**Control Hazards**

**The nub of the problem:**
- In what pipeline stage does the processor fetch the next instruction?
- If that instruction is a conditional branch, when does the processor know whether the conditional branch is taken (execute code at the target address) or not taken (execute the sequential code)?
- What is the difference in cycles between them?

**The cost of stalling until you know whether to branch**
- number of cycles in between * branch frequency = the contribution to CPI due to branches

**Predict the branch outcome to avoid stalling**

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**Branch Prediction**

**Branch prediction:**
- Resolve a branch hazard by predicting which path will be taken
- Proceed under that assumption
- Flush the wrong-path instructions from the pipeline & fetch the right path if wrong

**Performance improvement depends on:**
- whether the prediction is correct (here’s most of the innovation)
- how soon you can check the prediction
**Branch Prediction**

*Dynamic branch prediction:*
- the prediction changes as program behavior changes
- branch prediction implemented in hardware for a runtime check
- common algorithm based on branch history
  - predict the branch *taken* if branched the last time
  - predict the branch *not-taken* if didn't branch the last time

Alternative: *static branch prediction*
- compiler-determined prediction
- fixed for the life of the program
- an algorithm?

---

**Branch Prediction Buffer**

*Branch prediction buffer*
- small memory indexed by the lower bits of the address of a branch instruction during the fetch stage
- contains a prediction (which path the last branch to index to this BPB location took)
- do what the prediction says to do
- if the prediction is *taken* & it is *correct*
  - only incur a one-cycle penalty – why?
- if the prediction is *not taken* & it is *correct*
  - incur no penalty – why?
- if the prediction is *incorrect*
  - change the prediction
  - also flush the pipeline – why?
  - penalty is the same as if there were no branch prediction – why?
Two-bit Prediction

A single prediction bit does not work well with loops
- mispredicts the first & last iterations of a nested loop

Two-bit branch prediction for loops
- Algorithm: have to be wrong twice before the prediction is changed

Two-bit Prediction

Works well when branches predominantly go in one direction
- Why? A second check is made to make sure that a short & temporary change of direction does not change the prediction away from the dominant direction

What pattern is bad for two-bit branch prediction?
Two-bit Prediction

Often implemented as a table (a prediction buffer) of 2-bit **saturating counters**
- increase on a taken branch
- decrease on a not-taken branch, not less than 0
- most significant bit is the prediction

Indexed by the low-order bits of the PC
- prediction improves with table size -- why?

Could also be bits/counters associated with each cache line

Is Branch Prediction More Important Today?

Think about:
- Is the number of branches in code changing?
- Is it getting harder to predict branch outcomes?
- Is the misprediction penalty changing?
- Is modern hardware design changing the dynamic frequency of branches?
**Branch Prediction is More Important Today**

Conditional branches still comprise about 20% of instructions. Correct predictions are more important today - why?

- **pipelines deeper**
  - branch not resolved until more cycles from fetching therefore the **misprediction penalty** greater
  - cycle times smaller: more emphasis on throughput (performance)
  - more functionality between fetch & execute
- **multiple instruction issue** (superscalars & VLIW)
  - branch occurs almost every cycle
  - flushing & refetching more instructions
- **object-oriented programming**
  - more indirect branches which harder to predict
- **dual of Amdahl’s Law**
  - other forms of pipeline stalling are being addressed so the portion of CPI due to branch delays is relatively larger

All this means that the potential stalling due to branches is greater.

---

**Branch Prediction is More Important Today**

On the other hand,

- chips are denser so we can consider sophisticated HW solutions
- hardware cost is small compared to the performance gain
Directions in Branch Prediction

1: Improve the prediction
   • correlated (2-level) predictor (Pentiums)
   • hybrid local/global predictor (Alpha)

2: Determine the target earlier
   • branch target buffer (Pentium, Itanium)
   • next address in I-cache (Alpha, UltraSPARC)
   • return address stack (everybody)

3: Reduce misprediction penalty
   • fetch both instruction streams (IBM mainframes)

4: Eliminate the branch
   • predicated execution (Itanium)

1: Correlated Predictor

The rationale:
   • having the prediction depend on the outcome of only 1 branch might produce bad predictions
   • some branch outcomes are correlated

   example: same condition variable
   if (d==0)
   ...
   if (d!=0)

   example: related condition variable
   if (d==0)
   b=1;
   if (b==1)
1: Correlated Predictor

more complicated example: related condition variables

```c
if (x==2) /* branch 1 */
    x=0;
if (y==2) /* branch 2 */
    y=0;
if (x!=y) /* branch 3 */
    do this; else do that;
```

- if branches 1 & 2 are taken, branch 3 is not taken

⇒ use a history of the past m branches
   represents an execution path through the program
   (but still n bits of prediction)

1: Correlated Predictor

General idea of correlated branch prediction:

- put the global branch history in a global history register
- global history is a shift register: shift left in the new branch outcome
- use its value to access a pattern history table (PHT) of 2-bit saturating counters
1: Correlating Predictor

Organization in the book:

- Access a row in the “partitioned” PHT with the low-order bits of branch address
- Choose which PHT partition with the global branch history
- Contents is the prediction

1: Correlated Predictor

Many implementation variations
- number of branch history registers
  - 1 history register for all branches (global)
  - table of history registers, 1 for each branch (private)
  - table of history registers, each shared by several branches (shared)
- history length (size of history registers)
- number of PHTs
- What is the trade-off?
## 1: Correlating Predictor

**Predictor classification** (the book’s simple version)
- \((m,n)\) predictors
  - \(m\) = history bits, number of branches in the history
  - \(n\) = prediction bits
- ( ) = 1-bit branch prediction buffer
- ( ) = 2-bit branch prediction buffer
- ( ) = first picture
- ( ) = book picture
- ( ) = Pentium 4 scheme

## 1: Tournament Predictor

**Combine branch predictors**
- local, per-branch prediction, accessed by the PC
- correlated prediction based on the last \(m\) branches, assessed by the global history
- indicator of which had been the best predictor for this branch
  - 2-bit counter: increase for one, decrease for the other
2: Branch Target Buffer (BTB)

Cache that stores: the PCs of branches
the predicted target address
branch prediction bits (optional)

Accessed by PC address in fetch stage
if hit: address was for this branch instruction
fetch the target instruction if prediction bits say taken

No branch delay if: branch found in BTB
prediction is correct
(assume BTB update is done in the next cycles)

---

2: Return Address Stack

The bad news:
• indirect jumps are hard to predict
• registers for target calculation are accessed several stages after fetch

The good news: most indirect jumps (85%) are returns from function
• optimize for this common case

Return address stack
• return address pushed on a call, popped on a return
• provides the return target early
• best for procedures that are called from multiple call sites
  • BTB would predict address of the return from the last call
• if “big enough”, can predict returns perfectly
  • these days 1-32 entries
3: Fetch Both Targets

Fetch target & fall-through code
- reduces the misprediction penalty
- but requires lots of I-cache bandwidth
  - a dual-ported instruction cache
  - requires independent bank accessing
  - wide cache-to-pipeline buses

4: Predicated Execution

Predicated instructions execute conditionally
- some other (previous) instruction sets a condition
- predicated instruction tests the condition & executes if the condition is true
- if the condition is false, predicated instruction isn’t executed
- i.e., instruction execution is predicated on the condition

Eliminates conditional branch (expensive if mispredicted)
- changes a control hazard to a data hazard

Fetch both true & false paths
4 Predicated Execution

Example:

**without** predicated execution

```
sub R10, R4, R5  
beqz R10, Label  
add R2, R1, R6   
Label: or R3, R2, R7
```

**with** predicated execution

```
sub R10, R4, R5  
add R2, R1, R6, R10 
or R3, R2, R7
```

Is predicated execution a good idea?

**Advantages** of predicated execution

- no branch hazard  
especially good for hard to predict branches & deep pipelines
- creates straightline code; therefore better prefetching of instructions
  
  **prefetching** = fetch instructions before you need them to hide instruction cache miss latency
- more independent instructions, therefore better code scheduling
4 Predicted Execution

Disadvantages of predicated execution
- instructions on both paths are executed, overusing hardware resources if they are not idle
  - best for short code sequences
- hard to add predicated instructions to an existing instruction set
- additional register pressure
- complex conditions if nested loops (predicated instructions may depend on multiple conditions)
- instructions cannot generate exceptions or must postpone exception handling if the predicate is false, because you might not execute that path
- good branch prediction might get the same effect

Real Branch Prediction Strategy

Static and dynamic branch prediction work together

Predicting
- correlated branch prediction
  - Pentium 4 (4K entries, 2-bit)
  - Pentium 3 (4 history bits)
- gshare (variant of correlated branch prediction)
  - MIPS R12000 (2K entries, 11 bits of PC, 8 bits of history)
  - UltraSPARC-3 (16K entries, 14 bits of PC, 12 bits of history)
- combined branch prediction
  - Alpha 21264 has a combination of local (1K entries, 10 history bits) & global (4K entries) predictors
  - Power5
- 2 bits/every 2 instructions in the I-cache (UltraSPARC-1)
Today’s Branch Prediction Strategy

BTB
- 4K entries (Pentium 4)
- no BTB; target address is calculated (MIPS R10000, UltraSPARC-3)
- next address every 4 instructions in the I-cache (Alpha 21264)
  - “address” = I-cache entry & set

Return address stack
- all architectures

Predicated execution
- Alpha 21264 (conditional move)
- IA-64: Itanium (full predication)

Calculating the Cost of Branches

Factors to consider:
- branch frequency (every 4-6 instructions)
- correct prediction rate
  - 1 bit: ~ 80% to 85%
  - 2 bit: ~ high 80s to low 90%
  - correlated branch prediction: ~ 95%
- misprediction penalty
  Alpha 21164: 5 cycles; 21264: 7 cycles
  UltraSPARC 1: 4 cycles
  Pentium 3: at least 9 cycles, 15 on average
  - then have to multiply by the instruction width
- or misfetch penalty
  have the correct prediction but not know the target address yet
  (may also apply to unconditional branches)
Calculating the Cost of Branches

What is the probability that a branch is taken?
Given:
- 20% of branches are unconditional branches
- of conditional branches,
  - 66% branch forward & are evenly split between taken & not taken
  - the rest branch backwards & are always taken

Calculating the Cost of Branches

What is the contribution to CPI of conditional branch stalls, given:
- 15% branch frequency
- a BTB for conditional branches only with a
  - 10% miss rate
  - 3-cycle miss penalty
  - 92% prediction accuracy
  - 7 cycle misprediction penalty
- base CPI is 1

<table>
<thead>
<tr>
<th>BTB result</th>
<th>Prediction</th>
<th>Frequency (per instruction)</th>
<th>Penalty (cycles)</th>
<th>Stalls</th>
</tr>
</thead>
<tbody>
<tr>
<td>miss</td>
<td>--</td>
<td>.15 * .10 = .015</td>
<td>3</td>
<td>.045</td>
</tr>
<tr>
<td>hit</td>
<td>correct</td>
<td>.15 * .90 * .92 = .124</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>hit</td>
<td>incorrect</td>
<td>.15 * .90 * .08 = .011</td>
<td>7</td>
<td>.076</td>
</tr>
<tr>
<td><strong>Total contribution to CPI</strong></td>
<td></td>
<td></td>
<td></td>
<td>.121</td>
</tr>
</tbody>
</table>
**Dynamic Branch Prediction, in Summary**

Stepping back & looking forward, how do you figure out whether branch prediction (or any other aspect of a processor) is still important to pursue?

- Look at technology trends
- How do the trends affect different aspects of prediction performance (or hardware cost or power consumption, etc.)?
- Given these affects, which factors become bottlenecks?
- What techniques can we devise to eliminate the bottlenecks?

**Prediction Research**

- Predicting variable values
- Predicting load addresses
- Predicting which thread will hold a lock next
- Predicting which thread should execute on a multithreaded processor
- Predicting power consumption & when we can power-down processor components
- Predicting when a fault might occur