

Dan Grossman Fall 2007 Lecture 19

Summary and "Everything Else"

## 79.5 Minutes of PL left

- Review and highlights of what we did and did not do:
  - 1. Semantics
  - 2. Encodings
  - 3. Language Features
  - 4. Concurrency
  - 5. Types
  - 6. Metatheory
- What the WASP group is up to and its relation to 505

Actually, the field is at least half "language implementation" but that's 501 not 505. (If you want to know how compilers deal with something, come ask me.)

#### Review of Basic Concepts

Semantics matters!

We must reason about what software does and does not *do*, if implementations are *correct*, and if changes *preserve meaning*.

So we need a precise *meaning* for programs.

Do it once: Give a *semantics* for all programs in a language. (Infinite number, so use induction for syntax and semantics)

Real languages are big, so build a smaller model. Key simplifications:

- Abstract syntax
- Omitted language features

Danger: not considering related features at once

### **Operational Semantics**

An *interpreter* can use *rewriting* to transform a program state to another one (or an immediate answer).

When our interpreter is written in the metalanguage of a judgment with inference rules, we have an "operational semantics".

This metalanguage is convenient (instantiating rule schemas), especially for proofs (induction on derivation height).

Omitted: Automated checking of judgments and proofs.

- Proofs by hand are wrong.
- Proofs about ML programs are too hard.
- See Twelf, Coq, ...

## **Denotational Semantics**

A compiler can give semantics as translation plus semantics-of-target.

If the target-language and meta-language are math, this is *denotational semantics*.

Can lead to elegant proofs, exploiting centuries of work, and treating code as math is "the right thing to do".

But building models is really hard!

Omitted: Denotation of while-loops (need recursion-theory), denotation of lambda-calculus (maps of environments? can avoid recursion in typed setting).

Meaning-preserving translation is compiler-correctness...

### Rhodium in one slide

Again, compiler-correctness is meaning-preserving translation.

For optimization, source and target are same language.

If an optimization:

- is written in a restricted meta-language
- uses a trusted engine for computing what's legal
- is connected to the semantics of the language

Then an automated theorem prover can show "once and for all" that an optimization is *correct* (Sorin Lerner, now at UCSD).

Anna is writing a faster engine, specialized to the optimization (by compiling instead of interpreting)

• A compiler is a *partially evaluated* interpreter.

# Equivalence

With semantics plus "what is observable" we can determine equivalence.

In *security*, often more is observable than PLs assume.

Sam: Compilers that remove dead assignments or O/Ses that transparently swap data to disk can decrease security.

In the real world, semantics can (unfortunately) depend on platform-specific features (endianness, screen size, ...)

#### Marius:

- Nonstandard type system to detect struct-layout assumptions.
- Small language extension to translate code for one data layout to another (equivalently!).
- Testing coverage in the presence of configuration-option explosion.

### Other Semantics

- Axiomatic Semantics: A program is a query-engine. Keywords: weakest-preconditions, Hoare triples, .... A program *means* what you can prove about it.
- Game Semantics: A program is its interaction with the context. To "win", it "produces an answer". (Less mature idea; seems useful for dealing with the all-important context.)

Useful?

- Standard ML has a small (few dozen pages) formal semantics.
- Caml has an implementation.
- Standards bodies write boat anchors.
- Some real-word successes, e.g., Wadler and XML queries, Manson and Java Memory Model, ...

# Encodings

Our small models aren't so small if we can *encode* other features as derived forms.

Example: pairs in lambda-calculus, triples as pairs, ...

"Syntactic sugar" is a key concept in language-definition and language-implementation.

But special-cases are important too.

- Example: if-then-else in Caml.
- I do not know how to answer this *design* question.

Omitted: Church numerals, equivalence proofs, etc.

#### Fancy encoding: Continuation-Passing Style

There is a smaller  $\lambda$ -calculus:

$$e ::= x \mid \lambda x. \ e \mid e \ y \mid e \ (\lambda x. \ e') \mid e \ c$$

That is, the right-side of an application is always a value or variable (i.e., computed in O(1) time and space).

CBV evaluation stays in this (sub)language!

So inductively we don't need a stack (every decurried call is a tail-call)!

And there's a translation from the full  $\lambda$ -calculus to this sublanguage!!

A term of type  $\tau_1 \rightarrow \tau_2$  translates to one of type  $\tau_1 \rightarrow (\tau_2 \rightarrow \tau_{ans}) \rightarrow \tau_{ans}$ , i.e., a "foo maker" becomes a  $\lambda$  that (takes a  $\lambda$  that takes a "foo" and finishes-the-program) and finishes-the-program.

At the end of the day, e and  $(translate(e))(\lambda x. x)$  are equivalent.

### More on Continuations

The definition of translate(e) is short but mind-bending.

It's *very* related to the explicit stacks in lecture 10; instead of a list in the metalanguage we have a list in the language (represented via functions).

If our source language has letcc and throw, we can extend translate very easily and they are O(1) operations!

This translation is important in theory and at the core of SML/NJ.

- Also advocated in many compiler "middle ends"
  - "Compiling with continuations" (Appel 80s, Kennedy 07)

If I had one more week we would do continuations for real.

### Language Features

We studied many features: assignment, loops, scope, higher-order functions, tuples, records, variants, references, threads, objects, constructors, multimethods, ...

We demonstrated some good *design principles*:

- Bindings should permit systematic renaming (lpha-conversion)
- Constructs should be first-class (permit abstraction and abbreviation using full power of language)
- Constructs have intro and elim forms
- Eager vs. lazy (evaluation order, *thunking*)

We saw datatypes and classes better support different flavors of extensibility. Much work here a few years ago, but currently dormant.

#### More on first-class

We didn't emphasize enough the convenience of first-class status: any construct can be passed to a function, stored in a data structure, etc. Example: We can apply functions to computed arguments (f(e) as opposed to f(x)). But in YFL, can you:

- Compute the function e'(e)
- Pass arguments of any type (e.g., other functions)
- Compute argument lists (cf. Java, Scheme, ML)
- Pass operators (e.g., +)
- Pass projections (e.g., .1)

1st-class allows parameterization; every language has limits

## Omitted feature: Arrays

An array is a pretty simple feature we just never bothered with:

- introduction form (make-array function of a length and an initial value (or function for computing it))
- elimination forms (subscript and update), may get stuck (or cost the economy billions if it's C)

Why do languages have arrays and records?

- Arrays allow 1st-class lengths and index-expressions
- Records have fields with different types

Nice to have the vocabulary we need!

## Omitted feature: Exceptions

Semantics are pretty easy:

- One approach: Use a stack of evaluation contexts; throw pops one off
- Another approach: Compile away to sums (normal result or exception result) and put a match around every expression.

Implementations can be even more clever than the first approach, but that's implementation.

Typing is also easy: An exception throw can have any type (types describe the value produced by normal termination)

In ML, the type exn is the only *extensible datatype* (nobody knows all the variants)

• Can be useful for more than exceptions, but you give up exhaustiveness-checking

## Omitted feature: Macros

We deemed syntax "uninteresting" only because the parsing problem is solved.

- Grammars admitting fast automated parsers an amazing success
- Gives rigorous technical reasons to despise deviations (e.g., typedef in C)

But syntax extensions (e.g., macros) are now understood as more than textual substitution

- Always was (strings, comments, etc.)
- Macro *hygiene* (related to capture) crucial, rare, and sometimes not what you want.
- Not a closed area

## Omitted feature: Foreign-function calls

Language designers/implementors often guilty of "control the world syndrome".

Heterogeneity increasingly important and relying on byte-based I/O throws away everything we have been doing across langugage boundaries.

Jon is looking at a framework for high-level but fine-grained interoperability.

## **Omitted feature: Unification**

Some languages do search for you using *unification* 

```
append([], X, X)
```

```
append(cons(H,T), X, cons(H,Y)) :- append(T, X, Y)
```

```
append(cons(1,cons(2,null)), cons(3,null), Z)
append(W, cons(4,null), cons(5,cons(4,null)))
```

- More than one rule can apply (leads to search)
- Must instantiate rules with same terms for same variables.

Sound familiar? *Very* close connection with our meta-language of inference rules. Our "theory" can be a programming paradigm!

The Alchemy project adds probabilities.

### More omitted features: Haskell coolness

Some functional languages (most notably Haskell) have call-by-need semantics for function application.

Haskell is also purely functional, moving any effects (exceptions, I/O, references) to a layer above using something called *monads*. So at the core level, you *know* (f x)\*2 and (f x)+(f x) are equivalent.

Haskell also has *type classes* which allow you to constrain type variables via "interfaces".

## Omitted features summary

I'm sure there are more:

- 1. Arrays
- 2. Macros
- 3. Exceptions
- 4. Foreign-function calls
- 5. Unification
- 6. Lazy evaluation (another name for call-by-need)
- 7. Monads
- 8. Type classes

## Concurrency

Feels like "more than just more languages features" because it changes so many of your assumptions.

Omitted: Process calculi (e.g.,  $\pi$ -caclulus) — "the lambda calculus of concurrent and distributed programming"

The hot thing: software transactions (atomic : (unit->'a)->'a)

Kate: Formal operational semantics and equivalence proofs given a very strict type system

```
Aaron (old): interaction with continuations
```

Aaron (new): multithreaded transactions

Laura & Matt: Using abortability for Concurrent ML with

```
then : ('a event) -> ('a -> 'b event) -> ('b event)
(adapt from Haskell to ML)
```

Ben: Use Petri Nets (?!) to check if lock-based code is atomic

# Types

- A type system can prevent bad operations (so safe implementations need not include run-time checks)
- I program fast in ML by relying on type-checking
- "Getting stuck" is undecidable so decidable type systems rule out good programs (to be *sound* rather than *complete*)
  - May need new language constructs (e.g., fix in STLC)
  - May require code duplication (hence polymorphism)
  - A balancing act to avoid the Pascal-array debacle
  - Safety = Preservation + Progress (an invariant is preserved and if the invariant holds you're not stuck) is a general phenomenon.

Omitted: effect systems (layer information on function types)

• Used in Kate & Ben's atomicity work and Marius' struct-layout work.

#### Just an approximation

There are other approaches to describing/checking decidable properties of programs:

- Dataflow analysis (plus: more convenient for flow-sensitive, minus: less convenient for higher-order); see 501 (next year)
- Abstract interpretation (plus: defined very generally, minus: defined very generally)
- Model-checking (a course in itself 2 years ago)

Zealots of each approach (including types) emphasize they're more general than the others.

Types as "abstract interpretation" example: (3) = int (4) = int (+) = fun x,y. if x=int and y=int then int else fail Typechecks if abstract-interpretation does not produce "fail"

# Polymorphism

If every term has one simple type, you have to duplicate too much code (can't write a list-library).

Subtyping allows subsumption. A subtyping rule that makes a safe language unsafe is wrong.

Type variables allow an incomparable amount of power. They also let us encode strong-abstractions, the end-goal of modularity and security.

Ad-hoc polymorphism (static-overloading) saves some keystrokes.

Note: In C, casts (subsumptions) are often "correct" only under certain architectural assumptions. Recall Marius' work.

#### <u>Inference</u>

Real languages allow you to omit more type information than our formal typed languages.

Inference is elegant for some languages, impossible for others.

• Not a closed area (e.g., Generalized Abstract Data Types)

But the error messages are often bad because a small error may cause a type problem "far away".

Ben's "Seminal" uses *search* to find a "nearby" program that does typecheck and show you the difference.

# Metatheory

We studied many properties of our models, especially typed  $\lambda$ -calculi: safety, termination, parametricity, erasure

Remember to be clear about what the question is!

Example: Erasure... Given the typed language, the untyped language, and the *erase* meta-function, do erasure and evaluation commute?

Example: Subtyping decidable... Given a collection of inference rules for  $\Delta \vdash \tau_1 \leq \tau_2$ , does there exist an *algorithm* to decide (for all)  $\Delta$ ,  $\tau_1$  and  $\tau_2$  whether a derivation of  $\Delta \vdash \tau_1 \leq \tau_2$  exists?

## Last Slide

- Languages and models of them follow guiding principles
- Now you can't say I didn't show you continuation-passing style
- We can apply this stuff to make software better!!

Defining program behavior is a key obligation of computer science. Proving programs do not do "bad things" (e.g., violate safety) is a "simpler" undecidable problem.

- A necessary condition for modularity
- Hard work (subtle interactions demand careful reasoning)
- Fun (get to write compilers and prove theorems)

You might have a PL issue in the next 5 years... I'm in CSE556.