently.

Sometimes this works!

We can do better when there are predictable relationships between Y_i and Y_{i+1} .

Generative Sequence Labeling: Hidden Markov Models

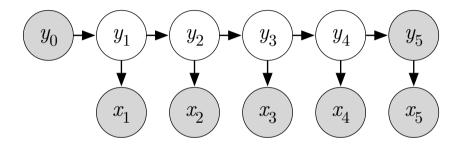
$$p(\boldsymbol{x}, \boldsymbol{y}) = \prod_{i=1}^{\ell+1} p(x_i \mid y_i) \cdot p(y_i \mid y_{i-1})$$

For each state/label $y \in \mathcal{L}$:

- ▶ $p(X_i \mid Y_i = y)$ is the "emission" distribution for y
- ▶ $p(Y_i \mid Y_{i-1} = y)$ is called the "transition" distribution for y

Assume Y_0 is always a start state and $Y_{\ell+1}$ is always a stop state; $x_{\ell+1}$ is always the stop symbol.

Graphical Representation of Hidden Markov Models



Note: handling of beginning and end of sequence is a bit different than before. Last x is known since $p(\bigcirc | \bigcirc) = 1$.

Structured vs. Not

Each of these has an advantage over the other:

- ► The HMM lets the different labels "interact."
- ightharpoonup The local classifier makes all of x available for every decision.

Prediction with HMMs

The classical HMM tells us to choose:

$$\underset{\boldsymbol{y} \in \mathcal{L}^{\ell+1}}{\operatorname{argmax}} \prod_{i=1}^{\ell+1} p(x_i, | y_i) \cdot p(y_i | y_{i-1})$$

How to optimize over $|\mathcal{L}|^{\ell}$ choices without explicit enumeration?

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$$\operatorname*{argmax}_{\boldsymbol{y} \in \mathcal{L}^{\ell+1}} \prod_{i=1}^{\ell+1} p(x_i, \mid y_i) \cdot p(y_i \mid y_{i-1})$$

How to optimize over $|\mathcal{L}|^\ell$ choices without explicit enumeration?

Key: exploit the conditional independence assumptions:

$$Y_i \perp \boldsymbol{Y}_{1:i-2} \mid Y_{i-1}$$
$$Y_i \perp \boldsymbol{Y}_{i+2:\ell} \mid Y_{i+1}$$

Part-of-Speech Tagging Example

	1	suspect	the	present	forecast	is	pessimistic	
noun	•	•	•	•	•	•		
adj.		•		•	•		•	
adv.				•				
verb		•		•	•	•		
num.	•							
det.			•					
punc.								•

With this very simple tag set, $7^8=5.7$ million labelings. (Even restricting to the possibilities above, 288 labelings.)

Two Obvious Solutions

Brute force: Enumerate all solutions, score them, pick the best.

Greedy: Pick each \hat{y}_i according to:

$$\hat{y}_i = \operatorname*{argmax}_{y \in \mathcal{L}} p(y \mid \hat{y}_{i-1}) \cdot p(x_i \mid y)$$

What's wrong with these?

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What's wrong with these?

Consider:

"the old dog the footsteps of the young" (credit: Julia Hirschberg)

"the horse raced past the barn fell"

Conditional Independence

We can get an exact solution in polynomial time!

$$Y_i \bot \boldsymbol{Y}_{1:i-2} \mid Y_{i-1}$$
$$Y_i \bot \boldsymbol{Y}_{i+2:\ell} \mid Y_{i+1}$$

Given the adjacent labels to Y_i , others do not matter.

Let's start at the last position, ℓ . . .

▶ The decision about Y_{ℓ} is a function of $y_{\ell-1}$, x_{ℓ} , and nothing else!

$$p(Y_{\ell} = y \mid \boldsymbol{x}, \boldsymbol{y}_{1:(\ell-1)}) = p \left(Y_{\ell} = y \mid X_{\ell} = x_{\ell}, \\ Y_{\ell-1} = y_{\ell-1}, \\ Y_{\ell+1} = \bigcirc \right)$$

$$= \frac{p(Y_{\ell} = y, X_{\ell} = x_{\ell}, Y_{\ell-1} = y_{\ell-1}, Y_{\ell+1} = \bigcirc)}{p(X_{\ell} = x_{\ell}, Y_{\ell-1} = y_{\ell-1}, Y_{\ell+1} = \bigcirc)}$$

$$\propto p(\bigcirc \mid y) \cdot p(x_{\ell} \mid y) \cdot p(y \mid y_{\ell-1})$$

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- ▶ Idea: for each position i, calculate the score of the best label prefix $y_{1:i}$ ending in each possible value for Y_i .

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- ▶ With a little bookkeeping, we can then trace backwards and recover the best label sequence.

Chart Data Structure

	x_1	x_2	 x_{ℓ}
y			
y'			
:			
y^{last}			

First, think about the *score* of the best sequence.

$$s_{\ell}(y) = p(\bigcirc \mid y) \cdot p(x_{\ell} \mid y) \cdot \max_{y' \in \mathcal{L}} p(y \mid y') \cdot \boxed{s_{\ell-1}(y')}$$

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$$s_{\ell-1}(y) = p(x_{\ell-1} \mid y) \cdot \max_{y' \in \mathcal{L}} p(y \mid y') \cdot \left[s_{\ell-2}(y') \right]$$

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$$\vdots$$

$$s_{i}(y) = p(x_{i} \mid y) \cdot \max_{y' \in \mathcal{L}} p(y \mid y') \cdot \boxed{s_{i-1}(y')}$$

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$$\vdots$$

$$s_{1}(y) = p(x_{1} \mid y) \cdot p(y \mid y_{0})$$

	x_1	x_2	 $ x_{\ell} $
y			
y'			
:			
y^{last}			

	x_1	x_2	 x_ℓ
y	$s_1(y)$		
y'	$s_1(y')$		
:			
y^{last}	$s_1(y^{last})$		

$$s_1(y) = p(x_1 \mid y) \cdot p(y \mid y_0)$$

	x_1	x_2	 x_{ℓ}
y	$s_1(y)$	$s_2(y)$	
y'	$s_1(y')$	$s_2(y')$	
:			
y^{last}	$s_1(y^{last})$	$s_2(y^{last})$	

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	x_1	x_2	 x_ℓ
y	$s_1(y)$	$s_2(y)$	$s_\ell(y)$
y'	$s_1(y')$	$s_2(y')$	$s_{\ell}(y')$
:			
y^{last}	$s_1(y^{last})$	$s_2(y^{last})$	$s_{\ell}(y^{last})$

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$$\mathsf{Claim} \colon \max_{y \in \mathcal{L}} s_\ell(y) = \max_{\boldsymbol{y} \in \mathcal{L}^{\ell+1}} p(\boldsymbol{x}, \boldsymbol{y})$$

Claim:
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$$= \max_{y \in \mathcal{L}} p(\bigcirc \mid y) \cdot p(x_{\ell} \mid y) \cdot \max_{y' \in \mathcal{L}} p(y \mid y')$$

 $p(x_{\ell-1} \mid y') \cdot \max_{y'' \in \mathcal{L}} p(y' \mid y'') \cdot \left| p(x_{\ell-2} \mid y'') \cdot \max_{y''' \in \mathcal{L}} p(y'' \mid y''') \cdot \left[s_{\ell-3}(y''') \right] \right|$

Claim:
$$\max_{y \in \mathcal{L}} s_{\ell}(y) = \max_{\boldsymbol{y} \in \mathcal{L}^{\ell+1}} p(\boldsymbol{x}, \boldsymbol{y})$$

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$$= \max_{y \in \mathcal{L}^{\ell+1}} p(\bigcirc \mid y_{\ell}) \cdot p(x_{\ell} \mid y_{\ell}) \cdot p(y_{\ell} \mid y_{\ell-1}) \cdot p(x_{\ell-1} \mid y_{\ell-1}) \cdot p(y_{\ell-1} \mid y_{\ell-2})$$

$$= p(x_{\ell-2} \mid y_{\ell-2}) \cdots p(x_{1} \mid y_{1}) \cdot p(y_{1} \mid y_{0})$$

Claim:
$$\max_{y \in \mathcal{L}} s_{\ell}(y) = \max_{\boldsymbol{v} \in \mathcal{L}^{\ell+1}} p(\boldsymbol{x}, \boldsymbol{y})$$

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$$p(x_{\ell-1} \mid y') \cdot \max_{y'' \in \mathcal{L}} p(y' \mid y'') \cdot p(x_{\ell-2} \mid y'') \cdot \max_{y''' \in \mathcal{L}} p(y'' \mid y''') \cdot s_{\ell-3}(y''')$$

$$= \max_{\mathbf{y} \in \mathcal{L}^{\ell+1}} p(\bigcirc \mid y_{\ell}) \cdot p(x_{\ell} \mid y_{\ell}) \cdot p(y_{\ell} \mid y_{\ell-1}) \cdot p(x_{\ell-1} \mid y_{\ell-1}) \cdot p(y_{\ell-1} \mid y_{\ell-2}).$$

$$p(x_{\ell-2} \mid y_{\ell-2}) \cdots p(x_1 \mid y_1) \cdot p(y_1 \mid y_0)$$

$$= \max_{\mathbf{y} \in \mathcal{L}^{\ell+1}} \prod_{i=1}^{\ell+1} p(x_i \mid y_i) \cdot p(y_i \mid y_{i-1})$$

High-Level View of Viterbi

▶ The decision about Y_{ℓ} is a function of $y_{\ell-1}$, x_{ℓ} , and nothing else!

$$p(Y_{\ell} = y \mid \boldsymbol{x}, \boldsymbol{y}_{1:(\ell-1)}) = p \left(Y_{\ell} = y \mid X_{\ell} = x_{\ell}, \\ Y_{\ell-1} = y_{\ell-1}, \\ Y_{\ell+1} = \bigcirc \right)$$

$$= \frac{p(Y_{\ell} = y, X_{\ell} = x_{\ell}, Y_{\ell-1} = y_{\ell-1}, Y_{\ell+1} = \bigcirc)}{p(X_{\ell} = x_{\ell}, Y_{\ell-1} = y_{\ell-1}, Y_{\ell+1} = \bigcirc)}$$

$$\propto p(\bigcirc \mid y) \cdot p(x_{\ell} \mid y) \cdot p(y \mid y_{\ell-1})$$

- ▶ If, for each value of $y_{\ell-1}$, we knew the best $y_{1:(\ell-1)}$, then picking y_{ℓ} would be easy.
- ▶ Idea: for each position i, calculate the score of the best label prefix $y_{1:i}$ ending in each possible value for Y_i .
- ▶ With a little bookkeeping, we can then trace backwards and recover the best label sequence.

	x_1	x_2	 x_{ℓ}
y			
y'			
:			
y^{last}			

	x_1	x_2	 x_{ℓ}
y	$s_1(y)$		
	$b_1(y)$		
y'	$s_1(y')$		
	$b_1(y')$		
:			
y^{last}	$s_1(y^{last}) b_1(y^{last})$		
	$b_1(y^{last})$		

$$s_1(y) = p(x_1 \mid y) \cdot p(y \mid y_0)$$

$$b_1(y) = y_0$$

	x_1	x_2	 x_{ℓ}
y	$s_1(y)$	$s_2(y)$	
	$b_1(y)$	$b_2(y)$	
y'	$s_1(y')$	$s_2(y')$	
	$b_1(y')$	$b_2(y')$	
:			
y^{last}	$\begin{array}{c} s_1(y^{last}) \\ b_1(y^{last}) \end{array}$	$\begin{array}{c} s_2(y^{last}) \\ b_2(y^{last}) \end{array}$	
	$b_1(y^{last})$	$b_2(y^{last})$	

$$s_{i}(y) = p(x_{i} \mid y) \cdot \max_{y' \in \mathcal{L}} p(y \mid y') \cdot \boxed{s_{i-1}(y')}$$
$$b_{i}(y) = \operatorname*{argmax}_{y' \in \mathcal{L}} p(y \mid y') \cdot s_{i-1}(y')$$

	x_1	x_2	 x_ℓ
y	$s_1(y)$	$s_2(y)$	$s_{\ell}(y)$
	$b_1(y)$	$b_2(y)$	$b_\ell(y)$
y'	$s_1(y')$	$s_2(y')$	$s_{\ell}(y')$
	$b_1(y')$	$b_2(y')$	$b_\ell(y')$
:			
y^{last}	$\begin{array}{c} s_1(y^{last}) \\ b_1(y^{last}) \end{array}$	$s_2(y^{last})$	$s_{\ell}(y^{last}) \\ b_{\ell}(y^{last})$
	$b_1(y^{last})$	$b_2(y^{last})$	$b_{\ell}(y^{last})$

$$s_{\ell}(y) = p(\bigcirc \mid y) \cdot p(x_{\ell} \mid y) \cdot \max_{y' \in \mathcal{L}} p(y \mid y') \cdot \boxed{s_{\ell-1}(y')}$$
$$b_{\ell}(y) = \operatorname*{argmax}_{y' \in \mathcal{L}} p(y \mid y') \cdot s_{\ell-1}(y')$$

Full Viterbi Procedure

```
Input: \boldsymbol{x}, p(X_i \mid Y_i), p(Y_{i+1} \mid Y_i)
```

Output: \hat{y}

- 1. For $i \in \langle 1, \dots, \ell \rangle$:
 - ▶ Solve for $s_i(*)$ and $b_i(*)$.
 - lacktriangle Special base case for i=1 to handle start state y_0 (no max)
 - General recurrence for $i \in \langle 2, \dots, \ell 1 \rangle$
 - ightharpoonup Special case for $i=\ell$ to handle stopping probability
- 2. $\hat{y}_{\ell} \leftarrow \operatorname*{argmax}_{y \in \mathcal{L}} s_{\ell}(y)$
- 3. For $i \in \langle \ell, \dots, 1 \rangle$:
 - $\qquad \qquad \hat{y}_{i-1} \leftarrow b(y_i)$

Viterbi Asymptotics

Space: $O(|\mathcal{L}|\ell)$

Runtime: $O(|\mathcal{L}|^2\ell)$

	x_1	x_2	 x_{ℓ}
y			
y'			
:			
y^{last}			

▶ Instead of HMM parameters, we can "featurize" or "neuralize."

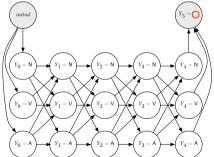
▶ Instead of HMM parameters, we can "featurize" or "neuralize." Define features of adjacent labeled words in context: $\phi(x,i,y,y')$ "Structured" classifer/predictor:

$$\hat{\mathbf{y}} = \underset{\mathbf{y} \in \mathcal{L}^{\ell+1}}{\operatorname{argmax}} \sum_{i=1}^{\ell+1} \mathbf{w} \cdot \boldsymbol{\phi}(\mathbf{x}, i, y_i, y_{i-1})$$

$$\stackrel{\mathsf{HMM}}{=} \underset{\mathbf{y} \in \mathcal{L}^{\ell+1}}{\operatorname{argmax}} \sum_{i=1}^{\ell+1} \log p(x_i \mid y_i) + \log p(y_i \mid y_{i-1})$$

- ▶ Instead of HMM parameters, we can "featurize" or "neuralize."
- ► Viterbi instantiates an general algorithm called **max-product variable elimination**, for inference along a chain of variables with pairwise "links." HMMs are the simplest example of a **structured predictor**: a collection of classifiers whose decisions depend on each other.

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- ▶ Viterbi solves a special case of the "best path" problem.
- lacktriangle Higher-order dependencies among $oldsymbol{Y}$ are also possible.

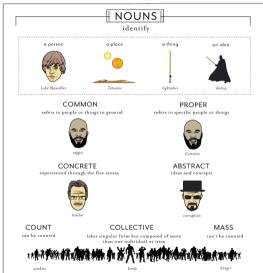
$$s_i(y, y') = \max_{y'' \in \mathcal{L}} p(x_i \mid y) \cdot p(y \mid y', y'') \cdot s_{i-1}(y', y'')$$

Applications of Sequence Models

- ▶ part-of-speech tagging (Church, 1988)
- supersense tagging (Ciaramita and Altun, 2006)
- ▶ named-entity recognition (Bikel et al., 1999)
- multiword expressions (Schneider and Smith, 2015)
- ▶ base noun phrase chunking (Sha and Pereira, 2003)

Parts of Speech

http://mentalfloss.com/article/65608/master-particulars-grammar-pop-culture-primer



Parts of Speech

- "Open classes": Nouns, verbs, adjectives, adverbs, numbers
- "Closed classes":
 - Modal verbs
 - ► Prepositions (*on*, *to*)
 - ▶ Particles (off, up)
 - ▶ Determiners (*the*, *some*)
 - ► Pronouns (*she*, *they*)
 - ► Conjunctions (and, or)

Parts of Speech in English: Decisions

Granularity decisions regarding:

- ▶ verb tenses, participles
- plural/singular for verbs, nouns
- proper nouns
- comparative, superlative adjectives and adverbs

Some linguistic reasoning required:

- Existential there
- ▶ Infinitive marker to
- ▶ wh words (pronouns, adverbs, determiners, possessive whose)

Interactions with tokenization:

- Punctuation
- ► Compounds (Mark'll, someone's, gonna)

Penn Treebank: 45 tags, \sim 40 pages of guidelines (Marcus et al., 1993)

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Interactions with tokenization:

- Punctuation
- ► Compounds (Mark'll, someone's, gonna)
- ► Social media: hashtag, at-mention, discourse marker (RT), URL, emoticon, abbreviations, interjections, acronyms

Penn Treebank: 45 tags, \sim 40 pages of guidelines (Marcus et al., 1993)

TweetNLP: 20 tags, 7 pages of guidelines (Gimpel et al., 2011)

Example: Part-of-Speech Tagging

ikr smh he asked fir yo last name

so he can add u on fb lololol

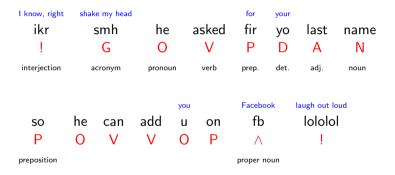
Example: Part-of-Speech Tagging

```
    I know, right
    shake my head
    for your

    ikr
    smh
    he asked fir yo last name
```

```
so he can add u on fb lololol
```

Example: Part-of-Speech Tagging



Why POS?

- ► Text-to-speech: record, lead, protest
- ▶ Lemmatization: $saw/V \rightarrow see$; $saw/N \rightarrow saw$
- ▶ Quick-and-dirty multiword expressions: (Adjective | Noun)* Noun (Justeson and Katz, 1995)
- Preprocessing for harder disambiguation problems:
 - ► The Georgia branch had taken on loan commitments . . .
 - ► The average of interbank offered rates plummeted . . .

Define a map $\mathcal{V} \to \mathcal{L}$.

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All datasets have some errors; estimated upper bound for Penn Treebank is 98%.

Supervised Training of Hidden Markov Models

Given: annotated sequences $\langle\langle m{x}_1, m{y}_1,
angle, \dots, \langle m{x}_n, m{y}_n
angle
angle$

$$p(\boldsymbol{x}, \boldsymbol{y}) = \prod_{i=1}^{\ell+1} \theta_{x_i|y_i} \cdot \gamma_{y_i|y_{i-1}}$$

Parameters: for each state/label $y \in \mathcal{L}$:

- $m{ heta}_{*|y}$ is the "emission" distribution, estimating $p(x\mid y)$ for each $x\in\mathcal{V}$
- $lackbox{} \gamma_{*|y}$ is called the "transition" distribution, estimating $p(y'\mid y)$ for each $y'\in\mathcal{L}$

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Maximum likelihood estimate: count and normalize!

Back to POS

TnT, a trigram HMM tagger with smoothing: 96.7% (Brants, 2000)

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State of the art: \sim 97.5% (Toutanova et al., 2003); uses a feature-based model with:

- capitalization features
- spelling features
- ► name lists ("gazetteers")
- context words
- ► hand-crafted patterns

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- capitalization features
- spelling features
- ► name lists ("gazetteers")
- context words
- hand-crafted patterns

There might be very recent improvements to this.

Other Labels

Parts of speech are a minimal syntactic representation.

Sequence labeling can get you a lightweight semantic representation, too.

A problem with a long history: word-sense disambiguation.

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Ciaramita and Johnson (2003) and Ciaramita and Altun (2006) used a lexicon called WordNet to define 41 semantic classes for words.

► WordNet (Fellbaum, 1998) is a fascinating resource in its own right! See http://wordnetweb.princeton.edu/perl/webwn to get an idea.

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This represents a coarsening of the annotations in the Semcor corpus (Miller et al., 1993).

Example: box's Thirteen Synonym Sets, Eight Supersenses

- 1. box: a (usually rectangular) container; may have a lid. "he rummaged through a box of spare parts"
- 2. box/loge: private area in a theater or grandstand where a small group can watch the performance. "the royal box was empty"
- 3. box/boxful: the quantity contained in a box. "he gave her a box of chocolates"
- 4. corner/box: a predicament from which a skillful or graceful escape is impossible. "his lying got him into a tight corner"
- 5. box: a rectangular drawing. "the flowchart contained many boxes"
- 6. box/boxwood: evergreen shrubs or small trees
- 7. box: any one of several designated areas on a ball field where the batter or catcher or coaches are positioned. "the umpire warned the batter to stay in the batter's box"
- 8. box/box seat: the driver's seat on a coach. "an armed guard sat in the box with the driver"
- 9. box: separate partitioned area in a public place for a few people. "the sentry stayed in his box to avoid the cold"
- 10. box: a blow with the hand (usually on the ear). "I gave him a good box on the ear"
- 11. box/package: put into a box. "box the gift, please"
- 12. box: hit with the fist. "I'll box your ears!"
- 13. box: engage in a boxing match.

Example: box's Thirteen Synonym Sets, Eight Supersenses

- 1. box: a (usually rectangular) container; may have a lid. "he rummaged through a box of spare parts" \leadsto N.ARTIFACT
- 2. box/loge: private area in a theater or grandstand where a small group can watch the performance. "the royal box was empty" ->> N.ARTIFACT
- 3. box/boxful: the quantity contained in a box. "he gave her a box of chocolates" --> N.QUANTITY
- 4. corner/box: a predicament from which a skillful or graceful escape is impossible. "his lying got him into a tight corner" ->> N.STATE
- 5. box: a rectangular drawing. "the flowchart contained many boxes" ->> N.SHAPE
- 6. box/boxwood: evergreen shrubs or small trees \rightsquigarrow N.PLANT
- 7. box: any one of several designated areas on a ball field where the batter or catcher or coaches are positioned. "the umpire warned the batter to stay in the batter's box" NARTIFACT
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- 10. box: a blow with the hand (usually on the ear). "I gave him a good box on the ear" \sim N.ACT
- 11. box/package: put into a box. "box the gift, please" \sim V.CONTACT
- 12. box: hit with the fist. "I'll box your ears!" → V.CONTACT
- 13. box: engage in a boxing match. V.COMPETITION

Supersense Tagging Example

```
Clara Harris , one of the guests in the $\operatorname{N.PERSON}$
```

```
box , stood up and demanded N.ARTIFACT V.MOTION V.COMMUNICATION
```

```
water
N.SUBSTANCE
```

Ciaramita and Altun's Approach

Features at each position in the sentence:

- word
- "first sense" from WordNet (also conjoined with word)
- ► POS, coarse POS
- shape (case, punctuation symbols, etc.)
- previous label

All of these fit into " $\phi(x, i, y, y')$."

Featurizing HMMs

Log-probability score of y (given x) decomposes into a sum of local scores:

$$score(\boldsymbol{x}, \boldsymbol{y}) = \sum_{i=1}^{\ell+1} \overbrace{(\log p(x_i \mid y_i) + \log p(y_i \mid y_{i-1}))}^{\text{local score at position } i}$$
 (1)

Featurized HMM:

$$score(\boldsymbol{x}, \boldsymbol{y}) = \sum_{i=1}^{\ell+1} \underbrace{(\mathbf{w} \cdot \boldsymbol{\phi}(\boldsymbol{x}, i, y_i, y_{i-1}))}_{(\mathbf{w} \cdot \boldsymbol{\phi}(\boldsymbol{x}, i, y_i, y_{i-1}))}$$
(2)
$$= \mathbf{w} \cdot \sum_{i=1}^{\ell+1} \boldsymbol{\phi}(\boldsymbol{x}, i, y_i, y_{i-1})$$
global features, $\boldsymbol{\Phi}(\boldsymbol{x}, \boldsymbol{y})$

What Changes?

Algorithmically, not much!

Viterbi recurrence before (using log math):

$$s_{1}(y) = \log p(x_{1} \mid y) + \log p(y \mid y_{0})$$

$$s_{i}(y) = \log p(x_{i} \mid y) + \max_{y' \in \mathcal{L}} \log p(y \mid y') + \boxed{s_{i-1}(y')}$$

$$s_{\ell}(y) = \log p(\bigcirc \mid y) + \log p(x_{\ell} \mid y) + \max_{y' \in \mathcal{L}} \log p(y \mid y') + \boxed{s_{\ell-1}(y')}$$

After:

$$s_{1}(y) = \mathbf{w} \cdot \boldsymbol{\phi}(\boldsymbol{x}, 1, y, y_{0})$$

$$s_{i}(y) = \max_{y' \in \mathcal{L}} \mathbf{w} \cdot \boldsymbol{\phi}(\boldsymbol{x}, i, y, y') + \boxed{s_{i-1}(y')}$$

$$s_{\ell}(y) = \max_{y' \in \mathcal{L}} \mathbf{w} \cdot \left(\boldsymbol{\phi}(\boldsymbol{x}, \ell, y, y') + \boldsymbol{\phi}(\boldsymbol{x}, \ell + 1, \bigcirc, y)\right) + \boxed{s_{\ell-1}(y')}$$

Supervised Training of Sequence Models (Discriminative)

Given: annotated sequences $\langle \langle \boldsymbol{x}_1, \boldsymbol{y}_1, \rangle, \dots, \langle \boldsymbol{x}_n, \boldsymbol{y}_n \rangle \rangle$

Assume:

$$\begin{aligned} \operatorname{predict}(\boldsymbol{x}) &= \underset{\boldsymbol{y} \in \mathcal{L}^{\ell+1}}{\operatorname{argmax}} \operatorname{score}(\boldsymbol{x}, \boldsymbol{y}) \\ &= \underset{\boldsymbol{y} \in \mathcal{L}^{\ell+1}}{\operatorname{argmax}} \sum_{i=1}^{\ell+1} \mathbf{w} \cdot \boldsymbol{\phi}(\boldsymbol{x}, i, y_i, y_{i-1}) \\ &= \underset{\boldsymbol{y} \in \mathcal{L}^{\ell+1}}{\operatorname{argmax}} \mathbf{w} \cdot \sum_{i=1}^{\ell+1} \boldsymbol{\phi}(\boldsymbol{x}, i, y_i, y_{i-1}) \\ &= \underset{\boldsymbol{y} \in \mathcal{L}^{\ell+1}}{\operatorname{argmax}} \mathbf{w} \cdot \boldsymbol{\Phi}(\boldsymbol{x}, \boldsymbol{y}) \end{aligned}$$

Estimate: w

Perceptron

Perceptron algorithm for classification:

- ▶ For $t \in \{1, ..., T\}$:
 - ▶ Pick i_t uniformly at random from $\{1, \ldots, n\}$.

 - $\mathbf{w} \leftarrow \mathbf{w} \alpha \left(\phi(\mathbf{x}_{i_t}, \hat{\ell}_{i_t}) \phi(\mathbf{x}_{i_t}, \ell_{i_t}) \right)$

Structured Perceptron

Collins (2002)

Perceptron algorithm for classification structured prediction:

- ▶ For $t \in \{1, ..., T\}$:
 - ▶ Pick i_t uniformly at random from $\{1, \ldots, n\}$.
 - $\quad \blacktriangleright \ \ \hat{\boldsymbol{y}}_{i_t} \leftarrow \operatorname*{argmax}_{\boldsymbol{y} \in \mathcal{L}^{\ell+1}} \mathbf{w} \cdot \boldsymbol{\Phi}(\boldsymbol{x}_{i_t}, \boldsymbol{y})$
 - $\blacktriangleright \ \mathbf{w} \leftarrow \mathbf{w} \alpha \left(\mathbf{\Phi}(\boldsymbol{x}_{i_t}, \hat{\boldsymbol{y}}_{i_t}) \mathbf{\Phi}(\boldsymbol{x}_{i_t}, \boldsymbol{y}_{i_t}) \right)$

This can be viewed as stochastic subgradient descent on the structured hinge loss:

$$\sum_{i=1}^n \underbrace{\max_{oldsymbol{y} \in \mathcal{L}^{\ell_i+1}} \mathbf{w} \cdot oldsymbol{\Phi}(oldsymbol{x}_i, oldsymbol{y})}_{ ext{fear}} - \underbrace{\mathbf{w} \cdot oldsymbol{\Phi}(oldsymbol{x}_i, oldsymbol{y}_i)}_{ ext{hope}}$$

Back to Supersenses

```
Clara
       Harris
                , one of the
                                              the
                                guests
      N.PERSON
                                N.PERSON
                                           demanded
     box
                stood
                          up
                                  and
  N. ARTIFACT
                       V.MOTION
                                       V.COMMUNICATION
     water
  N.SUBSTANCE
```

Shouldn't Clara Harris and stood up be respectively "grouped"?

Segmentations

Segmentation:

- ▶ Input: $\boldsymbol{x} = \langle x_1, x_2, \dots, x_\ell \rangle$
- Output:

$$\left\langle egin{array}{c} x_{1:\ell_1}, \ x_{(1+\ell_1):(\ell_1+\ell_2)}, \ x_{(1+\ell_1+\ell_2):(\ell_1+\ell_2+\ell_3)}, \dots, \ \end{array}
ight
angle \ x_{(1+\sum_{i=1}^{m-1}\ell_i):\sum_{i=1}^m\ell_i} \end{array}$$

where $\ell = \sum_{i=1}^{m} \ell_i$.

Application: word segmentation for writing systems without whitespace.

Segmentations

Segmentation:

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ight.$$

where $\ell = \sum_{i=1}^{m} \ell_i$.

Application: word segmentation for writing systems without whitespace.

With arbitrarily long segments, this does not look like a job for $\phi(x, i, y, y')$!

Segmentation as Sequence Labeling

Ramshaw and Marcus (1995)

Two labels: B ("beginning of new segment"), I ("inside segment")

$$\blacktriangleright \ \ell_1=4, \ell_2=3, \ell_3=1, \ell_4=2 \longrightarrow \langle \mathsf{B}, \mathsf{I}, \mathsf{I}, \mathsf{B}, \mathsf{I}, \mathsf{I}, \mathsf{B}, \mathsf{B}, \mathsf{I} \rangle$$

Three labels: B, I, O ("outside segment")

Five labels: B, I, O, E ("end of segment"), S ("singleton")

Segmentation as Sequence Labeling

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Three labels: B, I, O ("outside segment")

Five labels: B, I, O, E ("end of segment"), S ("singleton")

Bonus: combine these with a label to get labeled segmentation!

Named Entity Recognition as Segmentation and Labeling

An older and narrower subset of supersenses used in information extraction:

- person,
- location,
- organization,
- geopolitical entity,
- ...and perhaps domain-specific additions.

Named Entity Recognition

With $\underline{\text{Commander Chris Ferguson}}$ at the helm , $\underline{\text{person}}$

Atlantis touched down at Kennedy Space Center . spacecraft location

Named Entity Recognition



Named Entity Recognition: Evaluation

```
      10
      11
      12
      13
      14
      15
      16
      17
      18
      19

      rescue Britons stranded by Eyjafjallajökull 's volcanic ash cloud .

      O
      B
      O
      O
      O
      O
      O
      O

      O
      B
      O
      O
      O
      O
      O
      O
      O

      O
      B
      O
      O
      O
      O
      O
      O
      O
```

Segmentation Evaluation

Typically: precision, recall, and F_1 .

Multiword Expressions

Schneider et al. (2014b)

- ▶ MW compounds: red tape, motion picture, daddy longlegs, Bayes net, hot air balloon, skinny dip, trash talk
- ▶ verb-particle: pick up, dry out, take over, cut short
- verb-preposition: refer to, depend on, look for, prevent from
- ▶ verb-noun(-preposition): pay attention (to), go bananas, lose it, break a leg, make the most of
- ▶ support verb: make decisions, take breaks, take pictures, have fun, perform surgery
- other phrasal verb: put up with, miss out (on), get rid of, look forward to, run amok, cry foul, add insult to injury, make off with
- ▶ PP modifier: above board, beyond the pale, under the weather, at all, from time to time, in the nick of time
- coordinated phrase: cut and dry, more or less, up and leave
- conjunction/connective: as well as, let alone, in spite of, on the face of it/on its face
- semi-fixed VP: smack <one>'s lips, pick up where <one> left off, go over <thing> with a fine-tooth(ed) comb, take <one>'s time, draw <oneself> up to <one>'s full height
- fixed phrase: easy as pie, scared to death, go to hell in a handbasket, bring home the bacon, leave of absence, sense of humor
- phatic: You're welcome. Me neither!
- proverb: Beggars can't be choosers. The early bird gets the worm. To each his own. One man's <thing1> is another man's <thing2>.

Sequence Labeling with Nesting

Schneider et al. (2014a)

Strong (subscript) vs. weak (superscript) MWEs.

One level of nesting, plus strong/weak distinction, can be handled with an eight-tag scheme.

Back to Syntax

Base noun phrase chunking:

[He]_{NP} reckons [the current account deficit]_{NP} will narrow to [only \$ 1.8 billion]_{NP} in [September]_{NP}

(What is a base noun phrase?)

"Chunking" used generically includes base verb and prepositional phrases, too.

Sequence labeling with BIO tags and features can be applied to this problem (Sha and Pereira, 2003).

Remarks

Sequence models are extremely useful:

- ▶ syntax: part-of-speech tags, base noun phrase chunking
- ▶ semantics: supersense tags, named entity recognition, multiword expressions

All of these are called "shallow" methods (why?).

Remarks

Sequence models are extremely useful:

- syntax: part-of-speech tags, base noun phrase chunking
- semantics: supersense tags, named entity recognition, multiword expressions

All of these are called "shallow" methods (why?).

Issues to be aware of:

- Supervised data for these problems is not cheap.
- ▶ Performance always suffers when you test on a different style, genre, dialect, etc. than you trained on.
- ightharpoonup Runtime depends on the size of $\mathcal L$ and the number of consecutive labels that features can depend on.

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