

# CSE 417

## Algorithms and Complexity

Winter 2023  
Lecture 15  
Divide and Conquer and Recurrences

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## Announcements

- Homework 6, Due Friday, Feb 17

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## Divide and Conquer

- Recurrences, Sections 5.1 and 5.2
- Algorithms
  - Median (Selection)
  - Fast Matrix Multiplication
  - Counting Inversions (5.3)
  - Multiplication (5.5)

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## Divide and Conquer : Merge Sort

```
Array Mergesort(Array a){  
    n = a.Length;  
    if (n <= 1)  
        return a;  
    b = Mergesort(a[0 .. n/2]);  
    c = Mergesort(a[n/2+1 .. n-1]);  
    return Merge(b, c);  
}
```

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## Algorithm Analysis

- Cost of Merge
- Cost of Mergesort

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$$T(n) = 2T(n/2) + cn; T(1) = c;$$

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## Recurrence Analysis

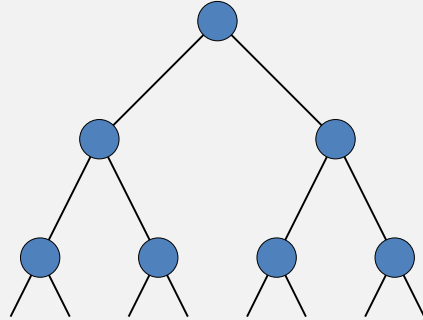
- Solution methods
  - Unrolling recurrence
  - Guess and verify
  - Plugging in to a “Master Theorem”

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## Unrolling the recurrence



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$$T(n) = 2T(n/2) + n; T(1) = 1;$$

## Substitution

Prove  $T(n) \leq n(\log_2 n + 1)$  for  $n \geq 1$

Induction:

Base Case:

Induction Hypothesis:

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## A better mergesort (?)

- Divide into 3 subarrays and recursively sort
- Apply 3-way merge

What is the recurrence?

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Unroll recurrence for  $T(n) = 3T(n/3) + n$

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$$T(n) = aT(n/b) + f(n)$$

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$$T(n) = T(n/2) + cn$$

Where does this recurrence arise?

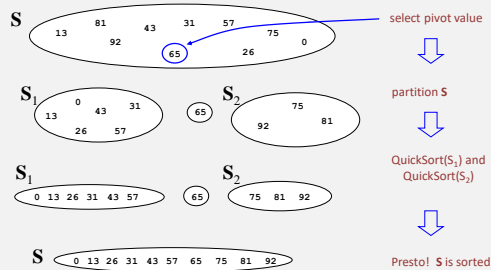
## Quicksort

QuickSort(S):

1. Pick an element  $v$  in  $S$ . This is the **pivot** value.
2. Partition  $S - \{v\}$  into two disjoint subsets,  $S_1$  and  $S_2$  such that:
  - elements in  $S_1$  are all  $< v$
  - elements in  $S_2$  are all  $> v$
3. Return concatenation of QuickSort( $S_1$ ),  $v$ , QuickSort( $S_2$ )

Recursion ends if QuickSort( ) receives an array of length 0 or 1.

## The steps of Quicksort



## Picking the pivot

- Choose the first element in the subarray
- Choose a value that might be close to the middle
  - Median of three
- Choose a random element

## Recurrence for Quicksort

$$QS(n) = \sum_{i=1}^n \frac{1}{n} \{QS(i-1) + QS(n-i)\}$$

## Computing the Median

- Given  $n$  numbers, find the number of rank  $n/2$
- One approach is sorting
  - Sort the elements, and choose the middle one
  - Can you do better?

## Problem generalization

- *Selection*, given  $n$  numbers and an integer  $k$ , find the  $k$ -th largest

## Select(A, k)

```
Select(A, k){
  Choose element x from A
  S1 = {y in A | y < x}
  S2 = {y in A | y > x}
  S3 = {y in A | y = x}
  if (|S2| >= k)
    return Select(S2, k)
  else if (|S2| + |S3| >= k)
    return x
  else
    return Select(S1, k - |S2| - |S3|)
}
```



## Randomized Selection

- Choose the element at random
- Analysis can show that the algorithm has expected run time  $O(n)$

$$T(n) = T(n/2) + cn$$

Where does this recurrence arise?

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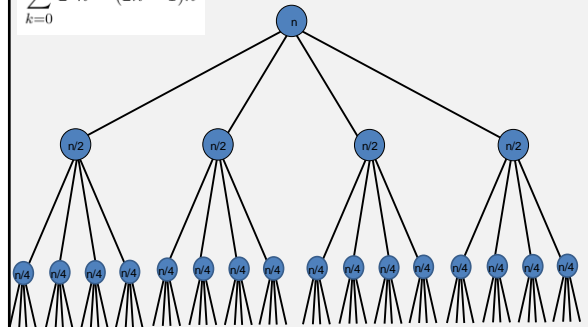
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## Solving the recurrence exactly

Total Work

$$\sum_{k=0}^{\log n} 2^k n = (2n - 1)n$$

$$T(n) = 4T(n/2) + n$$



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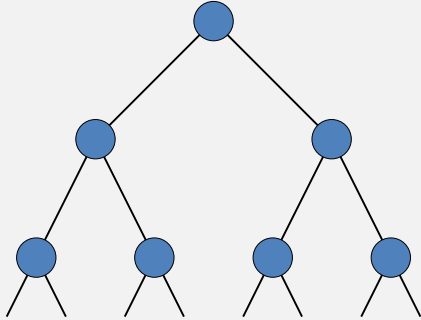
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$$T(n) = 2T(n/2) + n^2$$

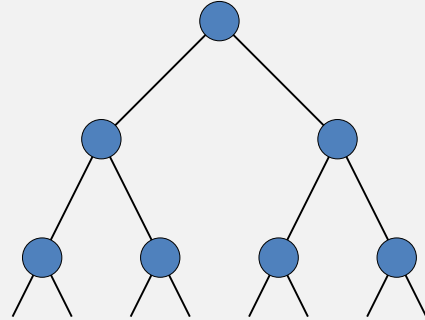


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$$T(n) = 2T(n/2) + n^{1/2}$$



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## Recurrences

- Three basic behaviors
  - Dominated by initial case
  - Dominated by base case
  - All cases equal – we care about the depth

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## What you really need to know about recurrences

- Work per level changes geometrically with the level
  - The bottom level wins
- Geometrically increasing ( $x > 1$ )
  - The top level wins
- Geometrically decreasing ( $x < 1$ )
  - The top level wins
- Balanced ( $x = 1$ )
  - Equal contribution

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## Classify the following recurrences (Increasing, Decreasing, Balanced)

- $T(n) = n + 5T(n/8)$
- $T(n) = n + 9T(n/8)$
- $T(n) = n^2 + 4T(n/2)$
- $T(n) = n^3 + 7T(n/2)$
- $T(n) = n^{1/2} + 3T(n/4)$

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