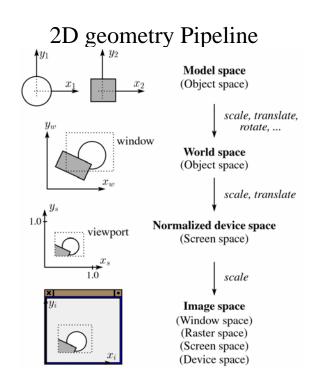
#### **Affine Transformations**

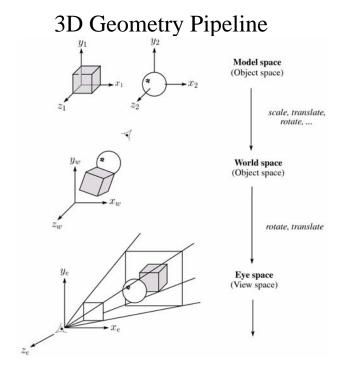
## Reading

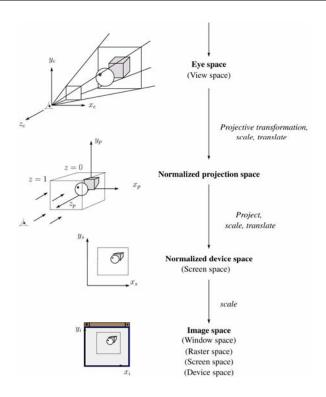
• Foley et al., Chapter 5.6 and Chapter 6

#### **Supplemental**

• David F. Rogers and J. Alan Adams, *Mathematical Elements* for Computer Graphics, Second edition







### Affine Geometry

- Points: location in 3D space
- Vectors: quantity with a direction and magnitude, but no fixed position
- Scalar: a real number



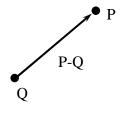
s = 5.3

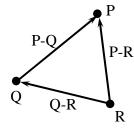
• D

# Affine Spaces

Affine space consists of points and vectors related by a set of axioms:

- Difference of two points is a vector:
- Head-to-tail rule for vector addition:





## **Affine Operations**

Legal affine operations:

 $vector + vector \rightarrow vector$ 

 $scalar \cdot vector \rightarrow vector$ 

 $point - point \rightarrow vector$ 

point + vector  $\rightarrow$  point

... example of an "illegal" operation:

point + point  $\rightarrow$  nonsense

Useful combination of affine operations:

$$P(\alpha) = P_0 + \alpha \mathbf{v}$$

What is it?

#### Affine Combination

Affine combination of two points:

$$Q = \alpha_1 Q_1 + \alpha_2 Q_2$$

where  $\alpha_1 + \alpha_2 = 1$  is defined to be the point

$$Q = Q_1 + \alpha_1(Q_2 - Q_1)$$

We can generalize affine combination to multiple points:

$$Q = \alpha_1 Q_1 + \alpha_2 Q_2 + \dots + \alpha_n Q_n$$

where

$$\sum \alpha_i = 1$$

## Matrix representation of points and vectors

Coordinate axiom:  $0 \cdot P = 0$  $1 \cdot P = P$ 

So every point in the frame  $\mathcal{F} = (\mathbf{v}_1, \mathbf{v}_2, ..., \mathbf{v}_n, \mathcal{O})$  can be written as

$$= \begin{bmatrix} \mathbf{v}_1 & \mathbf{v}_2 & \cdots & \mathbf{v}_n & \mathcal{O} \end{bmatrix} \begin{bmatrix} p_1 \\ p_2 \\ \cdots \\ p_n \\ 1 \end{bmatrix}$$
And every vector as

$$\mathbf{u} = u_1 \mathbf{v}_1 + u_2 \mathbf{v}_2 + \dots + u_n \mathbf{v}_n + 0 \cdot \mathcal{O}$$

$$= \begin{bmatrix} \mathbf{v}_1 & \mathbf{v}_2 & \cdots & \mathbf{v}_n & \mathcal{O} \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \\ \cdots \\ u_n \\ 0 \end{bmatrix}$$

#### Affine Frame

A frame can be defined as a set of vectors and a point:

$$(\mathbf{v}_1, \dots, \mathbf{v}_n, \mathcal{O})$$

Where  $\mathbf{v}_1, \dots, \mathbf{v}_n$  form a basis and  $\mathcal{O}$  is a point in space.

Any point P can be written as

$$P = p_1 \mathbf{v}_1 + \dots + p_n \mathbf{v}_n + \mathcal{O}$$

And any vector as:

$$\mathbf{u} = u_1 \mathbf{v}_1 + \dots + u_n \mathbf{v}_n$$

## Changing frames

Given a point P in frame  $\mathcal{F}$ , what are the coordinates of P in frame  $\mathcal{F}' = (\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_n, \mathcal{O}')$ 

$$P = \begin{bmatrix} \mathbf{v}_1 & \mathbf{v}_2 & \cdots & \mathbf{v}_n & \mathcal{O} \end{bmatrix} \begin{bmatrix} p_1 \\ p_2 \\ \cdots \\ p_n \\ 1 \end{bmatrix} = \begin{bmatrix} \mathbf{v}_1' & \mathbf{v}_2' & \cdots & \mathbf{v}_n' & \mathcal{O}' \end{bmatrix} \begin{bmatrix} p_1' \\ p_2' \\ \cdots \\ p_n' \\ 1 \end{bmatrix}$$

Since each element of  $\mathcal{F}$  can be written in coordinates relative to F'

$$\mathbf{v}_i = f_{i,1}\mathbf{v}_1' + \dots + f_{i,n}\mathbf{v}_n'$$

$$\mathcal{O} = f_{n+1,1}\mathbf{v}_1' + \dots + f_{n+1,n}\mathbf{v}_n' + \mathcal{O}'$$

## Changing frames cont'd

Written in a matrix form

$$\begin{bmatrix} \mathbf{v}_{1}' & \mathbf{v}_{2}' & \cdots & \mathbf{v}_{n}' & \mathcal{O}' \end{bmatrix} \begin{bmatrix} p_{1}' \\ p_{2}' \\ \vdots \\ p_{n}' \\ 1 \end{bmatrix} = \begin{bmatrix} \mathbf{v}_{1}' & \mathbf{v}_{2}' & \cdots & \mathbf{v}_{n}' & \mathcal{O}' \end{bmatrix} \begin{bmatrix} f_{1,1} & \cdots & f_{n,1} & f_{n+1,1} \\ \vdots & \ddots & & \vdots \\ f_{1,n} & & f_{n,n} & f_{n+1,n} \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p_{1} \\ p_{2} \\ \vdots \\ p_{n} \\ 1 \end{bmatrix}$$

$$\begin{bmatrix} p_1' \\ p_2' \\ \vdots \\ p_n' \\ 1 \end{bmatrix} = \begin{bmatrix} f_{1,1} & \cdots & f_{n,1} & f_{n+1,1} \\ \vdots & \ddots & & \vdots \\ f_{1,n} & & f_{n,n} & f_{n+1,n} \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p_1 \\ p_2 \\ \vdots \\ p_n \\ 1 \end{bmatrix} = \mathbf{F} \begin{bmatrix} p_1 \\ p_2 \\ \vdots \\ p_n \\ 1 \end{bmatrix}$$

## Euclidean and Cartesian spaces

A Euclidean space is an affine space with an inner product:

$$\langle u, v \rangle = u \cdot v = u^T v$$

A Cartesian space is a Euclidean space with a standard orthonormal frame. In 3D:  $(\mathbf{i}, \mathbf{j}, \mathbf{k}, \mathcal{O})$ 

$$\mathbf{e}_i \cdot \mathbf{e}_j = \begin{cases} 1 & \text{if } i = j \\ 0 & \text{otherwise} \end{cases}$$

# Useful properties and operations in Cartesian spaces

Length:  $|\mathbf{v}| = \sqrt{\mathbf{v} \cdot \mathbf{v}}$ 

Distance between points: |P-Q|

Angle between vectors:  $\cos^{-1} \left( \frac{\mathbf{u} \cdot \mathbf{v}}{|\mathbf{u}| \cdot |\mathbf{v}|} \right)$ 

Perpendicular (orthogonal):  $\mathbf{u} \cdot \mathbf{v} = 0$ 

Parallel:  $\frac{\mathbf{u} \cdot \mathbf{v}}{|\mathbf{u}| \cdot |\mathbf{v}|} = \pm 1$ 

Cross product (in 3D):  $\mathbf{u} \times \mathbf{v} = \mathbf{w}$ 

#### **Affine Transformations**

 $F: A \rightarrow B$  is an affine transformation if it preserves affine combinations:

$$F\left(\sum \alpha_i Q_i\right) = \sum \alpha_i F(Q_i)$$

Where  $\sum \alpha_i = 1$  . The same applies to vectors.

Affine coordinates are preserved:  $F(\mathcal{O} + \sum p_i \mathbf{v}_i) = F(\mathcal{O}) + \sum p_i F(\mathbf{v}_i)$ 

Lines map to lines:  $F(P_0 + \alpha \mathbf{v}) = F(P_0) + \alpha F(\mathbf{v})$ 

Paralelism is preserved:  $F(Q_0 + \beta \mathbf{v}) = F(Q_0) + \beta F(\mathbf{v})$ 

Ratios are preserved:  $Ratio(Q_1, Q, Q_2) = Ratio(F(Q_1), F(Q), F(Q_2))$ 

#### 2D Affine Transformations

P = [x, y, 1]

P is a column vector  $P' = \mathbf{M}P$ 

$$P' = \mathbf{M}P$$

$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} a & b & c \\ d & e & f \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

P is a row vector

$$P' = P\mathbf{M}$$

$$\begin{bmatrix} x' & y' & 1 \end{bmatrix} = \begin{bmatrix} x & y & 1 \end{bmatrix} \begin{bmatrix} a & d & 0 \\ b & e & 0 \\ c & f & 1 \end{bmatrix}$$

## Identity

Doesn't move points at all

$$\begin{bmatrix}
1 & 0 & 0 \\
0 & 1 & 0 \\
0 & 0 & 1
\end{bmatrix}$$

#### **Translation**

$$\begin{bmatrix} x' \\ y' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & 0 & c \\ 0 & 1 & f \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix}$$

$$x' = x + c$$

$$y' = y + f$$

## Scaling

Changing the diagonal elements performs scaling

$$\begin{bmatrix} a & 0 & 0 \\ 0 & f & 0 \\ 0 & 0 & 1 \end{bmatrix} \qquad x' = ax$$
$$y' = fy$$

If a=f scaling is uniform

What if 
$$a, f < 0$$

What if 
$$a,f < 0$$

$$\begin{bmatrix}
-1 & 0 & 0 \\
0 & -1 & 0 \\
0 & 0 & 1
\end{bmatrix}$$

## Shearing

What about the off-diagonal elements?

The matrix

$$\begin{bmatrix} 1 & 0 & 0 \\ d & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Gives

$$x' = x$$
$$y' = dx + y$$

#### Rotation

• Rotation of points [1,0,1] and [0,1,1] by angle  $\alpha$  around the origin:

$$\begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} \cos(\alpha) \\ \sin(\alpha) \\ 1 \end{bmatrix}$$

$$\begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix} \rightarrow \begin{bmatrix} -\sin(\alpha) \\ \cos(\alpha) \\ 1 \end{bmatrix}$$

### Effect on unit square

$$\begin{bmatrix} a & b & 0 \\ d & e & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 1 & 1 \end{bmatrix} = \begin{bmatrix} 0 & a & a+b & b \\ 0 & d & d+e & e \\ 1 & 1 & 1 & 1 \end{bmatrix}$$

- M can be determined just by knowing how corners [1,0,1] and [0,1,1] are mapped
- A and f give x- and y-scaling
- B and d give x- and y-shearing

#### The Matrices

Identity (do nothing): 
$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$
 Scale by  $s_x$  in the x and  $s_y$  in the y direction 
$$(s_x < 0 \text{ or } s_y < 0 \text{ is reflection}): \begin{bmatrix} s_x & 0 & 0 \\ 0 & s_y & 0 \\ 0 & 0 & 1 \end{bmatrix}$$
 Rotate by angle  $\theta$  (in radians): 
$$\begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$$
 Shear by amount a in the x direction: 
$$\begin{bmatrix} 1 & a & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$
 Shear by amount b in the y direction: 
$$\begin{bmatrix} 1 & 0 & 0 \\ b & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$
 Translate by the vector  $(t_x, t_y)$ : 
$$\begin{bmatrix} 1 & 0 & t_x \\ 0 & 1 & t_y \\ 0 & 0 & 1 \end{bmatrix}$$

# **Transformation Composition**

Applying transformations  $\boldsymbol{F}$  to point P and transformation  $\boldsymbol{G}$  to the result

$$P' = \mathbf{F}P$$

$$P'' = \mathbf{G}P'$$

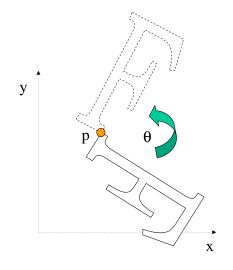
Combining two transformations

$$P'' = \mathbf{G}(\mathbf{F}P)$$
$$= (\mathbf{G}\mathbf{F})P$$

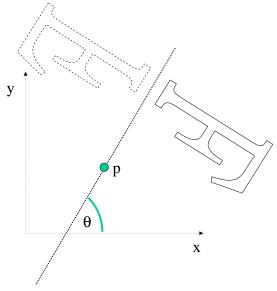
# Let's play a game

• Problems 2,3,4,14,17,18

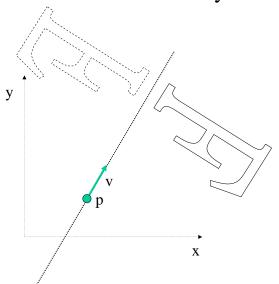
# Rotation around arbitrary point



# Reflection around arbitrary axis



# Reflection around arbitrary axis

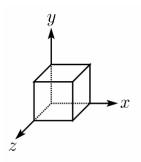


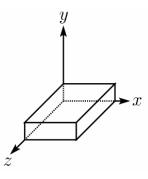
# Properties of Transforms

- Compact representation
- Fast implementation
- Easy to invert
- Easy to compose

# 3D Scaling

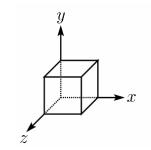
$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} s_x & 0 & 0 & 0 \\ 0 & s_y & 0 & 0 \\ 0 & 0 & s_z & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$

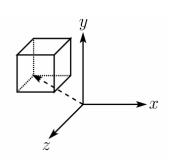




### 3D Translation

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 & t_x \\ 0 & 0 & 0 & t_y \\ 0 & 0 & 0 & t_z \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$





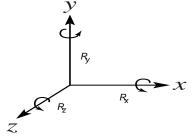
#### Rotation in 3D

• Rotation now has more possibilities in 3D:

$$R_{x}(\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta & 0 \\ 0 & \sin \theta & \cos \theta & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

$$R_{y}(\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta & 0 \\ 0 & 1 & 0 & 0 \\ -\sin \theta & 0 & \cos \theta & 0 \end{bmatrix}$$

$$R_z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 & 0 \\ \sin \theta & \cos \theta & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

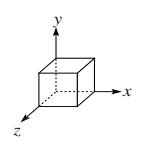


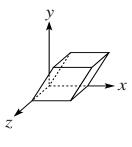
Use right hand rule

# Shearing in 3D

• Shearing is also more complicated. Here is one example:

$$\begin{bmatrix} x' \\ y' \\ z' \\ 1 \end{bmatrix} = \begin{bmatrix} 1 & b & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ z \\ 1 \end{bmatrix}$$





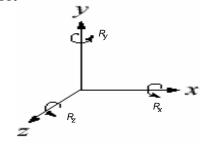
#### Rotation in 3D

• What about the inverses of 3D rotations?

$$R_{x}(\theta) = \begin{bmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & \cos\theta & -\sin\theta & 0 & 0 \\ 0 & \sin\theta & \cos\theta & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ \end{bmatrix}$$

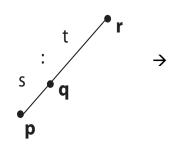
$$R_{y}(\theta) = \begin{bmatrix} \cos\theta & 0 & \sin\theta & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ -\sin\theta & 0 & \cos\theta & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \\ \end{bmatrix}$$

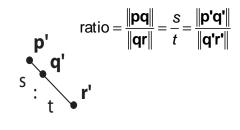
$$R_{z}(\theta) = \begin{bmatrix} \cos\theta & -\sin\theta & 0 & 0 & 0 \\ \sin\theta & \cos\theta & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ \end{bmatrix}$$



## Properties of affine transformations

- All of the transformations we've looked at so far are examples of "affine transformations."
- Here are some useful properties of affine transformations:
  - Lines map to lines
  - Parallel lines remain parallel
  - Midpoints map to midpoints (in fact, ratios are always preserved)





Rotation that aligns 3 orthonormal vectors with the principal axes

