

Lecture23



CSE 417 Algorithms and Complexity

Winter 2023

Lecture 23

NP-Completeness

Announcements

- Homework 9
- Exam practice problems on course homepage
- Final Exam: Monday, March 13, 8:30 AM

Fri, March 3	NP-Completeness: Overview, Definitions
Mon, March 6	NP-Completeness: Reductions
Wed, March 8	NP-Completeness: Problem Survey
Fri, March 10	Theory and Beyond NP-Completeness
Mon, March 13	Final Exam

Problem Reduction

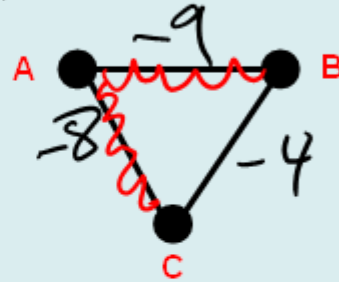
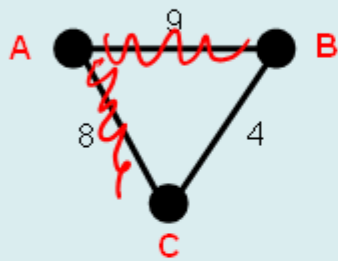
A \rightarrow B
steps

- Reduce Problem A to Problem B
 - Convert an instance of Problem A to an instance of Problem B
 - Use a solution of Problem B to get a solution to Problem A
- Practical
 - Use a program for Problem B to solve Problem A
- Theoretical
 - Show that Problem B is at least as hard as Problem A

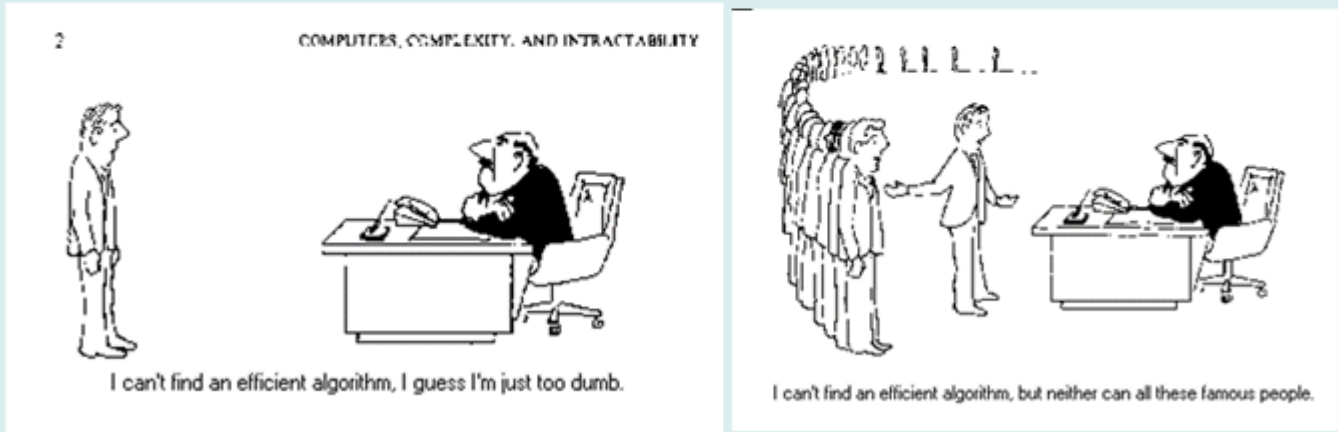
Problem Reduction

Maximum Spanning Trees

$\text{MAX SP} \Rightarrow \text{MIN SP}$



NP Completeness



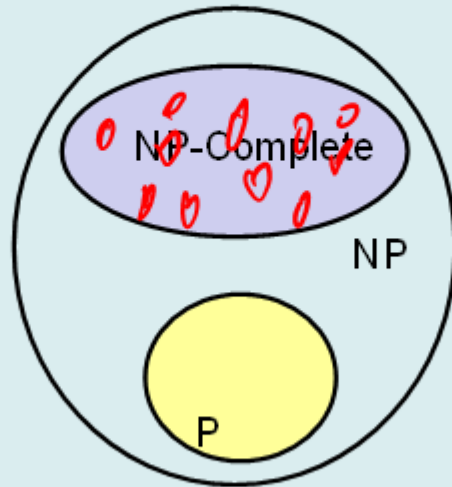
Algorithms vs. Lower bounds

- **Algorithmic Theory**
 - What we can compute
 - I can solve problem X with resources R
 - Proofs are almost always to give an algorithm that meets the resource bounds
- **Lower bounds**
 - How do we show that something can't be done?

Theory of NP Completeness

Most significant
mathematical
theory in C.S.

The Universe

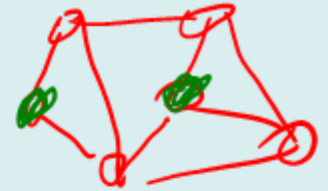


$O(n^3)$

Polynomial Time

- P: Class of problems that can be solved in polynomial time
 - Corresponds with problems that can be solved efficiently in practice
 - Right class to work with “theoretically”

Decision Problems



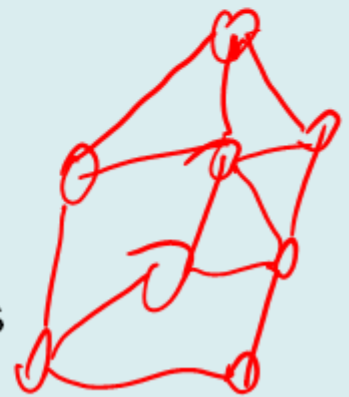
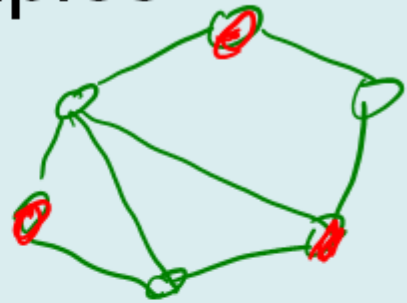
- Theory developed in terms of yes/no problems
 - Independent set
 - Given a graph G and an integer K , does G have an independent set of size at least K
 - Shortest Path
 - Given a graph G with edge lengths, a start vertex s , and end vertex t , and an integer K , does the graph have a path between s and t of length at most K

What is NP?

- Problems solvable in non-deterministic polynomial time
- Problems where “yes” instances have polynomial time checkable certificates

Certificate examples

- **Independent set of size K**
 - The Independent Set
- **Satisfiable formula**
 - Truth assignment to the variables
- **Hamiltonian Circuit Problem**
 - A cycle including all of the vertices
- **K -coloring a graph**
 - Assignment of colors to the vertices



Certifiers and Certificates: 3-Satisfiability

SAT: Does a given CNF formula have a satisfying formula

Certificate: An assignment of truth values to the n boolean variables

Certifier: Check that each clause has at least one true literal,

instance s

$$(\bar{x}_1 \vee x_2 \vee x_3) \wedge (x_1 \vee \bar{x}_2 \vee x_3) \wedge (x_1 \vee x_2 \vee x_4) \wedge (\bar{x}_1 \vee \bar{x}_3 \vee \bar{x}_4)$$

certificate t

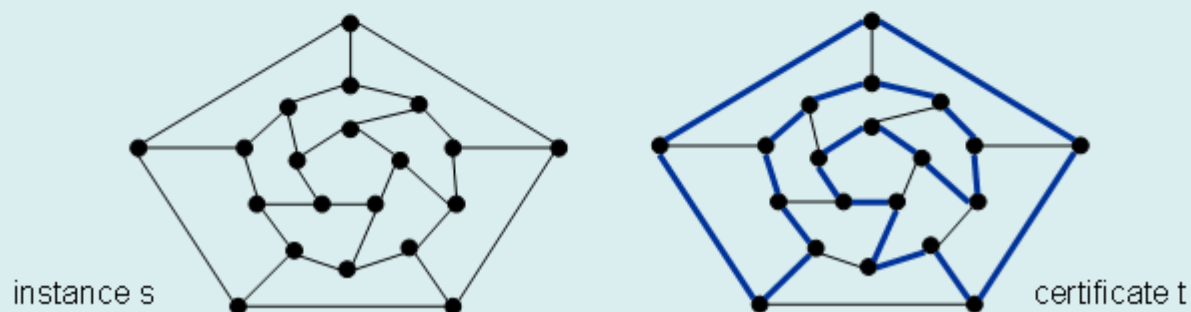
$$x_1 = 1, x_2 = 1, x_3 = 0, x_4 = 1$$

Certifiers and Certificates: Hamiltonian Cycle

HAM-CYCLE. Given an undirected graph $G = (V, E)$, does there exist a simple cycle C that visits every node?

Certificate. A permutation of the n nodes.

Certifier. Check that the permutation contains each node in V exactly once, and that there is an edge between each pair of adjacent nodes in the permutation.



Polynomial time reductions

- **Y is Polynomial Time Reducible to X**
 - Solve problem Y with a polynomial number of computation steps and a polynomial number of calls to a black box that solves X
 - Notations: $Y <_P X$
- Usually, this is converting an input of Y to an input for X, solving X, and then converting the answer back

Composability Lemma

- If $X <_p Y$ and $Y <_p Z$ then $X <_p Z$

Lemmas

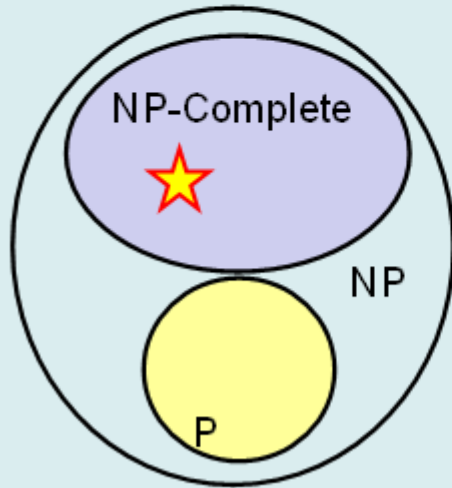
- Suppose $Y <_p X$. If X can be solved in polynomial time, then Y can be solved in polynomial time.
- Suppose $Y <_p X$. If Y cannot be solved in polynomial time, then X cannot be solved in polynomial time.

NP-Completeness

- A problem X is NP-complete if
 - X is in NP
 - For every Y in NP, $Y <_p X$
- X is a “hardest” problem in NP
- If X is NP-Complete, Z is in NP and $X <_p Z$
 - Then Z is NP-Complete

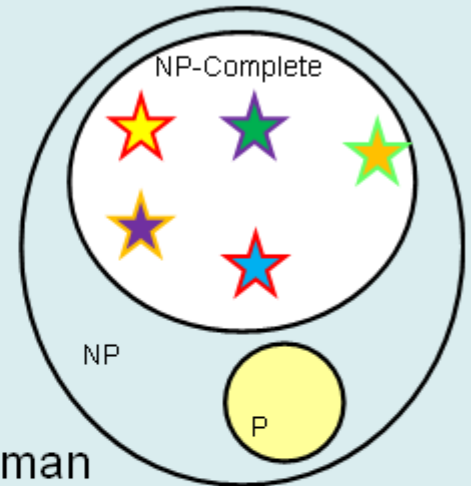
Cook's Theorem

- There is an NP Complete problem
 - The Circuit Satisfiability Problem



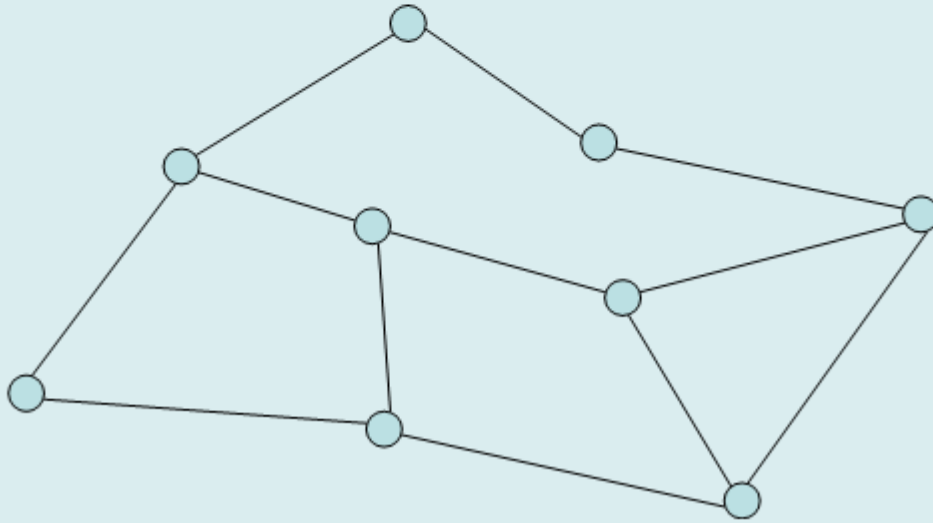
Populating the NP-Completeness Universe

- Circuit Sat \leq_P 3-SAT
- 3-SAT \leq_P Independent Set
- 3-SAT \leq_P Vertex Cover
- Independent Set \leq_P Clique
- 3-SAT \leq_P Hamiltonian Circuit
- Hamiltonian Circuit \leq_P Traveling Salesman
- 3-SAT \leq_P Integer Linear Programming
- 3-SAT \leq_P Graph Coloring
- 3-SAT \leq_P Subset Sum
- Subset Sum \leq_P Scheduling with Release times and deadlines



Graph Coloring

- NP-Complete
 - Graph 3-coloring
- Polynomial
 - Graph 2-Coloring

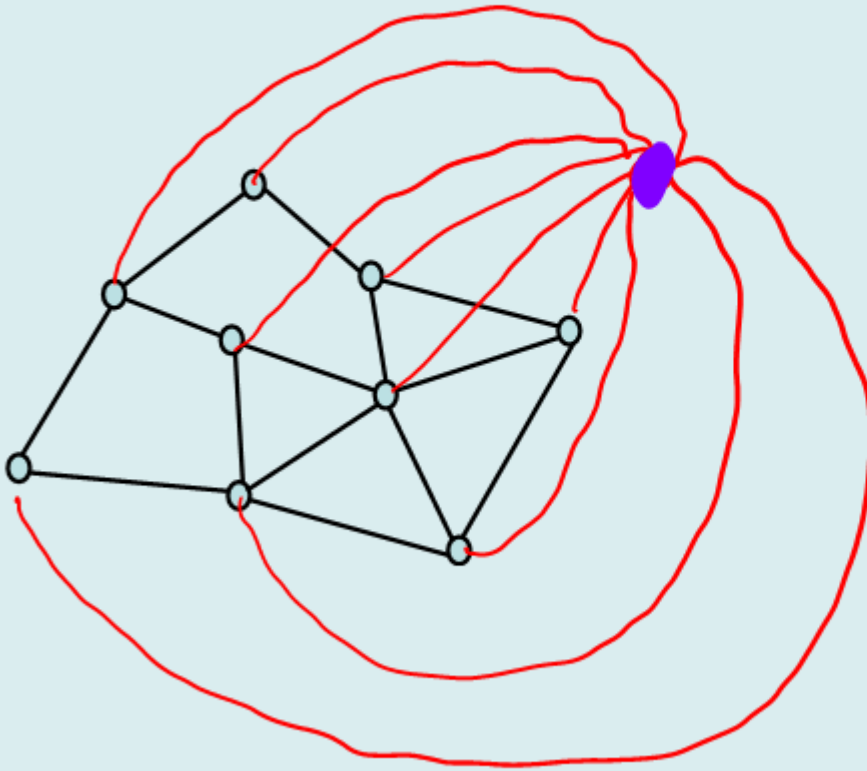


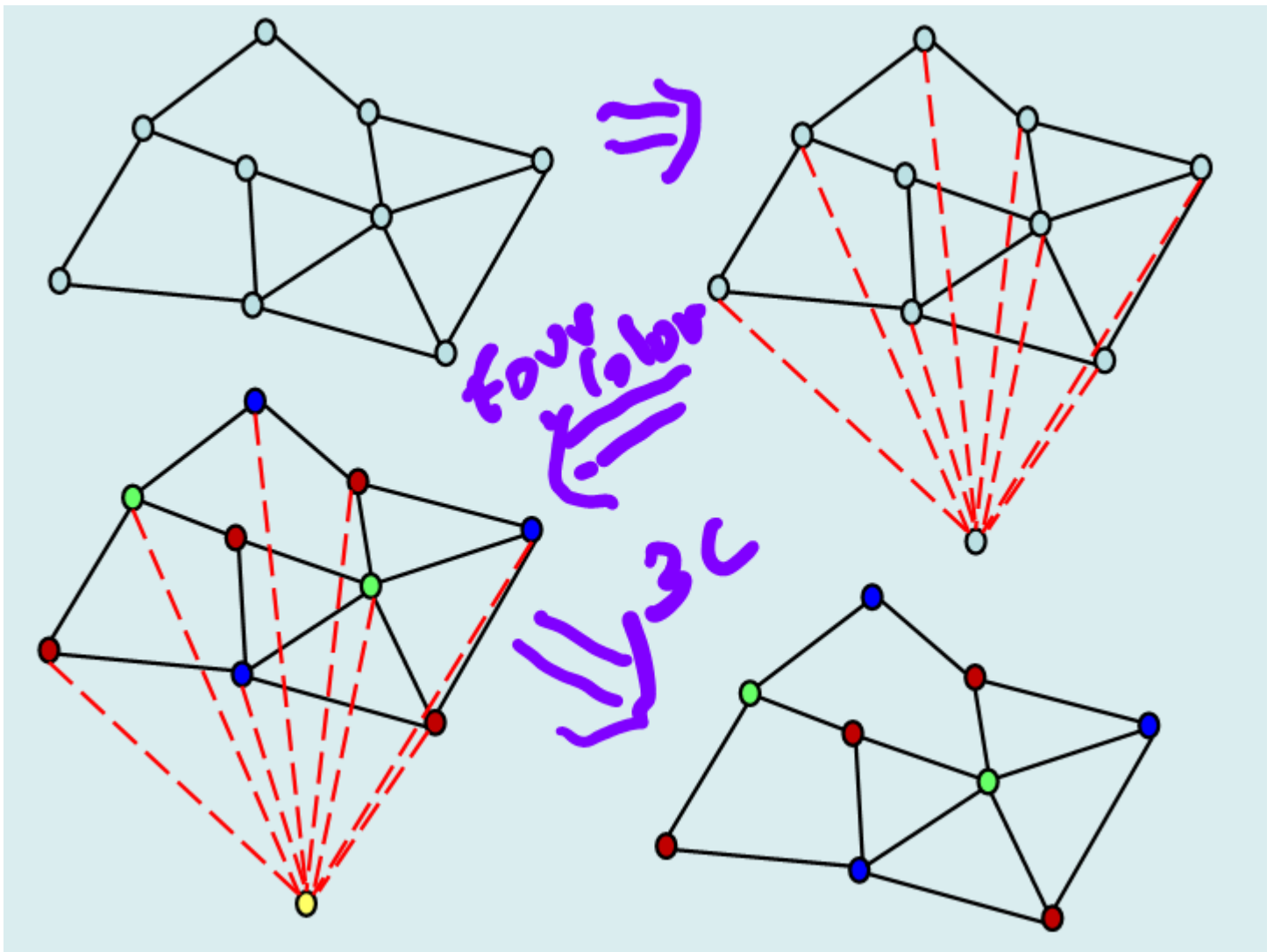
Graph 4-Coloring

- Given a graph G , can G be colored with 4 colors?
- Prove 4-Coloring is NP Complete
- Proof: 3-Coloring $<_p$ 4-Coloring
- Show that you can 3-Color a graph if you have an algorithm to 4-Color a graph

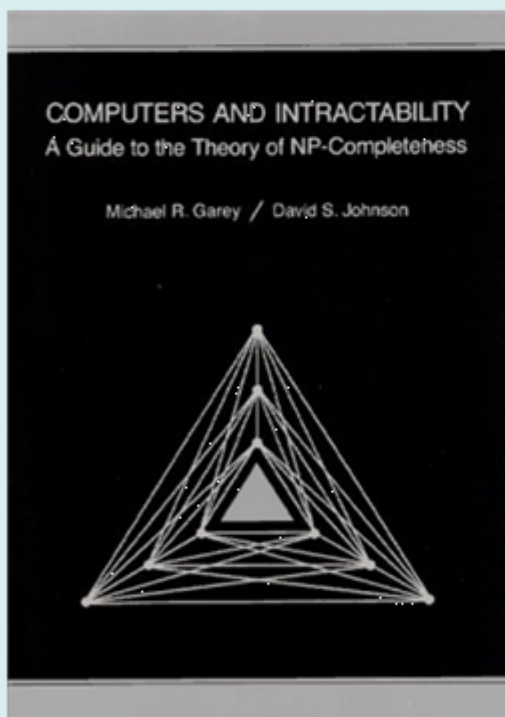
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3-Coloring \leq_P 4-Coloring





Garey and Johnson



P vs. NP Question

$P = NP$ or $P \neq NP$

