

# CSE 417

## Algorithms and Complexity

Winter 2023  
Lecture 25  
NP-Completeness, Part III

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## Announcements


- Homework 9
- Exam practice problems on course homepage
- Final Exam: Monday, March 13, 8:30 AM

Fri, March 3	NP-Completeness: Overview, Definitions
Mon, March 6	NP-Completeness: Reductions
Wed, March 8	NP-Completeness: Problem Survey
Fri, March 10	Theory and Beyond NP-Completeness
Mon, March 13	Final Exam


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## NP Completeness: The story so far

Circuit Satisfiability is NP-Complete



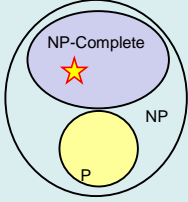
There are a whole bunch of other important problems which are NP-Complete



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## Cook's Theorem

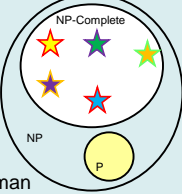
- Definition:
  - X is NP-Complete if:
    - X is in NP
    - For all Z in NP:  $Z \leq_p X$
- There is an NP Complete problem
  - The Circuit Satisfiability Problem



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## Populating the NP-Completeness Universe

- Circuit Sat  $\leq_p$  3-SAT
- 3-SAT  $\leq_p$  Independent Set
- 3-SAT  $\leq_p$  Vertex Cover
- Independent Set  $\leq_p$  Clique
- 3-SAT  $\leq_p$  Hamiltonian Circuit
- Hamiltonian Circuit  $\leq_p$  Traveling Salesman
- 3-SAT  $\leq_p$  Integer Linear Programming
- 3-SAT  $\leq_p$  Graph Coloring
- 3-SAT  $\leq_p$  3 Dimensional Matching
- 3-SAT  $\leq_p$  Subset Sum
- Subset Sum  $\leq_p$  Scheduling with Release times and deadlines



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## Satisfiability

Literal: A Boolean variable or its negation.  $x_i$  or  $\bar{x}_i$

Clause: A disjunction of literals.  $C_j = x_1 \vee \bar{x}_2 \vee x_3$

Conjunctive normal form: A propositional formula  $\Phi$  that is the conjunction of clauses.  $\Phi = C_1 \wedge C_2 \wedge C_3 \wedge C_4$

SAT: Given CNF formula  $\Phi$ , does it have a satisfying truth assignment?

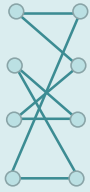
3-SAT: SAT where each clause contains exactly 3 literals.

Ex:  $(\bar{x}_1 \vee x_2 \vee x_3) \wedge (x_1 \vee \bar{x}_2 \vee x_3) \wedge (x_2 \vee x_3) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_3)$

Yes:  $x_1 = \text{true}, x_2 = \text{true}, x_3 = \text{false}$ .

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## Matching

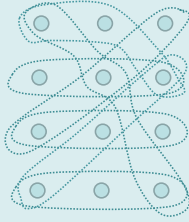


Two dimensional matching

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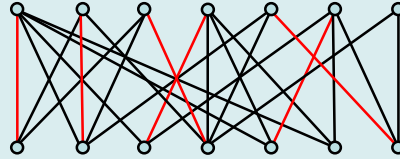
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Three dimensional matching (3DM)

## Augmenting Path Algorithm for Matching



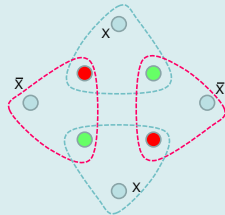
Find augmenting path in  $O(m)$  time  
 $n$  phases of augmentation  
 $O(nm)$  time algorithm for matching

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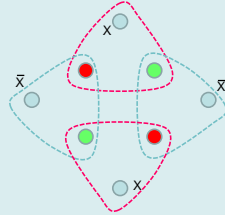
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## 3-SAT $\leq_p$ 3DM



X True



X False

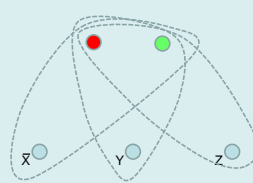
Truth Setting Gadget

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## 3-SAT $\leq_p$ 3DM

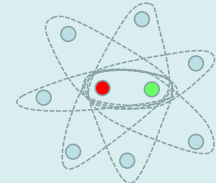


Clause gadget for  $(\bar{X} \text{ OR } Y \text{ OR } Z)$

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Garbage Collection Gadget  
(Many copies)

## Exact Cover (sets of size 3) XC3

Given a collection of sets of size 3 of a domain of size  $3N$ , is there a sub-collection of  $N$  sets that cover the sets

(A, B, C), (D, E, F), (A, B, G),  
 (A, C, I), (B, E, G), (A, G, I),  
 (B, D, F), (C, E, I), (C, D, H),  
 (D, G, I), (D, F, H), (E, H, I),  
 (F, G, H), (F, H, I)

3DM  $\leq_p$  XC3

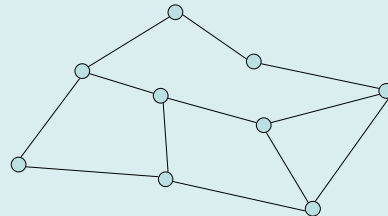
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## Graph Coloring

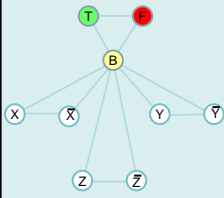
- NP-Complete
  - Graph K-coloring
  - Graph 3-coloring
- Polynomial
  - Graph 2-coloring



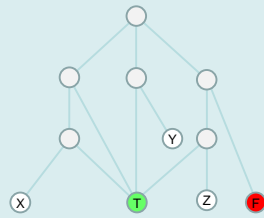
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## 3-SAT $\leq_P$ 3 Colorability



Truth Setting Gadget



Clause Testing Gadget

(Can be colored if at least one input is T)

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## Number Problems

- Subset sum problem
  - Given natural numbers  $w_1, \dots, w_n$  and a target number  $W$ , is there a subset that adds up to exactly  $W$ ?
- Subset sum problem is NP-Complete
- Subset Sum problem can be solved in  $O(nW)$  time

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## XC3 $\leq_P$ SUBSET SUM

Idea: Represent each set as a large integer, where the element  $x_i$  is encoded as  $D^i$  where  $D$  is an integer

$$\{x_3, x_5, x_9\} \Rightarrow D^3 + D^5 + D^9$$

Does there exist a subset that sums to exactly  $D^1 + D^2 + D^3 + \dots + D^{n-1} + D^n$

Detail: How large is  $D$ ? We need to make sure that we do not have any carries, so we can choose  $D = m+1$ , where  $m$  is the number of sets.

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## Integer Linear Programming

- Linear Programming – maximize a linear function subject to linear constraints
- Integer Linear Programming – require an integer solution
- NP Completeness reduction from 3-SAT

Use 0-1 variables for  $x_i$ 's

Constraint for clause:  $(x_1 \vee \bar{x}_2 \vee \bar{x}_3)$

$$x_1 + (1 - x_2) + (1 - x_3) > 0$$

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## Scheduling with release times and deadlines (RD-Sched)

- Tasks,  $\{t_1, t_2, \dots, t_n\}$
- Task  $t_j$  has a length  $l_j$ , release time  $r_j$  and deadline  $d_j$
- Once a task is started, it is worked on without interruption until it is completed
- Can all tasks be completed satisfying constraints?

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## Subset Sum $\leq_P$ RD-Sched

- Subset Sum Problem
  - $\{s_1, s_2, \dots, s_N\}$ , integer  $K_1$
  - Does there exist a subset that sums to  $K_1$ ?
  - Assume the total sums to  $K_2$

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## Reduction

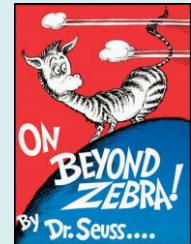
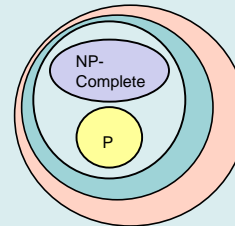
- Tasks  $\{t_1, t_2, \dots, t_N, x\}$
- $t_j$  has length  $s_j$ , release 0, deadline  $K_2 + 1$
- $x$  has length 1, release  $K_1$ , deadline  $K_1 + 1$

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## Friday: NP-Completeness and Beyond!



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