

# Natural Language Processing (CSE 517): Sequence Models

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## High-Level View of Viterbi

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- ▶ Idea: for each position  $i$ , calculate the score of the best label prefix  $\mathbf{y}_{1:i}$  ending in each possible value for  $Y_i$ .
- ▶ With a little bookkeeping, we can then trace backwards and recover the best label sequence.

# Recurrence

First, think about the *score* of the best sequence.

Let  $s_i(y)$  be the score of the best label sequence for  $x_{1:i}$  that ends in  $y$ . It is defined recursively:

$$s_\ell(y) = \gamma_{\text{○}|y} \cdot \theta_{x_\ell|y} \cdot \max_{y' \in \mathcal{L}} \gamma_{y|y'} \cdot \boxed{s_{\ell-1}(y')}$$

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## Viterbi Procedure (Part I: Prefix Scores)

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$y$				
$y'$				
$\vdots$				
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Claim:  $\max_{y \in \mathcal{L}} s_\ell(y) = \max_{\mathbf{y} \in \mathcal{L}^{\ell+1}} p(\mathbf{x}, \mathbf{y})$

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# Full Viterbi Procedure

Input:  $x, \theta, \gamma, \pi$

Output:  $\hat{y}$

1. For  $i \in \langle 1, \dots, \ell \rangle$ :
  - ▶ Solve for  $s_i(*)$  and  $b_i(*)$ .
    - ▶ Special base case for  $i = 1$  to handle  $\pi$
    - ▶ General recurrence for  $i \in \langle 2, \dots, \ell - 1 \rangle$
    - ▶ Special case for  $i = \ell$  to handle stopping probability
2.  $\hat{y}_\ell \leftarrow \operatorname{argmax}_{y \in \mathcal{L}} s_\ell(y)$
3. For  $i \in \langle \ell, \dots, 1 \rangle$ :
  - ▶  $\hat{y}_{i-1} \leftarrow b(y_i)$

# Full Viterbi Procedure

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# Viterbi Asymptotics

Space:  $O(|\mathcal{L}|\ell)$

Runtime:  $O(|\mathcal{L}|^2\ell)$

	$x_1$	$x_2$	$\dots$	$x_\ell$
$y$				
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## Generalizing Viterbi

- ▶ Instead of HMM parameters, we can use the featurized variant.

$$s_i(y) = \max_{y' \in \mathcal{L}} \exp(\mathbf{w} \cdot \phi(\mathbf{x}, i, y, y')) \cdot s_{i-1}(y')$$

More features may increase runtime, but asymptotic dependence on  $\ell$  and  $|\mathcal{L}|$  is the same.

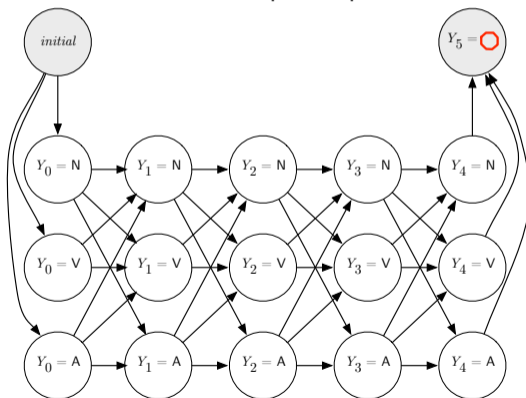
- ▶ For this case and for the HMM case, taking logarithms is a good idea.
- ▶ Note that dependence on entirety of  $\mathbf{x}$  doesn't affect asymptotics.

# Generalizing Viterbi

- ▶ Instead of HMM parameters, we can use the featurized variant.
- ▶ Viterbi instantiates an general algorithm called **max-product variable elimination** for inference along a chain of variables with pairwise links.
  - ▶ Applicable to Bayesian networks and Markov networks.

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- ▶ Higher-order dependencies among  $\mathbf{Y}$  are also possible.

$$s_i(y, y') = \max_{y'' \in \mathcal{L}} \exp(\mathbf{w} \cdot \phi(\mathbf{x}, i, y, y', y'')) \cdot s_{i-1}(y', y'')$$



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- ▶ Higher-order dependencies among  $\mathbf{Y}$  are also possible.
- ▶ Dynamic programming algorithms.
- ▶ Weighted finite-state analysis.

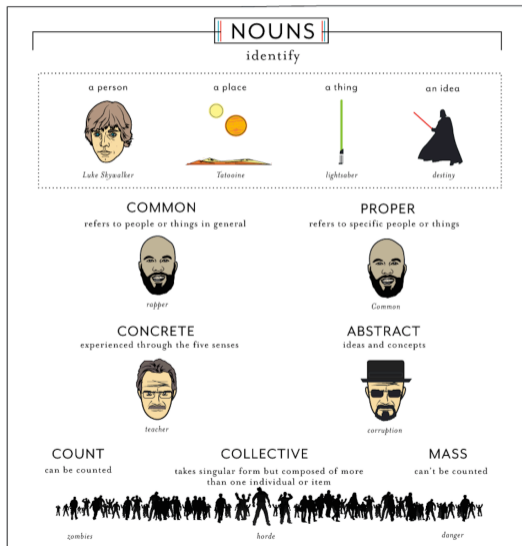
# Applications of Sequence Models

- ▶ part-of-speech tagging (Church, 1988)
- ▶ supersense tagging (Ciaramita and Altun, 2006)
- ▶ named-entity recognition (Bikel et al., 1999)
- ▶ multiword expressions (Schneider and Smith, 2015)
- ▶ base noun phrase chunking (Sha and Pereira, 2003)

Along the way, we'll briefly mention two ways to *learn* sequence models.

# Parts of Speech

<http://mentalfloss.com/article/65608/master-particulars-grammar-pop-culture-primer>



# Parts of Speech

- ▶ “Open classes”: Nouns, verbs, adjectives, adverbs, numbers
- ▶ “Closed classes”:
  - ▶ Modal verbs
  - ▶ Prepositions (*on, to*)
  - ▶ Particles (*off, up*)
  - ▶ Determiners (*the, some*)
  - ▶ Pronouns (*she, they*)
  - ▶ Conjunctions (*and, or*)

# Parts of Speech in English: Decisions

Granularity decisions regarding:

- ▶ verb tenses, participles
- ▶ plural/singular for verbs, nouns
- ▶ proper nouns
- ▶ comparative, superlative adjectives and adverbs

Some linguistic reasoning required:

- ▶ Existential *there*
- ▶ Infinitive marker *to*
- ▶ *wh* words (pronouns, adverbs, determiners, possessive *whose*)

Interactions with tokenization:

- ▶ Punctuation
- ▶ Compounds (*Mark'll, someone's, gonna*)

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Interactions with tokenization:

- ▶ Punctuation
- ▶ Compounds (*Mark'll, someone's, gonna*)
- ▶ Social media: hashtag, at-mention, discourse marker (*RT*), URL, emoticon, abbreviations, interjections, acronyms

Penn Treebank: 45 tags, ~40 pages of guidelines (Marcus et al., 1993)

TweetNLP: 20 tags, 7 pages of guidelines (Gimpel et al., 2011)

## Example: Part-of-Speech Tagging

ikr smh he asked fir yo last name

so he can add u on fb lololol



# Example: Part-of-Speech Tagging

I know, right    shake my head                    for    your  
ikr            smh            he    asked    fir    yo    last    name

so    he    can    add            you                    Facebook    laugh out loud  
u    on    fb            lololol

# Example: Part-of-Speech Tagging

I know, right	shake my head			for	your		
ikr	smh	he	asked	for	yo	last	name
!	G	O	V	P	D	A	N
interjection	acronym	pronoun	verb	prep.	det.	adj.	noun

				you		Facebook	laugh out loud
so	he	can	add	u	on	fb	lololol
P	O	V	V	O	P	^	!
preposition						proper noun	

# Why POS?

- ▶ Text-to-speech: *record, lead, protest*
- ▶ Lemmatization: *saw/V* → *see*; *saw/N* → *saw*
- ▶ Quick-and-dirty multiword expressions: (Adjective | Noun)\* Noun (Justeson and Katz, 1995)
- ▶ Preprocessing for harder disambiguation problems:
  - ▶ *The Georgia branch had taken **on** loan commitments ...*
  - ▶ *The average of interbank **offered** rates plummeted ...*

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Penn Treebank: most frequent tag rule gives 90.3%, 93.7% if you're clever about handling unknown words.

# A Simple POS Tagger

Define a map  $\mathcal{V} \rightarrow \mathcal{L}$ .

How to pick the single POS for each word? E.g., *raises*, *Fed*, ...

Penn Treebank: most frequent tag rule gives 90.3%, 93.7% if you're clever about handling unknown words.

All datasets have some errors; estimated upper bound for Penn Treebank is 98%.

# Supervised Training of Hidden Markov Models

Given: annotated sequences  $\langle\langle \mathbf{x}_1, \mathbf{y}_1 \rangle\rangle, \dots, \langle\langle \mathbf{x}_n, \mathbf{y}_n \rangle\rangle$

$$p(\mathbf{x}, \mathbf{y}) = \pi_{y_0} \prod_{i=1}^{\ell+1} \theta_{x_i|y_i} \cdot \gamma_{y_i|y_{i-1}}$$

Parameters: for each state/label  $y \in \mathcal{L}$ :

- ▶  $\pi$  is the “start” distribution
- ▶  $\theta_{*|y}$  is the “emission” distribution
- ▶  $\gamma_{*|y}$  is called the “transition” distribution



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Maximum likelihood estimate: count and normalize!

## Back to POS

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State of the art:  $\sim 97.5\%$  (Toutanova et al., 2003); uses a feature-based model with:

- ▶ capitalization features
- ▶ spelling features
- ▶ name lists (“gazetteers”)
- ▶ context words
- ▶ hand-crafted patterns

## Other Labels

Parts of speech are a minimal *syntactic* representation.

Sequence labeling can get you a lightweight *semantic* representation, too.

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Ciaramita and Johnson (2003) and Ciaramita and Altun (2006) used a lexicon called WordNet to define 41 semantic classes for words.

- ▶ WordNet (Fellbaum, 1998) is a fascinating resource in its own right! See <http://wordnetweb.princeton.edu/perl/webwn> to get an idea.

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This represents a coarsening of the annotations in the Semcor corpus (Miller et al., 1993).



## Example: *box's* Thirteen Synonym Sets, Eight Supersenses

1. box: a (usually rectangular) container; may have a lid. "he rummaged through a box of spare parts"
2. box/loge: private area in a theater or grandstand where a small group can watch the performance. "the royal box was empty"
3. box/boxful: the quantity contained in a box. "he gave her a box of chocolates"
4. corner/box: a predicament from which a skillful or graceful escape is impossible. "his lying got him into a tight corner"
5. box: a rectangular drawing. "the flowchart contained many boxes"
6. box/boxwood: evergreen shrubs or small trees
7. box: any one of several designated areas on a ball field where the batter or catcher or coaches are positioned. "the umpire warned the batter to stay in the batter's box"
8. box/box seat: the driver's seat on a coach. "an armed guard sat in the box with the driver"
9. box: separate partitioned area in a public place for a few people. "the sentry stayed in his box to avoid the cold"
10. box: a blow with the hand (usually on the ear). "I gave him a good box on the ear"
11. box/package: put into a box. "box the gift, please"
12. box: hit with the fist. "I'll box your ears!"
13. box: engage in a boxing match.

## Example: *box's* Thirteen Synonym Sets, Eight Supersenses

1. box: a (usually rectangular) container; may have a lid. “he rummaged through a box of spare parts” ↗  
N.ARTIFACT
2. box/loge: private area in a theater or grandstand where a small group can watch the performance. “the royal box was empty” ↗ N.ARTIFACT
3. box/boxful: the quantity contained in a box. “he gave her a box of chocolates” ↗ N.QUANTITY
4. corner/box: a predicament from which a skillful or graceful escape is impossible. “his lying got him into a tight corner” ↗ N.STATE
5. box: a rectangular drawing. “the flowchart contained many boxes” ↗ N.SHAPE
6. box/boxwood: evergreen shrubs or small trees ↗ N.PLANT
7. box: any one of several designated areas on a ball field where the batter or catcher or coaches are positioned. “the umpire warned the batter to stay in the batter’s box” ↗ N.ARTIFACT
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11. box/package: put into a box. “box the gift, please” ↗ V.CONTACT
12. box: hit with the fist. “I’ll box your ears!” ↗ V.CONTACT
13. box: engage in a boxing match. ↗ V.COMPETITION

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