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**CSE 505, Fall 2003, Final Examination
12 December 2003**

Please do not turn the page until everyone is ready.

Rules:

- The exam is open-book, open-note, closed electronics.
- Please stop promptly at **12:20**.
- You can rip apart the pages, but please write your name on each page.
- You can turn in other pieces of paper.
- There are six questions (all with subparts), worth equal amounts. The subparts are not necessarily worth equal amounts.

Advice:

- Read questions carefully. Understand a question before you start writing.
- Write down thoughts and intermediate steps so you can get partial credit.
- The questions are roughly in the order we covered the material, not necessarily order of difficulty. Skip around.
- If you have questions, ask.
- Relax. You are here to learn, not beat the mean.

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1. Assume a typed lambda-calculus with recursive types, product types, and sum types. (You may choose the formulation of recursive types with subtyping or explicit roll/unroll. Just state your choice.)
 - (a) Give a type describing binary trees of integers. A binary tree of integers is either a leaf (which holds one integer) or a node (which holds one integer in addition to two binary (sub)trees of integers).
 - (b) Write a one-argument function that takes an integer and produces a leaf holding that integer.
 - (c) Give a full typing derivation showing that your answer to the previous part is a function from integers to binary trees of integers.

Solution:

Using roll and unroll:

- (a) $\mu\alpha.(\text{int} + (\text{int} * \alpha * \alpha))$
- (b) $\lambda x : \text{int}. \text{roll}_{\mu\alpha.(\text{int} + (\text{int} * \alpha * \alpha))} (\text{inl } x)$
- (c)

$$\frac{\frac{\frac{x:\text{int} \vdash x : \text{int}}{\text{int} \vdash \text{inl } x : (\text{int} + (\text{int} * (\mu\alpha.(\text{int} + (\text{int} * \alpha * \alpha))) * (\mu\alpha.(\text{int} + (\text{int} * \alpha * \alpha))))}{\text{int} \vdash \text{roll}_{\mu\alpha.(\text{int} + (\text{int} * \alpha * \alpha))} (\text{inl } x) : \mu\alpha.(\text{int} + (\text{int} * \alpha * \alpha))}}{\vdash \lambda x : \text{int}. \text{roll}_{\mu\alpha.(\text{int} + (\text{int} * \alpha * \alpha))} (\text{inl } x) : \text{int} \rightarrow (\mu\alpha.(\text{int} + (\text{int} * \alpha * \alpha)))}$$

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2. Assume a typed lambda-calculus with records, references, and subtyping. For each of the following, describe exactly the conditions under which the subtyping claim holds.

Example question: $\{l_1:\tau_1, l_2:\tau_2\} \leq \{l_1:\tau_3, l_2:\tau_4\}$

Example answer: “when $\tau_1 \leq \tau_3$ and $\tau_2 \leq \tau_4$ ”

Your answer should be “fully reduced” in the sense that if you say $\tau \leq \tau'$, then τ or τ' or both should be τ_i for some number i where τ_i appears in the question.

(a) $(\{l_1:\tau_1, l_2:\tau_2\}) \rightarrow \text{int} \leq (\{l_1:\tau_3, l_2:\tau_4\}) \rightarrow \text{int}$

(b) $\{l_1:(\tau_1 \text{ ref})\} \leq \{l_1:\tau_2\}$

(c) $(\tau_1 \rightarrow \tau_2) \rightarrow (\tau_3 \rightarrow \tau_4) \leq (\tau_5 \rightarrow \tau_6) \rightarrow (\tau_7 \rightarrow \tau_8)$

(d) $(\tau_1 \rightarrow \tau_2) \text{ ref} \leq (\tau_3 \rightarrow \tau_4) \text{ ref}$

Solution:

(a) when $\tau_3 \leq \tau_1$ and $\tau_4 \leq \tau_2$

(b) when τ_2 has the form $\tau_3 \text{ ref}$, $\tau_3 \leq \tau_1$, and $\tau_1 \leq \tau_3$

(c) when $\tau_1 \leq \tau_5$, $\tau_6 \leq \tau_2$, $\tau_7 \leq \tau_3$, and $\tau_4 \leq \tau_8$

(d) when $\tau_1 \leq \tau_3$, $\tau_3 \leq \tau_1$, $\tau_2 \leq \tau_4$, and $\tau_4 \leq \tau_2$

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3. Consider the following O’Caml code.

```
let catch_all1 t1 t2 = try t1 () with x -> t2 ()
```

```
let catch_all2 t1 t2 = try t1 () with x -> t2
```

- (a) Under what conditions, if any, does using `catch_all1` raise an exception?
- (b) Under what conditions, if any, does using `catch_all2` raise an exception?
- (c) What type does O’Caml give `catch_all1`? (You can give your answer in O’Caml notation or System-F notation.)
- (d) What type does O’Caml give `catch_all2`? (You can give your answer in O’Caml notation or System-F notation.)

Solution:

- (a) when calling its first argument raises an exception *and* calling its second argument raises an exception
- (b) never
- (c) Caml: $(\text{unit} \rightarrow \alpha) \rightarrow (\text{unit} \rightarrow \alpha) \rightarrow \alpha$
- (d) Caml: $(\text{unit} \rightarrow \alpha) \rightarrow \alpha \rightarrow \alpha$

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4. Consider these definitions in a class-based OO language:

```
class Thunk {
  abstract int apply();
}
class A {
  private int y;
  int g() { <<a hard-to-compute function using self.y>> }
  unit set_y(int i) { self.y := i }
}
class B {
  int f1(int x, bool b) { if b then x else 0 }
  int f2(Thunk x, bool b) { if b then x.apply() else 0 }

  unit f(A a, bool b) {
    // line 0
    print_int(self.f1(a.g(), b)); // line 1
    // line 2 (irrelevant until part (e))
    print_int(self.f1(a.g(), b)); // line 3 (identical to line 1)
  }
}
```

- (a) Replace lines 1 and 3 with code that uses `f2` and not `f1`, but still prints the same number. You should declare a subclass of `Thunk` (outside of `class B`) and use this class (including on line 0). Your subclass should define a constructor that takes argument(s) and initializes fields appropriately. (Hint: Pass `a` to the constructor.)
- (b) Compared to the original version, when is the change you made in part (a) faster and when is it slower?
- (c) Change your subclass of `Thunk` so that the first time `apply` is called it stores the result it returns in private state. When called again, `apply` should return the stored result. Lines 1 and 3 should be the same as in part (a).
- (d) Compared to the change in part (a), does the change in part (c) make line 1 faster or slower? Does it make line 3 faster or slower?
- (e) Write a line 2 that makes the change in part (c) incorrect in the sense that `f` might print different output than in part (a).

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(a)

```
class T extends Thunk {
    A a;
    constructor(A x) { a := x; }
    int apply() { return a.g(); }
}

unit f(A a, bool b) {
    Thunk t = new T(a);
    print_int(self.f2(t,b));
    print_int(self.f2(t,b));
}
```

(b) It is faster when **b** is false (because we never execute `a.g()`) and (slightly) slower when **b** is true (because we build an extra object).

(c)

```
class T extends Thunk {
    A a;
    bool done;
    int ans;
    constructor(A x) { a := x; done := false; }
    int apply() {
        if(not done) ans := a.g();
        ans;
    }
}
```

(d) It makes line 1 slightly slower (if **b** is true) because we store the result. It makes line 3 much faster (if **b** is true) because the result is stored.

(e) `a.set_y(42);`

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5. Consider these definitions in a class-based OO language:

```
class C1 {
    int g() { return 0; }
    int f() { return g(); }
}
class C2 extends C1 {
    int g() { return 1; }
}
class D1 {
    private C1 x = new C1();
    int g() { return 0; }
    int f() { return x.f(); }
}
class D2 extends D1 {
    int g() { return 1; }
}

class Main {
    int m1(C1 x) { return x.f() }
    int m2(C2 x) { return x.f() }
    int m3(D1 x) { return x.f() }
    int m4(D2 x) { return x.f() }
}
```

Assume this is not the entire program, but the rest of the program does not declare subclasses of the classes above.

Explain your answers:

- (a) True or false: Changing the body of `m1` to `return 0` produces an equivalent `m1`.
- (b) True or false: Changing the body of `m2` to `return 1` produces an equivalent `m2`.
- (c) True or false: Changing the body of `m3` to `return 0` produces an equivalent `m3`.
- (d) True or false: Changing the body of `m4` to `return 1` produces an equivalent `m4`.
- (e) How do your answers change if the rest of the program might declare subclasses of the classes above (excluding `Main`)?

Solution:

- (a) false: If `m1` is passed an instance of `C2`, it will return 1.
- (b) true: there are no subtypes of `C2`, so any call to `m2` will pass an instance of `C2`, and late-binding ensures the `f` method of a `C2` returns 1.
- (c) true: Any call to `m3` will pass an instance of `D1` or `D2`. The `f` methods for both are the same: return the result of `C1`'s `f` method.
- (d) false: same reason as previous question
- (e) All claims become false because calls to `f` in `Main` could resolve to methods defined in subclasses we do not see above.

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6. Consider a class-based OO language with this ill-advised addition: We can declare new classes with “`class C restricts D by m.`” If `D` is a class with a method named `m`, then this declaration creates a class `C` that inherits the fields and methods of `D` except `C` has no method `m`.
- (a) Given `class C restricts D by m`, show that `C` should not be a subtype of `D`. Give an example expression that would type-check if `C` were a subtype of `D` but that would lead to a failed method-lookup, regardless of what members `D` has (other than `m`).
 - (b) Given `class C restricts D by m`, show that `C` may be a bad type, even without subtyping. Give an example class `D` and an expression `e` that would type-check such that evaluation of `e` would lead to a failed method-lookup. (Hint: `D` (and therefore `C`) can have methods other than `m`.)
 - (c) If we have `interface I restricts J by m` instead of `class C restricts D by m`, is it wrong to allow $I \leq J$ (or does the problem from part (a) no longer apply)? Explain.
 - (d) If we have `interface I restricts J by m` instead of `class C restricts D by m`, can `I` be a bad type even without subtyping (or does the problem from part (b) no longer apply)? Explain.

Solution:

- (a) `((D)(new C())) .m()` (cast for emphasis, not necessary)
- (b) `class D { unit n() { m(); } unit m() {} }` and `(new C()).n()`
- (c) Yes, it is still wrong. Part (a) is a typing problem and interfaces are types. Subtypes should have more fields and methods, not fewer.
- (d) No, this is not a problem. Part (b) is a method-lookup problem, which has to do with classes not types. The superinterface only defines the presence of a method, not a method body that might depend on other methods.