## Readings and References

## Shading

CSE 457, Autumn 2003
Graphics
http://www.cs.washington.edu/education/courses/457/03au/

## Introduction

- So far, we've talked exclusively about geometry.
» What is the shape of an object?
» How do I place it in a virtual 3D space?
» How do I know which pixels it covers?
» How do I know which of the pixels I should actually draw?
- Once we've answered all those, we have to ask one more important question:
» To what value do I set each pixel?
- Answering this question is the job of the shading model.
- Of course, people also call it a lighting model, a light reflection model, a local illumination model, a reflectance model, etc., etc.


## Readings

- Sections 6.2-6.3, 3D Computer Graphics, Watt


## Other References

- OpenGL red book, chapter 5.
- Chapter 7, 3D Computer Graphics, Watt


## An abundance of photons

- Properly determining the right color is really hard.
- Look around the room. Each light source has different characteristics. Trillions of photons are pouring out every second.
- These photons can:
» interact with the atmosphere, or with things in the atmosphere
» strike a surface and
- be absorbed
- be reflected
- cause fluorescence or phosphorescence.
» interact in a wavelength-dependent manner
» generally bounce around and around


## Our problem

- We're going to build up to an approximation of reality called the Phong illumination model.
» understand reality $\rightarrow$ approximate it $\rightarrow$ better understand reality in order to understand the differences $\rightarrow$ refine the approximation $\rightarrow$..
- The Phong illumination model has the following characteristics
» not a model of the actual physics of light transport and reflection
» gives a first-order approximation to physical light reflection
» very fast
» widely used
- In addition, we will assume local illumination, i.e., light goes: light source -> surface -> viewer.
- No interreflections, no shadows.


## Setup..

- Given:
» a point $\mathbf{P}$ on a surface visible through pixel $p$

» The normal $\mathbf{N}$ at $\mathbf{P}$
» The lighting direction, $\mathbf{L}$, and intensity, $I_{\ell}$, at $\mathbf{P}$
» The viewing direction, $\mathbf{V}$, at $\mathbf{P}$
» The shading coefficients at $\mathbf{P}$
- Compute the color, $I$, of pixel $p$.
- Assume that the direction vectors are normalized:

$$
\|N\|=\|L\|=\|V\|=1
$$

## Iteration zero

- The simplest thing you can do is...
- Assign each polygon a single color:
$I=k_{e}$
- where
» $I$ is the resulting intensity
» $k_{e}$ is the emissivity or intrinsic shade associated with the object
» this is the color regardless of available light, but it is not a light itself
- This has some special-purpose uses, but not really good for drawing a scene.
- [Note: $k_{e}$ is omitted in Watt.]


## Iteration one

- Let's make the color at least dependent on the overall quantity of light available in the scene:
$I=k_{e}+k_{a} l_{a}$
» $k_{a}$ is the ambient reflection coefficient.
- really the reflectance of ambient light
- "ambient" light is assumed to be equal in all directions
» $I_{a}$ is the ambient intensity.
- In the real world, what is "ambient" light?


## Wavelength dependence?

- Really, $k_{e}, k_{a}$, and $I_{a}$ are functions over all wavelengths, $\lambda$, of light ... but we are crazed approximators ...
- Ideally, we would do the calculation on these functions. For example, our equation so far could be written as:

$$
I(\lambda)=k_{e}(\lambda)+k_{a}(\lambda) I_{a}(\lambda)
$$

then we would find good RGB values to represent the spectrum $I(\lambda)$.

- Traditionally, though, $k_{e}, k_{a}$ and $I_{a}$ are represented as RGB triples, and the computation is performed on each color

channel separately: $\quad$|  | $I_{R}=k_{a, R} I_{a, B}$ |
| :--- | :--- |
|  | $I_{G}=k_{A, G} I_{a, G}$ |
|  | $I_{B}=k_{Q, B} I_{a, B}$ |

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## Diffuse reflectors

- Diffuse reflection occurs from dull, matte surfaces, like latex paint, or chalk.
- These diffuse or Lambertian reflectors reradiate light equally in all directions.
- Picture a rough surface with lots of tiny microfacets.



## Diffuse reflection

- Let's examine the ambient shading model:
» objects have different colors
» we can control the overall light intensity
- what happens when we turn off the lights?
- what happens as the light intensity increases?
- what happens if we change the color of the lights?
- So far, objects are uniformly lit.
» not the way things really appear
» in reality, light sources are directional
- Diffuse, or Lambertian reflection will allow reflected intensity to vary with the direction of the light.


## Diffuse reflectors

...or picture a surface with little pigment particles embedded beneath the surface (neglect reflection at the surface for the moment):

The microfacets and pigments
distribute light rays in all
directions.
Embedded pigments are responsible for the coloration of
 diffusely reflected light in
plastics and paints.
Note: these figures are intuitive, but not strictly (physically) correct and we are not trying to build a physics model of what is happening on surfaces like these.
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## Diffuse reflectors, cont.

- The reflected intensity from a diffuse surface does not depend on the direction of the viewer. The amount of available light, though, does depend on the direction of the light source:


$$
\begin{aligned}
A_{p} & =A \cos \theta \\
\frac{A_{p}}{A} & =\cos \theta \\
N \cdot L & =\cos \theta
\end{aligned}
$$

$$
\text { so } N \cdot L \text { gives a scaling value for diffuse reflected light intensity }
$$

## Iteration two

- The incoming energy is proportional to $\qquad$ giving the diffuse reflection equations:

$$
\begin{aligned}
I & =k_{e}+k_{a} I_{a}+k_{d} I_{l}( \\
& =k_{e}+k_{a} I_{a}+k_{d} I_{l}(
\end{aligned}
$$

- where:
» $k_{d}$ is the diffuse reflection coefficient
» $I_{\ell}$ is the diffuse intensity of the light source
» $N$ is the normal to the surface (unit vector)
» $\boldsymbol{L}$ is the direction to the light source (unit vector)
» $(x)_{+}$means $\max \{0, x\}$
[Note: Watt uses $I_{i}$ instead of $I_{\ell}$.]
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## Specular reflection

- Specular reflection accounts for the highlight that you see on some objects.
- It is particularly important for smooth, shiny surfaces, such as:
» metal
» polished stone
» plastics
» apples
» skin
- Properties:
» Specular reflection depends on the viewing direction $\boldsymbol{V}$.
» For non-metals, the color is determined solely by the color of the light.
» For metals, the color may be altered (e.g., brass)


## Specular reflection "derivation"



- For a perfect mirror reflector, light is reflected about $N$, so

$$
I=\left\{\begin{array}{cc}
I_{\ell} & \text { if } \mathbf{V}=\mathbf{R} \\
0 & \text { otherwise }
\end{array}\right.
$$

- For a near-perfect reflector, you might expect the highlight to fall off quickly with increasing angle $\phi$.
- Also known as:
" "rough specular" reflection, "directional diffuse" reflection, "glossy" reflection

Derivation, cont.


- One way to get this effect is to take $(\boldsymbol{R} \cdot \boldsymbol{V})$, raised to a power $n_{s}$.
- As $n_{s}$ gets larger,
» the dropoff becomes \{more,less\} gradual
» gives a \{larger,smaller\} highlight
» simulates a \{more,less \} mirror-like surface


## Iteration three

- The next update to the Phong shading model is then:

$$
I=k_{e}+k_{a} I_{a}+k_{d} I_{l}(N \cdot L)_{+}+k_{s} I_{l}(V \cdot R)_{+}^{n_{s}}
$$

- where:
» $k_{s}$ is the specular reflection coefficient
» $n_{s}$ is the specular exponent or shininess
» $\boldsymbol{R}$ is the reflection of the light about the normal (unit vector)
» $\boldsymbol{V}$ is viewing direction (unit vector)
- [Note: Watt uses $n$ instead of $n_{s}$.]


## Intensity drop-off with distance

- OpenGL supports different kinds of lights: point, directional, and spot.
- For point light sources, the laws of physics state that the intensity of a point light source drops off inversely with the square of the distance.
- We can incorporate this effect by multiplying $\mathrm{I}_{1}$ by $1 / d^{2}$.
- Sometimes, this distance-squared dropoff is considered too "harsh." A common alternative is:

$$
f_{\text {atten }}(d)=\frac{1}{a+b d+c d^{2}}
$$

- with user-supplied constants for $a, b$, and $c$.
- [Note: not discussed in Watt.]


## Iteration four

- Since light is additive, we can handle multiple lights by taking the sum over every light.
- Our equation is now a version of the Phong illumination model:

$$
I=k_{e}+k_{a} I_{a}+\sum_{j} f\left(d_{j}\right) I_{l j}\left[k_{d}\left(N \cdot L_{j}\right)_{+}+k_{s}\left(V \cdot R_{j}\right)_{+}^{n_{s}}\right]
$$

Which quantities are spatial vectors?
Which are RGB triples?
Which are scalars?

## Choosing the parameters

- Experiment with different parameter settings. To get you started, here are a few suggestions:
» Try $n_{s}$ in the range $[0,128]$
» Try $k_{a}+k_{d}+k_{s}<1$
" Use a small $k_{a}(\sim 0.1)$

|  | $n_{s}$ | $k_{d}$ | $k_{s}$ |
| :--- | :--- | :--- | :--- |
| Polished metal | large | Small, color of <br> metal | Large, color of <br> metal |
| Plastic | medium | Medium, color <br> of plastic | Medium, white |
| Planet | 0 | varying | 0 |

## Materials in OpenGL

- The OpenGL code to specify the surface shading properties is fairly straightforward. For example:

```
GLfloat ke[] = { 0.1, 0.15, 0.05, 1.0 };
GLfloat ka[] = { 0.1, 0.15, 0.1, 1.0 };
GLfloat kd[] = { 0.3, 0.3, 0.2, 1.0 };
GLfloat ks[] = { 0.2, 0.2, 0.2, 1.0 };
GLfloat ns[] = { 50.0 };
glMaterialfv(GL_FRONT, GL_EMISSION, ke);
glMaterialfv(GL_FRONT, GL_AMBIENT, ka);
glMaterialfv(GL_FRONT, GL_DIFFUSE, kd);
glMaterialfv(GL_FRONT, GL_SPECULAR, ks);
glMaterialfv(GL_FRONT, GL_SHININESS, ns);
```

The gl_front parameter tells OpenGL that we are specifying the materials for the front of the surface.
Only the alpha value of the diffuse color is used for blending. It's usually set to 1

## Shading in OpenGL

- The OpenGL lighting model allows you to associate different lighting intensities according to material properties they will influence.
- Thus, our original shading equation:

$$
I=k_{e}+k_{a} I_{a}+\sum_{j} f\left(d_{j}\right) I_{l j}\left[k_{d}\left(N \cdot L_{j}\right)_{+}+k_{s}\left(V \cdot R_{j}\right)_{+}^{n_{s}}\right]
$$

- becomes:

$$
I=k_{e}+k_{a} I_{a}+\sum_{j} f\left(d_{j}\right)\left[k_{a} I_{l a j}+k_{d} I_{l d j}\left(N \cdot L_{j}\right)_{+}+k_{s} I_{l s j}\left(V \cdot R_{j}\right)_{+}^{n_{s}}\right]
$$

$$
I=k_{e}+k_{a} I_{a}+\sum_{j} f\left(d_{j}\right)\left[k_{a} I_{l a j}+k_{d} I_{l d j}\left(N \cdot L_{j}\right)_{+}+k_{s} I_{l s j}\left(V \cdot R_{j}\right)_{+}^{n_{s}}\right]
$$

- In OpenGL this equation is specified something like:

GLfloat Ia[] = \{ 0.2, 0.2, 0.2, 1.0 \};
GLfloat IaO[] = \{ 0.1, 0.1, 0.1, 1.0 \};
GLfloat Ido[] = \{ 1.0, 1.0, 1.0, 1.0 \};
GLfloat Is0[] = \{ 1.0, 1.0, 1.0, 1.0 \};
GLfloat pos0[] = \{ 1.0, 1.0, 1.0, 0.0$\}$;
GLfloat a[] = \{ 1.0 \};
GLfloat b[]$=\{0.5\}$;
GLfloat c c$]=\{0.25\}$;
glLightModelfv(GL_LIGHT_MODEL_AMBIENT, Ia);
glLightfv(GL_LIGHT0, GL_AMBIENT, IaO);
glLightfv(GL_LIGHT0, GL_DIFFUSE, IdO);
glLightfv(GL_LIGHT0, GL_SPECULAR, Is0);
glLightfv(GL_LIGHTO, GL_POSITION, pos0)
gllight fv (GL_LIGHT0, GL_CONSTANT_ATTENUATION, a);
glLightfv (GL_LIGHTO, GL_LINEAR_ATTENUATION, b);
glLightfv(GL_LIGHT0, GL_QUADRATIC_ATTENUATION, c);

- You can have as many as GL_MAX_LIGHTS lights in a scene. This number is system-dependent.

lighting.exe


## BRDF

- The Phong illumination model is really a function that maps light from incoming (light) directions $\omega_{\text {in }}$ to outgoing (viewing) directions $\omega_{\text {out }}$ :

$$
f_{r}\left(\omega_{\text {in }}, \omega_{\text {out }}\right)
$$

- This function is called the Bi-directional Reflectance Distribution Function (BRDF).
- Here's a plot with $\omega_{\text {in }}$ held constant:

- BRDF's can be quite sophisticated...


## Cook-Torrance-Sparrow model

One of the more common "sophisticated" BRDF's is the Cook-Torrance-Sparrow model. It treats the surface as a set of mirrored facets with random orientations and decides whether or not light reflects from a given direction directly to the viewer.


The amount of light reflected from a facet is determined by the Fresnel coefficient, which depends in general on wavelength.


Cook and Torrance, 1982


Bo Toreve-Scerow mown





## Anisotropic reflection

## Weird BRDF: the moon



Westin, Arvo, Torrance 1992


Poulin and Fournier 1990



## Faceted shading

- Assume each face has a constant normal:

- For a distant viewer and a distant light source, how will the color of each triangle vary?
- Result: faceted, not smooth, appearance.


## Gouraud interpolation

To get a smoother result that is easily performed in hardware, we can do Gouraud interpolation.
Here's how it works:

- Compute normals at the vertices.
- Shade only at the vertices.
- Interpolate the resulting vertex colors to shade the polygon.


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## Gouraud interpolation, cont'd

- Gouraud interpolation has significant limitations.
" If the polygonal approximation is too coarse, we can miss specular highlights.


We will encounter Mach banding (derivative discontinuity enhanced by human eye).

- Alas, this is usually what graphics hardware supports.
- Maybe someday soon we'll get...
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## Phong interpolation

- To get an even smoother result with fewer artifacts, we can perform Phong interpolation.
- Here's how it works:
- Compute normals at the vertices.
- Interpolate normals and normalize.
- Shade using interpolated normals at each point.




## Summary

- The most important thing to take away from this lecture is the final equation for the Phong model.
» What is the physical meaning of each variable?
» How are the terms computed?
» What effect does each term contribute to the image?
» What does varying the parameters do?
- You should also understand the differences between faceted, Gouraud, and Phong interpolated shading.

