Affine transformations

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Reading

Required:

- Shirley, Sec. 2.4, 2.7
- Shirley, Ch. 5.1-5.3
- Shirley, Ch. 6

Further reading:

- Foley, et al, Chapter 5.1-5.5.
- David F. Rogers and J. Alan Adams, Mathematical Elements for Computer Graphics, 2nd Ed., McGraw-Hill, New York, 1990, Chapter 2.

Geometric transformations

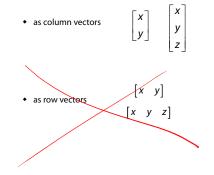
Geometric transformations will map points in one space to points in another: (x', y', z') = f(x, y, z).

These transformations can be very simple, such as scaling each coordinate, or complex, such as nonlinear twists and bends.

We'll focus on transformations that can be represented easily with matrix operations.

Vector representation

We can represent a **point**, $\mathbf{p} = (x, y)$, in the plane or $\mathbf{p} = (x, y, z)$ in 3D space



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Two-dimensional transformations

Here's all you get with a 2 x 2 transformation matrix *M* :

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

So:

x' = ax + byy' = cx + dy

We will develop some intimacy with the elements a, b, c, d...



Suppose we choose a = d = 1, b = c = 0:

• Gives the **identity** matrix:

 $\begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$

• Doesn't move the points at all



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Scaling

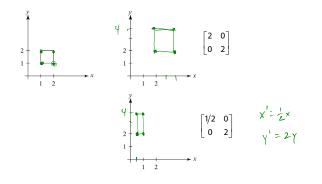
Suppose we set b = c = 0, but let a and d take on any *positive* value:

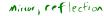
• Gives a **scaling** matrix:

 $\begin{bmatrix} a & 0 \\ 0 & d \end{bmatrix}$

 Provides differential (non-uniform) scaling in x and y: x' = ax



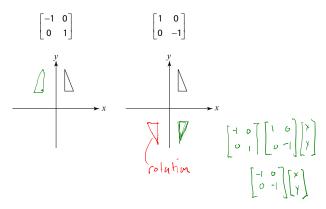




Suppose we keep b = c = 0, but let either a or d go negative.

Examples:

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Shear

Effect on unit square

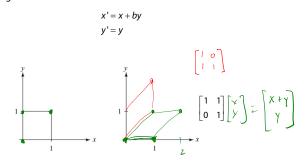
Now let's leave a = d = 1 and experiment with $b \dots$

 $\begin{bmatrix} 1 & b \end{bmatrix}$

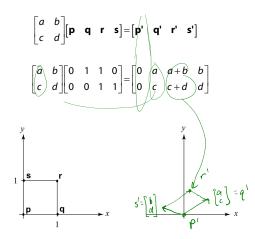
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The matrix

gives:



Let's see how a general 2 x 2 transformation *M* affects the unit square:



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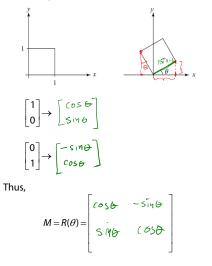
Effect on unit square, cont.

Observe:

- Origin invariant under M
- *M* can be determined just by knowing how the corners (1,0) and (0,1) are mapped
- *a* and *d* give *x* and *y*-scaling
- *b* and *c* give *x* and *y*-shearing

Rotation

From our observations of the effect on the unit square, it should be easy to write down a matrix for "rotation about the origin":



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Degrees of freedom

For any transformation, we can count its **degrees of freedom** – the number of independent (though not necessarily unique) parameters needed to specify the transformation.

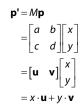
One way to count them is to add up all the apparently free variables and subtract the number of equations that constrain them.

How many degrees of freedom does an arbitrary 2X2 transformation have?

How many degrees of freedom does a 2D rotation have?

Linear transformations

The unit square observations also tell us the 2x2 matrix transformation implies that we are representing a point in a new coordinate system:



where $\mathbf{u} = [a c]^T$ and $\mathbf{v} = [b d]^T$ are vectors that define a new **basis** for a **linear space**.

The transformation to this new basis (a.k.a., change of basis) is a **linear transformation**.

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Limitations of the 2 x 2 matrix

A 2 x 2 linear transformation matrix allows

- Scaling
- Rotation
- Reflection
- Shearing

Q: What important operation does that leave out?

translation

Affine transformations

liner

In order to incorporate the idea that both the basis and the origin can change, we augment the linear space \mathbf{u}, \mathbf{v} with an origin \mathbf{t} .

We call **u**, **v**, and **t** (basis and origin) a **frame** for an **affine space**.

Then, we can represent a change of frame as:

 $\mathbf{p'} = x \cdot \mathbf{u} + y \cdot \mathbf{v} + \mathbf{t}$

This change of frame is also known as an **affine** transformation.

How do we write an affine transformation with matrices?

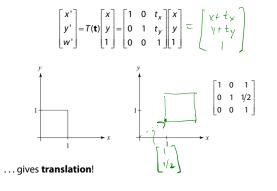
Homogeneous coordinates

Idea is to loft the problem up into 3-space, adding a third component to every point:

$$\begin{bmatrix} x \\ y \end{bmatrix} \rightarrow \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

Adding the third "w" component puts us in homogenous coordinates.

And then transform with a 3 x 3 matrix:



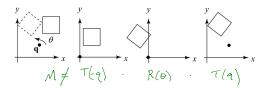
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Rotation about arbitrary points

Until now, we have only considered rotation about the origin.

With homogeneous coordinates, you can specify a rotation, θ , about any point $\mathbf{q} = [q_X q_V]^T$ with a matrix.



M =T(q)R(0)T(-q)

- 1. Translate **q** to origin
- 2. Rotate
- 3. Translate back

Note: Transformation order is important!!

Anatomy of an affine matrix

The addition of translation to linear transformations gives us affine transformations.

In matrix form, 2D affine transformations always look like this:

$$M = \begin{bmatrix} a & b & t_x \\ c & d & t_y \\ 0 & 0 & 1 \end{bmatrix} = \begin{bmatrix} A & \mathbf{t} \\ 0 & 0 & 1 \end{bmatrix}$$

2D affine transformations always have a bottom row of [0 0 1].

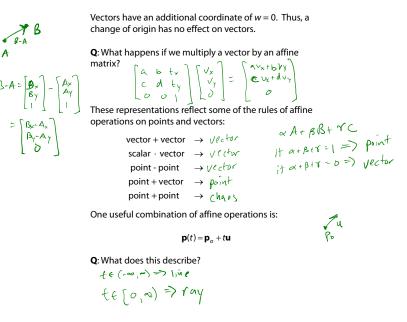
An "affine point" is a "linear point" with an added w-coordinate which is always 1:

$$\mathbf{p}_{\text{aff}} = \begin{bmatrix} \mathbf{p}_{\text{lin}} \\ 1 \end{bmatrix} = \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

Applying an affine transformation gives another affine point:



Points and vectors



Barycentric coordinates

A set of points can be used to create an affine frame. Consider a triangle ABC and a point P:



We can form a frame with an origin C and the vectors from C to the other vertices:

$$\mathbf{u} = B - A$$
 $\mathbf{v} = C - A$ $\mathbf{t} = A$

We can then write *P* in this coordinate frame:

$$P = \beta \mathbf{u} + \gamma \mathbf{v} + \mathbf{t}$$

= $\beta (\beta - A) + \gamma (\zeta - A) + A$
= $(1 - \beta - \gamma) A + \beta B + \gamma \zeta$

The coordinates (α , β , γ) are called the **barycentric coordinates** of *P* relative to *A*, *B*, and *C*.

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Barycentric coords from area ratios

It is easy to show that:

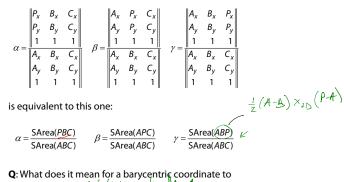
$$\mathcal{Aet} \left[\begin{array}{ccc} A_{x} & B_{x} & C_{x} \\ A_{y} & B_{y} & C_{y} \\ 1 & 1 & 1 \end{array} \right] = (B-A) \times_{2D} (C-A) = 2 \cdot \text{SArea}(ABC)$$

where SArea(Δ) is the signed area of a triangle.

Thus, we find this solution:

P= NATBB+TC

B-A



Q: What does it mean for a barycentric coordinate to be negative? point is outsmall A ABC

Computing barycentric coordinates

Writing out the barycentric combination of points

$$\alpha A + \beta B + \gamma C = P \implies \alpha \begin{bmatrix} A_x \\ A_y \\ 1 \end{bmatrix} + \beta \begin{bmatrix} B_x \\ B_y \\ 1 \end{bmatrix} + \gamma \begin{bmatrix} C_x \\ C_y \\ 1 \end{bmatrix} = \begin{bmatrix} P_x \\ P_y \\ 1 \end{bmatrix}$$

rearrange the left-hand side into matrix form

$$\alpha \begin{bmatrix} A_{x} \\ A_{y} \\ 1 \end{bmatrix} + \beta \begin{bmatrix} B_{x} \\ B_{y} \\ 1 \end{bmatrix} + \gamma \begin{bmatrix} C_{x} \\ C_{y} \\ 1 \end{bmatrix} = \begin{bmatrix} \alpha A_{x} + \beta B_{x} + \gamma C_{x} \\ \alpha A_{y} + \beta B_{y} + \gamma C_{y} \\ \alpha + \beta + \gamma \end{bmatrix} = \begin{bmatrix} A_{x} & B_{x} & C_{x} \\ A_{y} & B_{y} & C_{y} \\ 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} \alpha \\ \beta \\ \gamma \end{bmatrix}$$

to get a system of linear eqations

$$\alpha A + \beta B + \gamma C = P \quad \Rightarrow \quad \begin{bmatrix} A_x & B_x & C_x \\ A_y & B_y & C_y \\ 1 & 1 & 1 \end{bmatrix} \begin{bmatrix} \alpha \\ \beta \\ \gamma \end{bmatrix} = \begin{bmatrix} P_x \\ P_y \\ 1 \end{bmatrix}$$

and solve for the unknowns with Cramer's Rule:

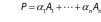
F	~	~	C_x		A_{x}	^	C_x		A_{x}	~	P_x
~	1	ь _у 1	С _у 1	ß-	A _y 1	1	1	. v –	1	В _у 1	Py 1
$\alpha = \frac{1}{4}$		~	C_x C_y		$\begin{vmatrix} A_x \\ A_y \end{vmatrix}$	^	С _х С,	/ -	A _x A _v	B _x B _y	^
			1		1					1	

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Affine, vector, and convex combinations

Note that we seem to have constructed a point by adding points together, which we said was illegal, but as long as they have coefficients that sum to one, it's ok.

More generally:



is an affine combination if:

$$\sum_{i=1}^{n} \alpha_i$$

=1

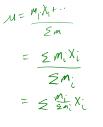
It is a vector combination if:

$$\sum_{i=1}^{n} \alpha_i = \mathbf{0}$$

And it is a convex combination if:

$$\sum_{i=1}^{n} \alpha_i = 1$$
 and $\alpha_i \ge 0$

Q: Why is it called a convex combination? Conver hull ...

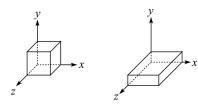


Basic 3-D transformations: scaling

Some of the 3-D transformations are just like the 2-D ones.

For example, scaling:

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



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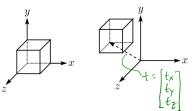
Rotation in 3D

How many degrees of freedom are there in an arbitrary 3D rotation?

DOFS

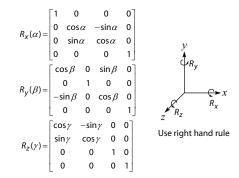
Translation in 3D





Rotation in 3D (cont'd)

These are the rotations about the canonical axes:



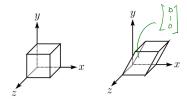
A general rotation can be specified in terms of a product of these three matrices. How else might you specify a rotation? Γ_{c}

1911=1 91 91

Shearing in 3D

Shearing is also more complicated. Here is one example:

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & b & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$



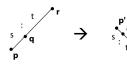
We call this a shear with respect to the x-z plane.

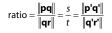
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Properties of affine transformations

Here are some useful properties of affine transformations:

- Lines map to lines
- Parallel lines remain parallel
- Midpoints map to midpoints (in fact, ratios are always preserved)





Preservation of affine combinations

A transformation *F* is an affine transformation if it preserves affine combinations:

$$F(\alpha_1A_1 + \dots + \alpha_nA_n) = \alpha_1F(A_1) + \dots + \alpha_nF(A_n)$$

where the A_i are points, and:

 $\sum_{i=1}^{n} \alpha_i = 1$

Clearly, the matrix form of *F* has this property.

One special example is a matrix that drops a dimension. For example:

 $\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix} = \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$

This transformation, known as an orthographic projection is an affine transformation.

We'll use this fact later...

Affine transformations in OpenGL

OpenGL maintains a "modelview" matrix that holds the current transformation **M.**

The modelview matrix is applied to points (usually vertices of polygons) before drawing.

It is modified by commands including:

- glLoadIdentity() M ← I
 set M to identity
- glTranslatef(t_x , t_y , t_z) $M \leftarrow MT$ - translate by (t_x , t_y , t_z)
- glRotatef(θ, x, y, z) M ← MR
 rotate by angle θ about axis (x, y, z)
- ◆ glScalef(s_x , s_y , s_z) $M \leftarrow MS$ - scale by (s_x , s_y , s_z)

Note that OpenGL adds transformations by *postmultiplication* of the modelview matrix.