







# CSE 417 Algorithms and Complexity

Winter 2023
Lecture 25
NP-Completeness, Part III

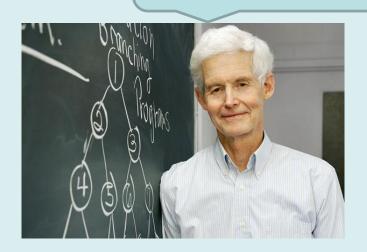
#### Announcements

- Homework 9
- Exam practice problems on course homepage
- Final Exam: Monday, March 13, 8:30 AM

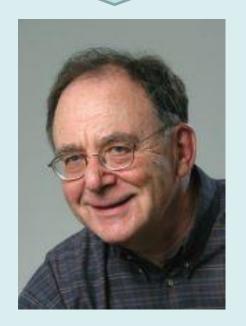
| Fri, March 3  | NP-Completeness: Overview, Definitions |
|---------------|--|
| Mon, March 6  | NP-Completeness: Reductions            |
| Wed, March 8  | NP-Completeness: Problem Survey        |
| Fri, March 10 | Theory and Beyond NP-Completeness      |
| Mon, March 13 | Final Exam                             |

# NP Completeness: The story so far

Circuit Satisfiability is NP-Complete

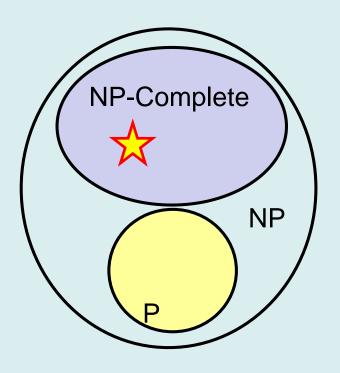


There are a whole bunch of other important problems which are NP-Complete



#### Cook's Theorem

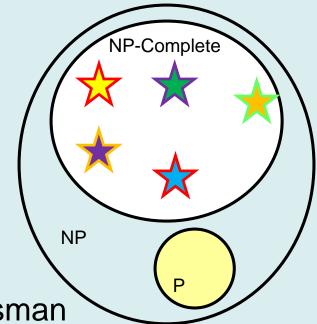
- Definition:
  - X is NP-Complete if:
    - X is in NP
    - For all Z in NP:  $Z <_P X$
- There is an NP Complete problem
  - The Circuit Satisfiability
     Problem



# Populating the NP-Completeness

Universe

- Circuit Sat
- 3-SAT <<sub>P</sub> Independent Set
- 3-SAT <<sub>P</sub> Vertex Cover
- Independent Set <<sub>P</sub> Clique
- 3-SAT <<sub>P</sub> Hamiltonian Circuit
- Hamiltonian Circuit <<sub>P</sub> Traveling Salesman
- 3-SAT <<sub>P</sub> Integer Linear Programming
- 3-SAT <<sub>P</sub> Graph Coloring
- 3-SAT <<sub>P</sub> 3 Dimensional Matching
- 3-SAT <<sub>P</sub> Subset Sum
- Subset Sum <<sub>P</sub> Scheduling with Release times and deadlines



### Satisfiability

Literal: A Boolean variable or its negation.

$$x_i$$
 or  $\overline{x_i}$ 

Clause: A disjunction of literals.

$$C_j = x_1 \vee \overline{x_2} \vee x_3$$

Conjunctive normal form: A propositional formula  $\Phi$  that is the conjunction of clauses.

$$\Phi = C_1 \wedge C_2 \wedge C_3 \wedge C_4$$

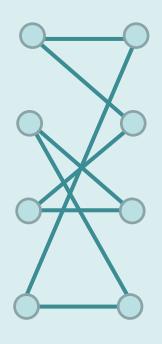
SAT: Given CNF formula  $\Phi$ , does it have a satisfying truth assignment?

3-SAT: SAT where each clause contains exactly 3 literals.

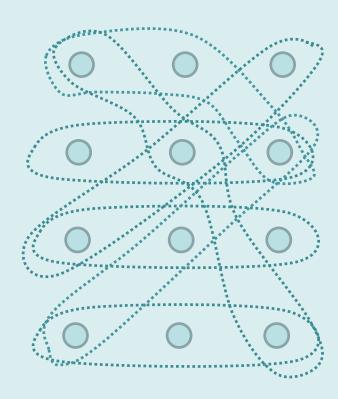
Ex: 
$$(\overline{x_1} \lor x_2 \lor x_3) \land (x_1 \lor \overline{x_2} \lor x_3) \land (x_2 \lor x_3) \land (\overline{x_1} \lor \overline{x_2} \lor \overline{x_3})$$

Yes:  $x_1 = \text{true}, x_2 = \text{true } x_3 = \text{false}.$ 

## Matching

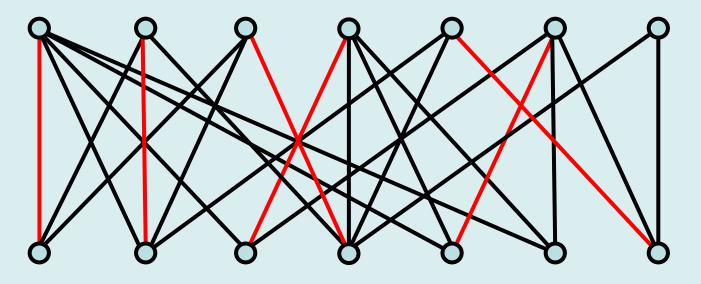


Two dimensional matching



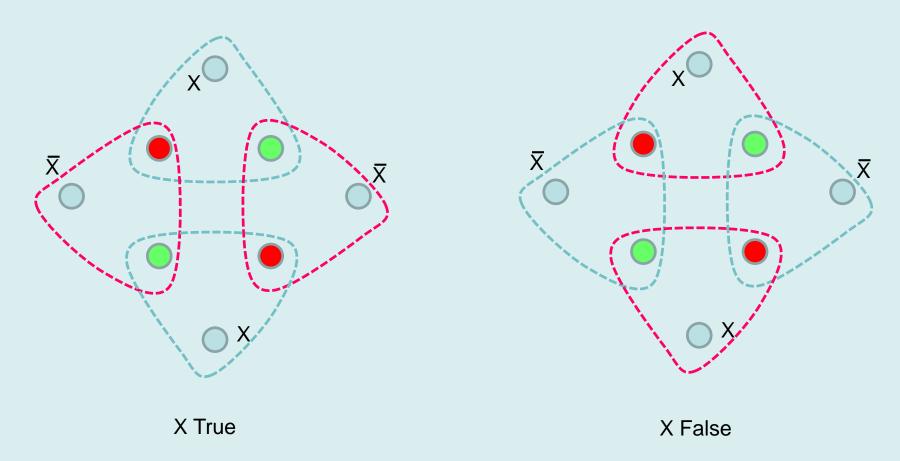
Three dimensional matching (3DM)

# Augmenting Path Algorithm for Matching



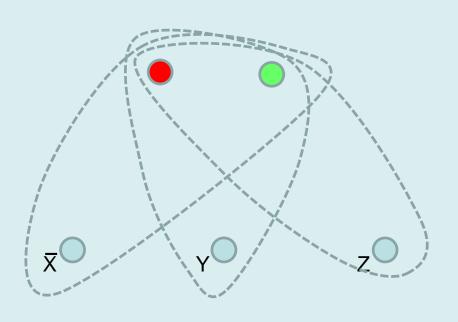
Find augmenting path in O(m) time n phases of augmentation O(nm) time algorithm for matching

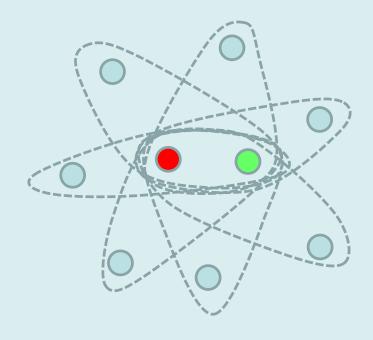
# $3-SAT <_P 3DM$



Truth Setting Gadget

# $3-SAT <_P 3DM$





Clause gadget for  $(\overline{X} \text{ OR Y OR } Z)$ 

Garbage Collection Gadget (Many copies)

#### Exact Cover (sets of size 3) XC3

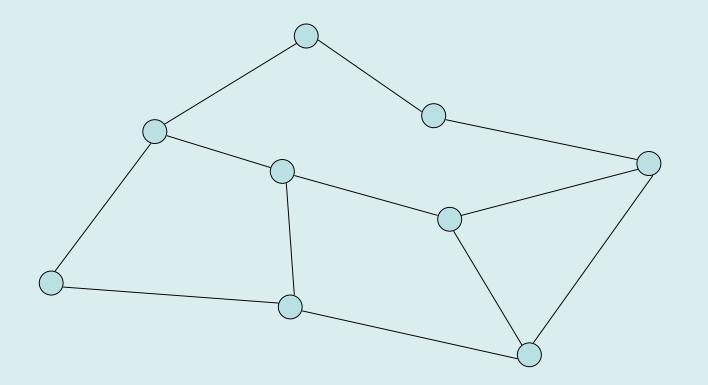
Given a collection of sets of size 3 of a domain of size 3N, is there a sub-collection of N sets that cover the sets

$$3DM <_P XC3$$

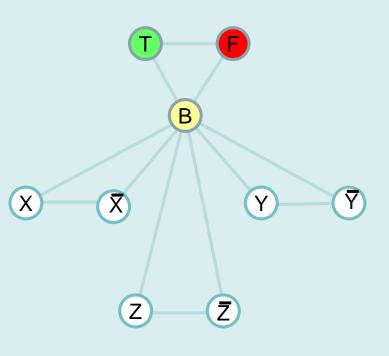
## **Graph Coloring**

- NP-Complete
  - Graph K-coloring
  - Graph 3-coloring

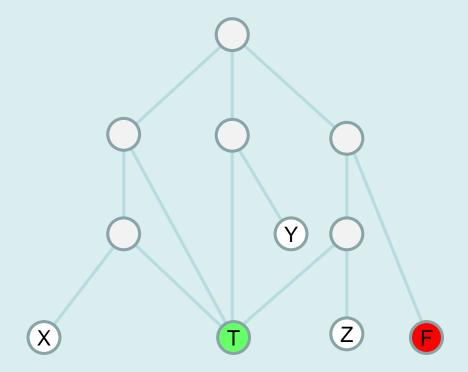
- Polynomial
  - Graph 2-Coloring



### 3-SAT <<sub>P</sub> 3 Colorability



**Truth Setting Gadget** 



Clause Testing Gadget

(Can be colored if at least one input is T)

#### Number Problems

- Subset sum problem
  - Given natural numbers w<sub>1</sub>,..., w<sub>n</sub> and a target number W, is there a subset that adds up to exactly W?

- Subset sum problem is NP-Complete
- Subset Sum problem can be solved in O(nW) time

### XC3 <<sub>P</sub> SUBSET SUM

Idea: Represent each set as a large integer, where the element x<sub>i</sub> is encoded as D<sup>i</sup> where D is an integer

$$\{x_3, x_5, x_9\} => D^3 + D^5 + D^9$$

Does there exist a subset that sums to exactly  $D^1 + D^2 + D^3 + ... + D^{n-1} + D^n$ 

Detail: How large is D? We need to make sure that we do not have any carries, so we can choose D = m+1, where m is the number of sets.

### Integer Linear Programming

- Linear Programming maximize a linear function subject to linear constraints
- Integer Linear Programming require an integer solution
- NP Completeness reduction from 3-SAT

Use 0-1 variables for x<sub>i</sub>'s

Constraint for clause:  $(x_1 \lor \overline{x_2} \lor \overline{x_2})$ 

$$x_1 + (1 - x_2) + (1 - x_3) > 0$$

# Scheduling with release times and deadlines (RD-Sched)

- Tasks,  $\{t_1, t_2, \dots t_n\}$
- Task t<sub>j</sub> has a length l<sub>j</sub>, release time r<sub>j</sub> and deadline d<sub>i</sub>
- Once a task is started, it is worked on without interruption until it is completed
- Can all tasks be completed satisfying constraints?

## Subset Sum < P RD-Sched

- Subset Sum Problem
  - $-\{s_1, s_2, \ldots, s_N\}$ , integer  $K_1$
  - Does there exist a subset that sums to K₁?
  - Assume the total sums to K<sub>2</sub>

#### Reduction

- Tasks {t<sub>1</sub>, t<sub>2</sub>, . . . t<sub>N</sub>, x }
- t<sub>i</sub> has length s<sub>i</sub>, release 0, deadline K<sub>2</sub> + 1
- x has length 1, release K<sub>1</sub>, deadline K<sub>1</sub> + 1

# Friday: NP-Completeness and Beyond!

