### Natural Language Processing (CSE 517): Machine Translation

#### Noah Smith

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#### **Evaluation**

Intuition: good translations are **fluent** in the target language and **faithful** to the original meaning.

Bleu score (Papineni et al., 2002):

- Compare to a human-generated reference translation
- Or, better: multiple references
- Weighted average of n-gram precision (across different n)

There are some alternatives; most papers that use them report Bleu, too.

#### Warren Weaver to Norbert Wiener, 1947

One naturally wonders if the problem of translation could be conceivably treated as a problem in cryptography. When I look at an article in Russian, I say: 'This is really written in English, but it has been coded in some strange symbols. I will now proceed to decode.'

Review

A pattern for modeling a pair of random variables, X and Y:

$$\boxed{\texttt{source}} \longrightarrow Y \longrightarrow \boxed{\texttt{channel}} \longrightarrow X$$

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 $\blacktriangleright$  Y is the plaintext, the true message, the missing information, the output

- $\blacktriangleright$  X is the ciphertext, the garbled message, the observable evidence, the input
- Decoding: select y given X = x.

$$y^* = \underset{y}{\operatorname{argmax}} p(y \mid x)$$
  
= 
$$\underset{y}{\operatorname{argmax}} \frac{p(x \mid y) \cdot p(y)}{p(x)}$$
  
= 
$$\underset{y}{\operatorname{argmax}} \underbrace{p(x \mid y)}_{\text{channel model source model}} \cdot \underbrace{p(y)}_{\text{source model}}$$

#### Bitext/Parallel Text

Let f and e be two sequences in  $\mathcal{V}^{\dagger}$  (French) and  $\overline{\mathcal{V}}^{\dagger}$  (English), respectively.

Earlier, we defined  $p(F \mid e)$ , the probability over French translations of English sentence e (IBM Models 1 and 2).

In a noisy channel machine translation system, we could use this together with source/language model p(e) to "decode" f into an English translation.

Where does the data to estimate this come from?

### IBM Model 1

(Brown et al., 1993) Let  $\ell$  and m be the (known) lengths of e and f. Latent variable  $a = \langle a_1, \ldots, a_m \rangle$ , each  $a_i$  ranging over  $\{0, \ldots, \ell\}$  (positions in e).  $\blacktriangleright$   $a_4 = 3$  means that  $f_4$  is "aligned" to  $e_3$ .  $\blacktriangleright$   $a_6 = 0$  means that  $f_6$  is "aligned" to a special NULL symbol,  $e_0$ .  $p(\boldsymbol{f} \mid \boldsymbol{e}, m) = \sum_{\ell}^{\ell} \sum_{\ell}^{\ell} \cdots \sum_{\ell}^{\ell} p(\boldsymbol{f}, \boldsymbol{a} \mid \boldsymbol{e}, m)$  $a_1 = 0 a_2 = 0 \qquad a_m = 0$  $= \sum p(\boldsymbol{f}, \boldsymbol{a} \mid \boldsymbol{e}, m)$  $\boldsymbol{a} \in \{0, \dots, \ell\}^m$  $p(\mathbf{f}, \mathbf{a} \mid \mathbf{e}, m) = \prod p(a_i \mid i, \ell, m) \cdot p(f_i \mid e_{a_i})$ i=1 $=\prod_{i=1}^{m} \frac{1}{\ell+1} \cdot \theta_{f_i|e_{a_i}} = \left(\frac{1}{\ell+1}\right)^m \prod_{i=1}^{m} \theta_{f_i|e_{a_i}}$ 

Mr President , Noah's ark was filled not with production factors , but with living creatures .

$$oldsymbol{a} = \langle 4, \ldots 
angle$$
  $p(oldsymbol{f},oldsymbol{a} \mid oldsymbol{e},m) = rac{1}{17+1} \cdot heta_{ extsf{Noahs}\mid extsf{Noahs}\mid extsf{Noahs}\mid extsf{Noahs}\mid extsf{Noahs}$ 

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$$\begin{split} \boldsymbol{a} &= \langle 4, 5, 6, \ldots \rangle \\ p(\boldsymbol{f}, \boldsymbol{a} \mid \boldsymbol{e}, m) &= \frac{1}{17 + 1} \cdot \theta_{\mathsf{Noahs} \mid \mathsf{Noah's}} \cdot \frac{1}{17 + 1} \cdot \theta_{\mathsf{Arche} \mid \mathsf{ark}} \\ &\cdot \frac{1}{17 + 1} \cdot \theta_{\mathsf{war} \mid \mathsf{was}} \end{split}$$

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$$\begin{split} \boldsymbol{a} &= \langle 4, 5, 6, 8, \ldots \rangle \\ p(\boldsymbol{f}, \boldsymbol{a} \mid \boldsymbol{e}, m) &= \frac{1}{17+1} \cdot \theta_{\mathsf{Noahs} \mid \mathsf{Noah's}} \cdot \frac{1}{17+1} \cdot \theta_{\mathsf{Arche} \mid \mathsf{ark}} \\ &\cdot \frac{1}{17+1} \cdot \theta_{\mathsf{war} \mid \mathsf{was}} \cdot \frac{1}{17+1} \cdot \theta_{\mathsf{nicht} \mid \mathsf{not}} \end{split}$$

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$$\begin{split} \boldsymbol{a} &= \langle 4, 5, 6, 8, 7, ?, \ldots \rangle \\ p(\boldsymbol{f}, \boldsymbol{a} \mid \boldsymbol{e}, m) &= \frac{1}{17+1} \cdot \theta_{\mathsf{Noahs}|\mathsf{Noah's}} \cdot \frac{1}{17+1} \cdot \theta_{\mathsf{Arche}|\mathsf{ark}} \\ &\cdot \frac{1}{17+1} \cdot \theta_{\mathsf{war}|\mathsf{was}} \cdot \frac{1}{17+1} \cdot \theta_{\mathsf{nicht}|\mathsf{not}} \\ &\cdot \frac{1}{17+1} \cdot \theta_{\mathsf{voller}|\mathsf{filled}} \cdot \frac{1}{17+1} \cdot \theta_{\mathsf{Productionsfactoren}|?} \end{split}$$

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Mr President, Noah's ark was filled not with production factors, but with living creatures.

Noahs Arche war nicht voller Produktionsfaktoren , sondern Geschöpfe .

$$\begin{split} \boldsymbol{a} &= \langle 4, 5, 6, 8, 7, ?, \ldots \rangle \\ p(\boldsymbol{f}, \boldsymbol{a} \mid \boldsymbol{e}, m) &= \frac{1}{17+1} \cdot \theta_{\mathsf{Noahs} \mid \mathsf{Noah's}} \cdot \frac{1}{17+1} \cdot \theta_{\mathsf{Arche} \mid \mathsf{ark}} \\ &\cdot \frac{1}{17+1} \cdot \theta_{\mathsf{war} \mid \mathsf{was}} \cdot \frac{1}{17+1} \cdot \theta_{\mathsf{nicht} \mid \mathsf{not}} \\ &\cdot \frac{1}{17+1} \cdot \theta_{\mathsf{voller} \mid \mathsf{filled}} \cdot \frac{1}{17+1} \cdot \theta_{\mathsf{Productionsfactoren} \mid ?} \end{split}$$

**Problem:** This alignment isn't possible with IBM Model 1! Each  $f_i$  is aligned to at most one  $e_{a_i}!$ 

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$$oldsymbol{a} = \langle 0, \ldots 
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$$\begin{split} \boldsymbol{a} &= \langle 0, 0, 0, 1, \ldots \rangle \\ p(\boldsymbol{f}, \boldsymbol{a} \mid \boldsymbol{e}, m) &= \frac{1}{10+1} \cdot \theta_{\mathsf{Mr} \mid \mathsf{NULL}} \cdot \frac{1}{10+1} \cdot \theta_{\mathsf{President} \mid \mathsf{NULL}} \\ &\cdot \frac{1}{10+1} \cdot \theta_{, \mid \mathsf{NULL}} \cdot \frac{1}{10+1} \cdot \theta_{\mathsf{Noah's} \mid \mathsf{Noahs}} \end{split}$$

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$$\begin{split} \boldsymbol{a} &= \langle 0, 0, 0, 1, 2, 3, \ldots \rangle \\ p(\boldsymbol{f}, \boldsymbol{a} \mid \boldsymbol{e}, m) &= \frac{1}{10+1} \cdot \theta_{\mathsf{Mr} \mid \mathsf{NULL}} \cdot \frac{1}{10+1} \cdot \theta_{\mathsf{President} \mid \mathsf{NULL}} \\ &\cdot \frac{1}{10+1} \cdot \theta_{, \mid \mathsf{NULL}} \cdot \frac{1}{10+1} \cdot \theta_{\mathsf{Noah's} \mid \mathsf{Noahs}} \\ &\cdot \frac{1}{10+1} \cdot \theta_{\mathsf{ark} \mid \mathsf{Arche}} \cdot \frac{1}{10+1} \cdot \theta_{\mathsf{was} \mid \mathsf{war}} \end{split}$$

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22 / 82

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$$\begin{aligned} \boldsymbol{a} &= \langle 0, 0, 0, 1, 2, 3, 5, 4, \dots \rangle \\ p(\boldsymbol{f}, \boldsymbol{a} \mid \boldsymbol{e}, m) &= \frac{1}{10+1} \cdot \theta_{\mathsf{Mr}|\mathsf{NULL}} \cdot \frac{1}{10+1} \cdot \theta_{\mathsf{President}|\mathsf{NULL}} \\ &\quad \cdot \frac{1}{10+1} \cdot \theta_{,|\mathsf{NULL}} \cdot \frac{1}{10+1} \cdot \theta_{\mathsf{Noah's}|\mathsf{Noahs}} \\ &\quad \cdot \frac{1}{10+1} \cdot \theta_{\mathsf{ark}|\mathsf{Arche}} \cdot \frac{1}{10+1} \cdot \theta_{\mathsf{was}|\mathsf{war}} \\ &\quad \cdot \frac{1}{10+1} \cdot \theta_{\mathsf{filled}|\mathsf{voller}} \cdot \frac{1}{10+1} \cdot \theta_{\mathsf{not}|\mathsf{nicht}} \end{aligned}$$

#### How to Estimate Translation Distributions?

This is a problem of **incomplete data**: at training time, we see e and f, but not a.

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25 / 82

Classical solution is to *alternate*:

- Given a parameter estimate for  $\theta$ , align the words.
- Given aligned words, re-estimate  $\theta$ .

Traditional approach uses "soft" alignment.

#### IBM Models 1 and 2, Depicted



#### Variations

Dyer et al. (2013) introduced a new parameterization:

$$\delta_{j|i,\ell,m} \propto \exp{-\lambda} \left| \frac{i}{m} - \frac{j}{\ell} \right|$$

(This is called fast\_align.)

IBM Models 3–5 (Brown et al., 1993) introduced increasingly more powerful ideas, such as "fertility" and "distortion."

## From Alignment to (Phrase-Based) Translation

Obtaining word alignments in a parallel corpus is a common first step in building a machine translation system.

- 1. Align the words.
- 2. Extract and score phrase pairs.
- 3. Estimate a global scoring function to optimize (a proxy for) translation quality.
- 4. Decode French sentences into English ones.

(We'll discuss 2–4.)

The noisy channel pattern isn't taken quite so seriously when we build real systems, but **language models** are really, really important nonetheless.

Phrase-based translation uses automatically-induced phrases ... not the ones given by a phrase-structure parser.

# Examples of Phrases

Courtesy of Chris Dyer.

German	English	$p(ar{f} \mid ar{e})$
das Thema	the issue	0.41
	the point	0.72
	the subject	0.47
	the thema	0.99
es gibt	there is	0.96
	there are	0.72
morgen	tomorrow	0.90
fliege ich	will I fly	0.63
	will fly	0.17
	I will fly	0.13

#### Phrase-Based Translation Model

Originated by Koehn et al. (2003).

R.v. A captures segmentation of sentences into phrases, alignment between them, and reordering.



$$p(\boldsymbol{f}, \boldsymbol{a} \mid \boldsymbol{e}) = p(\boldsymbol{a} \mid \boldsymbol{e}) \cdot \prod_{i=1}^{|\boldsymbol{a}|} p(\bar{\boldsymbol{f}}_i \mid \bar{\boldsymbol{e}}_i)$$

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After inferring word alignments, apply heuristics.



After inferring word alignments, apply heuristics.



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36 / 82
### Extracting Phrases

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### Scoring Whole Translations

$$s(e, a; f) = \underbrace{\log p(e)}_{\text{language model}} + \underbrace{\log p(f, a \mid e)}_{\text{translation model}}$$

Remarks:

- Segmentation, alignment, reordering are all predicted as well (not marginalized).
- This does not factor nicely.

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### Scoring Whole Translations



Remarks:

- Segmentation, alignment, reordering are all predicted as well (not marginalized).
- This does not factor nicely.
- I am simplifying!
  - Reverse translation model typically included.
  - Each log-probability is treated as a "feature" and weights are optimized for Bleu performance.



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# Decoding

Adapted from Koehn et al. (2006).

Typically accomplished with **beam** search.

Initial state:  $\langle \underbrace{\circ \circ \ldots \circ}_{|f|}$ , ""  $\rangle$  with score 0 Goal state:  $\langle \underbrace{\bullet \bullet \ldots \bullet}_{|f|}, e^* \rangle$  with (approximately) the highest score

Reaching a new state:

- Find an uncovered span of f for which a phrasal translation exists in the input  $(\bar{f}, \bar{e})$
- New state appends  $\bar{e}$  to the output and "covers"  $\bar{f}$ .
- Score of new state includes additional language model, translation model components for the global score.



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#### Machine Translation: Remarks

Sometimes phrases are organized hierarchically (Chiang, 2007).

Extensive research on syntax-based machine translation (Galley et al., 2004), but requires considerable engineering to match phrase-based systems.

Recent work on semantics-based machine translation (Jones et al., 2012); remains to be seen!

Some good pre-neural overviews: Lopez (2008); Koehn (2009)

## Natural Language Processing (CSE 517): Neural Machine Translation

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#### Neural Machine Translation

Original idea proposed by Forcada and  $\tilde{N}eco$  (1997); resurgence in interest starting around 2013.

Strong starting point for current work: Bahdanau et al. (2014). (My exposition is borrowed with gratitude from a lecture by Chris Dyer.)

This approach eliminates (hard) alignment and phrases.

Take care: here, the terminology "encoder" and "decoder" are used differently than in the noisy-channel pattern.

#### High-Level Model

$$\begin{split} p(\boldsymbol{E} = \boldsymbol{e} \mid \boldsymbol{f}) &= p(\boldsymbol{E} = \boldsymbol{e} \mid \mathsf{encode}(\boldsymbol{f})) \\ &= \prod_{j=1}^{\ell} p(e_j \mid e_0, \dots, e_{j-1}, \mathsf{encode}(\boldsymbol{f})) \end{split}$$

The encoding of the source sentence is a *deterministic* function of the words in that sentence.

### Building Block: Recurrent Neural Network

Review from earlier in the course!

- Each input element is understood to be an element of a sequence:  $\langle \mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_\ell \rangle$
- At each timestep t:
  - ► The *t*th input element x<sub>t</sub> is processed alongside the previous state s<sub>t-1</sub> to calculate the new state (s<sub>t</sub>).
  - The *t*th output is a function of the state  $s_t$ .
  - ▶ The same functions are applied at each iteration:

 $\mathbf{s}_t = g_{\text{recurrent}}(\mathbf{x}_t, \mathbf{s}_{t-1})$  $\mathbf{y}_t = g_{\text{output}}(\mathbf{s}_t)$ 

55 / 82

### Neural MT Source-Sentence Encoder



**F** is a  $d \times m$  matrix encoding the source sentence **f** (length m).

#### Decoder: Contextual Language Model

Two inputs, the previous word and the source sentence context.

$$\begin{split} \mathbf{s}_t &= g_{\text{recurrent}}(\mathbf{e}_{e_{t-1}}, \quad \underbrace{\mathbf{F}}_{\mathbf{a}_t}, \mathbf{s}_{t-1}) \\ \text{``context''} \\ \mathbf{y}_t &= g_{\text{output}}(\mathbf{s}_t) \\ p(E_t &= v \mid e_1, \dots, e_{t-1}, \mathbf{f}) = [\mathbf{y}_t]_v \end{split}$$

(The forms of the two component gs are suppressed; just remember that they (i) have parameters and (ii) are differentiable with respect to those parameters.)

The neural language model we discussed earlier (Mikolov et al., 2010) didn't have the context as an input to  $g_{\text{recurrent}}$ .

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69 / 82

### Computing "Attention"

Let  $Vs_{t-1}$  be the "expected" input embedding for timestep t. (Parameters: V.)

Attention is  $\mathbf{a}_t = \operatorname{softmax} (\mathbf{F}^\top \mathbf{V} \mathbf{s}_{t-1}).$ 

Context is  $Fa_t$ , i.e., a weighted sum of the source words' in-context representations.

#### Learning and Decoding

$$\log p(\boldsymbol{e} \mid \mathsf{encode}(\boldsymbol{f})) = \sum_{i=1}^{m} \log p(e_i \mid \boldsymbol{e}_{0:i-1}, \mathsf{encode}(\boldsymbol{f}))$$

is differentiable with respect to all parameters of the neural network, allowing "end-to-end" training.

Trick: train on shorter sentences first, then add in longer ones.

Decoding typically uses beam search.

#### Remarks

We covered two approaches to machine translation:

- Phrase-based statistical MT following Koehn et al. (2003), including probabilistic noisy-channel models for alignment (a key preprocessing step; Brown et al., 1993), and
- ▶ Neural MT with attention, following Bahdanau et al. (2014).

Note two key differences:

- ▶ Noisy channel  $p(e) \times p(f \mid e)$  vs. "direct" model  $p(e \mid f)$
- Alignment as a discrete random variable vs. attention as a deterministic, differentiable function

At the moment, neural MT is winning when you have enough data; if not, phrase-based MT dominates.

When monolingual target-language data is plentiful, we'd like to use it! Recent neural models try (Sennrich et al., 2016; Xia et al., 2016; Yu et al., 2017).
# Summarization

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## Automatic Text Summarization

Mani (2001) provides a survey from before statistical methods came to dominate; more recent survey by Das and Martins (2008).

Parallel history to machine translation:

- Noisy channel view (Knight and Marcu, 2002)
- Automatic evaluation (Lin, 2004)

Differences:

- Natural data sources are less obvious
- Human information needs are less obvious

We'll briefly consider two subtasks: compression and selection

# Sentence Compression as Structured Prediction (McDonald, 2006)

Input: a sentence

Output: the same sentence, with some words deleted

McDonald's approach:

- Define a scoring function for compressed sentences that factors locally in the output.
  - ▶ He factored into *bigrams* but considered input parse tree features.
- Decoding is dynamic programming (not unlike Viterbi).
- Learn feature weights from a corpus of compressed sentences, using structured perceptron or similar.

### Sentence Selection

Input: one or more documents and a "budget"

Output: a within-budget subset of sentences (or passages) from the input

Challenge: diminishing returns as more sentences are added to the summary.

Classical greedy method: "maximum marginal relevance" (Carbonell and Goldstein, 1998)

Casting the problem as **submodular optimization**: Lin and Bilmes (2009)

Joint selection and compression: Martins and Smith (2009)

# Natural Language Processing (CSE 517): Closing Thoughts

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# Topics We Didn't Cover

- Applications:
  - Sentiment and opinion analysis
  - Information extraction
  - Question answering (and information retrieval more broadly)
  - Dialog systems
- Formalisms:
  - Grammars beyond CFG and CCG
  - Logical semantics beyond first-order predicate calculus
  - Discourse structure
  - Pragmatics
- Tasks:
  - Segmentation and morphological analysis
  - Coreference resolution and entity linking
  - Entailment and paraphrase
- ► Toolkits (AllenNLP, Stanford Core NLP, NLTK, ...)

# **Recurring Themes**

Most lectures included discussion of:

- Representations or tasks (input/output)
- Evaluation criteria
- Models (often with a few variations)
- Learning/estimation algorithms
- Inference algorithms
- Practical advice
- Linguistic, statistical, and computational perspectives

For each "kind of problem," keep these elements separate in your mind, and reuse them where possible.

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