

## Lecture04

---

# CSE 417 Algorithms

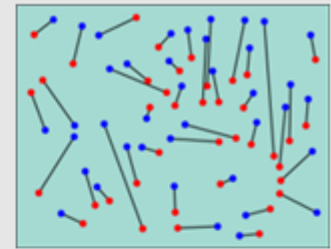
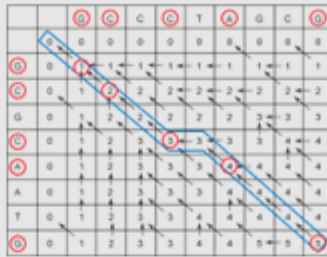
Richard Anderson

Winter 2023

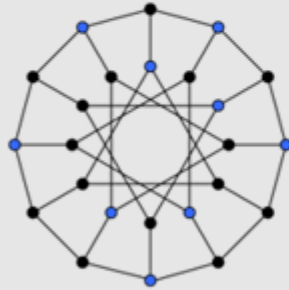
Lecture 4

# Announcements

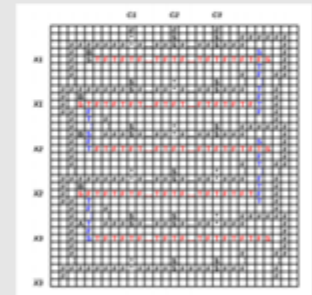
- Reading
  - Chapter 2.1, 2.2
  - Chapter 3 (Mostly review)
  - Start on Chapter 4
- Homework Guidelines
  - Submit homework with Gradescope
  - **Describing an algorithm**
    - Clarity is most important
    - Pseudocode generally preferable to just English
      - But sometimes both methods combined work best
  - **Prove that your algorithm works**
    - A proof is a "convincing argument"
  - **Give the run time for your algorithm**
    - Justify that the algorithm satisfies the runtime bound
  - **You may lose points for style**
  - **Homework assignments will (probably) be worth the same amount**



# Five Problems



- Scheduling
- Weighted Scheduling
- Bipartite Matching
- Maximum Independent Set
- Competitive Facility Location



# Summary – Five Problems

- Scheduling – greedy
- Weighted Scheduling – dynamic programming
- Combinatorial Optimization
- Maximum Independent Set – NP
- Competitive Scheduling – PSPACE

# What does it mean for an algorithm to be efficient?

- space  $\updownarrow$  Time
- multiple resources

# Definitions of efficiency

- Fast in practice
- Qualitatively better worst case performance than a brute force algorithm

# Polynomial time efficiency

- An algorithm is efficient if it has a polynomial run time
- Run time as a function of problem size
  - Run time: count number of instructions executed on an underlying model of computation
  - $T(n)$ : maximum run time for all problems of size at most  $n$

— Average

# Polynomial Time

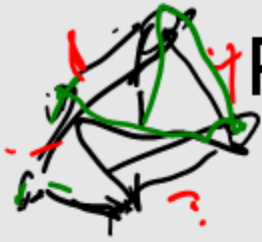
- Algorithms with polynomial run time have the property that increasing the problem size by a constant factor increases the run time by at most a constant factor (depending on the algorithm)



# Why Polynomial Time?

- Generally, polynomial time seems to capture the algorithms which are efficient in practice
- The class of polynomial time algorithms has many good, mathematical properties

- set polynomials  
is closed under  
arithmetic operations



# Polynomial vs. Exponential Complexity

- Suppose you have an algorithm which takes  $n!$  steps on a problem of size  $n$
- If the algorithm takes one second for a problem of size 10, estimate the run time for the following problems sizes:

12	14	16	18	20
2 min	6 1/2 h	2 mo	50 y	20K y

# Ignoring constant factors

- Express run time as  $O(f(n))$
- Emphasize algorithms with slower growth rates
- Fundamental idea in the study of algorithms
- Basis of Tarjan/Hopcroft Turing Award



# Why ignore constant factors?

- **Constant factors are arbitrary**
  - Depend on the implementation
  - Depend on the details of the model
- **Determining the constant factors is tedious and provides little insight**

## Why emphasize growth rates?

- The algorithm with the lower growth rate will be faster for all but a finite number of cases
- Performance is most important for larger problem size
- As memory prices continue to fall, bigger problem sizes become feasible
- Improving growth rate often requires new techniques

$\left[ \begin{array}{c} \dots \\ \dots \\ \dots \\ \dots \end{array} \right]$   
matrix  
mult  
 $n^5$   
 $n^{2.87}$

# Formalizing growth rates

- $T(n)$  is  $O(f(n))$   $[T : \mathbb{Z}^+ \rightarrow \mathbb{R}^+]$ 
  - If  $n$  is sufficiently large,  $T(n)$  is bounded by a constant multiple of  $f(n)$
  - Exist  $c, n_0$ , such that for  $n > n_0$ ,  $T(n) < c f(n)$

- $T(n)$  is  $O(f(n))$  will be written as:

$T(n) = O(f(n))$

$T(n) \in O(f(n))$

- Be careful with this notation

Prove  $3n^2 + 5n + 20$  is  $O(n^2)$

Let  $c = \frac{1}{3}$

Let  $n_0 = 5$

$n > 5$

$$3n^2 + 5n + 20 < 3n^2 + \cancel{4n^2} + n^2$$

$$= 5n^2 < 6n^2$$

$T(n)$  is  $O(f(n))$  if there exist  $c, n_0$ , such that for  $n > n_0$ ,

$$T(n) < c f(n)$$

Order the following functions in increasing order by their growth rate

- a)  $n \log^4 n$
- b)  $2n^2 + 10n$
- c)  $2^{n/100}$
- d)  $1000n + \log^8 n$
- e)  $n^{100}$
- f)  $3^n$
- g)  $1000 \log^{10} n$
- h)  $n^{1/2}$



# Lower bounds

- $T(n)$  is  $\Omega(f(n))$ 
  - $T(n)$  is at least a constant multiple of  $f(n)$
  - There exists an  $n_0$ , and  $\varepsilon > 0$  such that  $T(n) > \varepsilon f(n)$  for all  $n > n_0$

- Warning: definitions of  $\Omega$  vary

$3n^2$  is  $\Theta(n^2)$

- $T(n)$  is  $\Theta(f(n))$  if  $T(n)$  is  $O(f(n))$  and  $T(n)$  is  $\Omega(f(n))$

# Useful Theorems

- If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$  for  $c > 0$  then  
 $f(n) = \Theta(g(n))$
- If  $f(n)$  is  $O(g(n))$  and  $g(n)$  is  $O(h(n))$  then  $f(n)$  is  $O(h(n))$
- If  $f(n)$  is  $O(h(n))$  and  $g(n)$  is  $O(h(n))$  then  $f(n) + g(n)$  is  $O(h(n))$

# Ordering growth rates

- For  $b > 1$  and  $x > 0$ 
  - $\log^b n$  is  $O(n^x)$
- For  $r > 1$  and  $d > 0$ 
  - $n^d$  is  $O(r^n)$