CSE 421: Algorithms

Graphs and Graph Algorithms Larry Ruzzo

Goals

Graphs: defns, examples, utility, terminology Representation: input, internal Traversal: Breadth- & Depth-first search Five Graph Algorithms: Connected components Shortest Paths Bipartiteness

- Topological sort
- Articulation points

Graphs

An extremely important formalism for representing (binary) relationships Objects: "vertices," aka "nodes" Relationships between pairs: "edges," aka "arcs" Formally, a graph G = (V, E) is a pair of sets, V the vertices and E the edges

Objects & Relationships

The Kevin Bacon Game:

Obj: Actors

Rel: Two are related if they've been in a movie together

Exam Scheduling:

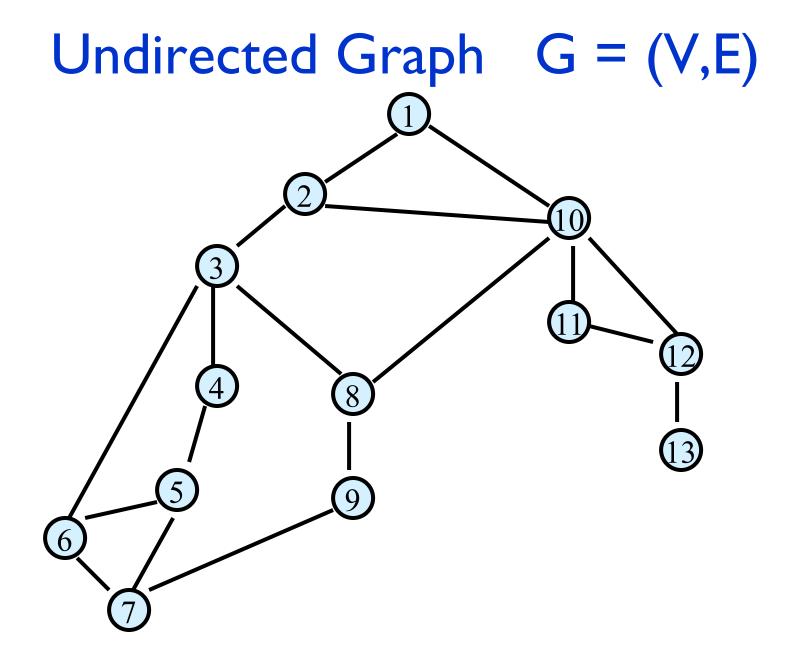
Obj: Classes

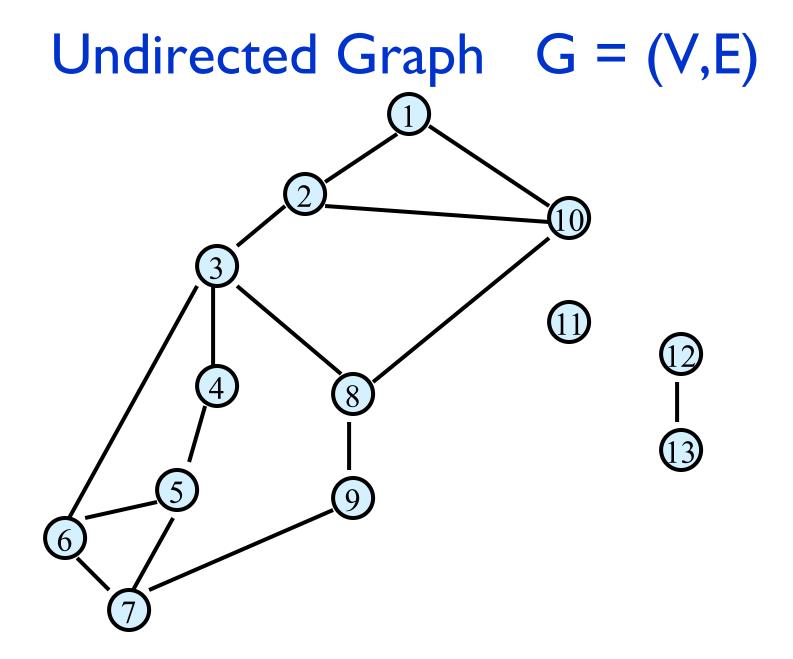
Rel: Two are related if they have students in common

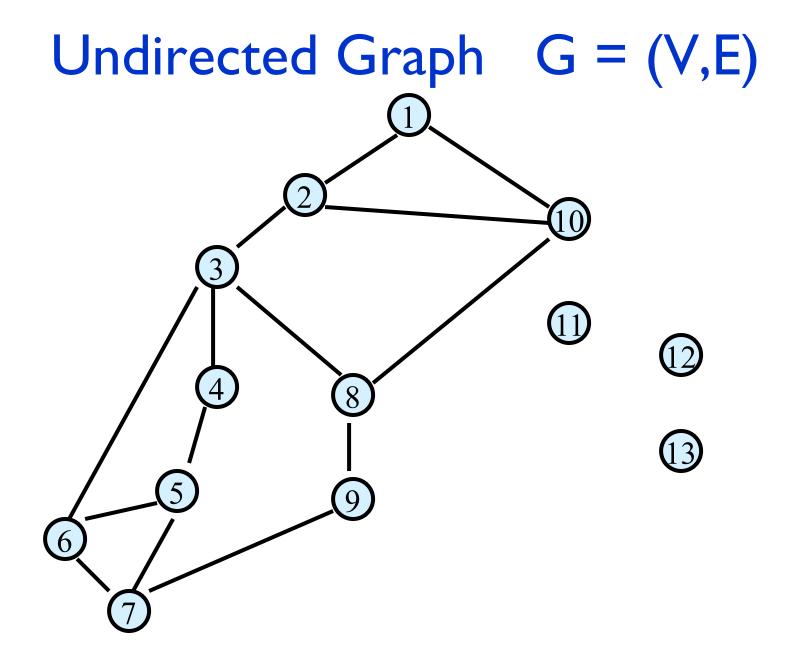
Traveling Salesperson Problem:

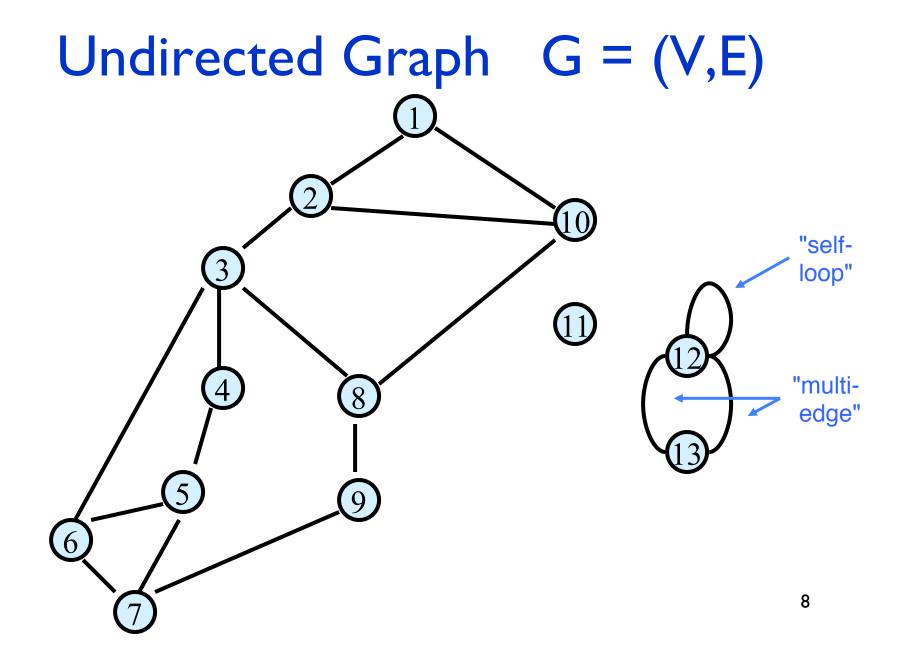
Obj: Cities

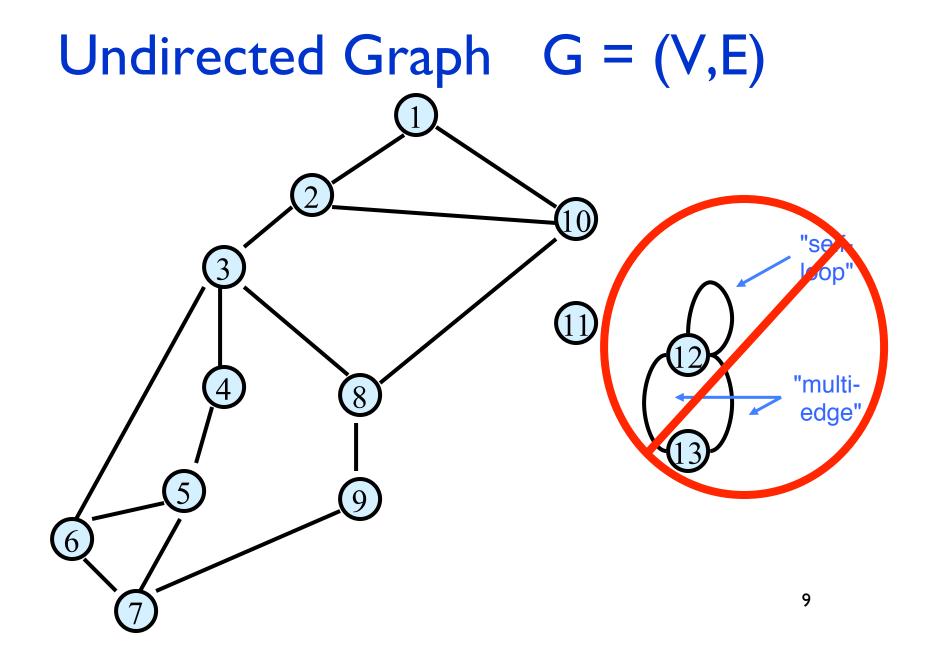
Rel: Two are related if can travel *directly* between them





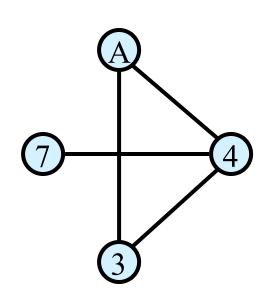


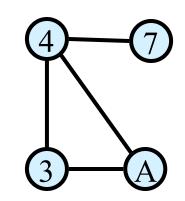


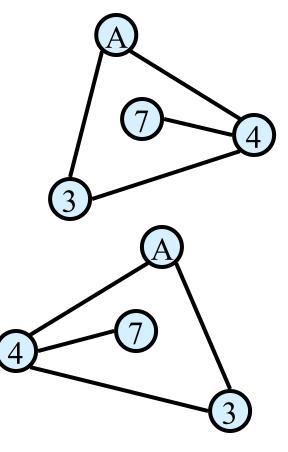


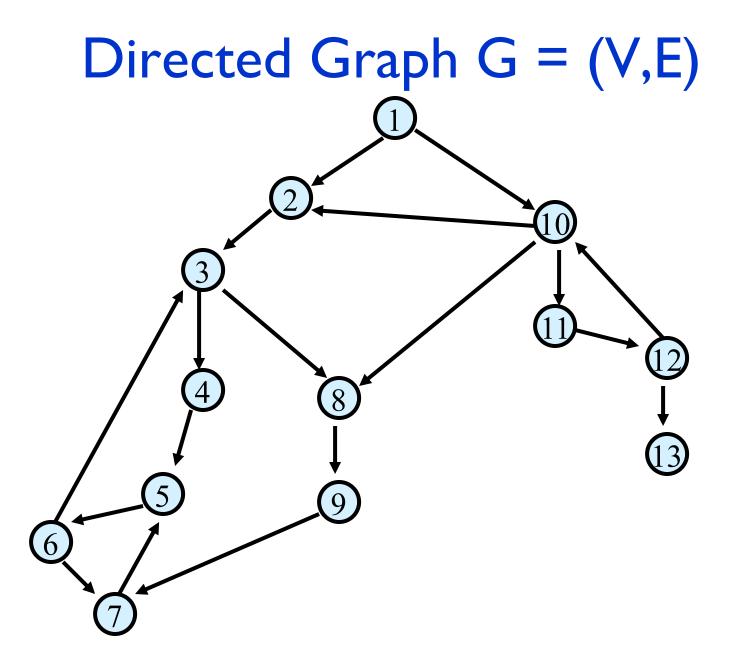
Graphs don't live in Flatland

Geometrical drawing is mentally convenient, but mathematically irrelevant: 4 drawings, 1 graph.

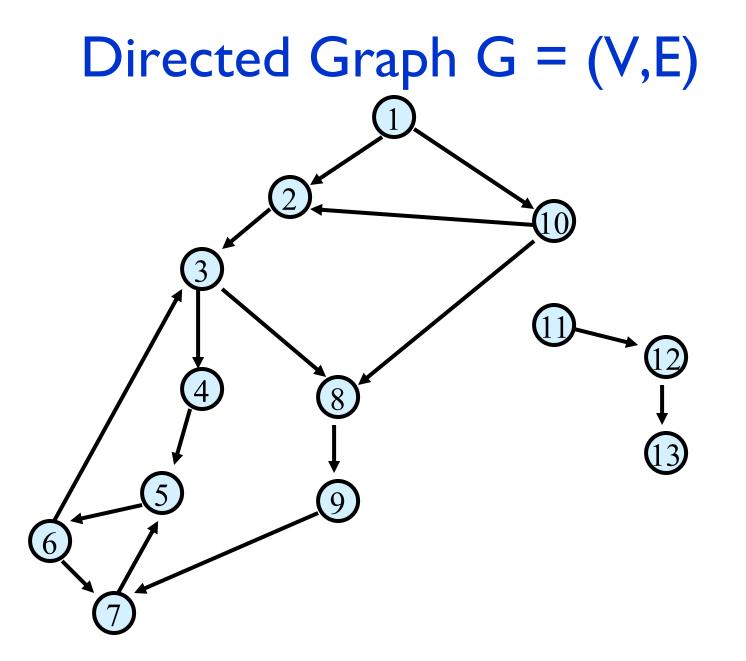


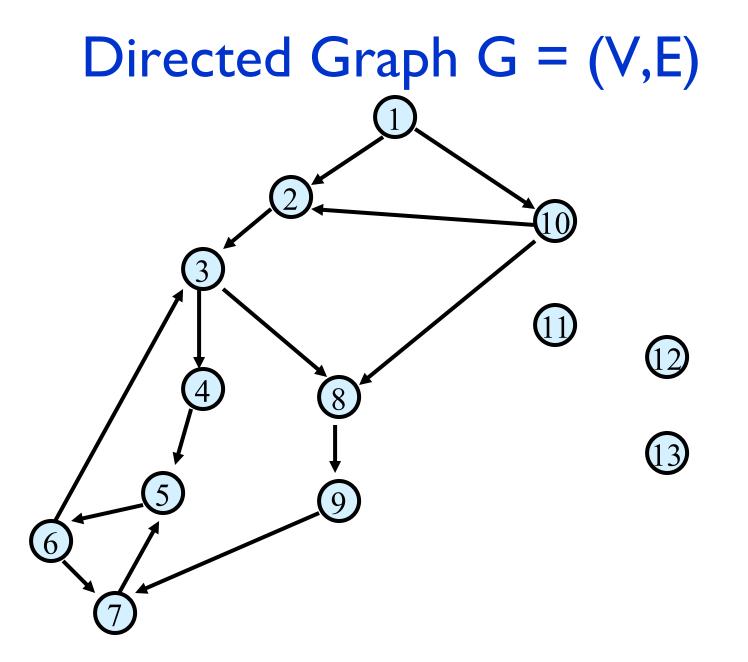


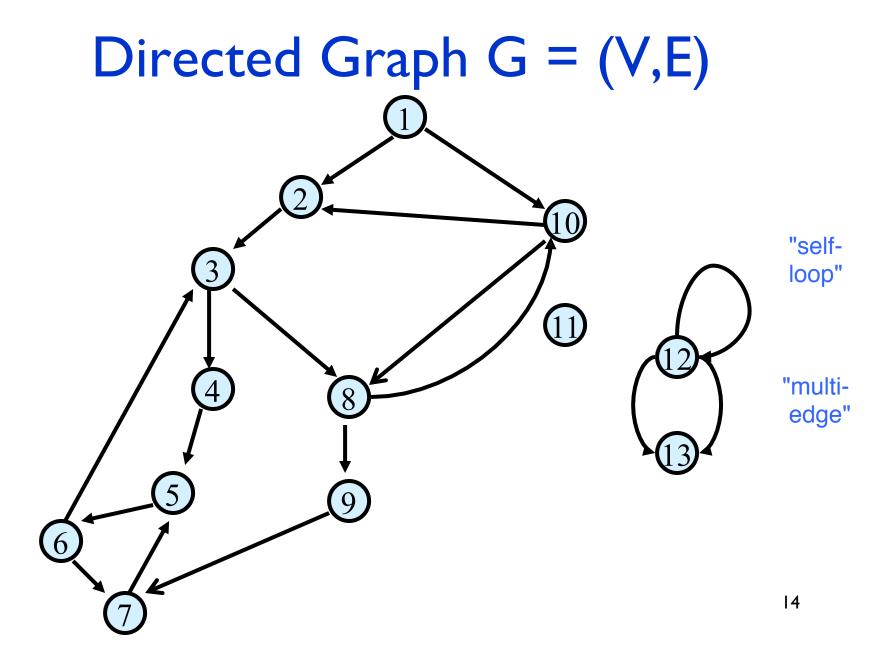


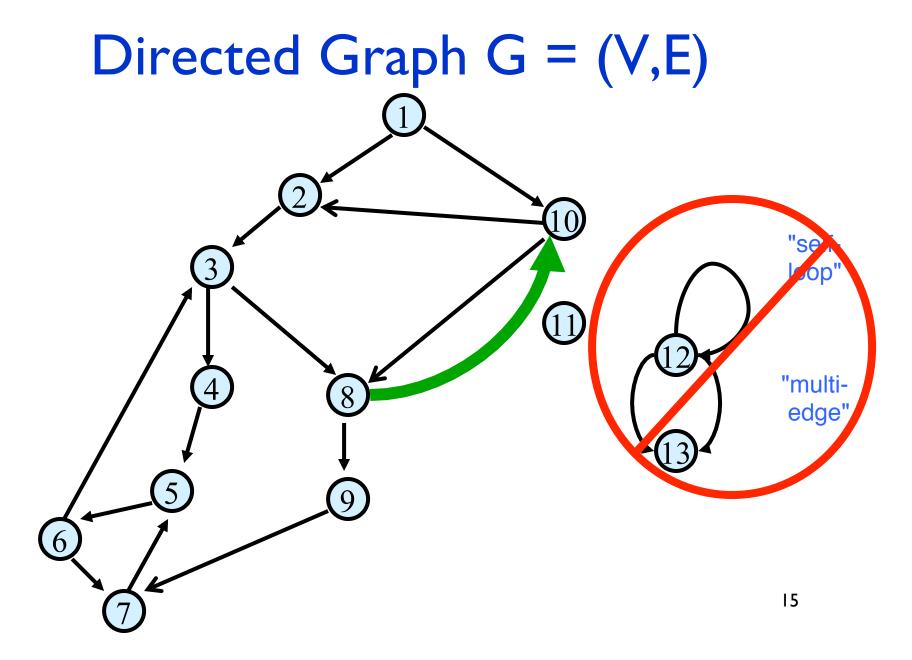


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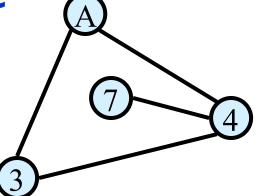
Specifying undirected graphs as input What are the vertices? Explicitly list them:

{"A", "7", "3", "4"}

What are the edges?

Either, set of edges {{A,3}, {7,4}, {4,3}, {4,A}}

Or, (symmetric) adjacency matrix:



	A	7	3	4	
\overline{A}	0	0	1	1	
7	0	0	0	1	
3	1	0	0	1	
4	1	1	1	0	
	-		16		

Specifying directed graphs as input

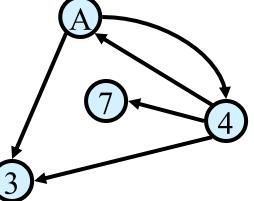
What are the vertices?

Explicitly list them: {"A", "7", "3", "4"}

What are the edges?

Either, set of directed edges: {(A,4), (4,7), (4,3), (4,A), (A,3)}

Or, (nonsymmetric) adjacency matrix:



	A	7	3	4	
\overline{A}	0	0	1	1	
7	0	0	0	0	
3	0	0	0	0	
4	1	1	1	0	
	•		17		

Vertices vs # Edges

Let G be an undirected graph with *n* vertices and *m* edges. How are *n* and *m* related?

Since

every edge connects two different vertices (no loops), and no two edges connect the same two vertices (no multi-edges),

it must be true that:

$$0 \le m \le n(n-1)/2 = O(n^2)$$

More Cool Graph Lingo

A graph is called sparse if $m \ll n^2$, otherwise it is dense

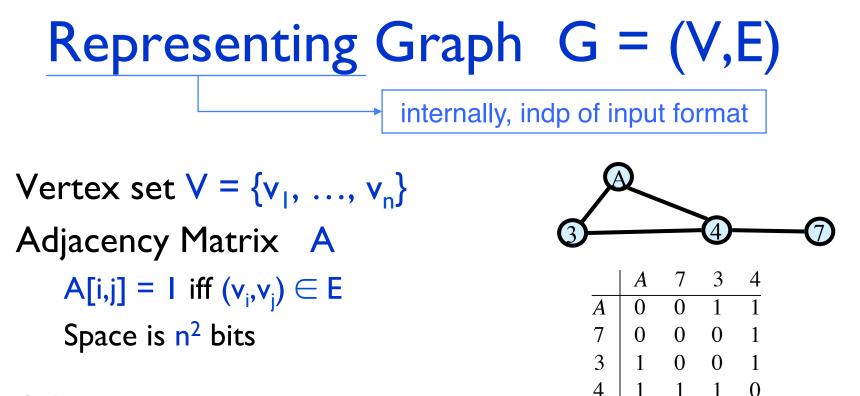
Boundary is somewhat fuzzy; O(n) edges is certainly sparse, $\Omega(n^2)$ edges is dense.

Sparse graphs are common in practice

E.g., all planar graphs are sparse $(m \le 3n-6, \text{ for } n \ge 3)$

Q: which is a better run time, O(n+m) or $O(n^2)$?

A: $O(n+m) = O(n^2)$, but n+m usually way better!



Advantages:

O(I) test for presence or absence of edges.

Disadvantages: inefficient for sparse graphs, both in storage and access

Representing Graph G=(V,E) n vertices, m edges

Adjacency List: O(n+m) words Advantages: Compact for sparse graphs Easily see all edges

 V_1 2 V_2 3 2 6 5 V_3 <u>v</u>n 7

Disadvantages

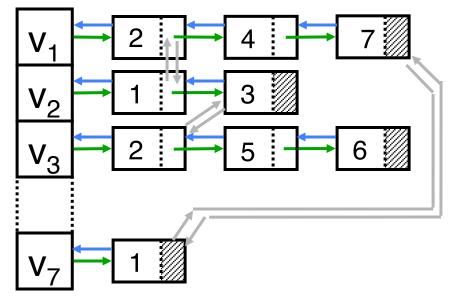
More complex data structure no O(I) edge test

Representing Graph G=(V,E) n vertices, m edges

Adjacency List: O(n+m) words

Back- and cross pointers allow easier traversal and deletion of edges, *if needed*, but don't bother if not:

- more work to build,
- more storage overhead (~3m pointers)



Graph Traversal

Learn the basic structure of a graph "Walk," <u>via edges</u>, from a fixed starting vertex s to all vertices reachable from s

Being orderly helps. Two common ways: Breadth-First Search Depth-First Search

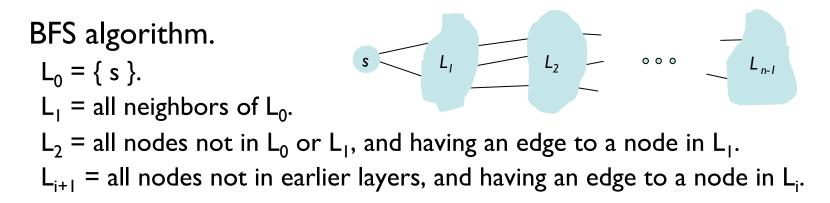
Breadth-First Search

Completely explore the vertices in order of their distance from s

Naturally implemented using a queue

Breadth-First Search

Idea: Explore from s in all possible directions, layer by layer.



Theorem. For each i, L_i consists of all nodes at distance (i.e., min path length) exactly i from s. Cor: There is a path from s to t iff t appears in some layer.

Graph Traversal: Implementation

Learn the basic structure of a graph "Walk," <u>via edges</u>, from a fixed starting vertex s to all vertices reachable from s

Three states of vertices undiscovered discovered fully-explored

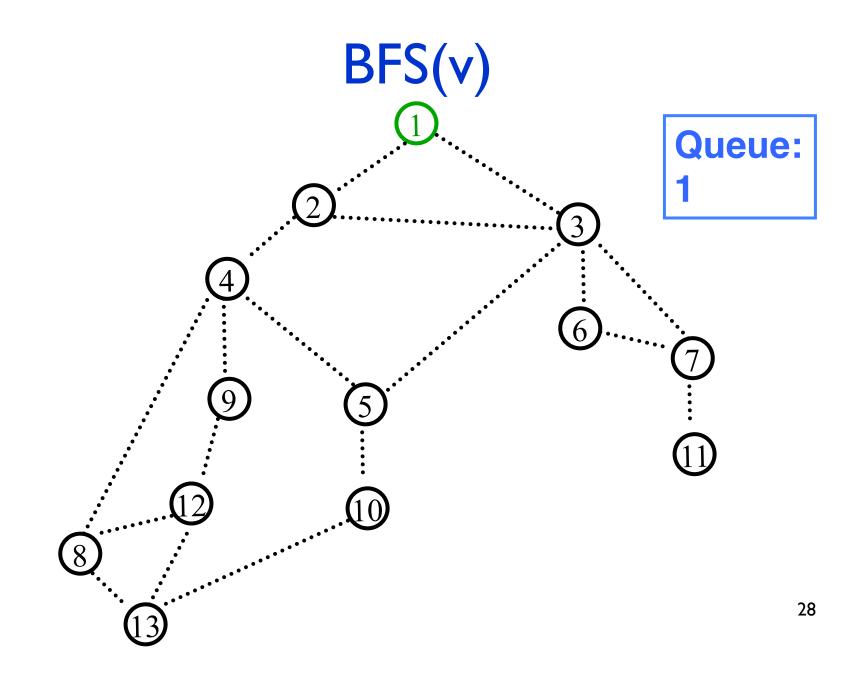
BFS(s) Implementation

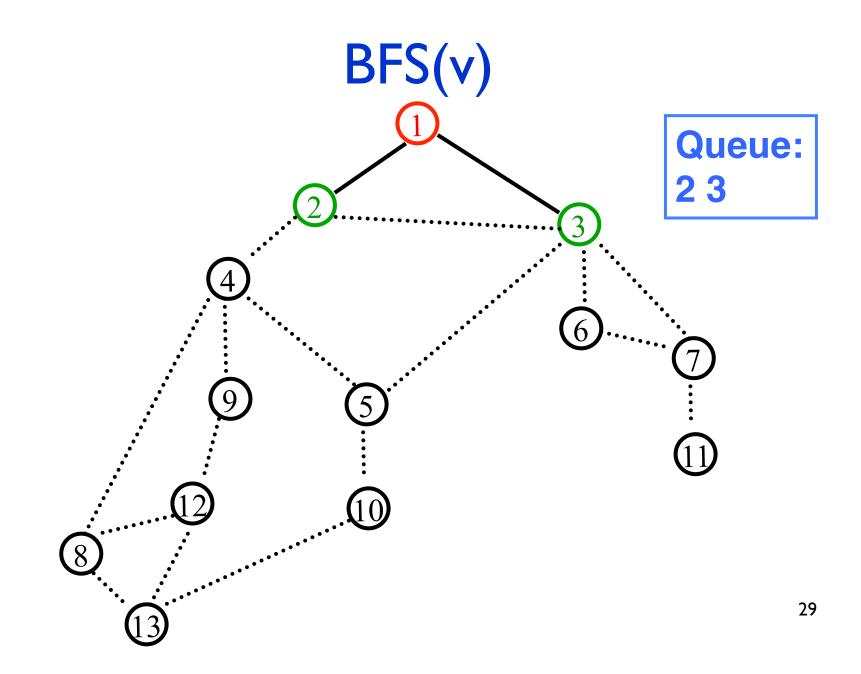
Global initialization: mark all vertices "undiscovered" BFS(s) mark s "discovered" queue = $\{s\}$ while queue not empty u = remove_first(queue) for each edge $\{u, x\}$ **Exercise:** modify if (x is undiscovered) code to number mark x discovered vertices & compute append x on queue

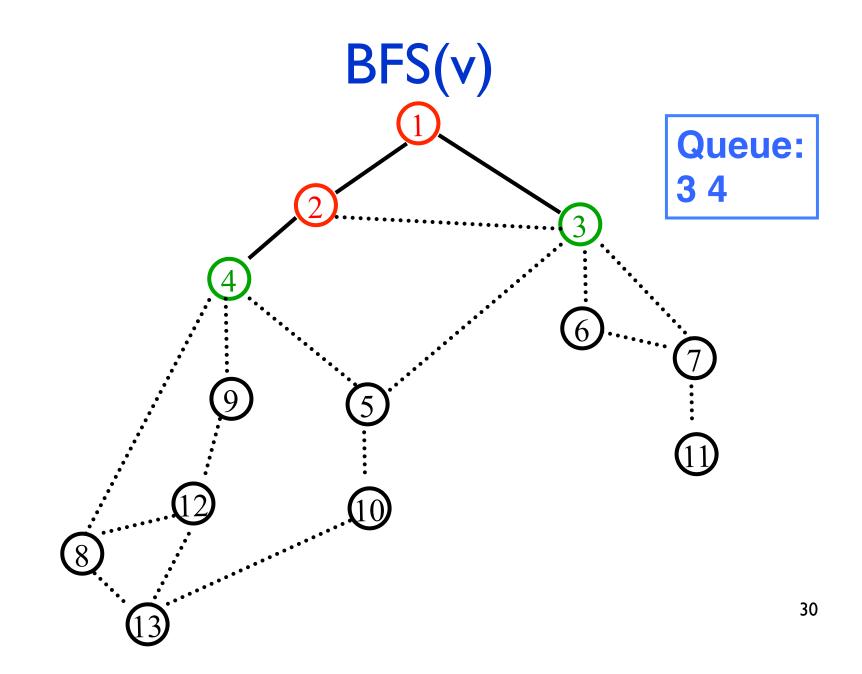
mark u fully explored

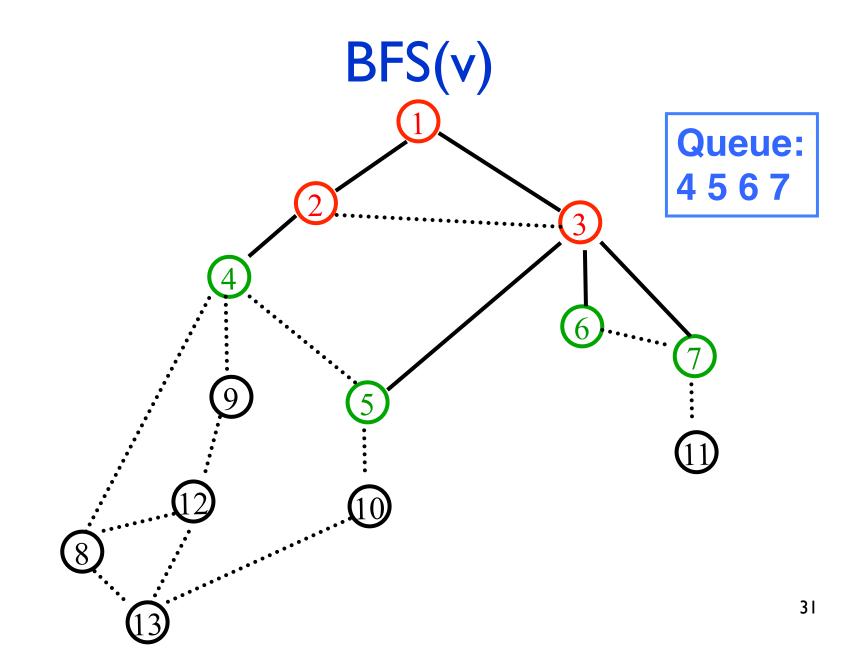
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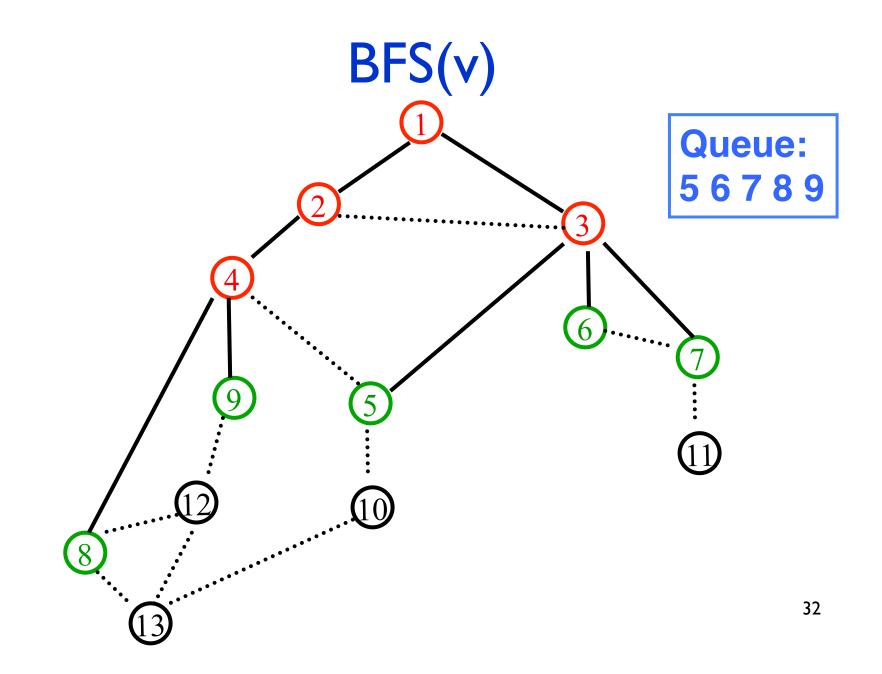
level numbers

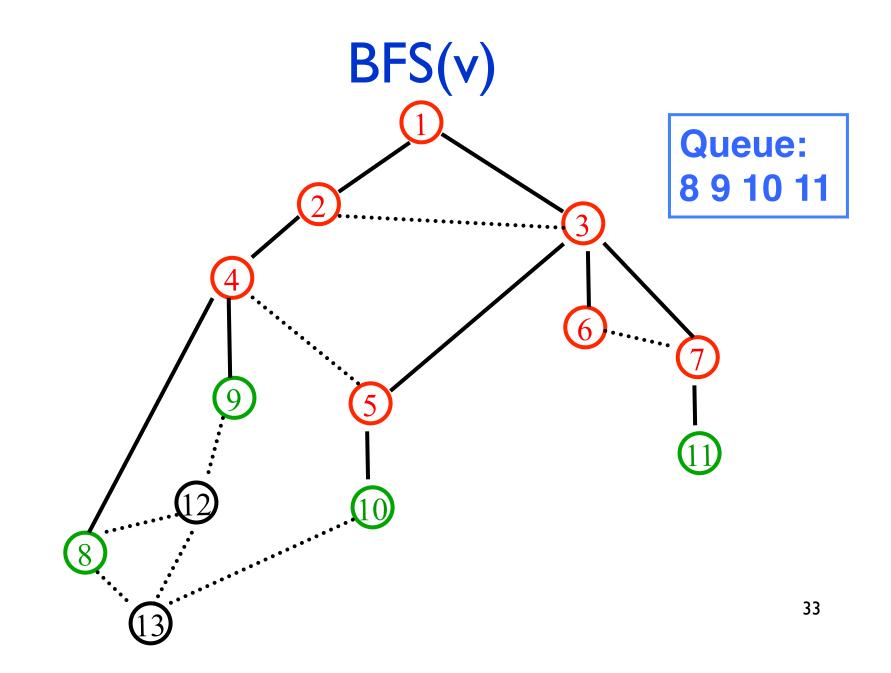


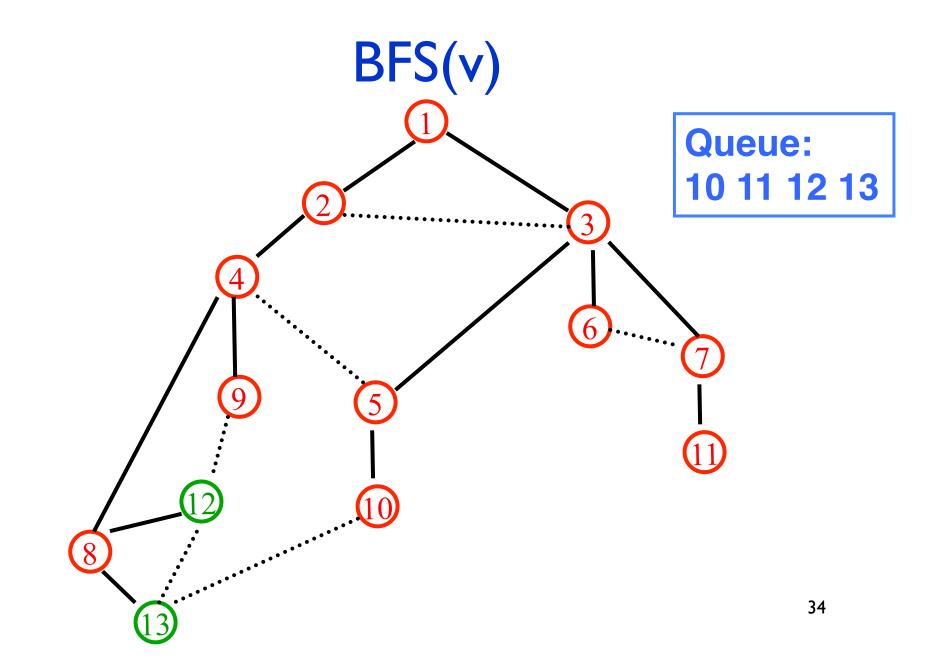


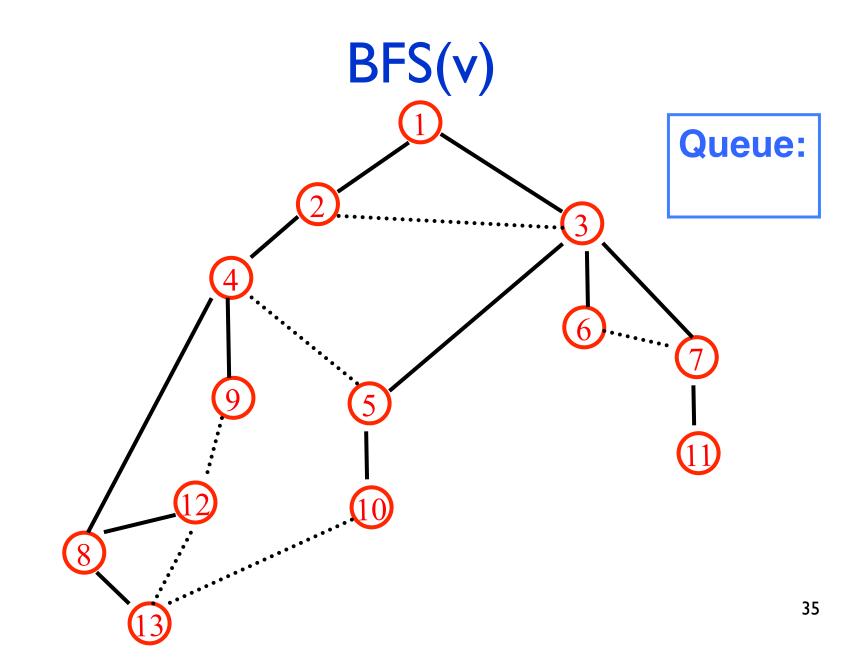




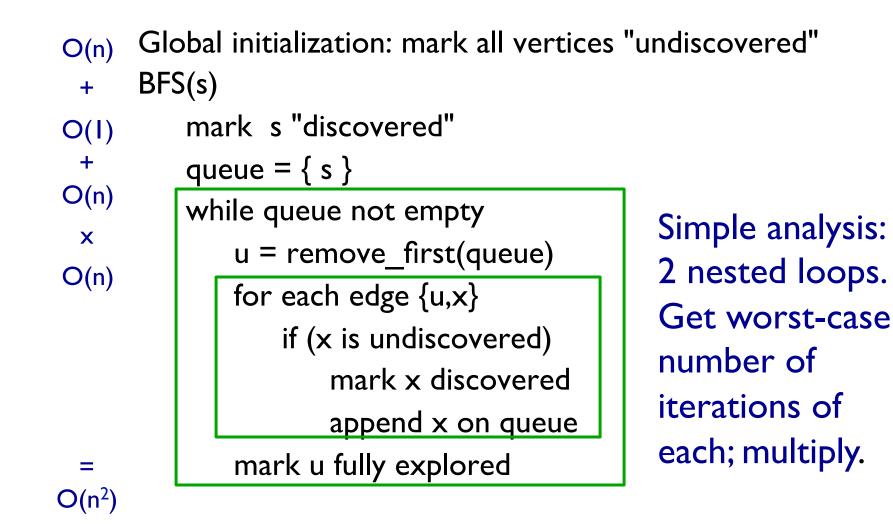








BFS: Analysis, I



BFS: Analysis, II

Above analysis correct, but pessimistic, assuming G is sparse, edge list representation: can't have $\Omega(n)$ edges incident to each of $\Omega(n)$ distinct "u" vertices. Alt, more global analysis:

Each edge is explored once from each end-point, so *total* runtime of inner loop is O(m), (assuming edge-lists) Exercise: extend algorithm and analysis to nonconnected graph

Total O(n+m), n = # nodes, m = # edges

Properties of (Undirected) BFS(v)

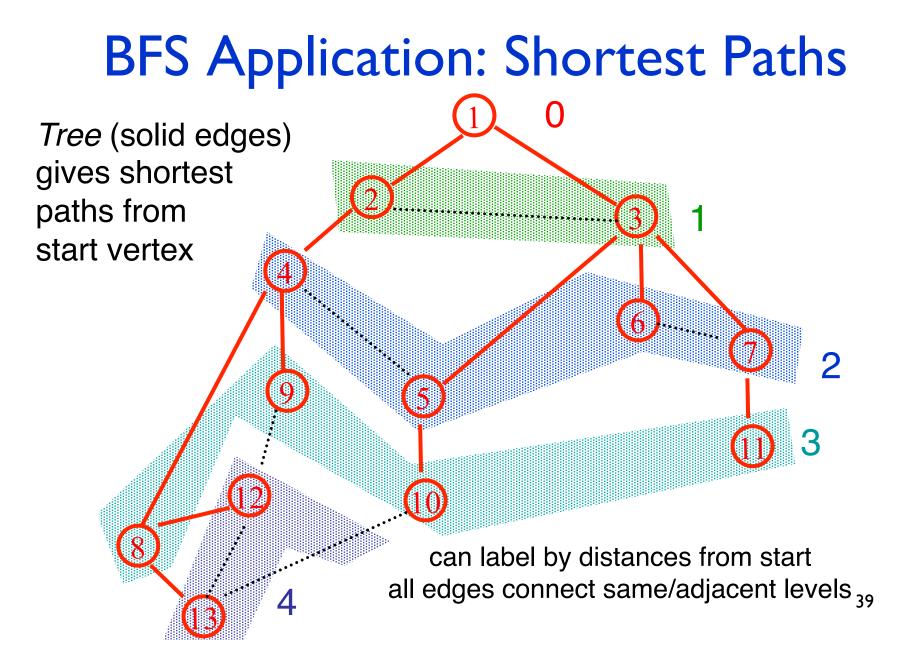
BFS(v) visits x if and only if there is a path in G from v to x.

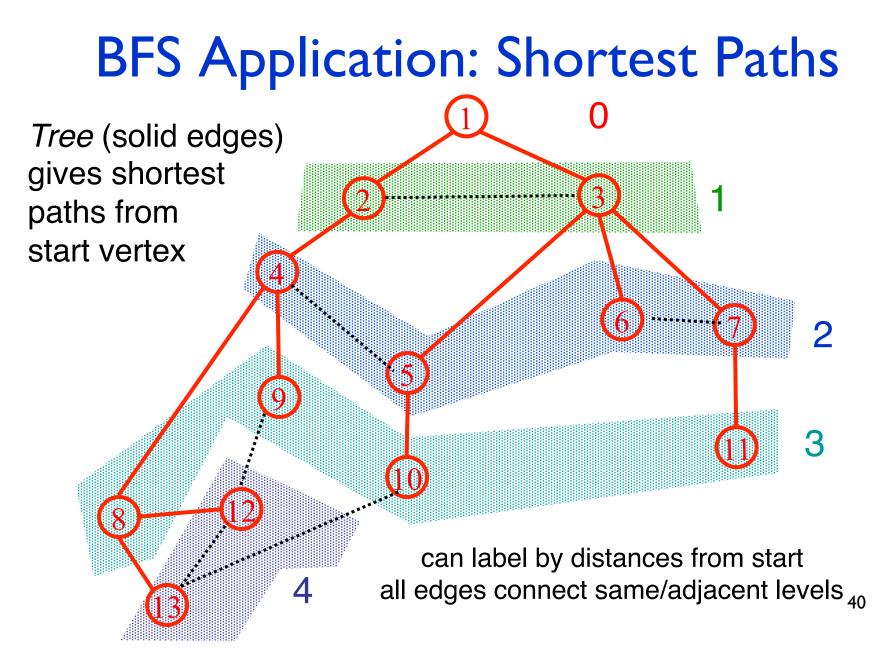
Edges into then-undiscovered vertices define a **tree** – the "breadth first spanning tree" of G

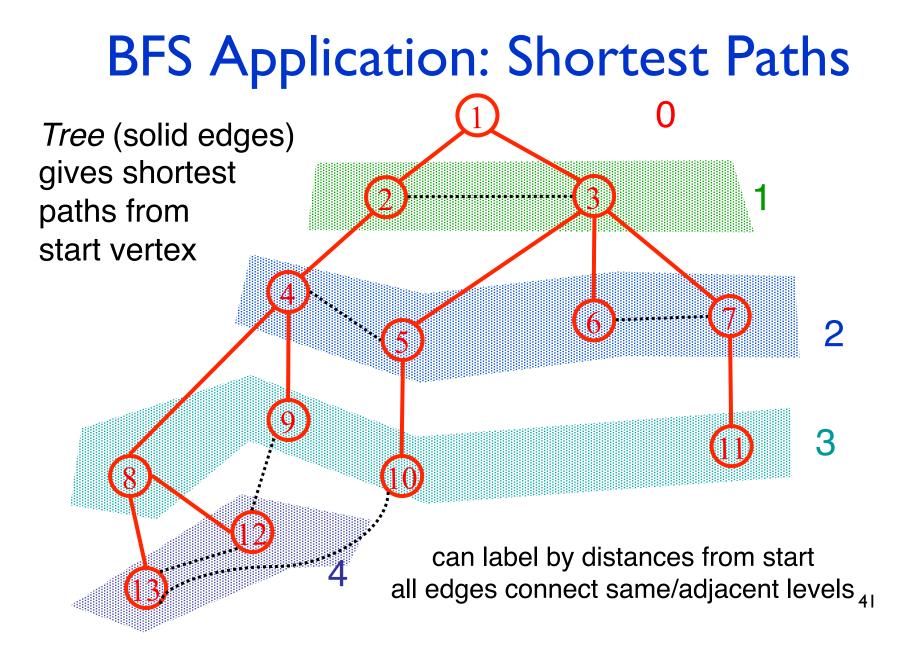
Level i in this tree are exactly those vertices *u* such that the shortest path (in G, not just the tree) from the root v is of length i.

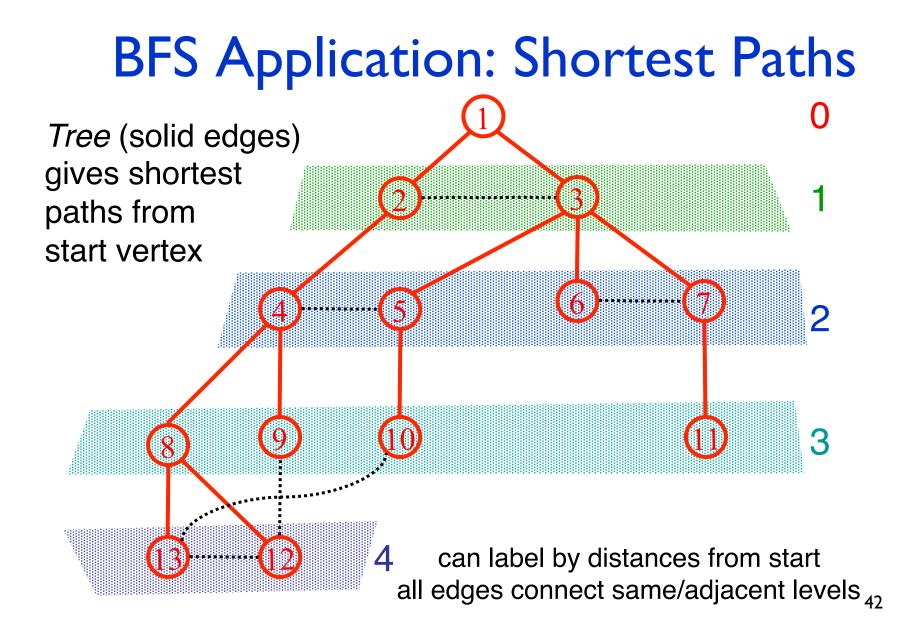
All non-tree edges join vertices on the same or adjacent levels

not true of every spanning tree!









Why fuss about trees?

Trees are simpler than graphs

Ditto for algorithms on trees vs algs on graphs

So, this is often a good way to approach a graph problem: find a "nice" tree in the graph, i.e., one such that non-tree edges have some simplifying structure

E.g., BFS finds a tree s.t. level-jumps are minimized DFS (below) finds a different tree, but it also has interesting structure...

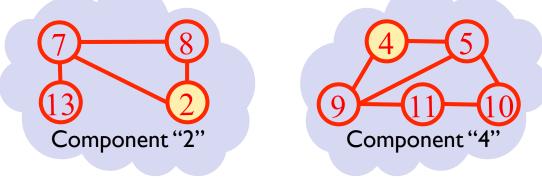
Graph Search Application: Connected Components

Want to answer questions of the form:

Given vertices u and v, is there a path from u to v?

Idea: create array A such that

A[u] = smallest numbered vertex thatis connected to u. Question reducesto whether <math>A[u]=A[v]? **Q:** Why not use 2-d array Path[u,v]?



A[8] = A[13]? Y A[8] = A[9]? N

Graph Search Application: Connected Components

initial state: all v undiscovered for v = I to n do if state(v) != fully-explored then BFS(v): setting A[u] \leftarrow v for each u found (and marking u discovered/fully-explored) endif endfor

Total cost: O(n+m)

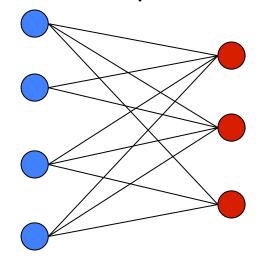
Careful look at BFS(v) shows $O(n_i + m_i)$ if v's component has n_i nodes & m_i edges; $\Sigma n_i + m_i = n + m$. Idea: each edge is touched twice, once from each end. (True for DFS, too) 45

3.4 Testing Bipartiteness

Def. An undirected graph G = (V, E) is bipartite (2-colorable) if the nodes can be colored red or blue such that no edge has both ends the same color.

Applications.

Stable marriage: men = red, women = blue Scheduling: machines = red, jobs = blue



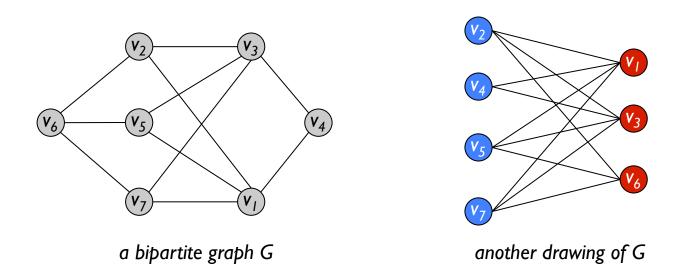
a bipartite graph

"bi-partite" means "two parts." An equivalent definition: G is bipartite if you can partition the node set into 2 parts (say, blue/red or left/ right) so that all edges join nodes in different parts/no edge has both ends in the same part.

Testing Bipartiteness

Testing bipartiteness. Given a graph G, is it bipartite? Many graph problems become:

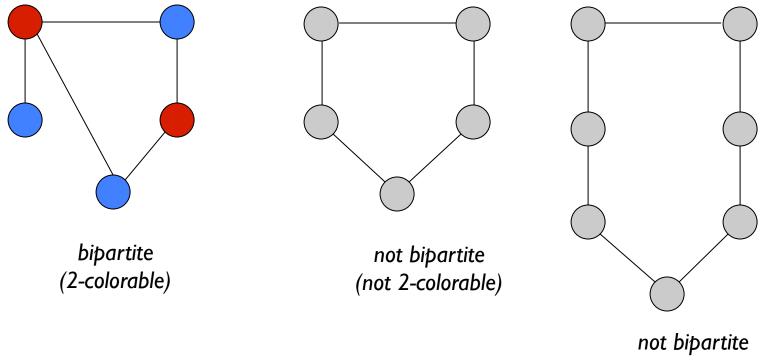
easier if the underlying graph is bipartite (matching) tractable if the underlying graph is bipartite (independent set) Before attempting to design an algorithm, we need to understand structure of bipartite graphs.



An Obstruction to Bipartiteness

Lemma. If a graph G is bipartite, it cannot contain an odd length cycle.

Pf. Impossible to 2-color the odd cycle, let alone G.

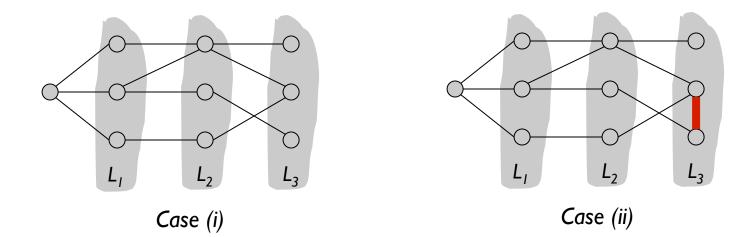


(not 2-colorable)

Lemma. Let G be a connected graph, and let $L_0, ..., L_k$ be the layers produced by BFS starting at node s. Exactly one of the following holds.

(i) No edge of G joins two nodes of the same layer, and G is bipartite.

(ii) An edge of G joins two nodes of the same layer, and G contains an odd-length cycle (and hence is not bipartite).



Lemma. Let G be a connected graph, and let $L_0, ..., L_k$ be the layers produced by BFS starting at node s. Exactly one of the following holds.

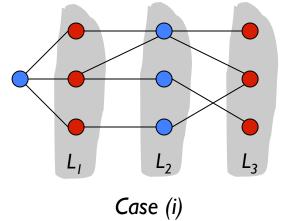
(i) No edge of G joins two nodes of the same layer, and G is bipartite.

(ii) An edge of G joins two nodes of the same layer, and G contains an odd-length cycle (and hence is not bipartite).

Pf. (i)

Suppose no edge joins two nodes in the same layer.

By previous lemma, all edges join nodes on adjacent levels.



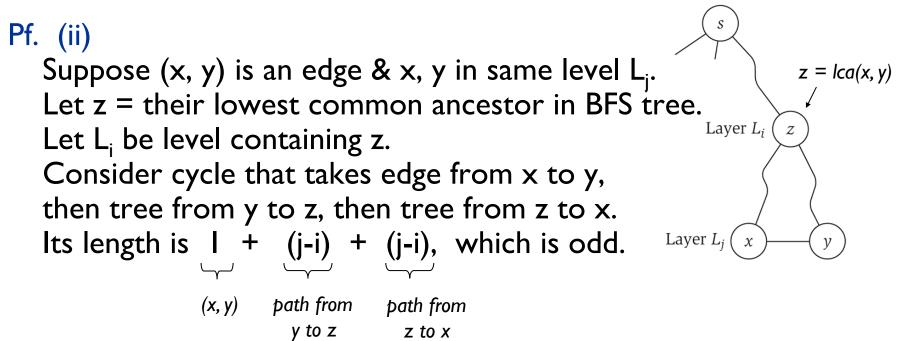
Bipartition:

red = nodes on odd levels, blue = nodes on even levels.

Lemma. Let G be a connected graph, and let $L_0, ..., L_k$ be the layers produced by BFS starting at node s. Exactly one of the following holds.

(i) No edge of G joins two nodes of the same layer, and G is bipartite.

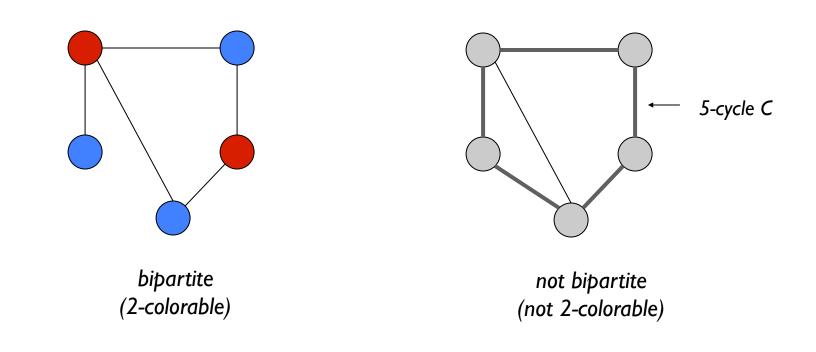
(ii) An edge of G joins two nodes of the same layer, and G contains an odd-length cycle (and hence is not bipartite).



Obstruction to Bipartiteness

Cor: A graph G is bipartite iff it contains no odd length cycle.

NB: the proof is algorithmic–it *finds* a coloring or odd cycle.



3.6 DAGs and Topological Ordering

Precedence Constraints

Precedence constraints. Edge (v_i, v_j) means task v_i must occur before v_i .

Many Applications

Course prerequisites: course v_i must be taken before v_i

Compilation: must compile module v_i before v_i

Computing workflow: output of job v_i is input to job v_i

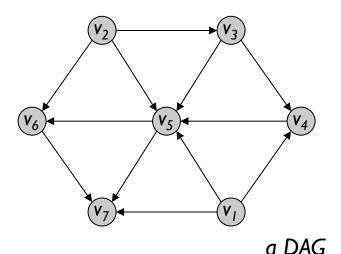
Manufacturing or assembly: sand it before you paint it...

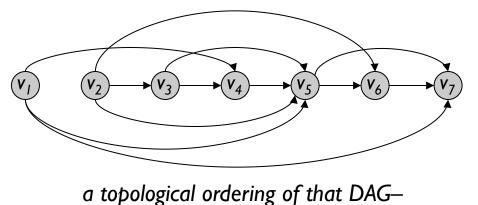
Spreadsheet evaluation order: if A7 is "=A6+A5+A4", evaluate them first

Def. A DAG is a directed acyclic graph, i.e., one that contains no directed cycles.

Ex. Precedence constraints: edge (v_i, v_j) means v_i must precede v_j .

Def. A <u>topological order</u> of a directed graph G = (V, E) is an ordering of its nodes as $v_1, v_2, ..., v_n$ so that for every edge (v_i, v_j) we have i < j. E.g., $\forall edge(v_i, v_j)$, finish



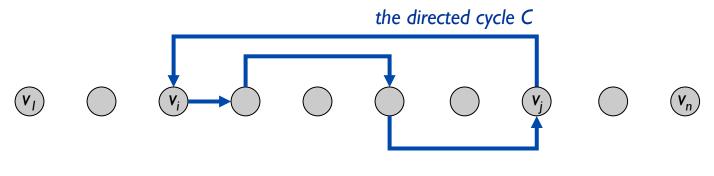


a topological ordering of that DAGall edges oriented left-to-right

v_i before starting v_i

Lemma. If G has a topological order, then G is a DAG.

Pf. (by contradiction)to close a cycleSuppose that G has a topological order v_1, \ldots, v_n and that G also has a directed cycle C.Let v_i be the lowest-indexed node in C, and let v_j be the node justbefore v_i ; thus (v_j, v_i) is an edge.By our choice of i, we have i < j.On the other hand, since (v_j, v_i) is an edge and v_1, \ldots, v_n is a topologicalorder, we must have j < i, a contradiction.



the supposed topological order: v_1, \ldots, v_n

if all edges go $L \rightarrow R$,

you can't loop back

Lemma (above). If G has a topological order, then G is a DAG.

- Q. Does every DAG have a topological ordering?
- Q. If so, how do we compute one?

Lemma. If G is a DAG, then G has a node with no incoming edges.

Pf. (by contradiction)

Suppose that G is a DAG and every node has at least one incoming edge. Let's see what happens.

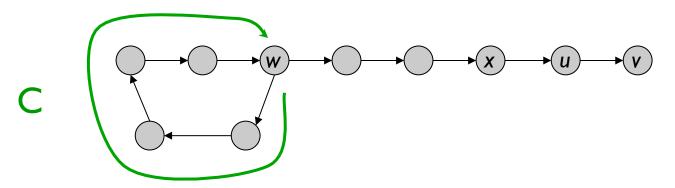
Pick any node v, and begin following edges *backward* from v. Since v has at least one incoming edge (u, v) we can walk backward to u. Then, since u has at least one incoming edge (x, u), we can walk backward to x.

Repeat until we visit a node, say w, twice.

Let C be the sequence of nodes encountered

Why must this happen?

between successive visits to w. C is a cycle, contradicting acyclicity.



Lemma. If G is a DAG, then G has a topological ordering.

```
Pf. (by induction on n)
Base case: true if n = 1.
Given DAG on n > I nodes, find a node v with no incoming edges.
G - { v } is a DAG, since deleting v cannot create cycles.
By inductive hypothesis, G - { v } has a topological ordering.
Place v first in topological ordering; then append nodes of G - { v }
in topological order. This is valid since v has no incoming edges.
```

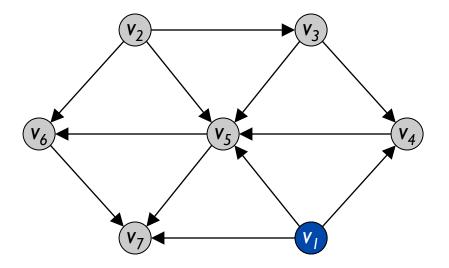
```
To compute a topological ordering of G:

Find a node v with no incoming edges and order it first

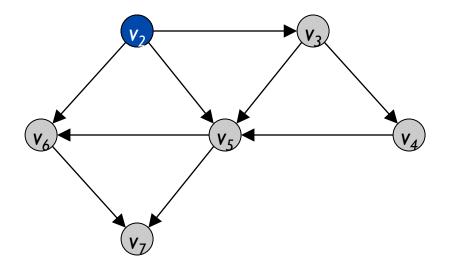
Delete v from G

Recursively compute a topological ordering of G - \{v\}

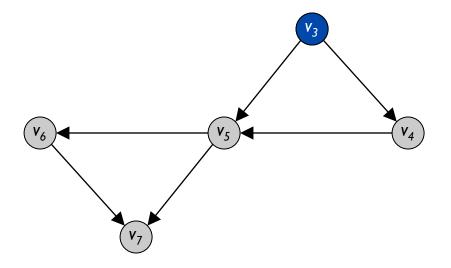
and append this order after v
```



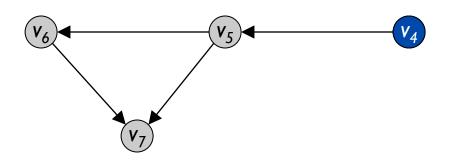
Topological order:



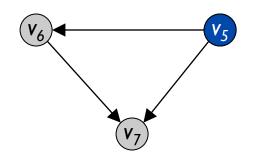
Topological order: v₁



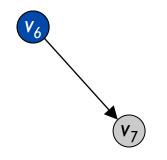
Topological order: v_1, v_2



Topological order: v_1, v_2, v_3



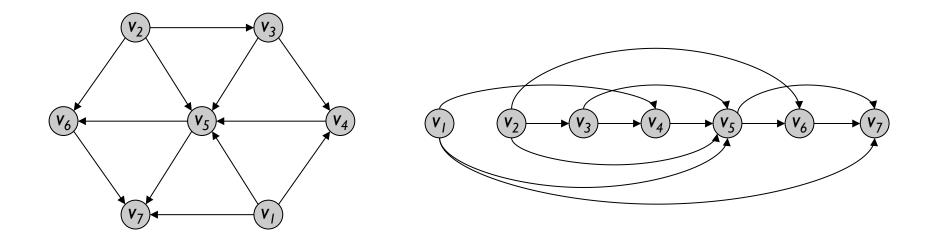
Topological order: v_1 , v_2 , v_3 , v_4



Topological order: v_1 , v_2 , v_3 , v_4 , v_5

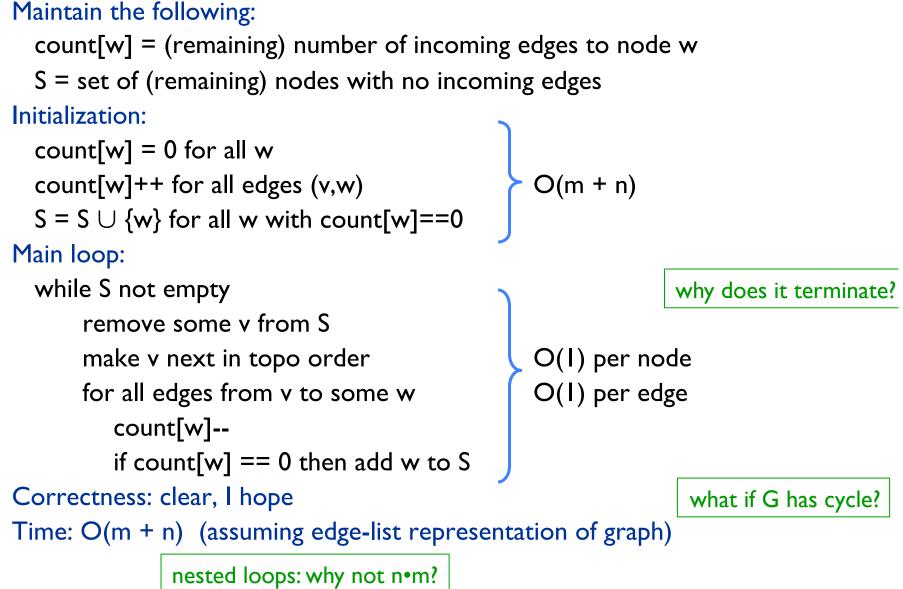


Topological order: v_1 , v_2 , v_3 , v_4 , v_5 , v_6



Topological order: v_1 , v_2 , v_3 , v_4 , v_5 , v_6 , v_7 .

Topological Sorting Algorithm



Depth-First Search

Depth-First Search

Follow the first path you find as far as you can go When you reach a dead end, back up to last unexplored edge, then go as far you can. Etc.

Naturally implemented using recursion or a stack

DFS(v) – Recursive version

```
Global Initialization:
for all nodes v, v.dfs# = -1 // mark v "undiscovered"
dfscounter = 0
```

DFS(v)

```
v.dfs# = dfscounter++
for each edge (v,x)
if (x.dfs# = -1)
DFS(x)
else ...
```

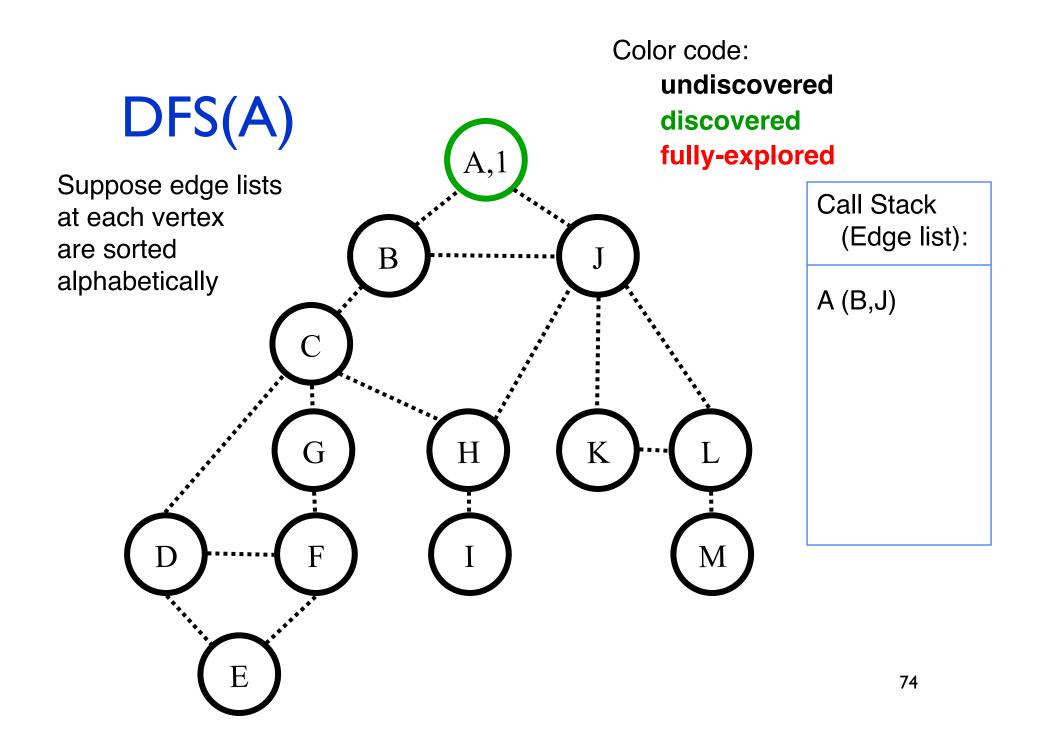
// v "discovered", number it

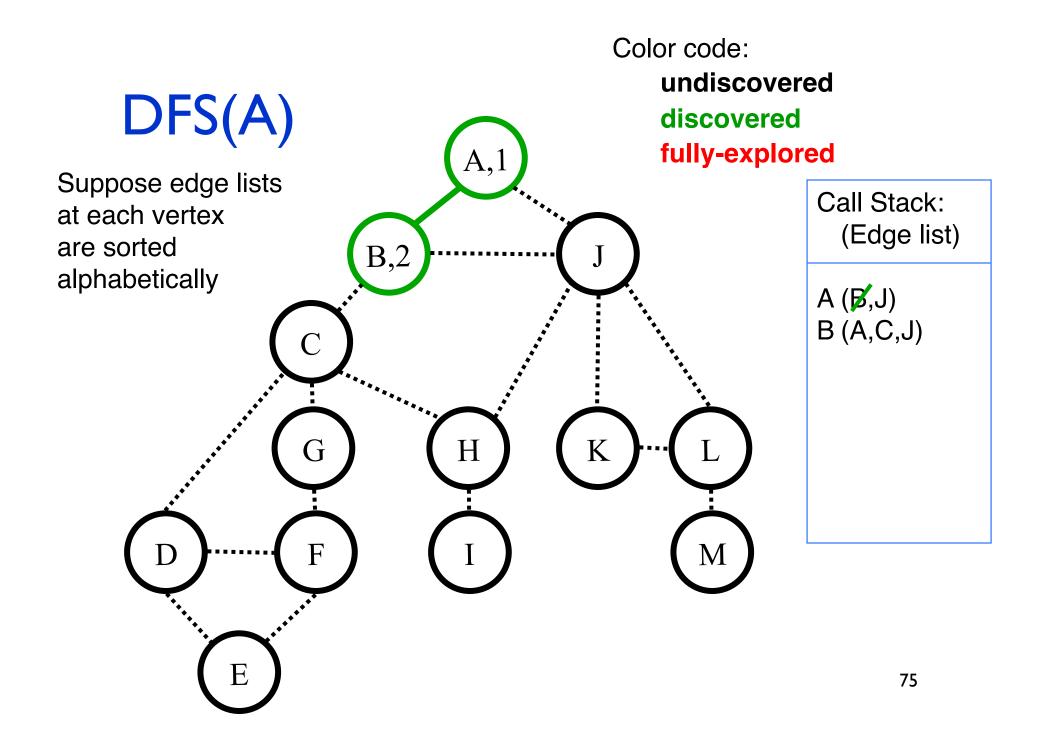
// tree edge (x previously undiscovered)

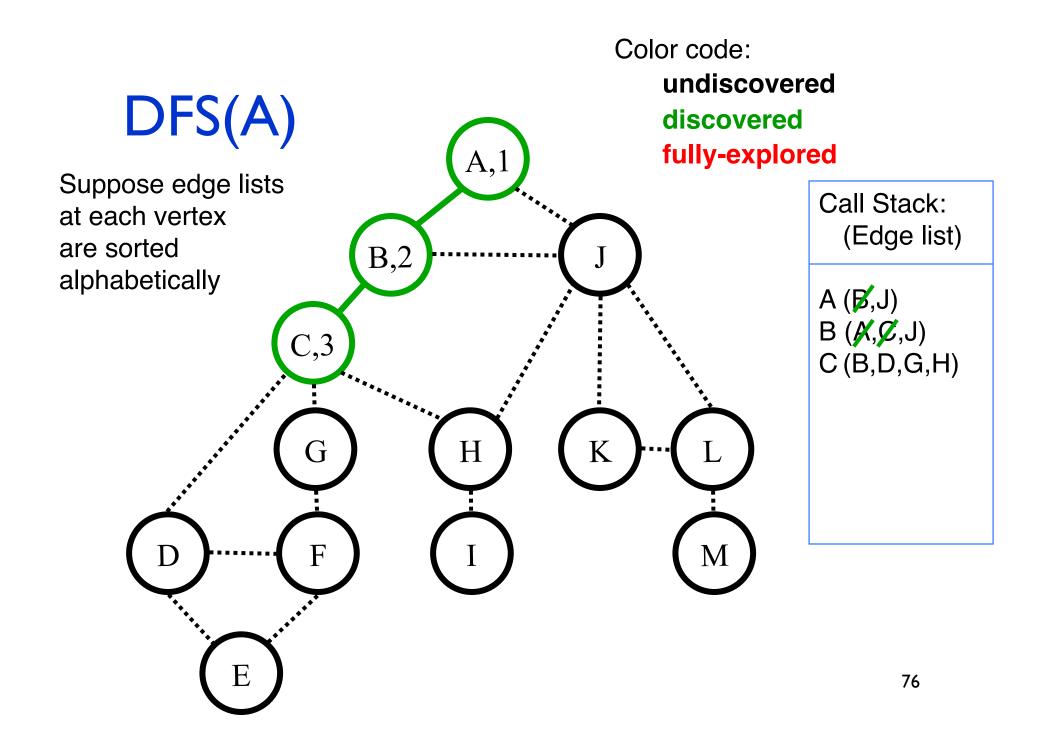
// code for back-, fwd-, parent// edges, if needed; mark v
// "completed," if needed 72

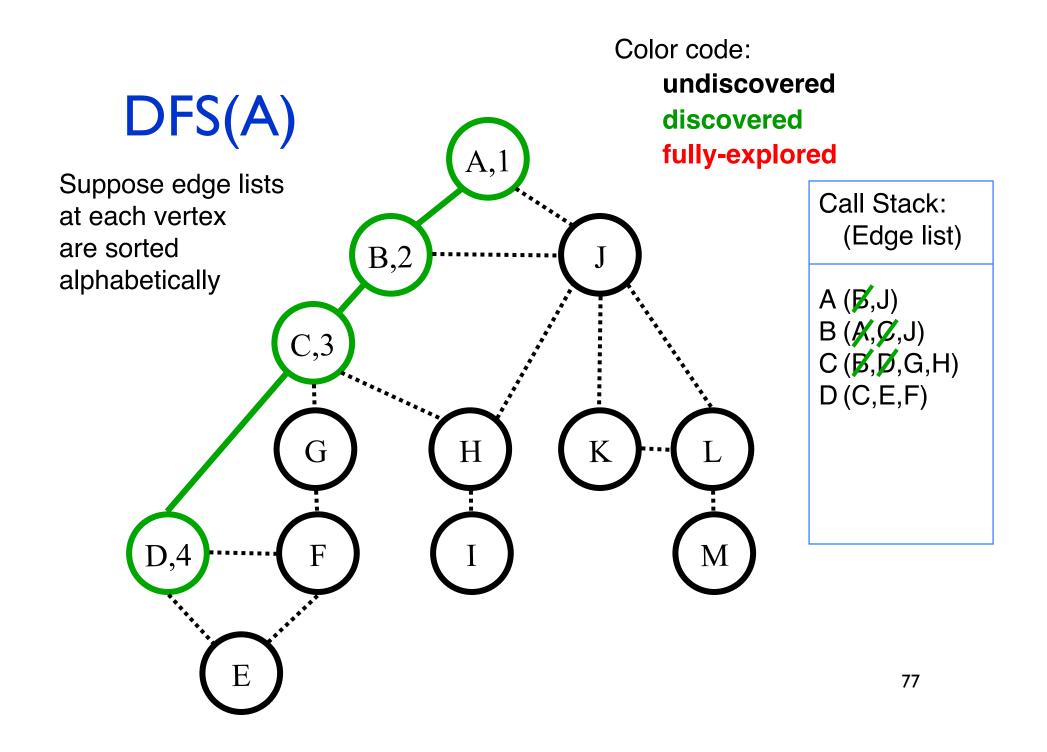
Why fuss about trees (again)?

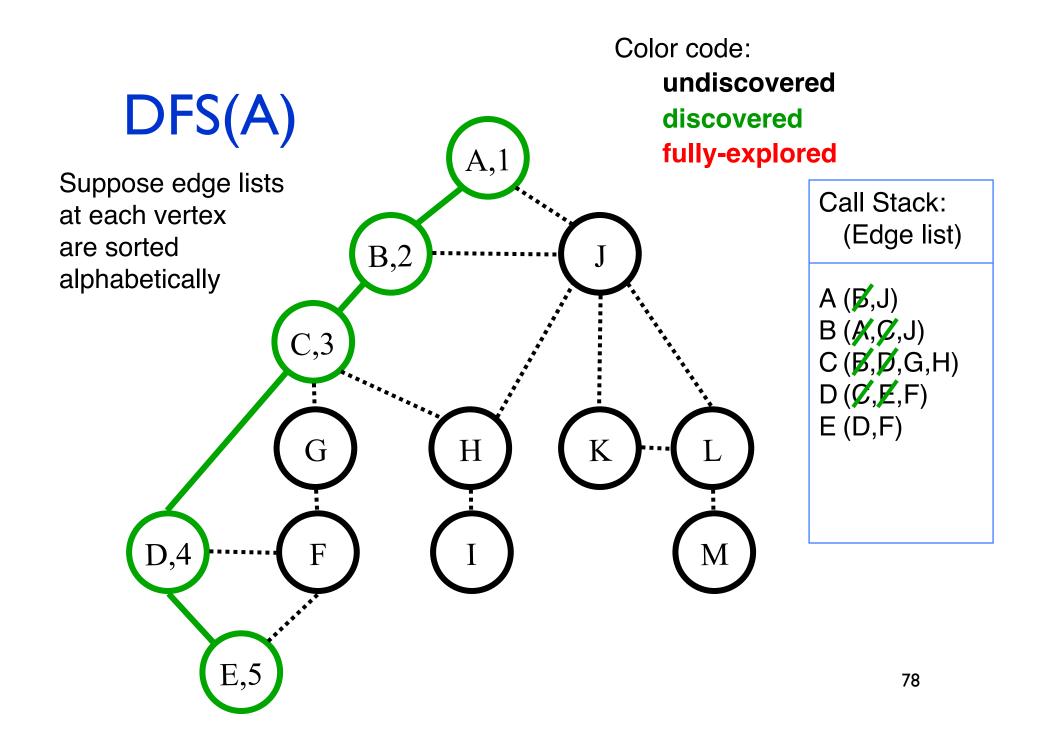
BFS tree \neq DFS tree, but, as with BFS, DFS has found a tree in the graph s.t. non-tree edges are "simple" – *only descendant/ancestor* Proof below

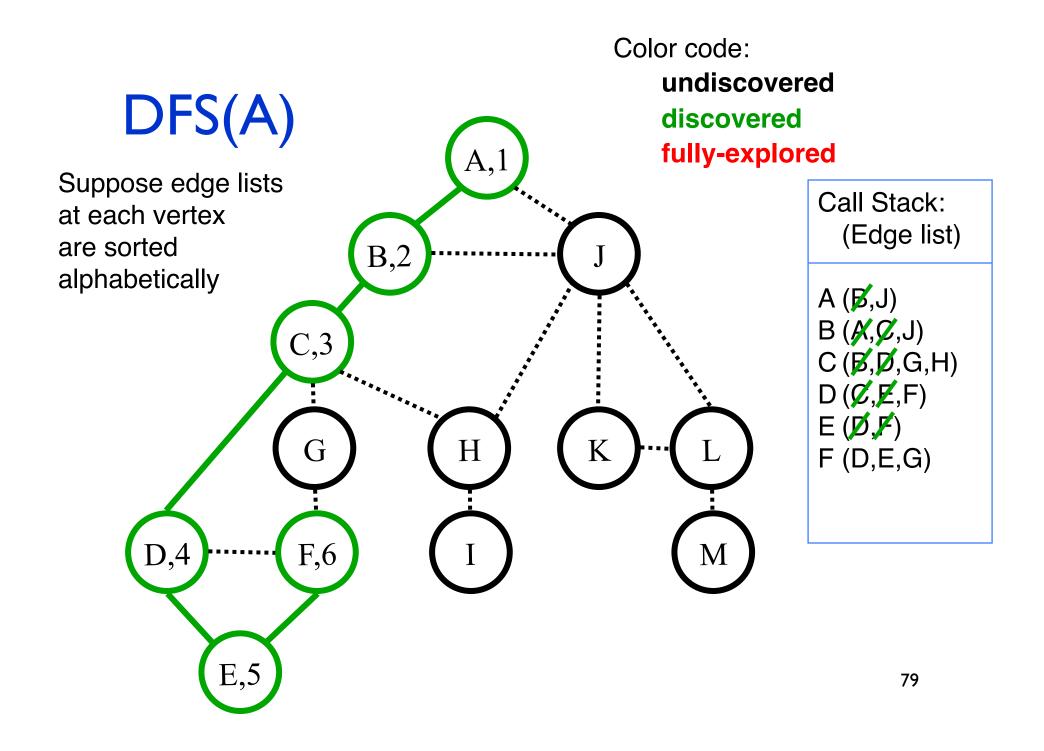


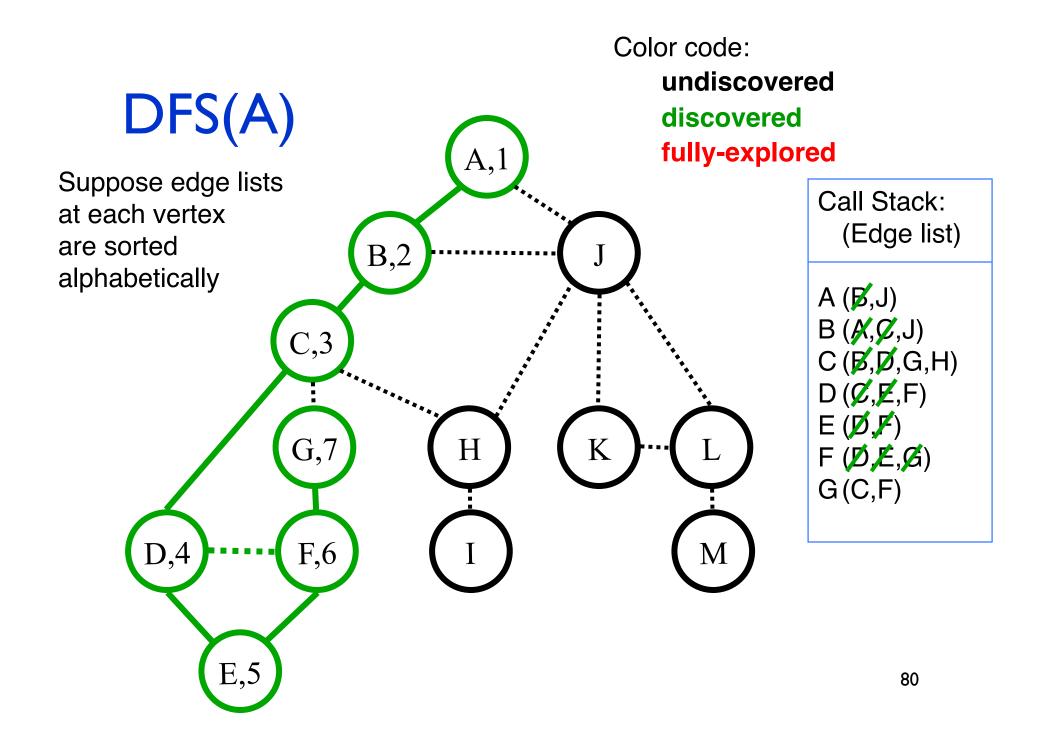


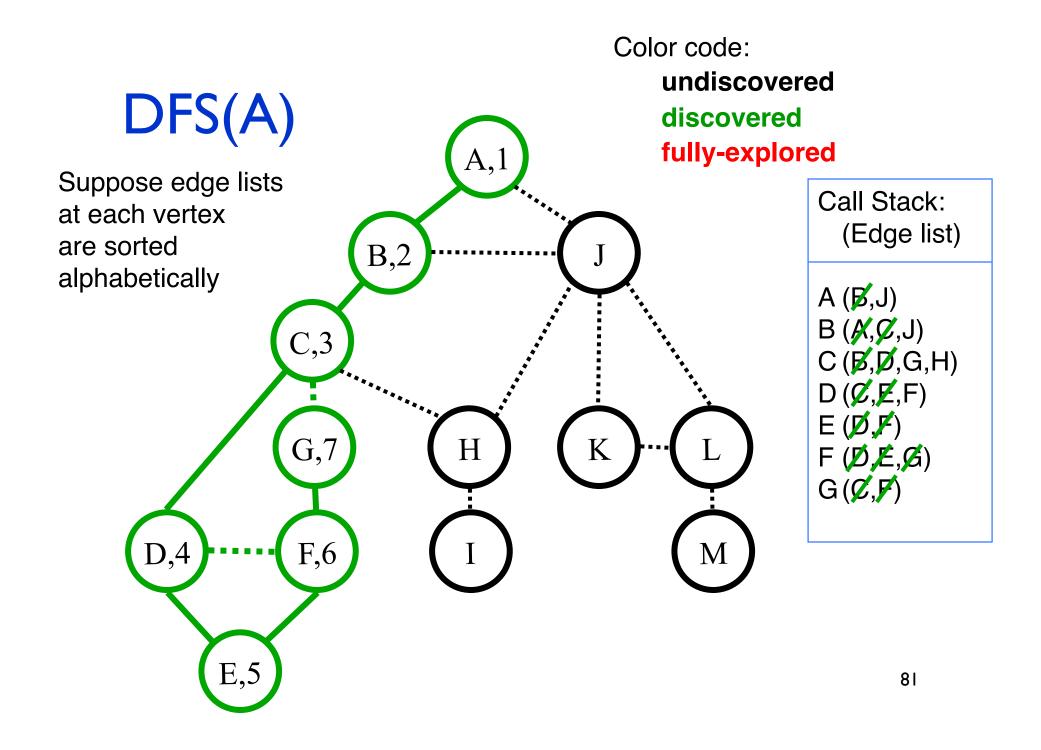


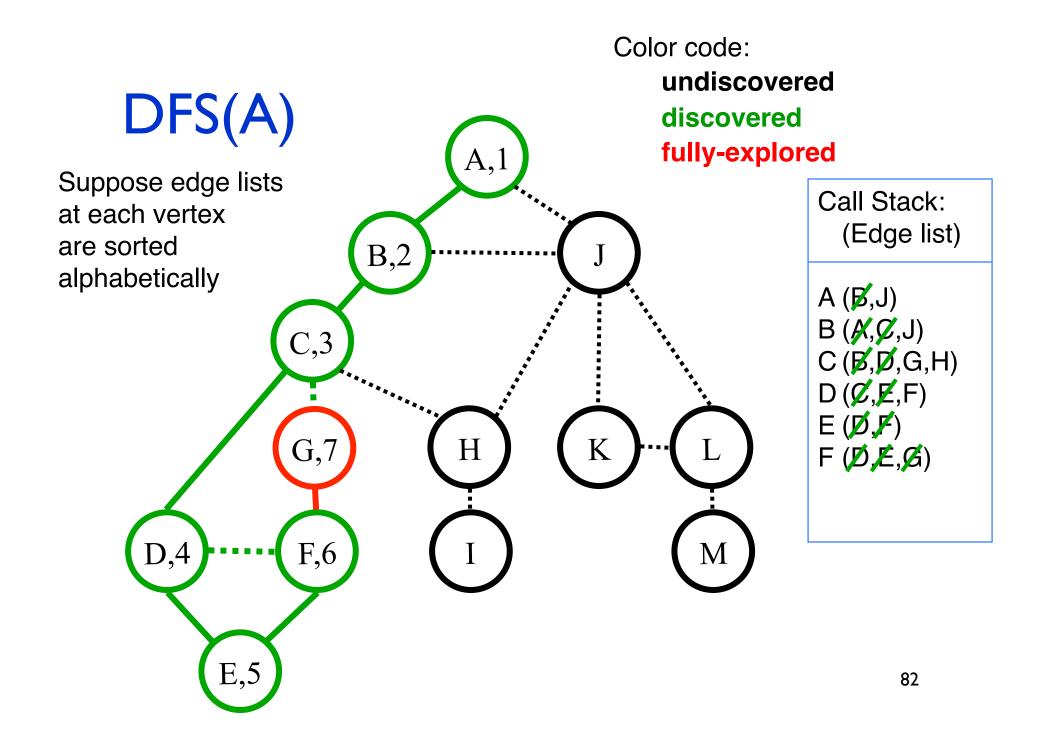


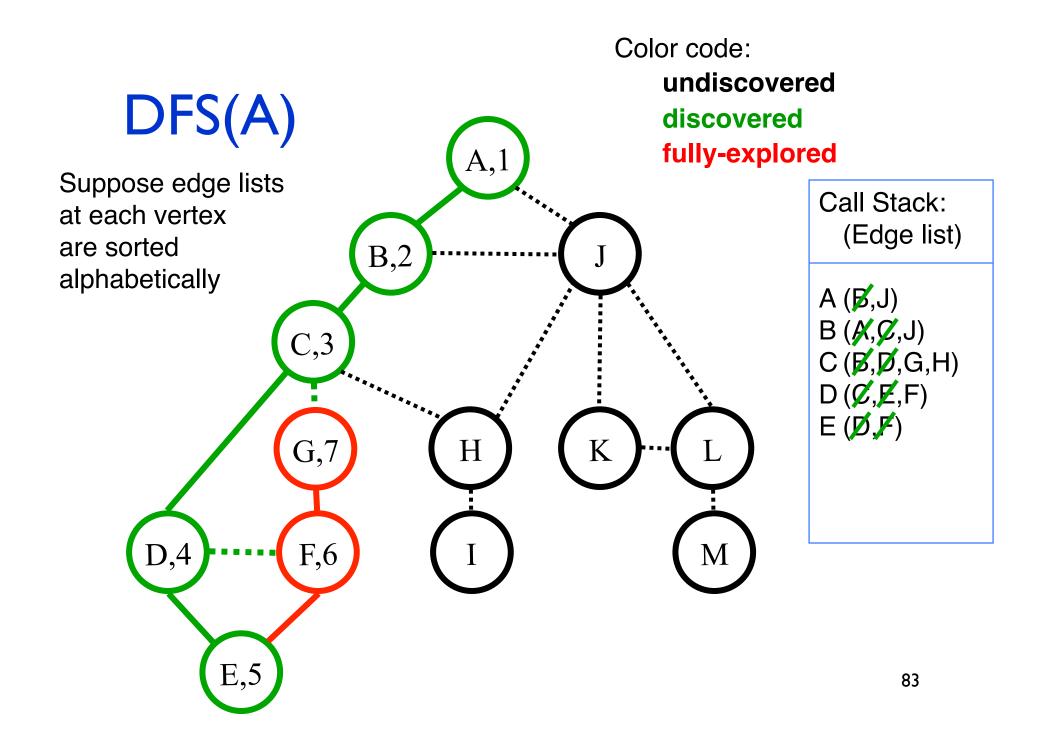


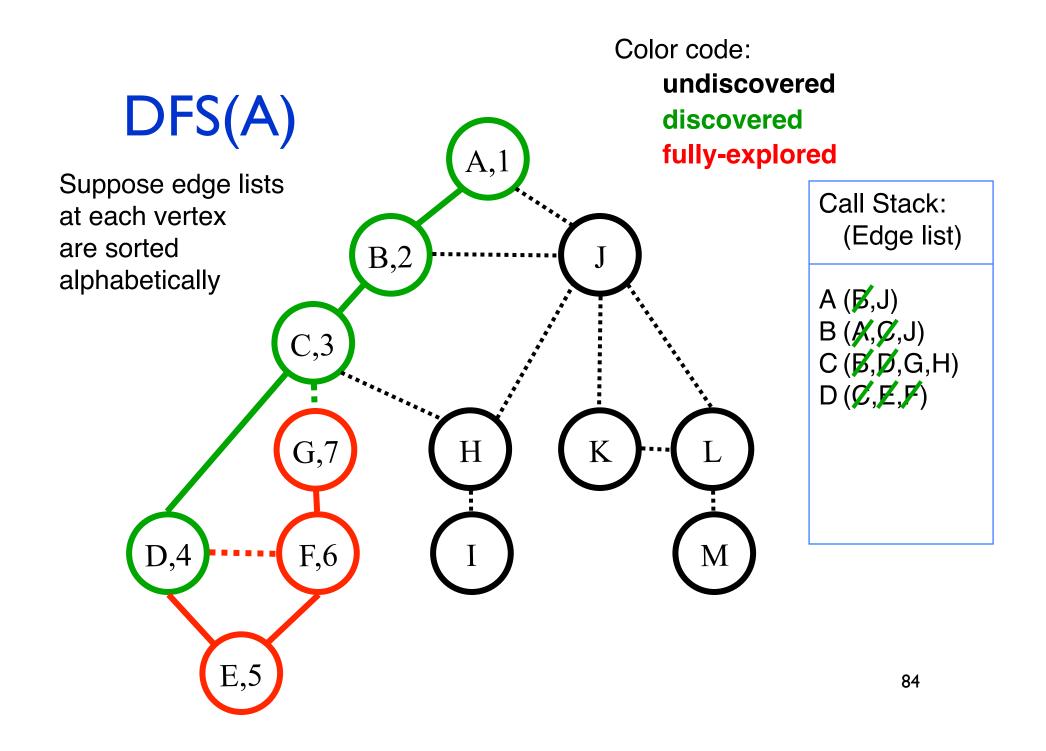


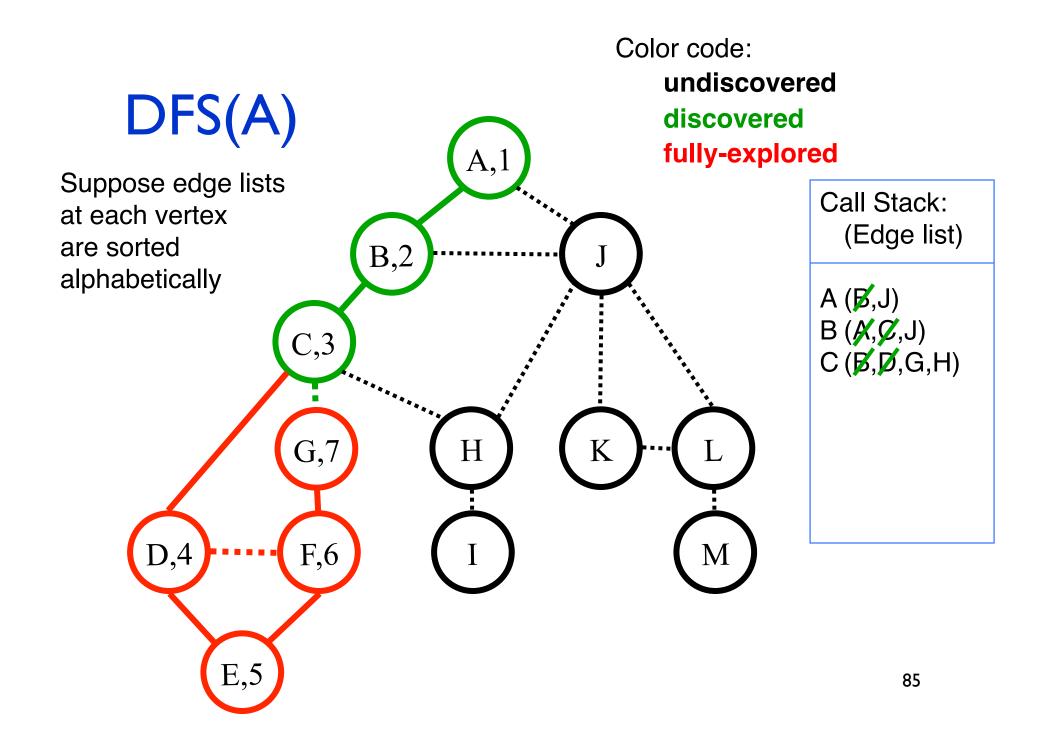


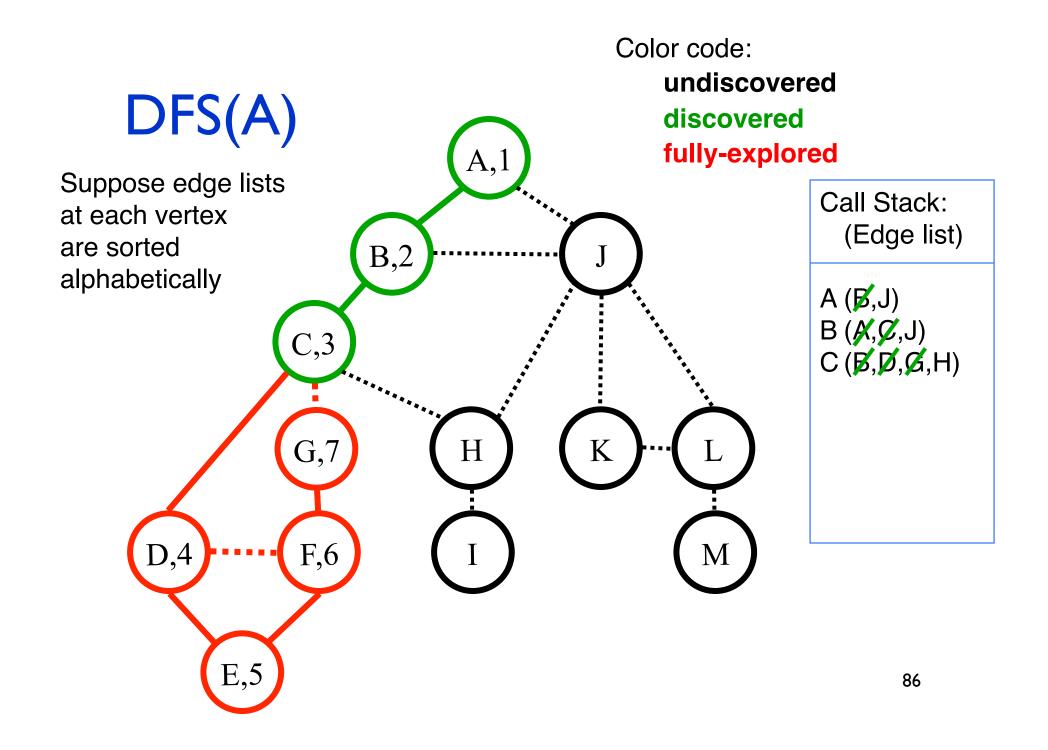


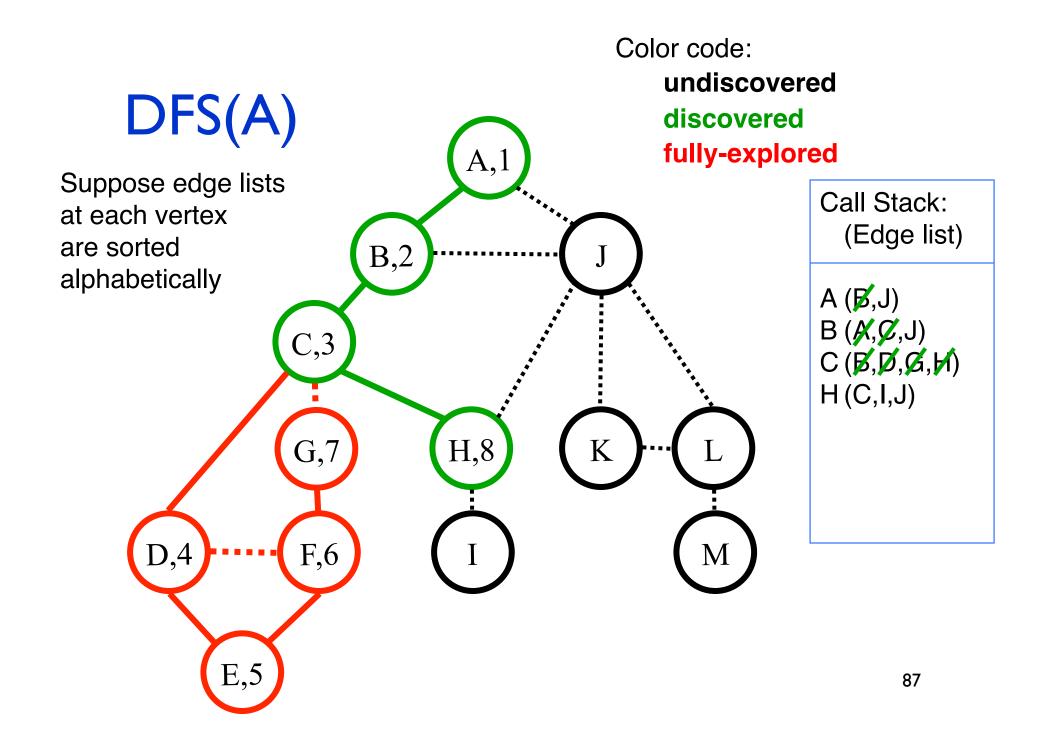


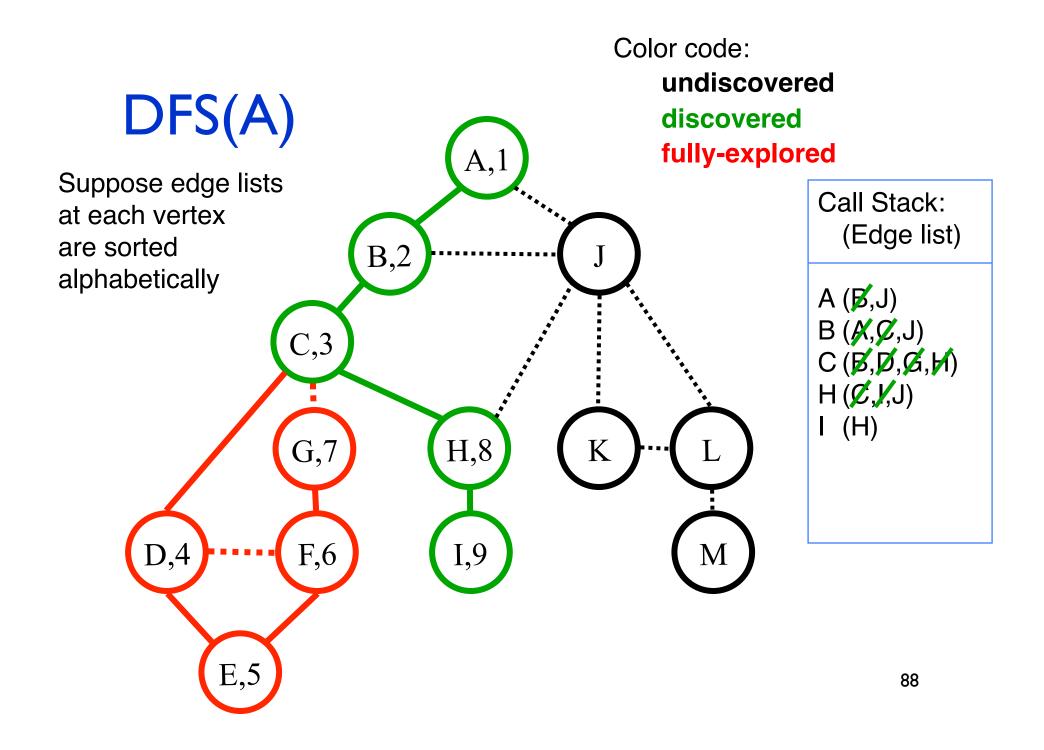


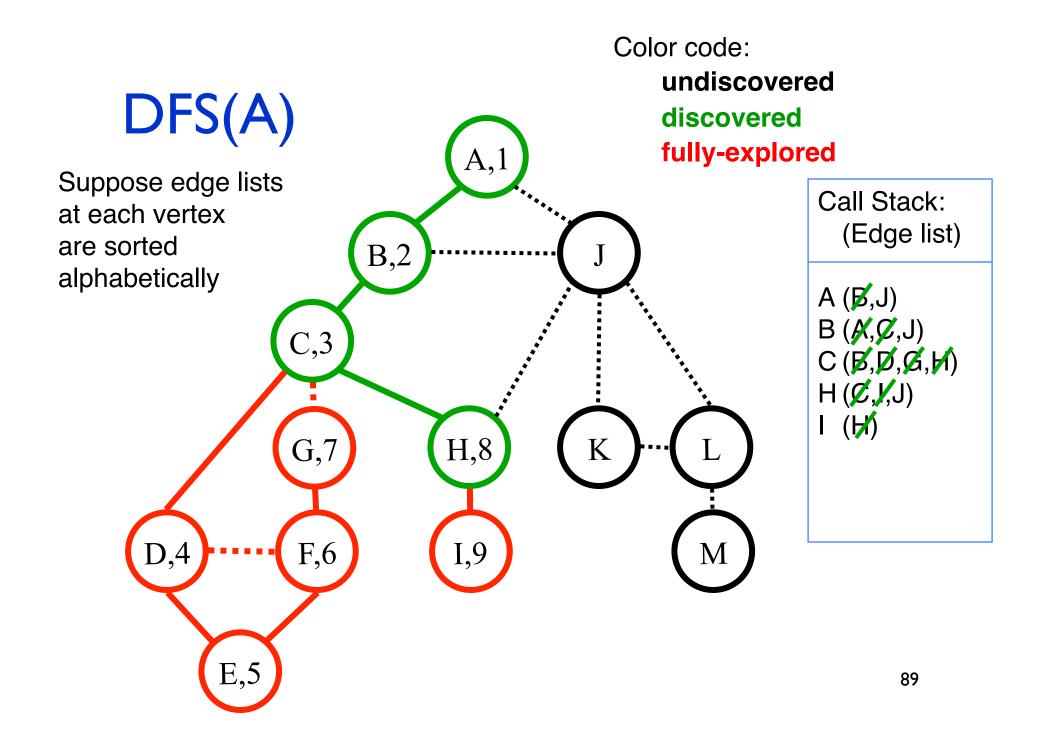


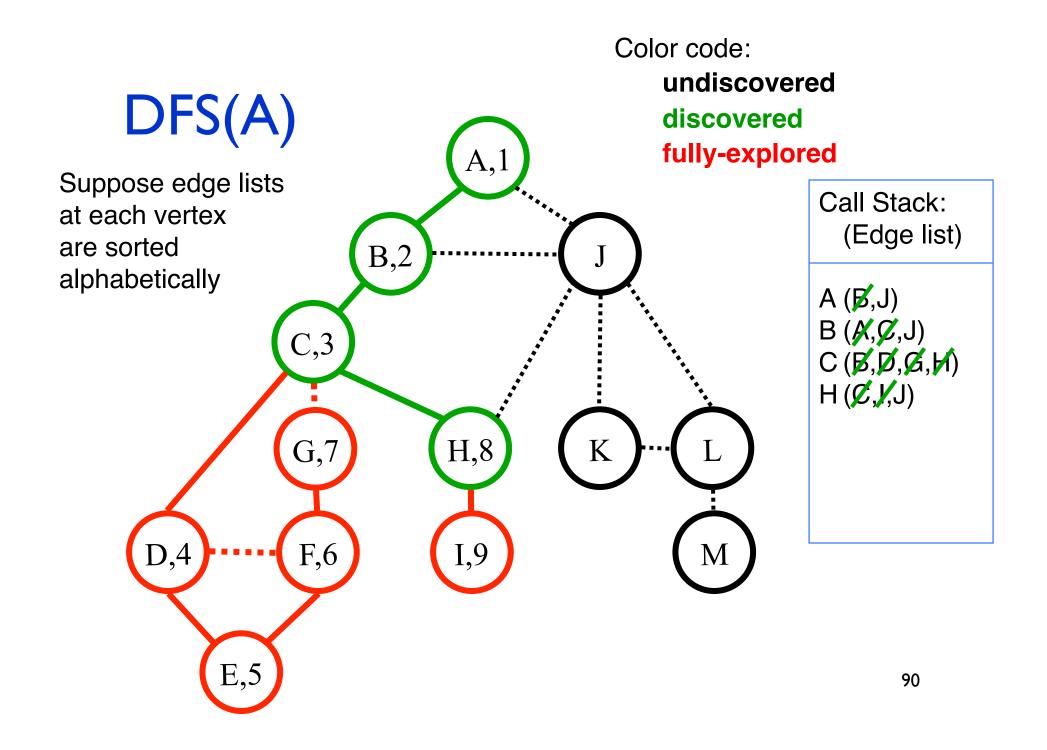


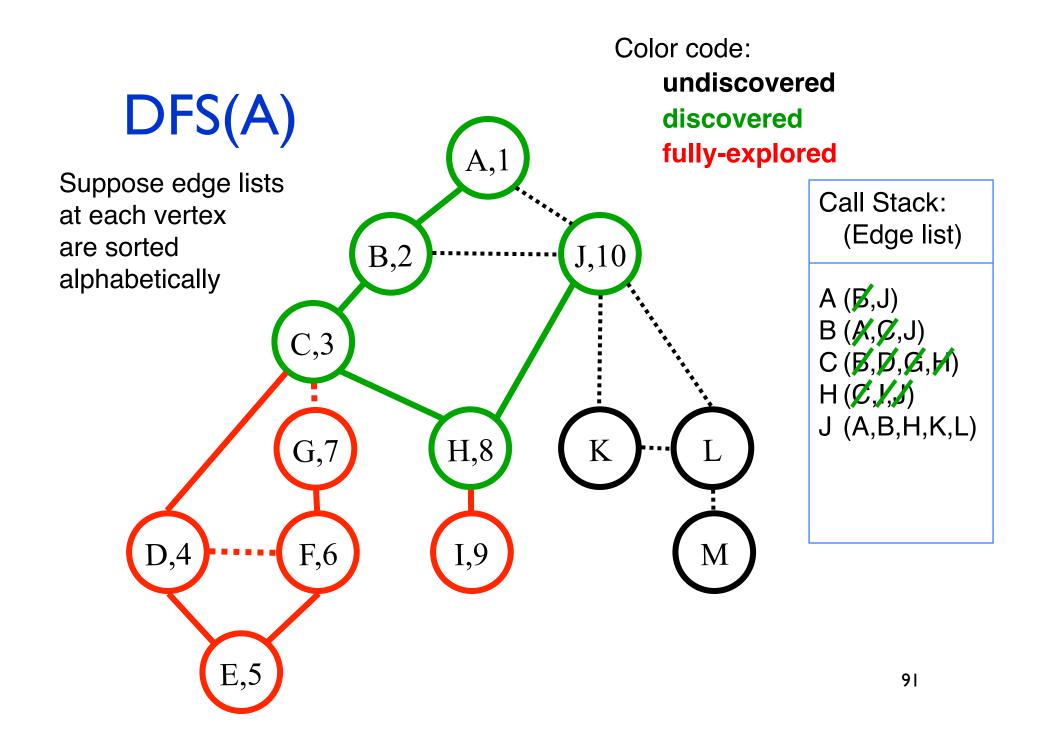


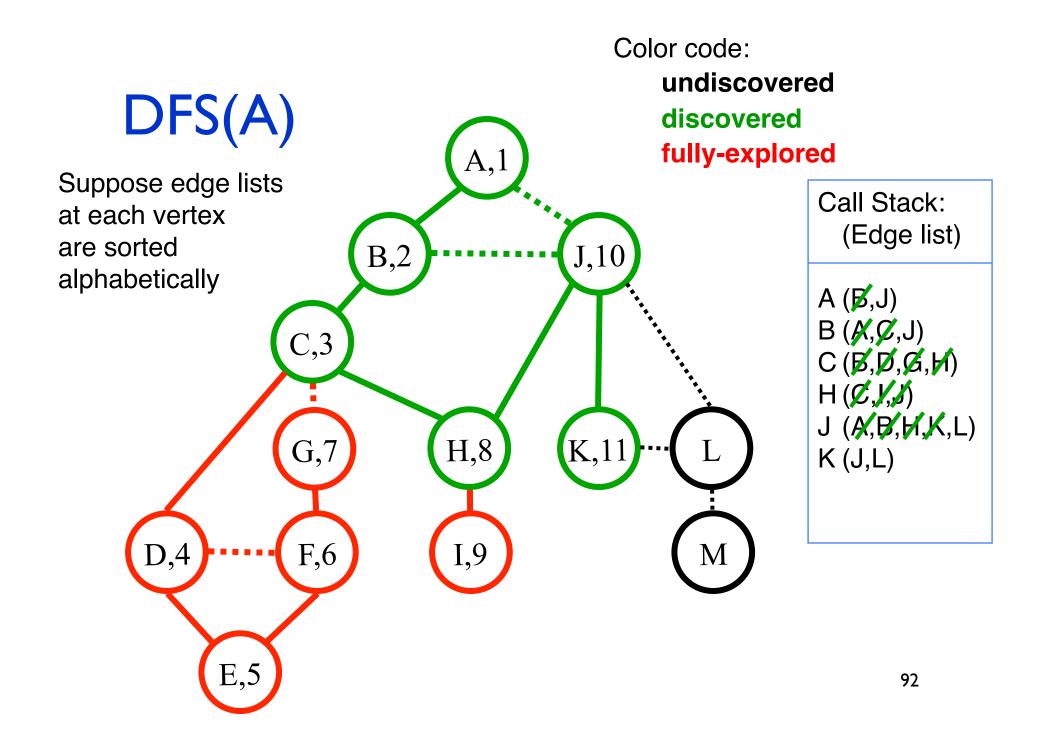


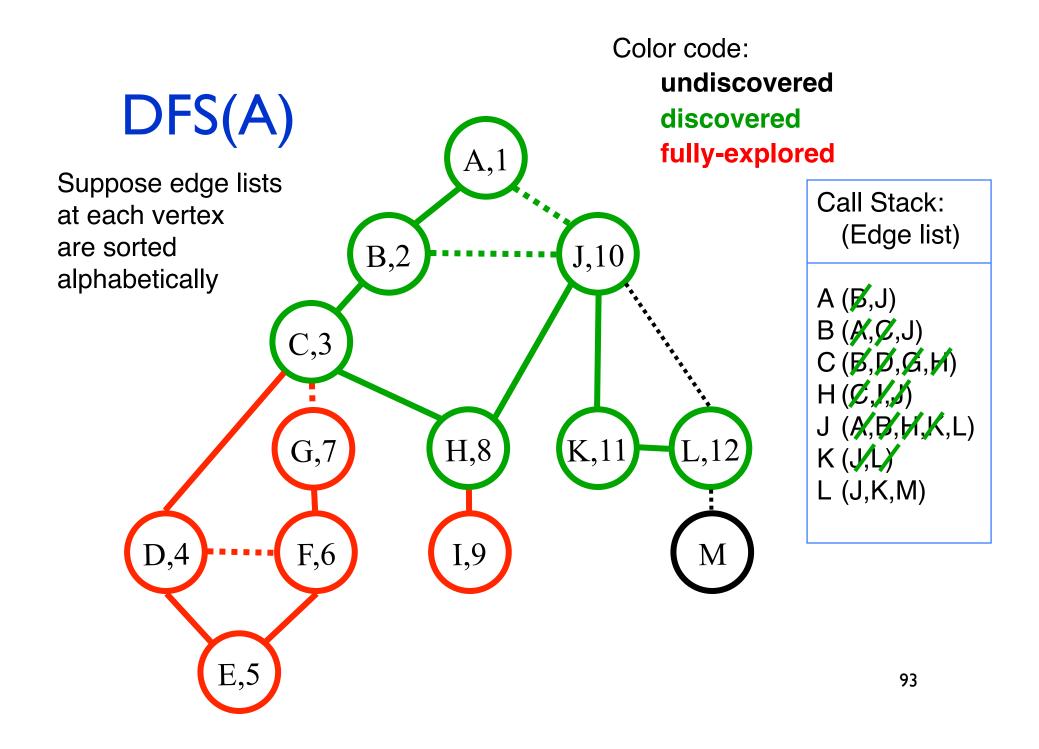


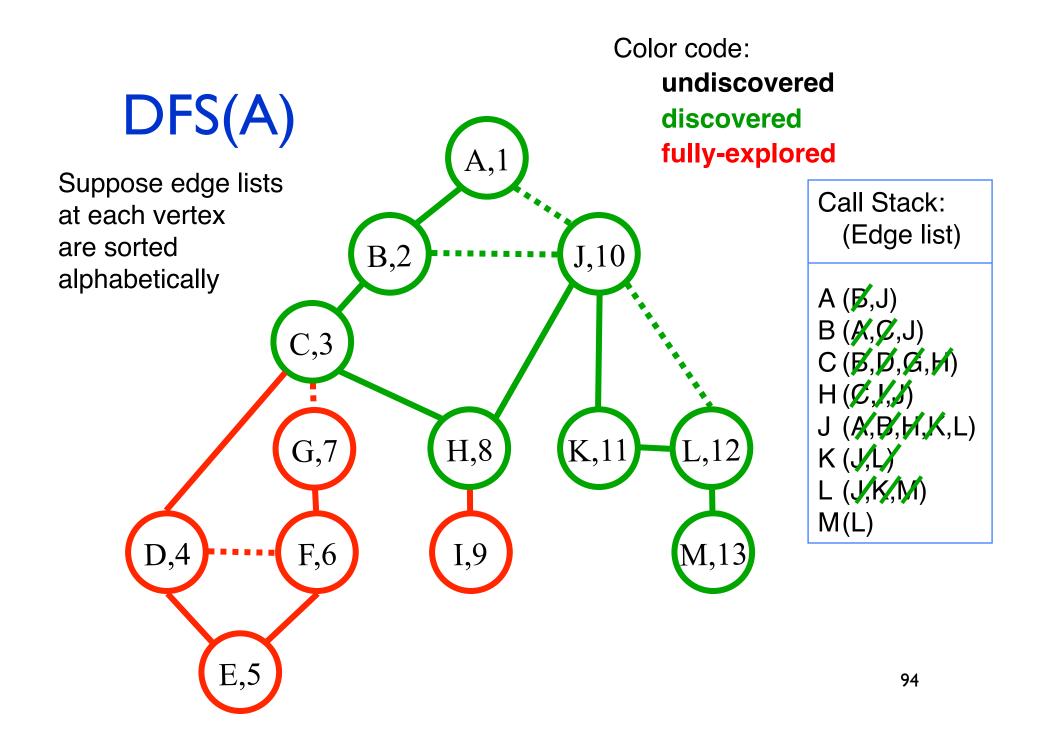


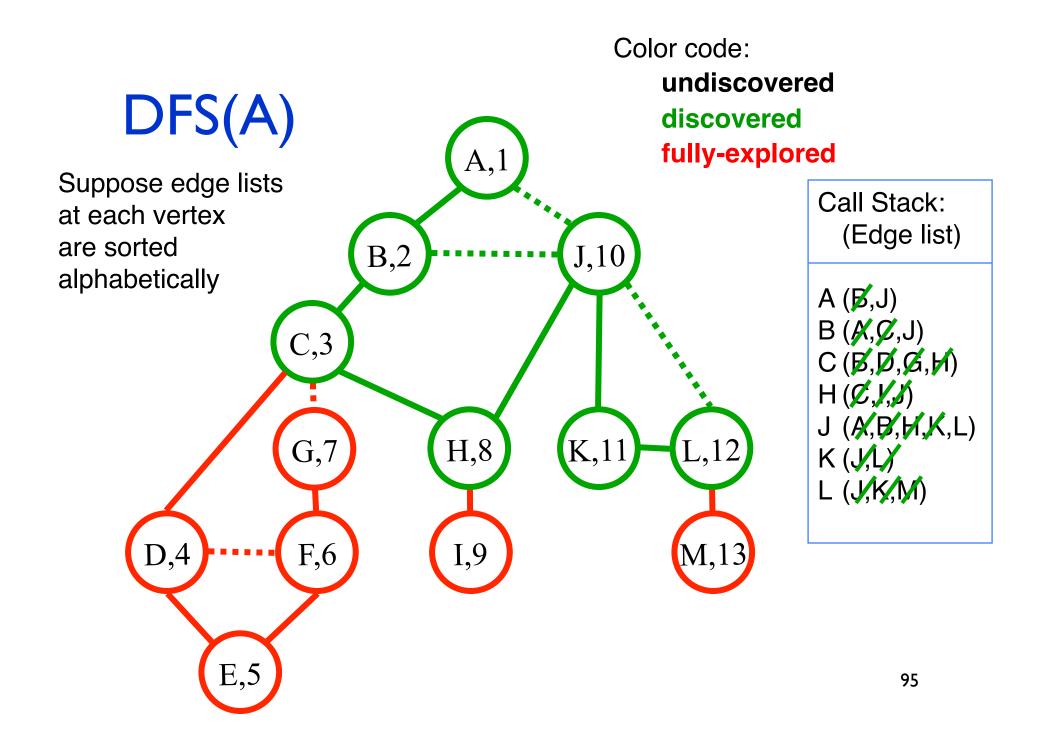


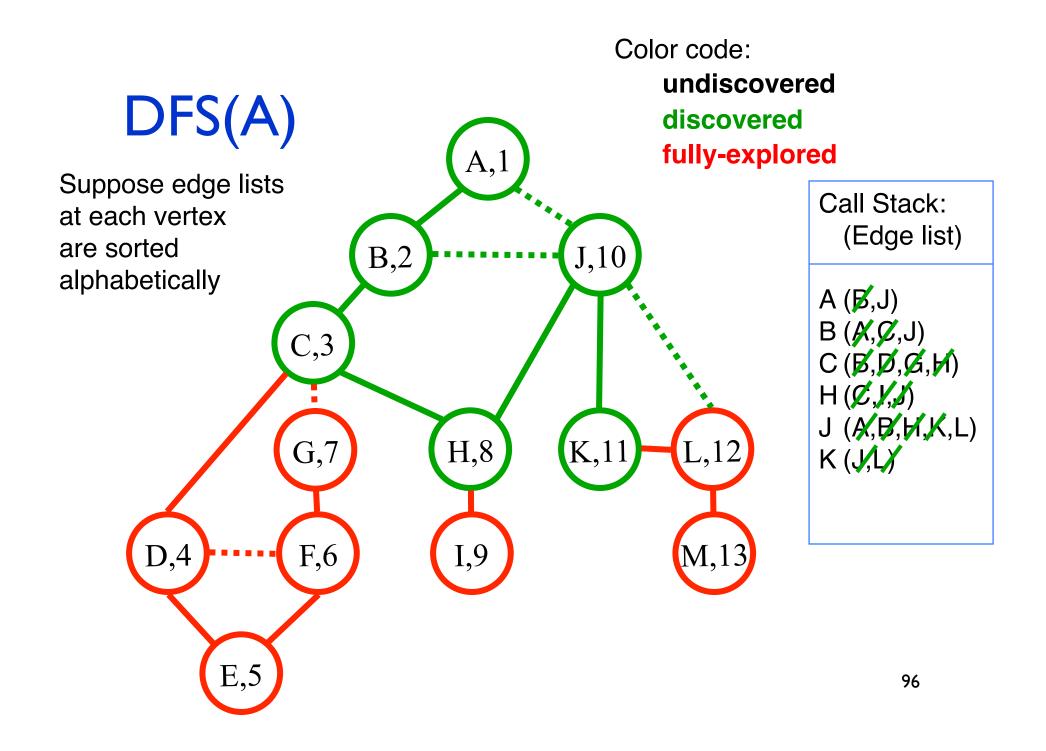


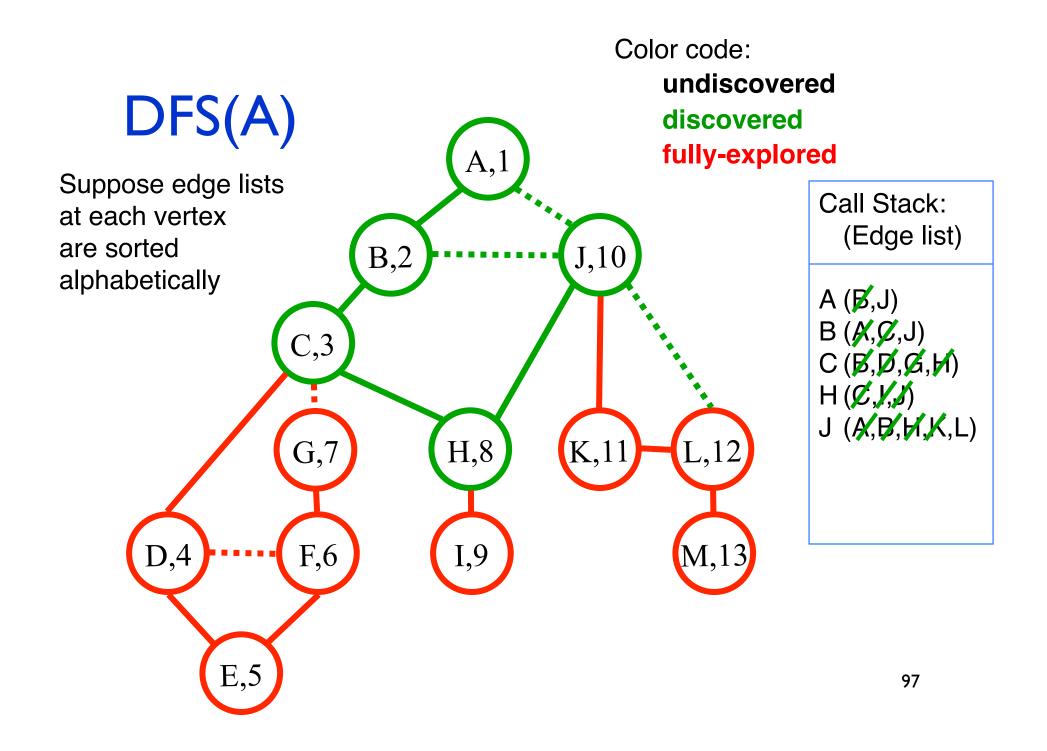


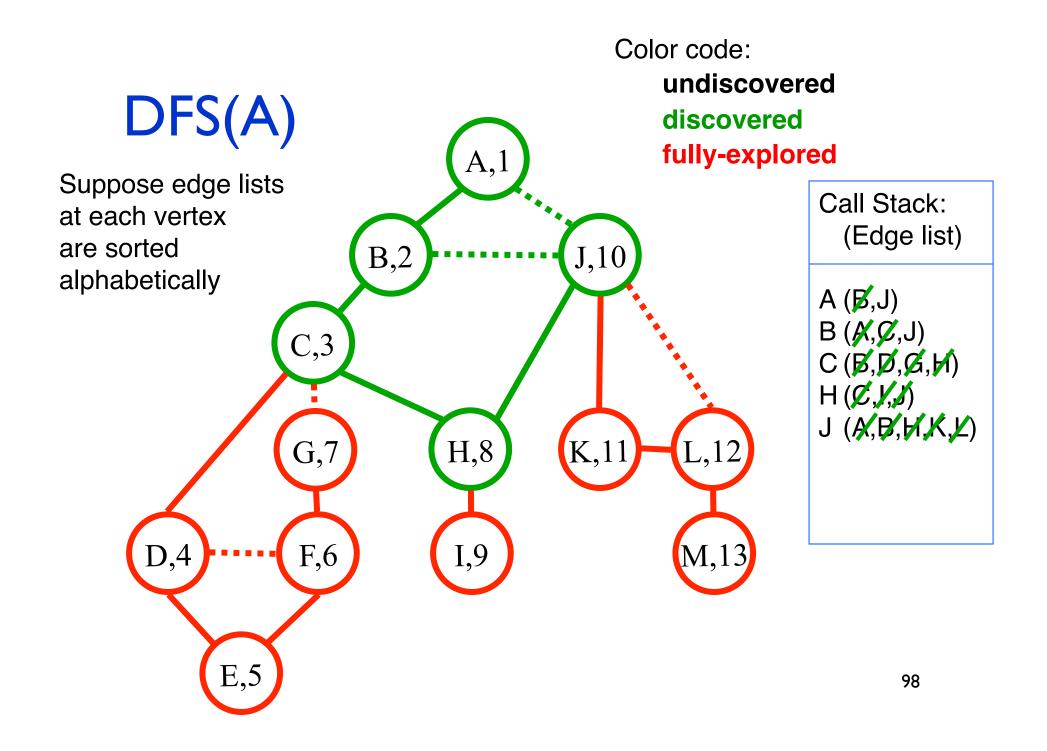


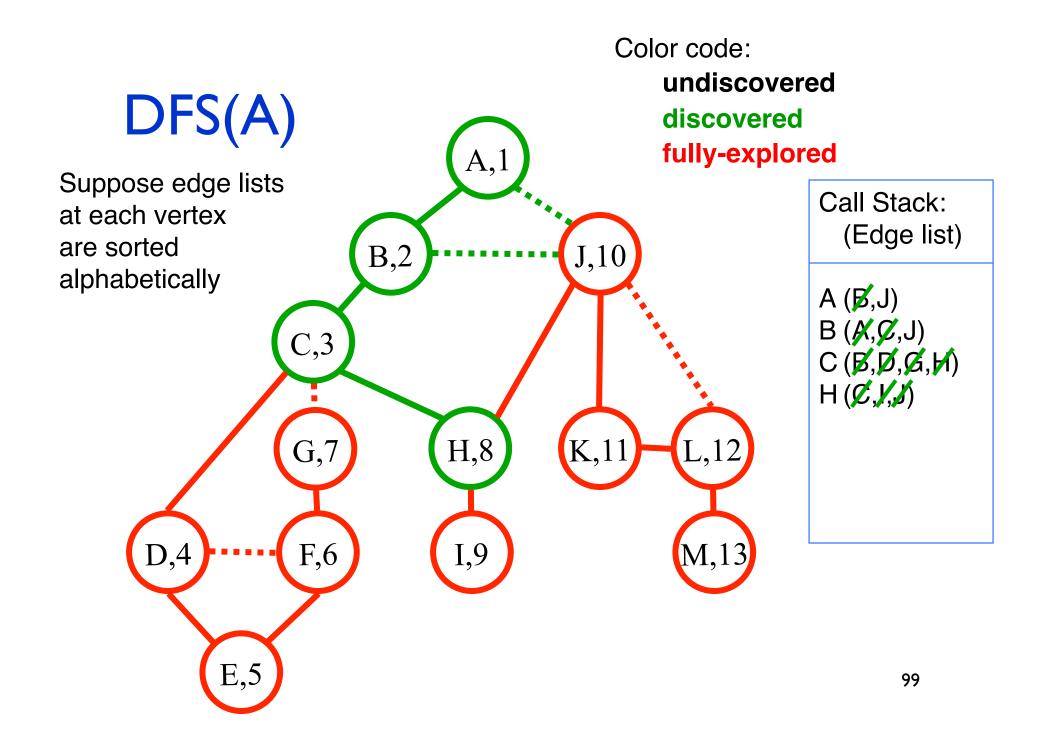


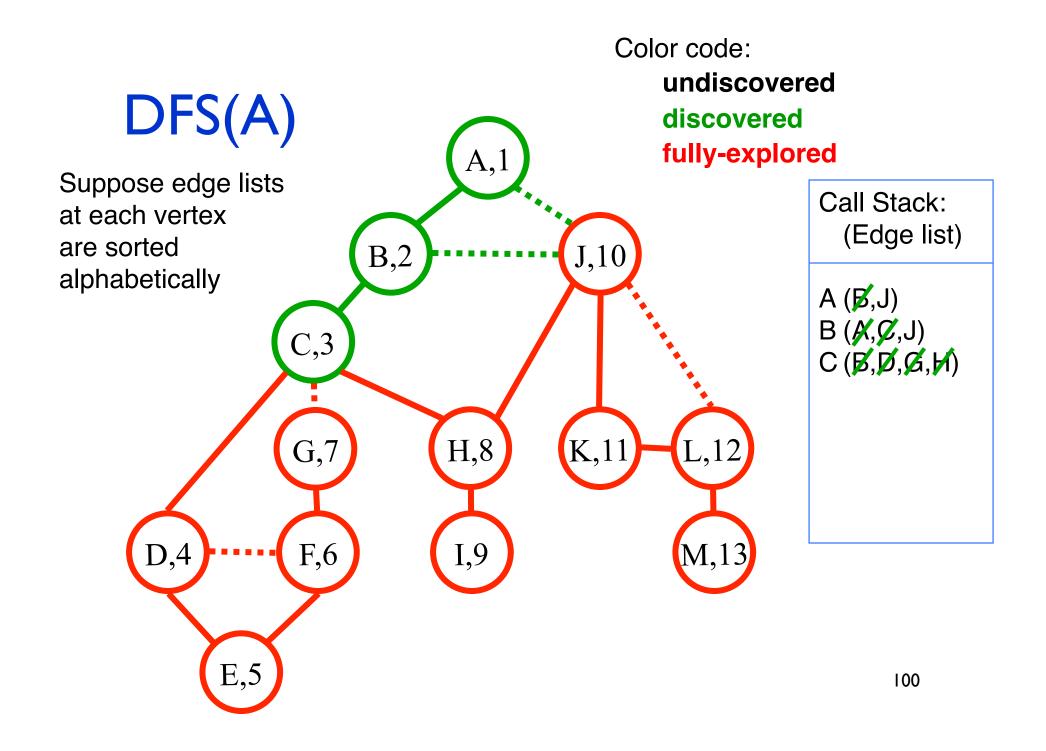


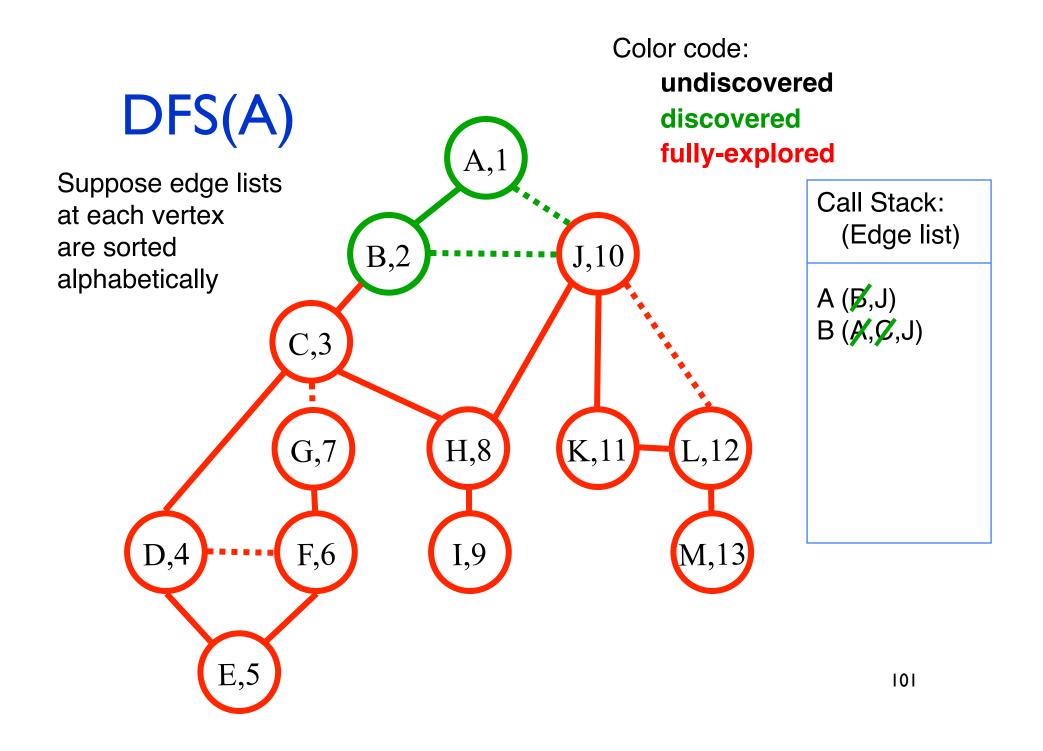


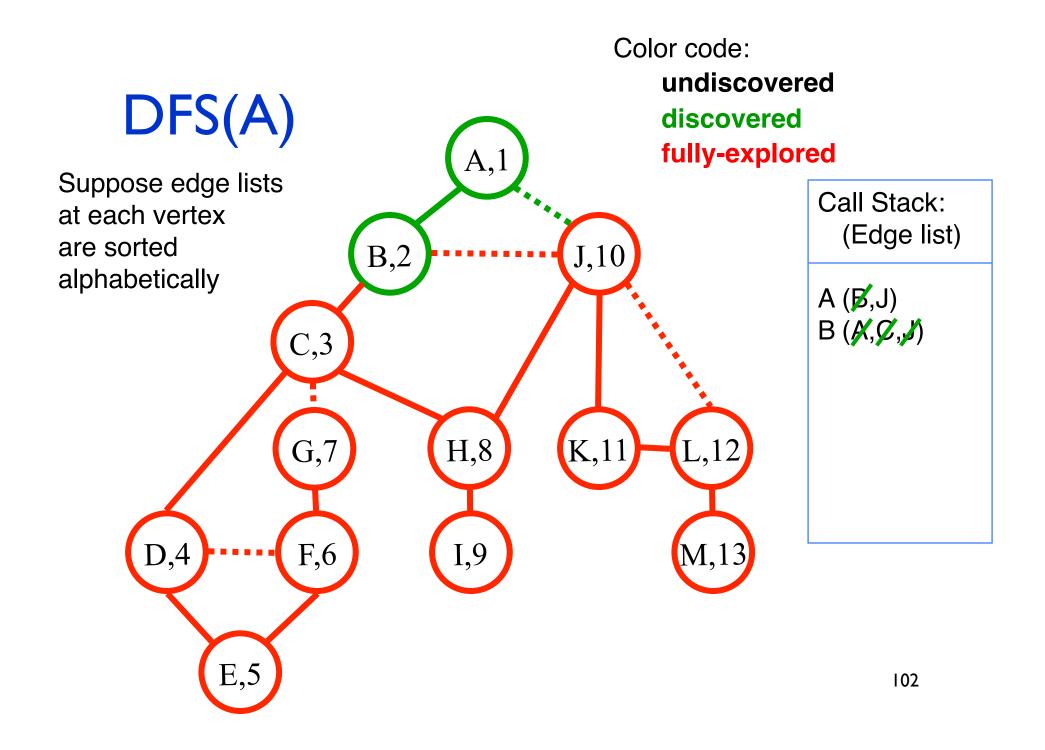


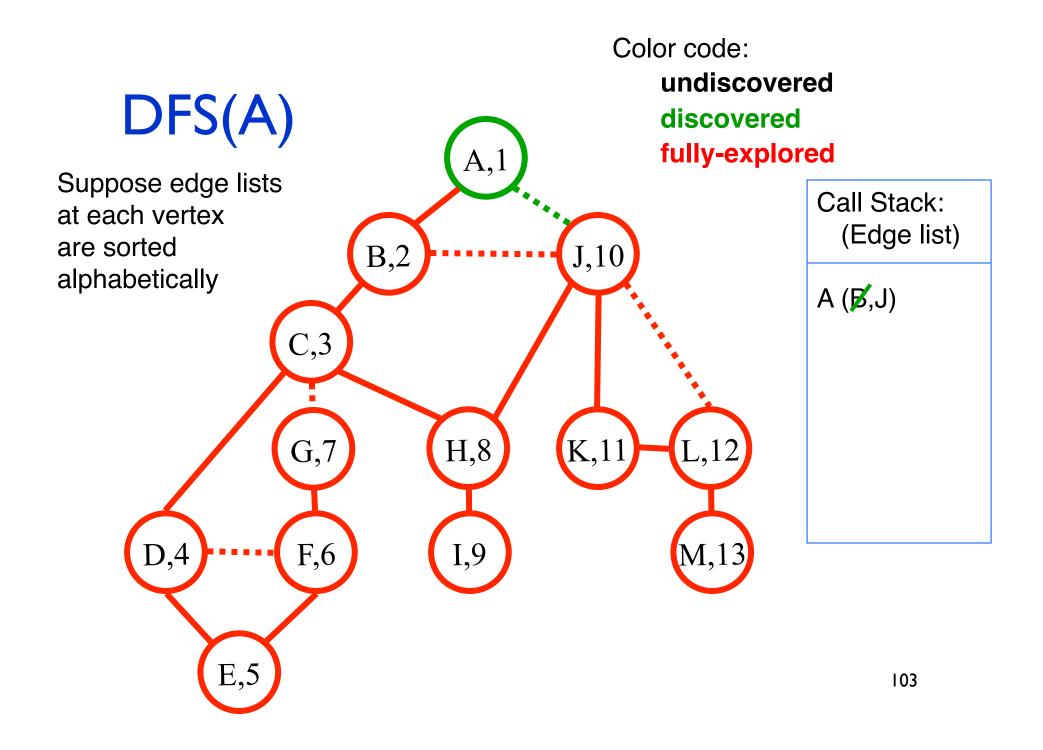


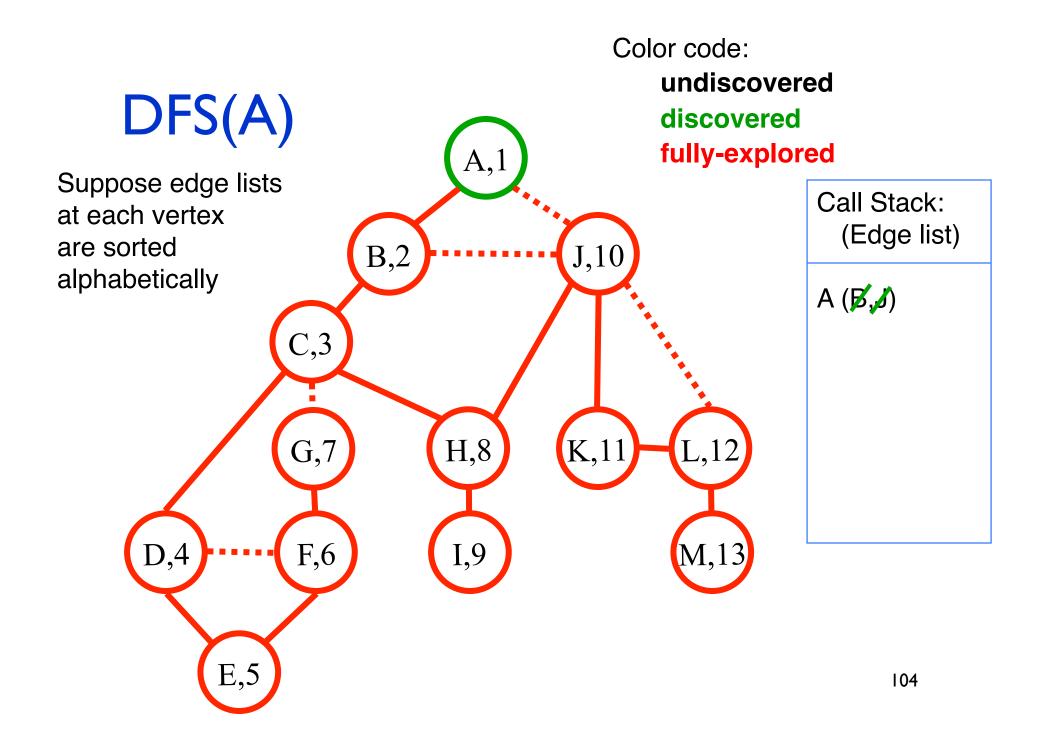


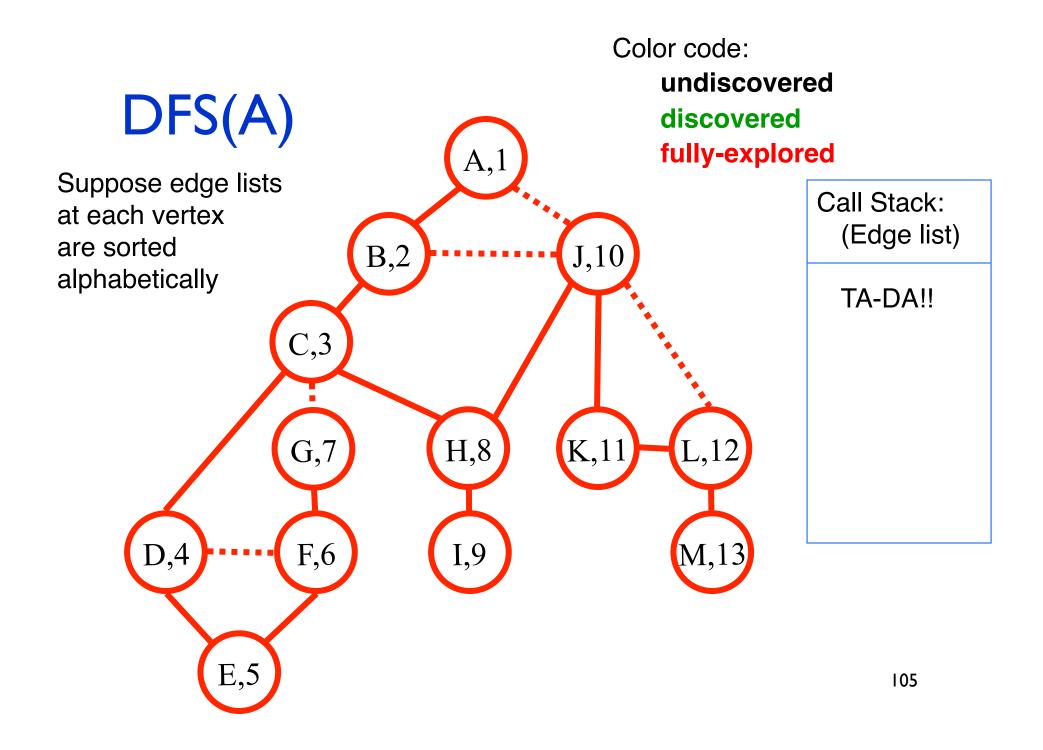


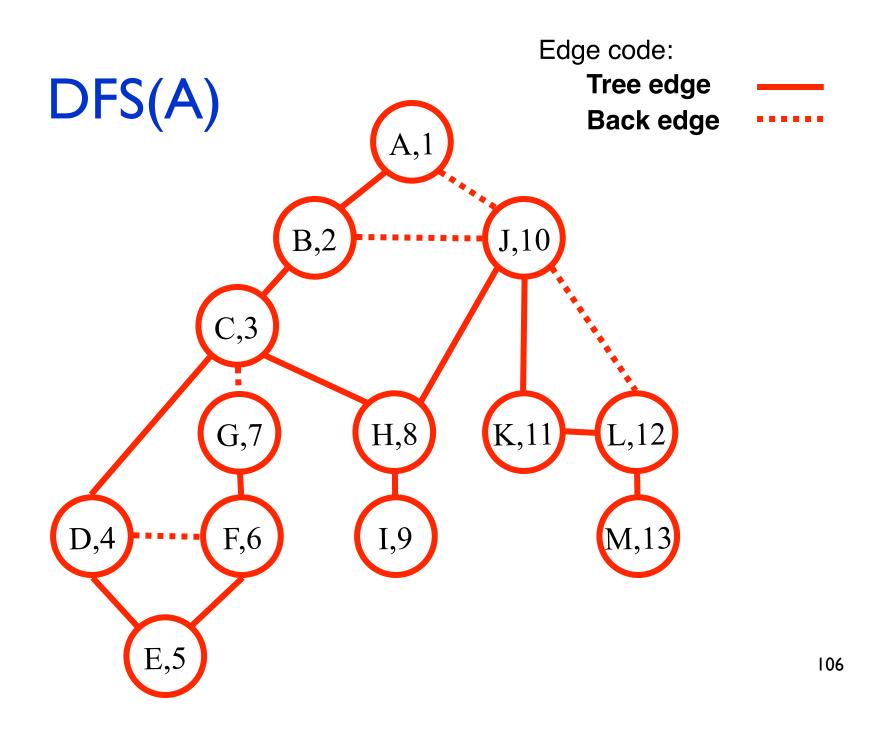


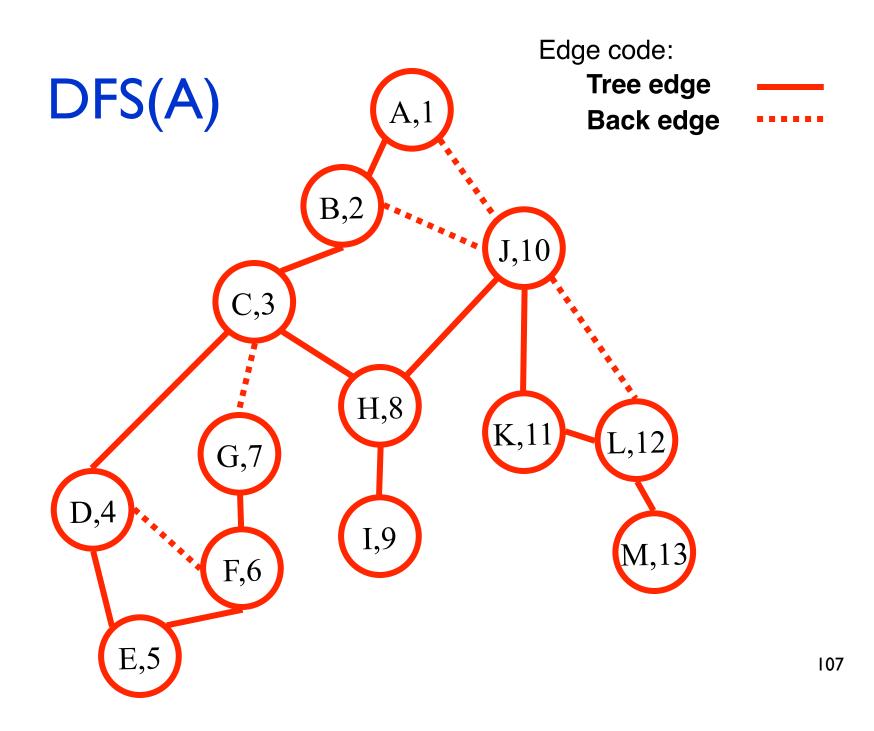


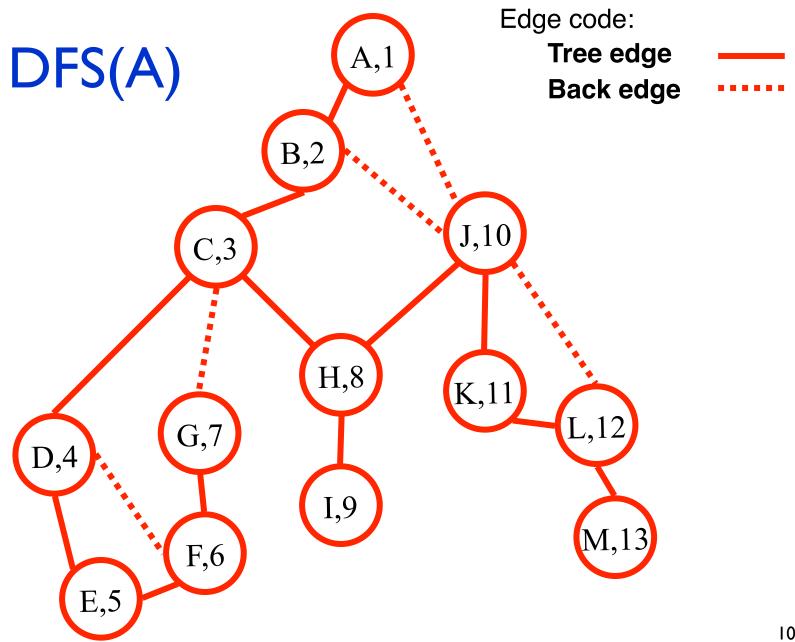


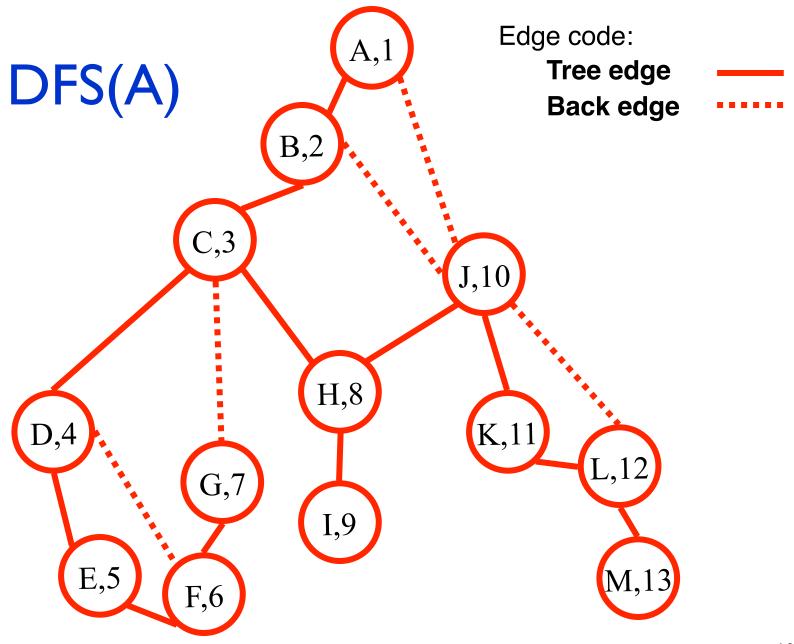


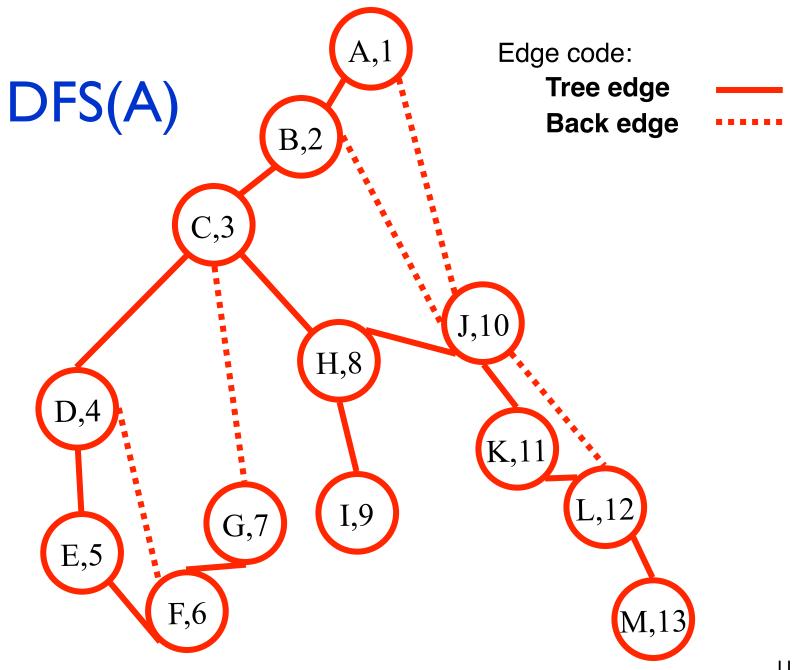


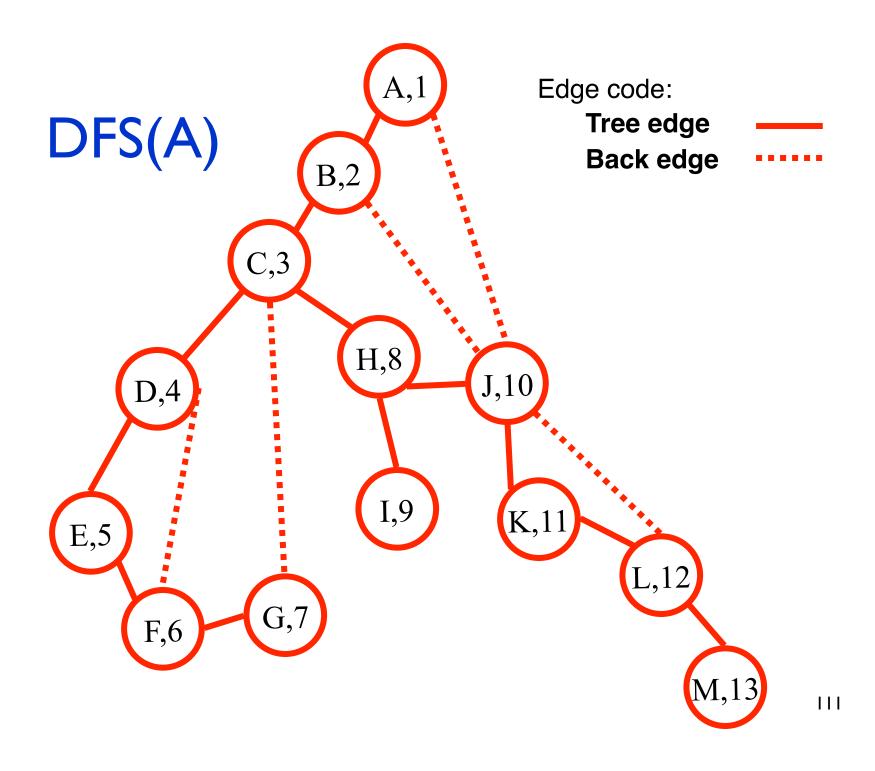


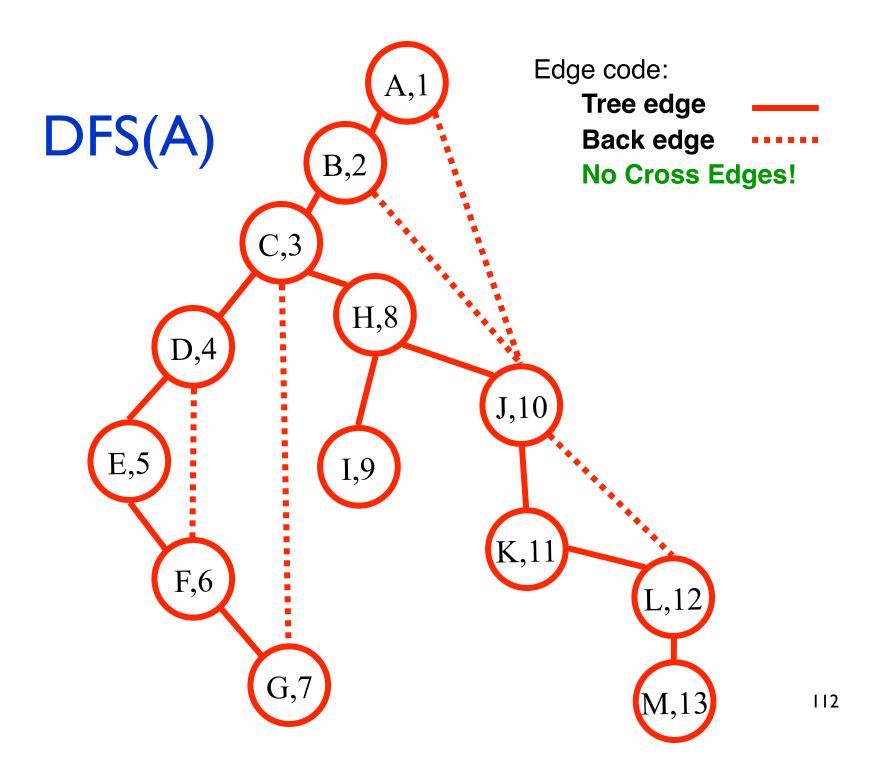












Properties of (Undirected) DFS(v)

Like BFS(v):

DFS(v) visits x if and only if there is a path in G from v to x (through previously unvisited vertices)

Edges into then-undiscovered vertices define a **tree** – the "depth first spanning tree" of G

Unlike the BFS tree:

the DF spanning tree isn't minimum depth

its levels don't reflect min distance from the root

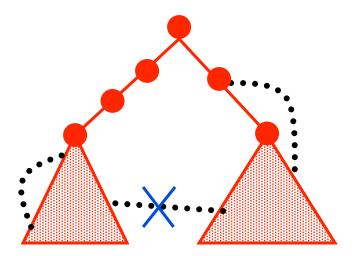
non-tree edges never join vertices on the same or adjacent levels

BUT...

Non-tree edges

All non-tree edges join a vertex and one of its descendents/ancestors in the DFS tree

No cross edges!



Why fuss about trees (again)?

As with BFS, DFS has found a tree in the graph s.t. non-tree edges are "simple" – only descendant/ancestor

A simple problem on trees

Given: tree T, a value L(v) defined for every vertex v in T Goal: find M(v), the min value of L(v) anywhere in the subtree rooted at v (including v itself). How? Depth first search, using:

$$M(v) = \begin{cases} L(v) & \text{if } v \text{ is a leaf} \\ \min(L(v), \min_{w \text{ a child of } v} M(w)) & \text{otherwise} \end{cases}$$

Application: Articulation Points

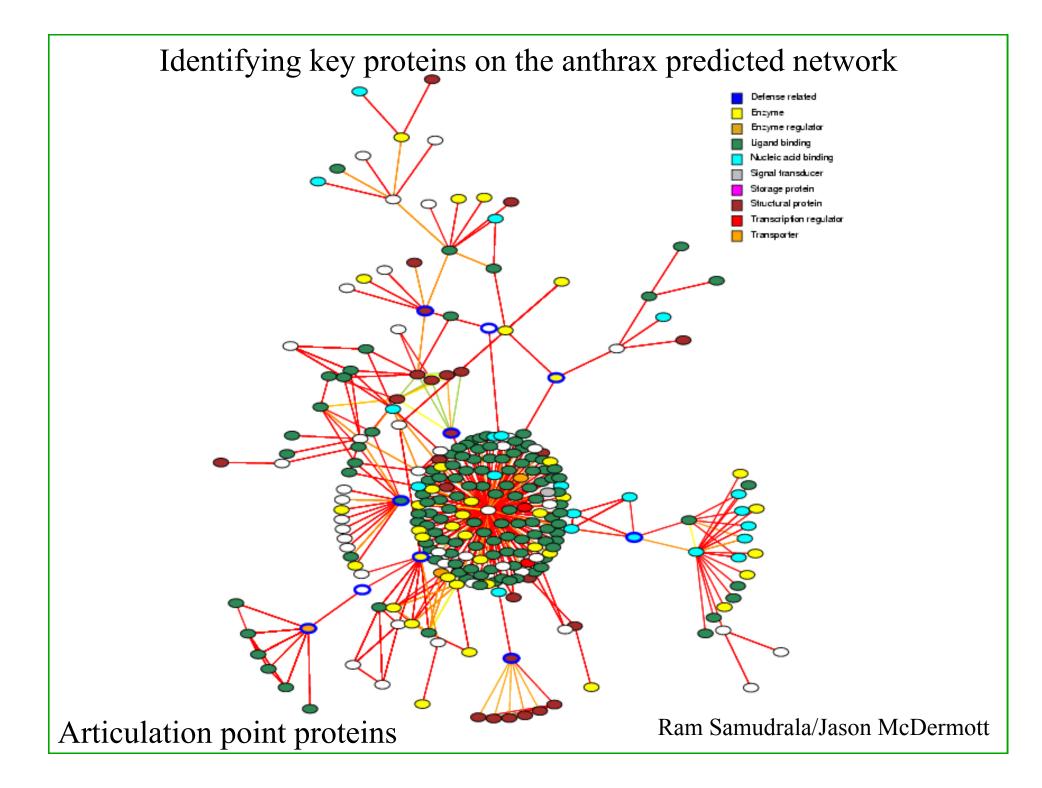
A node in an undirected graph is an *articulation point* iff removing it disconnects the graph (or, more generally, increases the number of connected components)

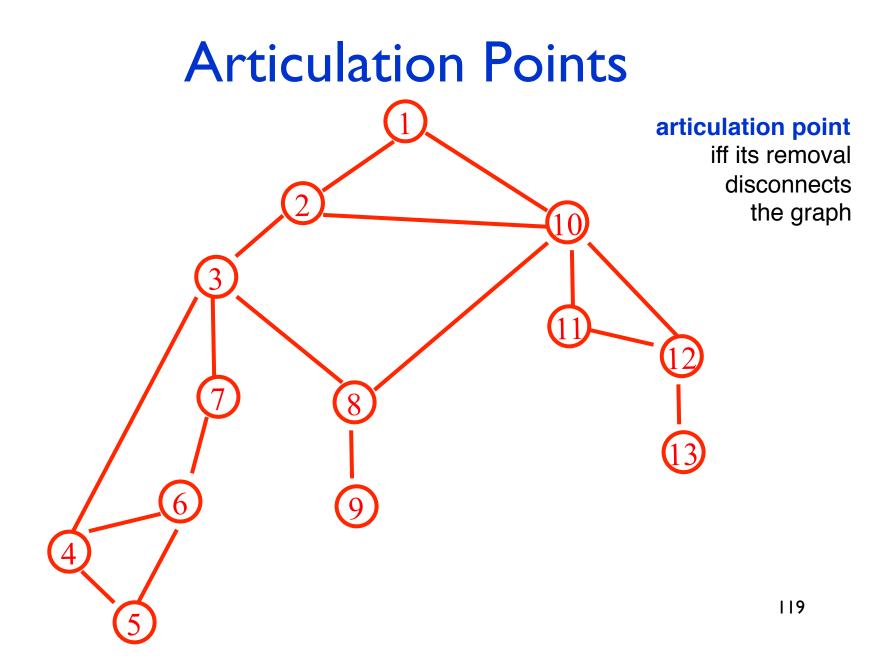
Articulation points represent, e.g.:

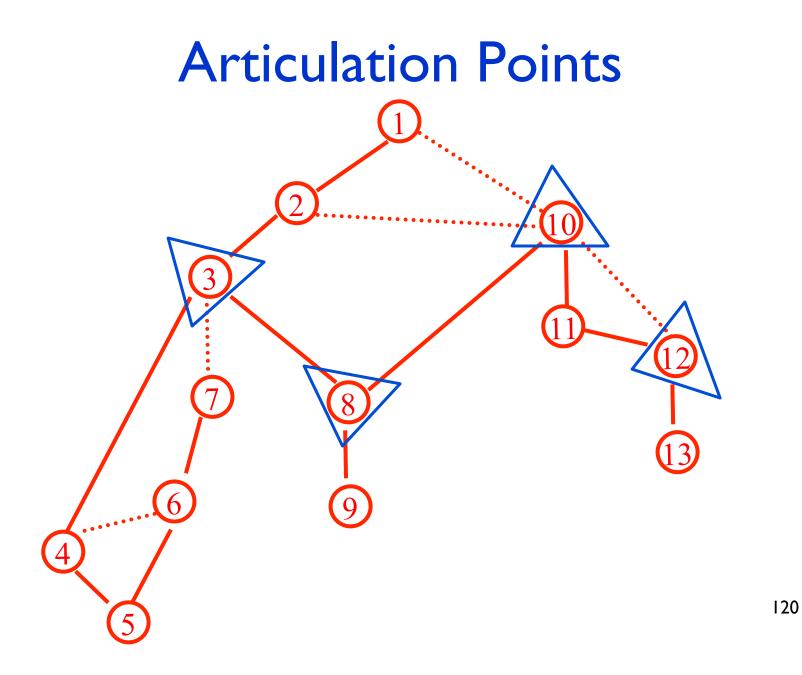
vulnerabilities in a network – single points whose failure would split the network into 2 or more disconnected components

bottlenecks to information flow in a network

Articulation







Simple Case: Artic. Pts in a tree

Leaves – never articulation points Internal nodes – always articulation points Root – articulation point if and only if two or more children

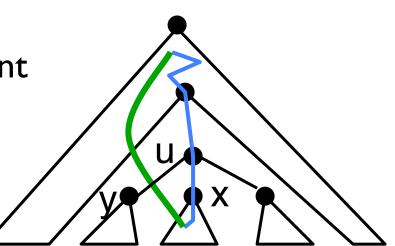
Non-tree: extra edges remove some articulation points (which ones?)

Articulation Points from DFS

Root node is an articulation point iff it has more than one child

Leaf is never an articulation point

Non-leaf, non-root node u is an articulation point



I some child y of u s.t. no non-tree edge goes above u from y or below

If u's removal does NOT separate x, there must be an <u>exit</u> from x's subtree. How? Via back edge.

LOW(v) = higher vs subtree Articulation Points: exit from vs subtree the "LOW" function

Definition: LOW(v) is the lowest dfs# of any vertex that is either in the dfs subtree rooted at v (including v itself) or *directly* connected to a vertex in that subtree by *one* back edge.

Key idea 1: if some child x of v has $LOW(x) \ge dfs\#(v)$ then v is an articulation point (excl. root) Key idea 2: $LOW(v) = min (\{dfs\#(v)\} \cup \{LOW(w) \mid w \text{ a child of } v \} \cup \{dfs\#(x) \mid \{v,x\} \text{ is a back edge from } v \})$

trivial

DFS(v) for Finding Articulation Points

Global initialization: dfscounter = 0; v.dfs# = -1 for all v. DFS(v) v.dfs # = dfscounter++// initialization $v_{low} = v_{dfs} #$ for each edge {v,x} // x is undiscovered if (x.dfs # == -1)DFS(x) v.low = min(v.low, x.low)if $(x.low \ge v.dfs#)$ print "v is art. pt., separating x" else if (x is not v's parent)

v.low = min(v.low, x.dfs#)

Equiv: "if({v,x} is a back edge)" Why?

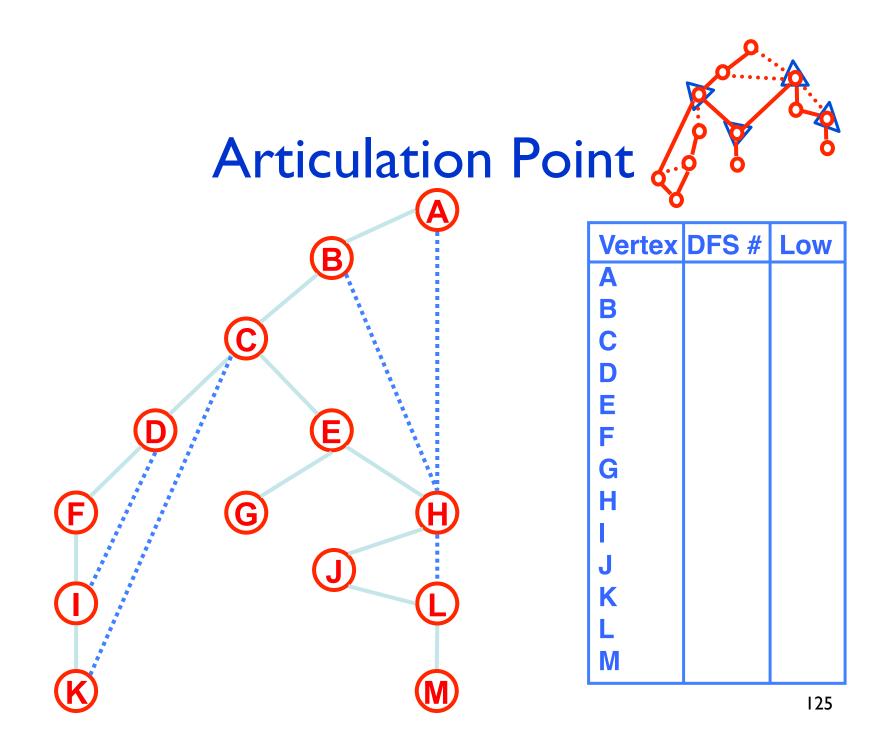
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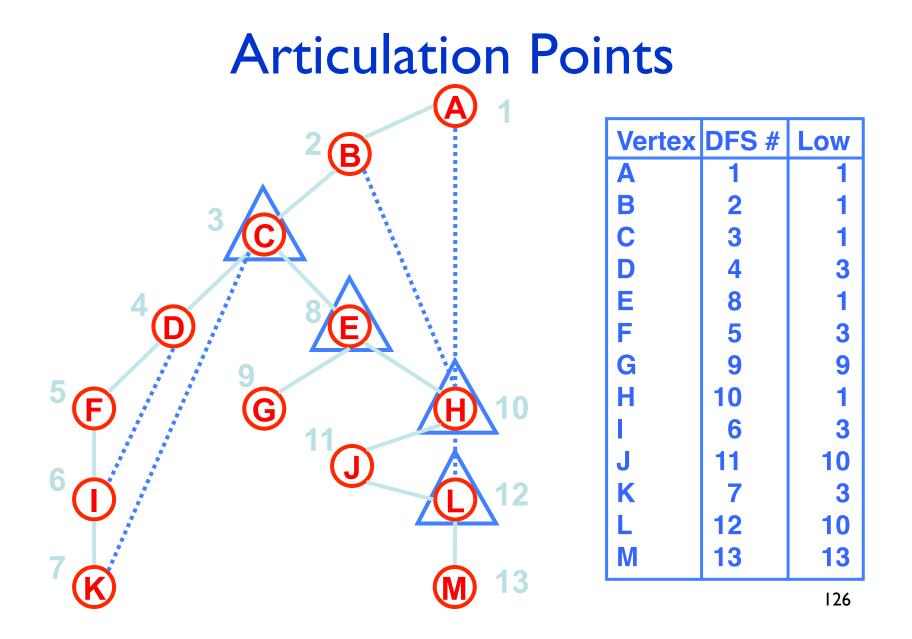
xcept

đ

root.

What if G is not connected?





Summary

Graphs – abstract relationships among pairs of objects Terminology – node/vertex/vertices, edges, paths, multiedges, self-loops, connected

Representation – edge list, adjacency matrix

Nodes vs Edges – $m = O(n^2)$, often less (sparse/dense)

BFS – Layers, queue, shortest paths, all edges go to same or adjacent layer, tree, global analysis of nested loops

DFS – recursion/stack; all edges ancestor/descendant

Algorithms – connected components, shortest path, bipartiteness, topological sort, articulation points