Advanced Satisfiability

Mausam

(Based on slides of Carla Gomes, Henry Kautz, Cristopher Moore, Ashish Sabharwal, Bart Selman, Toby Walsh)
Why study Satisfiability?

- Canonical NP complete problem.
  - several hard problems modeled as SAT

- Tonne of applications

- State-of-the-art solvers superfast
Real-World Reasoning
Tackling inherent computational complexity

Example domains cast in propositional reasoning system (variables, rules).

- High-Performance Reasoning
- Temporal/uncertainty reasoning
- Strategic reasoning/Multi-player

Technology Targets

Variables

- Rules (Constraints)

No. of atoms on earth $10^{47}$
Seconds until heat death of sun
Protein folding calculation (petaflop-year)

Worst Case complexity

Exponential Complexity

- Car repair diagnosis
- Deep space mission control
- Military Logistics
- Chess
- Hardware/Software Verification
- Multi-Agent Systems

DARPA Research Program
Application: Diagnosis

• Problem: diagnosis a malfunctioning device
  – Car
  – Computer system
  – Spacecraft

• where
  – Design of the device is known
  – We can observe the state of only certain parts of the device – much is hidden
Model-Based, Consistency-Based Diagnosis

• Idea: create a logical formula that describes how the device should work
  – Associated with each “breakable” component C is a proposition that states “C is okay”
  – Sub-formulas about component C are all conditioned on C being okay

• A diagnosis is a smallest of “not okay” assumptions that are consistent with what is actually observed
Consistency-Based Diagnosis

1. Make some Observations O.
2. Initialize the Assumption Set A to assert that all components are working properly.
3. Check if the KB, A, O together are inconsistent (can deduce false).
4. If so, delete propositions from A until consistency is restored (cannot deduce false). The deleted propositions are a diagnosis.

There may be many possible diagnoses
Example: Automobile Diagnosis

- **Observable Propositions:**
  - EngineRuns, GasInTank, ClockRuns

- **Assumable Propositions:**
  - FuelLineOK, BatteryOK, CablesOK, ClockOK

- **Hidden (non-Assumable) Propositions:**
  - GasInEngine, PowerToPlugs

- **Device Description F:**
  - \((\text{GasInTank} \land \text{FuelLineOK}) \rightarrow \text{GasInEngine}\)
  - \((\text{GasInEngine} \land \text{PowerToPlugs}) \rightarrow \text{EngineRuns}\)
  - \((\text{BatteryOK} \land \text{CablesOK}) \rightarrow \text{PowerToPlugs}\)
  - \((\text{BatteryOK} \land \text{ClockOK}) \rightarrow \text{ClockRuns}\)

- **Observations:**
  - \(\neg\) EngineRuns, GasInTank, ClockRuns
Example

• Is $F \cup \text{Observations} \cup \text{Assumptions}$ consistent?

• $F \cup \{ \neg \text{EngineRuns}, \text{GasInTank}, \text{ClockRuns} \}
  \cup \{ \text{FuelLineOK}, \text{BatteryOK}, \text{CablesOK}, \text{ClockOK} \} \to false$
  
  – Must restore consistency!

• $F \cup \{ \neg \text{EngineRuns}, \text{GasInTank}, \text{ClockRuns} \}
  \cup \{ \text{BatteryOK}, \text{CablesOK}, \text{ClockOK} \} \to false$
  
  – $\neg \text{FuelLineOK}$ is a diagnosis

• $F \cup \{ \neg \text{EngineRuns}, \text{GasInTank}, \text{ClockRuns} \}
  \cup \{ \text{FuelLineOK}, \text{CablesOK}, \text{ClockOK} \} \to false$
  
  – $\neg \text{BatteryOK}$ is not a diagnosis
Complexity of Diagnosis

- If F is **Horn**, then each consistency test takes linear time – unit propagation is complete for Horn clauses.
- Complexity = ways to delete propositions from Assumption Set that are considered.
  - Single fault diagnosis – $O(n^2)$
  - Double fault diagnosis – $O(n^3)$
  - Triple fault diagnosis – $O(n^4)$
  ...
Deep Space One

- Autonomous diagnosis & repair “Remote Agent”
- Compiled systems schematic to 7,000 var SAT problem

Started: January 1996
Launch: October 15th, 1998
Experiment: May 17-21
Deep Space One

• a failed electronics unit
  – Remote Agent fixed by reactivating the unit.

• a failed sensor providing false information
  – Remote Agent recognized as unreliable and therefore correctly ignored.

• an altitude control thruster (a small engine for controlling the spacecraft's orientation) stuck in the "off" position
  – Remote Agent detected and compensated for by switching to a mode that did not rely on that thruster.
Testing Circuit Equivalence

- Do two circuits compute the same function?
- Circuit optimization
- Is there input for which the two circuits compute different values?
Testing Circuit Equivalence

\[ C \equiv (A \lor B) \]
\[ C' \equiv \neg (D \land E) \]
\[ D \equiv \neg A \]
\[ E \equiv \neg B \]
\[ \neg (C \equiv C') \]
SAT Translation of N-Queens

• At least one queen each row:
  \[(Q_{11} \lor Q_{12} \lor Q_{13} \lor \ldots \lor Q_{18})\]
  \[(Q_{21} \lor Q_{22} \lor Q_{23} \lor \ldots \lor Q_{28})\]
  \[\ldots\]

• No attacks:
  \[(\neg Q_{11} \lor \neg Q_{12})\]
  \[(\neg Q_{11} \lor \neg Q_{22})\]
  \[(\neg Q_{11} \lor \neg Q_{21})\]
  \[\ldots\]
Symbolic Model Checking

- Any finite state machine is characterized by a transition function
  - CPU
  - Networking protocol
- Wish to prove some invariant holds for any possible inputs
- Bounded model checking: formula is sat iff invariant fails $k$ steps in the future

$$
\overline{S_i} = \text{vector of Booleans representing state of machine at time } t \\
\rho : \text{State} \times \text{Input} \rightarrow \text{State} \\
\gamma : \text{State} \rightarrow \{0, 1\} \\
\left( \bigwedge_{i=0}^{k-1} \left( \overline{S_{i+1}} \equiv \rho(\overline{S_i}, \overline{I_i}) \right) \right) \land S_o \land \neg \gamma(S_k)
$$
A “real world” example

From “SATLIB”:

http://www.satlib.org/benchm.html

SAT-encoded bounded model checking instances
(contributed by Ofer Shtrichman)

In Bounded Model Checking (BMC) [BCCZ99], a rather newly introduced problem in formal methods, the task is to check whether a given model $M$ (typically a hardware design) satisfies a temporal property $P$ in all paths with length less or equal to some bound $k$. The BMC problem can be efficiently reduced to a propositional satisfiability problem, and in fact if the property is in the form of an invariant (invariants are the most common type of properties, and many other temporal properties can be reduced to their form. It has the form of 'it is always true that ... '), it has a structure which is similar to many AI planning problems.
Bounded Model Checking instance

The instance bmc-ibm-6.cnf, IBM LSU 1997:

```
p cnf 51639 368352
  -1 7 0
  -1 6 0
  -1 5 0
  -1 -4 0
  -1 3 0
  -1 2 0
  -1 -8 0
  -9 15 0
  -9 14 0
  -9 13 0
  -9 -12 0
  -9 11 0
  -9 10 0
  -9 -16 0
  -17 23 0
  -17 22 0
```

i.e. ((not $x_1$) or $x_7$) and ((not $x_1$) or $x_6$) and ... etc.
10 pages later:

\[(x_{177} \text{ or } x_{169} \text{ or } x_{161} \text{ or } x_{153} \ldots \text{ or } x_{17} \text{ or } x_9 \text{ or } x_1 \text{ or } \neg x_{185})\]

clauses / constraints are getting more interesting...
4000 pages later:

!!!
a 59-cnf clause...
Finally, 15,000 pages later:

The Chaff SAT solver (Princeton) solves this instance in less than one minute.

What makes this possible?

Note that: $2^{50000} \approx 3.160699437 \cdot 10^{15051}$ ... !!!

The Chaff SAT solver (Princeton) solves this instance in less than one minute.
Progress in Last 20 years

• *Significant progress since the 1990’s. How much?*
  • Problem size: **We went from 100 variables, 200 constraints (early 90’s) to 1,000,000+ variables and 5,000,000+ constraints in 20 years**
  
• Search space: from $10^{30}$ to $10^{300,000}$.
  
  [Aside: “one can encode quite a bit in 1M variables.”]

• Is this just Moore’s Law? It helped, but not much...
  • – 2x faster computers does *not* mean can solve 2x larger instances
  • – search difficulty does not scale linearly with problem size!

• **Tools**: 50+ competitive SAT solvers available
Forces Driving Faster, Better SAT Solvers

• From academically interesting to practically relevant “Real” benchmarks, with real interest in solving them

• Regular **SAT Solver Competitions** (Germany-89, Dimacs-93, China-96, SAT-02, SAT-03, ..., SAT-07, SAT-09, SAT-2011)
  – A tremendous resource! E.g., SAT Competition 2006 (Seattle):
    • 35+ solvers submitted, downloadable, mostly open source
    • 500+ industrial benchmarks, 1000+ other benchmarks
    • 50,000+ benchmark instances available on the Internet

• *This constant improvement in SAT solvers is the key to the success of, e.g., SAT-based planning and verification*
A Journey from Random to Structured

• Random Instances
  Phase transitions and algorithms
  from physics to computer science

• Capturing Problem Structure
  problem mixtures (tractable / intractable)
  backdoor variables, restarts, and heavy tails
Random Instances

• Easy-Hard-Easy patterns (computational) and SAT/UNSAT phase transitions ("structural").

• Their study provides an interplay of work from statistical physics, computer science, and combinatorics.

• We first study "The State of Random 3-SAT".
Random 3-SAT

- Random 3-SAT
  - sample uniformly from space of all possible 3-clauses
  - $n$ variables, $l$ clauses

- Which are the hard instances?
  - around $l/n = 4.3$
Random 3-SAT

• Varying problem size, $n$

• Complexity peak appears to be largely invariant of algorithm
  – backtracking algorithms like Davis-Putnam
  – local search procedures like GSAT
Random 3-SAT

• Complexity peak coincides with solubility transition
  
  – $l/n < 4.3$ problems under-constrained and SAT
  
  – $l/n > 4.3$ problems over-constrained and UNSAT
  
  – $l/n=4.3$, problems on “knife-edge” between SAT and UNSAT
3SAT phase transition

• Lower bounds (hard)
  – Analyse algorithm that almost always solves problem
  – Backtracking hard to reason about so typically without backtracking
    • Complex branching heuristics needed to ensure success
    • But these are complex to reason about
Random 3-SAT as of 2005

Phase transition

Random Walk

DP

DP

GSAT

Walksat

SP

Mitchell, Selman, and Levesque '92
Results: Random 3-SAT

- Random walk up to ratio 1.36 (Alekhnovich and Ben Sasson 03). empirically up to 2.5
  - Davis Putnam (DP) up to 3.42 (Kaporis et al. ’02) ‘ empirically up to 3.6
    - approx. 400 vars at phase transition
  - GSAT up till ratio 3.92 (Selman et al. ’92, Zecchina et al. ‘02)
    - approx. 1,000 vars at phase transition
  - Walksat up till ratio 4.1 (empirical, Selman et al. ’93)
    - approx. 100,000 vars at phase transition
  - Survey propagation (SP) up till 4.2
    - (empirical, Mezard, Parisi, Zecchina ’02)
    - approx. 1,000,000 vars near phase transition

- Unsat phase: little algorithmic progress.
  Exponential resolution lower-bound (Chvatal and Szemeredi 1988)
3SAT phase transition

• Upper bounds (easier)
  – Typically by estimating count of solutions
3SAT phase transition

• Upper bounds (easier)
  – Typically by estimating count of solutions
  – E.g. Markov (or 1st moment) method
    For any statistic $X$
    \[ \text{prob}(X\geq 1) \leq E[X] \]
3SAT phase transition

- Upper bounds (easier)
  - Typically by estimating count of solutions
  - E.g. Markov (or 1st moment) method

For any statistic $X$

$$\text{prob}(X \geq 1) \leq \mathbb{E}[X]$$

*No assumptions about the distribution of $X$ except non-negative!*
3SAT phase transition

- Upper bounds (easier)
  - Typically by estimating count of solutions
  - E.g. Markov (or 1st moment) method
    
    For any statistic $X$
    
    $$\text{prob}(X>=1) \leq E[X]$$

    Let $X$ be the number of satisfying assignments for a 3SAT problem
3SAT phase transition

• Upper bounds (easier)
  – Typically by estimating count of solutions
  – E.g. Markov (or 1st moment) method
    For any statistic X
    \[ \text{prob}(X \geq 1) \leq E[X] \]
    Let X be the number of satisfying assignments for a 3SAT problem
    The expected value of X can be easily calculated
3SAT phase transition

- Upper bounds (easier)
  - Typically by estimating count of solutions
  - E.g. Markov (or 1st moment) method
    
    For any statistic $X$
    
    \[ \text{prob}(X \geq 1) \leq E[X] \]

    Let $X$ be the number of satisfying assignments for a 3SAT problem
    
    \[ E[X] = 2^n \times (7/8)^l \]
3SAT phase transition

• Upper bounds (easier)
  – Typically by estimating count of solutions
  – E.g. Markov (or 1st moment) method

For any statistic $X$

\[
\text{prob}(X \geq 1) \leq E[X]
\]

Let $X$ be the number of satisfying assignments for a 3SAT problem

\[
E[X] = 2^n \times (7/8)^l
\]

If $E[X] < 1$, then $\text{prob}(X \geq 1) = \text{prob}(\text{SAT}) < 1$
3SAT phase transition

• Upper bounds (easier)
  – Typically by estimating count of solutions
  – E.g. Markov (or 1st moment) method

For any statistic $X$

$\text{prob}(X \geq 1) \leq E[X]$

Let $X$ be the number of satisfying assignments for a 3SAT problem

$E[X] = 2^n \times (7/8)^l$

If $E[X] < 1$, then $2^n \times (7/8)^l < 1$
3SAT phase transition

• Upper bounds (easier)
  – Typically by estimating count of solutions
  – E.g. Markov (or 1st moment) method
    
    For any statistic $X$
    $$\text{prob}(X\geq 1) \leq E[X]$$
    
    Let $X$ be the number of satisfying assignments for a 3SAT problem
    $$E[X] = 2^n \times (7/8)^l$$
    
    If $E[X] < 1$, then
    $$2^n \times (7/8)^l < 1$$
    $$n + l \log_2(7/8) < 0$$
3SAT phase transition

• Upper bounds (easier)
  – Typically by estimating count of solutions
  – E.g. Markov (or 1st moment) method

For any statistic $X$

$$\text{prob}(X \geq 1) \leq E[X]$$

Let $X$ be the number of satisfying assignments for a 3SAT problem

$$E[X] = 2^n \times (7/8)^l$$

If $E[X] < 1$, then

$$2^n \times (7/8)^l < 1$$

$$n + l \log_2(7/8) < 0$$

$$l/n > 1/\log_2(8/7) = 5.19...$$
A Heavy Tail

• But the transition is much lower at $l/n \sim 4.27$. What going on?

• In the range $4.27 < l/n < 5.19$,
  – the average no. of solutions is exponentially large.

• Occasionally, there are exponentially many...
  – ...but most of the time there are none!

• Large average doesn’t prove satisfiability!
Random Walk

DP

DP'

GSAT

Walksat

SP

Upper bounds by combinatorial arguments ('92 – '05)

Random 3-SAT as of 2004

Upper bounds by combinatorial arguments ('92 – '05)
From Physics to Computer Science

Exploits correspondence between SAT and physical systems with many interacting particles.

Basic model for magnetism: The Ising model (Ising ’24). Spins are “trying to align themselves”. But system can be “frustrated” some pairs want to align; some want to point in the opposite direction of each other.

\[
\text{e.g. } \text{spin } X_i \text{ and } X_j \text{ want to align : } \\
\left( X_i \lor \neg X_j \right) \land \left( \neg X_i \lor X_j \right)
\]
<table>
<thead>
<tr>
<th>Combinatorial Problem</th>
<th>Thermodynamic System</th>
</tr>
</thead>
<tbody>
<tr>
<td>Variable</td>
<td>Particle</td>
</tr>
<tr>
<td>Value assignment</td>
<td>Status of particle</td>
</tr>
<tr>
<td>Constraint</td>
<td>Interaction</td>
</tr>
<tr>
<td>Combinatorial space</td>
<td>All microscopic states</td>
</tr>
<tr>
<td>Evaluation function, object function</td>
<td>Energy (Hamiltonian)</td>
</tr>
<tr>
<td>solution</td>
<td>Ground state</td>
</tr>
<tr>
<td>Local search</td>
<td>Evolution</td>
</tr>
</tbody>
</table>
We can now assign a probability distribution over the assignments/states --- the Boltzmann distribution:

\[
\text{Prob}(S) = \frac{1}{Z} \times \exp\left(-\frac{E(S)}{kT}\right) \quad (*)
\]

where,

- \(E\) is the “energy” = \# unsatisfied clauses,
- \(T\) is the “temperature” a control parameter,
- \(k\) is the Boltzmann constant, and
- \(Z\) is the “partition function” (normalizes).

Distribution has a physical interpretation (captures thermodynamic equilibrium) but, for us, key property:

**With** \(T \to 0\), only minimum energy states have non-zero probability. **So, by taking** \(T \to 0\), **we can find properties of the satisfying assignments of the SAT problem.**
Physics contributing to Computation

80’s --- Simulated annealing
   General combinatorial search technique, inspired by physics.

90’s --- Phase transitions in computational systems
   Discovery of physical laws and phenomena (e.g. 1\textsuperscript{st} and 2\textsuperscript{nd} order transitions) in computational systems.

’02 --- Survey Propagation
   Analytical tool from statistical physics leads to powerful algorithmic method.

More expected!
A Journey from Random to Structured

• Random Instances
  Phase transitions and algorithms from physics to computer science

• Capturing Problem Structure
  problem mixtures (tractable / intractable)
  backdoor variables, restarts, and heavy tails
2+$p$-SAT

Morph between 2-SAT and 3-SAT

– fraction $p$ of 3-clauses
– fraction $(1-p)$ of 2-clauses

[Monasson et al 1999]
2+\(p\)-SAT

- Maps from P to NP
  - NP-complete for any \(p>0\)
  - Insight into change from P to NP [Monasson et al 1999]
2+$p$-SAT

![Graph showing the fraction of unsatisfiable formulae vs. ratio of clauses to variables (α) for different values of p.]
Computational Cost: $2+p$-SAT

Tractable substructure can dominate!

Median cost

Mixing 2-SAT (tractable) & 3-SAT (intractable) clauses.

$> 40\%$ 3-SAT --- exponential scaling

$\leq 40\%$ 3-SAT --- linear scaling

(Monasson et al. 99; Achlioptas ‘00)
Results for 2+p-SAT

\[ p \leq 0.4 \quad \text{--- model behaves as 2-SAT} \]
\[ \text{search proc. “sees” only binary constraints} \]
\[ \text{smooth, continuous phase transition (2}^{\text{nd}} \text{ order)} \]

\[ p > 0.4 \quad \text{--- behaves as 3-SAT (exponential scaling)} \]
\[ \text{abrupt, discontinuous scaling (1}^{\text{st}} \text{ order)} \]

Note: problem is NP-complete for any \( p > 0 \).
Lesson learned

In a worst-case intractable problem --- such as 2+p-SAT --- *having a sufficient amount of tractable problem substructure (possibly hidden)* can lead to provably *poly-time* average case behavior.

Next:

Capturing hidden problem structure.

(Gomes et al. 03, 04)
Real versus Random

• Real graphs tend to be sparse
  – dense random graphs contain lots of (rare?) structure

• Real graphs tend to have short path lengths
  – as do random graphs

• Real graphs tend to be clustered
  – unlike sparse random graphs
Small world graphs

- Sparse, clustered, short path lengths
- Six degrees of separation
  - Stanley Milgram’s famous 1967 postal experiment
  - recently revived by Watts & Strogatz
  - shown applies to:
    - actors database
    - US electricity grid
    - neural net of a worm
    - ...
An example

• 1994 exam timetable at Edinburgh University
  – 59 nodes, 594 edges so relatively sparse
  – but contains 10-clique
• less than \(10^{-10}\) chance in a random graph
  – assuming same size and density
• clique totally dominated cost to solve problem
Real World DPLL

Observation: Complete backtrack style search SAT solvers (e.g. DPLL) display a remarkably wide range of run times.

Even when repeatedly solving the same problem instance; variable branching is choice randomized.

Run time distributions are often “heavy-tailed”.

Orders of magnitude difference in run time on different runs.

(Gomes et al. 1998; 2000)
Heavy Tails on Structured Problems

50% runs: 1 backtrack

10% runs: > 100,000 backtracks
The Pervasiveness of Heavy-Tailed Phenomena in Economics. Science, Engineering, and Computation

Tsunami 2004

Blackout of August 15th 2003

Financial Markets with huge crashes

Backtrack search

... there are a few billionaires

Annual meeting (2005).b
Randomized Restarts

Solution: randomize the backtrack strategy
  Add noise to the heuristic branching (variable choice) function
  Cutoff and restart search after a fixed number of backtracks

Provably Eliminates heavy tails

In practice: rapid restarts with low cutoff can dramatically improve performance (Gomes et al. 1998, 1999)

Exploited in many current SAT solvers combined with clause learning and non-chronological backtracking. (e.g., Chaff etc.)
Results with Random Restarts

<table>
<thead>
<tr>
<th></th>
<th>Deterministic</th>
<th>$^3_R$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Logistics Planning</td>
<td>108 mins.</td>
<td>95 sec.</td>
</tr>
<tr>
<td>Scheduling 14</td>
<td>411 sec</td>
<td>250 sec</td>
</tr>
<tr>
<td>Scheduling 16</td>
<td>---(*)</td>
<td>1.4 hours</td>
</tr>
<tr>
<td>Scheduling 18</td>
<td>---(*)</td>
<td>~18 hrs</td>
</tr>
<tr>
<td>Circuit Synthesis 1</td>
<td>---(*)</td>
<td>165sec.</td>
</tr>
<tr>
<td>Circuit Synthesis 2</td>
<td>---(*)</td>
<td>17min.</td>
</tr>
</tbody>
</table>

(*) not found after 2 days
Restarts

70% unsolved

1 - F(x)

Unsolved fraction

no restarts

restart every 4 backtracks

0.001% unsolved

250 (62 restarts)
Example of Rapid Restart Speedup

Cutoff (log) vs. Number backtracks (log) graph with labeled points:
- ~100 restarts at 100000
- ~10 restarts at 1000000
- 20 restarts at 100
- 1000 restarts at 20
- 10000 restarts at 1
- 100000 restarts at 10
- 1000000 restarts at 100

The graph shows a rapid decrease in the number of backtracks as the cutoff increases, indicating a significant speedup in performance with rapid restarts.
Several ways to use restarts

• Restart with increasing cutoff - cutoff increases linearly
  – Geometric restarts – (Walsh 99) cutoff increased geometrically;

• Randomized backtracking – (Lynce et al 2001)
  – randomizes the target decision points when backtracking (several variants)

• Random jumping (Zhang 2002)
  – solver randomly jumps to unexplored portions of search space;
  – jumping decisions are based on analyzing the ratio between the space searched vs. the remaining search space;
  – solved several open problems in combinatorics;

• Learning restart strategies – (Kautz et al 2001 and Ruan et. al 2002) –
  – results on optimal policies for restarts under particular scenarios. Huge area for further research.
Intuitively: Exponential penalties hidden in backtrack search, consisting of large inconsistent subtrees in the search space.

But, for restarts to be effective, you also need short runs.

Where do short runs come from?
Backdoors: intuitions

Real World Problems are characterized by Hidden Tractable Substructure

**BACKDOORS**
Subset of “critical” variables such that once assigned a value the instance simplifies to a tractable class.

Explain how a solver can get “lucky” and solve very large instances
Backdoors

Informally:

A backdoor to a given problem is a subset of the variables such that once they are assigned values, the polynomial propagation mechanism of the SAT solver solves the remaining formula.

Formal definition includes the notion of a “subsolver”: a polynomial simplification procedure with certain general characteristics found in current DPLL SAT solvers.

Backdoors correspond to “clever reasoning shortcuts” in the search space.
Given a combinatorial problem $C$

**Backdoors (for satisfiable instances) (wrt subsolver $A$):**

**Definition** [backdoor] A nonempty subset $S$ of the variables is a backdoor in $C$ for $A$ if for some $a_S : S \rightarrow D$, $A$ returns a satisfying assignment of $C[a_S]$.

**Strong backdoors (apply to satisfiable or inconsistent instances):**

**Definition** [strong backdoor] A nonempty subset $S$ of the variables is a strong backdoor in $C$ for $A$ if for all $a_S : S \rightarrow D$, $A$ returns a satisfying assignment or concludes unsatisfiability of $C[a_S]$. 
Reminder: Cycle-cutset

• Given an undirected graph, a cycle cutset is a subset of nodes in the graph whose removal results in a graph without cycles.

• Once the cycle-cutset variables are instantiated, the remaining problem is a tree → solvable in polynomial time using arc consistency;

• A constraint graph whose graph has a cycle-cutset of size $c$ can be solved in time of $O((n-c) \cdot k^{(c+2)})$.

• Important: verifying that a set of nodes is a cutset can be done in polynomial time (in number of nodes).

(Dechter 93)
Backdoors vs. Cutsets

• Can be viewed as a generalization of cutsets;

• Backdoors use a general notion of tractability based on a polytime sub-solver --- backdoors do not require a syntactic characterization of tractability.

• Backdoors factor in the semantics of the constraints wrt sub-solver and values of the variables;

• Backdoors apply to different representations, including different semantics for graphs, e.g., network flows --- CSP, SAT, MIP, etc;

Note: Cutsets and W-cutsets – tractability based solely on the structure of the constraint graph, independently of the semantics of the constraints;
Backdoors can be surprisingly small

<table>
<thead>
<tr>
<th>instance</th>
<th># vars</th>
<th># clauses</th>
<th>backdoor</th>
<th>fract.</th>
</tr>
</thead>
<tbody>
<tr>
<td>logistics.d</td>
<td>6783</td>
<td>437431</td>
<td>12</td>
<td>0.0018</td>
</tr>
<tr>
<td>3bitadd_32</td>
<td>8704</td>
<td>32316</td>
<td>53</td>
<td>0.0061</td>
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<td>7736</td>
<td>26087</td>
<td>23</td>
<td>0.0030</td>
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<td>qg_30_1</td>
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<td>8523</td>
<td>14</td>
<td>0.0113</td>
</tr>
<tr>
<td>qg_35_1</td>
<td>1597</td>
<td>10658</td>
<td>15</td>
<td>0.0094</td>
</tr>
</tbody>
</table>

Most recent: Other combinatorial domains. E.g. graphplan planning, near constant size backdoors (2 or 3 variables) and log(n) size in certain domains. (Hoffmann, Gomes, Selman ’04)

Backdoors capture critical problem resources (bottlenecks).
Backdoors --- “seeing is believing”

Constraint graph of reasoning problem. One node per variable: edge between two variables if they share a constraint.

Logistics_b.cnf planning formula.
843 vars, 7,301 clauses, approx min backdoor 16
(backdoor set = reasoning shortcut)
Logistics.b.cnf after setting 5 backdoor vars.
After setting just 12 (out of 800+) backdoor vars – problem almost solved.
Another example

MAP-6-7.cnf infeasible planning instances. Strong backdoor of size 3. 392 vars, 2,578 clauses.
After setting 2 (out of 392) backdoor vars. --- reducing problem complexity in just a few steps!
Inductive inference problem --- ii16a1.cnf. 1650 vars, 19,368 clauses. Backdoor size 40.
After setting 6 backdoor vars.
After setting 38 (out of 1600+) backdoor vars:

So: Real-world structure hidden in the network. Can be exploited by automated reasoning engines.
### Size

**backdoor**

<table>
<thead>
<tr>
<th>$B(n)$</th>
<th>deterministic</th>
<th>randomized</th>
<th>heuristic</th>
</tr>
</thead>
<tbody>
<tr>
<td>$n/k$</td>
<td>small $\exp(n)$</td>
<td>smaller $\exp(n)$</td>
<td>tiny $\exp(n)$</td>
</tr>
<tr>
<td>$O(\log n)$</td>
<td>$\left(\frac{n}{\sqrt{\log n}}\right)^{O(\log n)}$</td>
<td>$\left(\frac{n}{\log n}\right)^{O(\log n)}$</td>
<td>$\text{poly}(n)$</td>
</tr>
<tr>
<td>$O(1)$</td>
<td>$\text{poly}(n)$</td>
<td>$\text{poly}(n)$</td>
<td>$\text{poly}(n)$</td>
</tr>
</tbody>
</table>

$n = \text{num. vars.} \\
k \text{ is a constant}$

(Williams, Gomes, and Selman ’03)
Other Techniques: Nogood Learning

• Learn from mistakes *during* search
  – Nogood Learning: when DPLL backtracks,
• Learn a concise reason: what went wrong
  – avoid similar ‘mistakes’ in the future!
  – *Extremely powerful* in practice
Other Techniques: Machine Learning

• Machine learning to build **algorithm portfolios**
  – Observation: no single SAT solver is good on every family of instances
  – Features of a given instance can be used to predict, with reasonable accuracy, which solver will work well on it!
  – **Solution**: design a portfolio solver using ML techniques
    • Based on runtime prediction models
    • Recent work – avoid complex models, use k-NN or clustering

• Automatic parameter tuning (generic and instance-specific)
  – SAT solvers are designed with many ‘hardwired’ parameters
  – Millions of parameter combinations – impossible to explore all by hand!
  – **Solution**: use automatic parameter tuning tools based on local search, genetic algorithms, etc.
Where is SAT Research headed?

Direction A: getting more out of SAT solvers
– Minimal/minimum **unsatisfiable cores**: very useful in practice!
– **MAXSAT**, weighted MAXSAT
– Circuit representations (rather than CNF)

Direction B: tackling problems *harder* than SAT
– Near-uniform **sampling** from the solution space
– **Solution counting** (with relations to probabilistic inference)
  -- #P-hard: challenging even to approximate with good confidence bounds

Direction C: expanding the applicability of SAT technology
– **Pseudo-Boolean** SAT (i.e., linear inequalities over Boolean vars)
– **SMT**: Satisfiability Modulo Theories (e.g., linear arithmetic, bit-vector operations, uninterpreted functions)
MAXSAT (SAT optimization)

• MAXSAT
  – minimize #unsatisfied clauses

• Weighted MAXSAT
  – minimize sum of weights of unsatisfied clauses

• Weighted Partial MAXSAT
  – some clauses have infinite weight: satisfy them
  – others: min sum of weights of unsatisfied clauses
Project 1

• can be converted to Weighted Partial MAXSAT

• Use
  
  http://www.uni-ulm.de/fileadmin/website_uni_ulm/iui.inst.190/Mitarbeiter/kuegel/akmaxsat_1.1.tgz