

# CSE 417

## Algorithms and Complexity

Autumn 2023

Lecture 29

NP-Completeness and Beyond

# Announcements

- Final Exam: Monday, December 11, 8:30 AM
  - One Hour Fifty Minutes
  - Comprehensive (but roughly 2/3rds post midterm)
  - Topics will include: recurrences, dynamic programming, graph algorithms, network flow

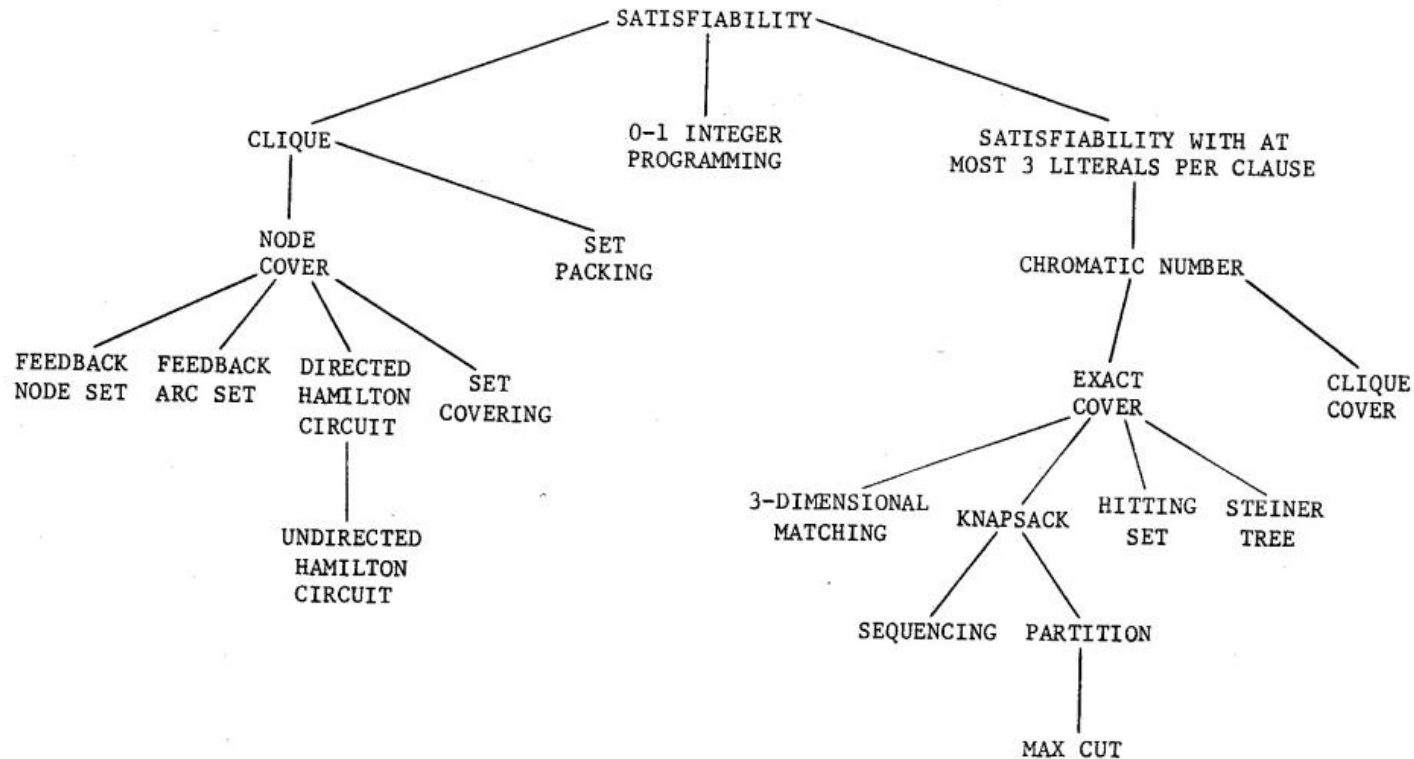
<del>Fri, Dec 1</del>	<del>Net Flow Applications</del>
<del>Mon, Dec 4</del>	<del>Net Flow Applications + NP-Completeness</del>
<del>Wed, Dec 6</del>	<del>NP-Completeness</del>
Fri, Dec 8	NP-Completeness and Beyond
Mon, Dec 11	Final Exam

# NP-Completeness Proofs

- Prove that problem  $X$  is NP-Complete
  - Show that  $X$  is in NP (usually easy)
  - Pick a known NP complete problem  $Y$
  - Show  $Y \leq_p X$



# Reducibility Among Combinatorial Problems



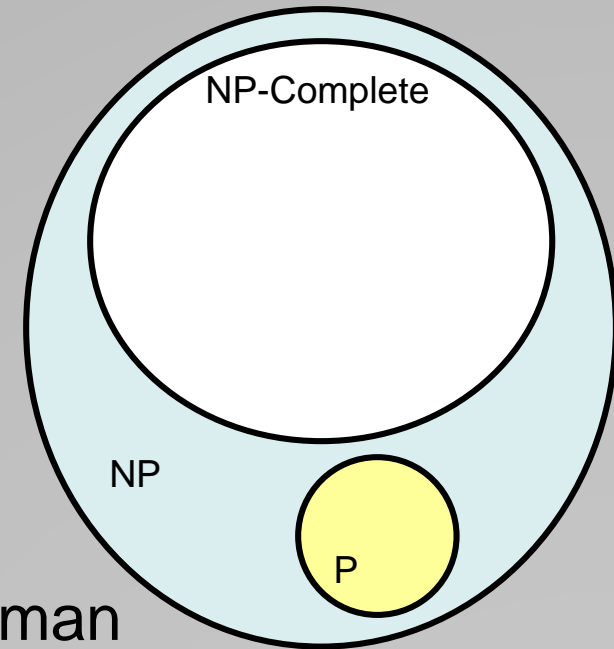
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FIGURE 1 - Complete Problems

RICHARD M. KARP

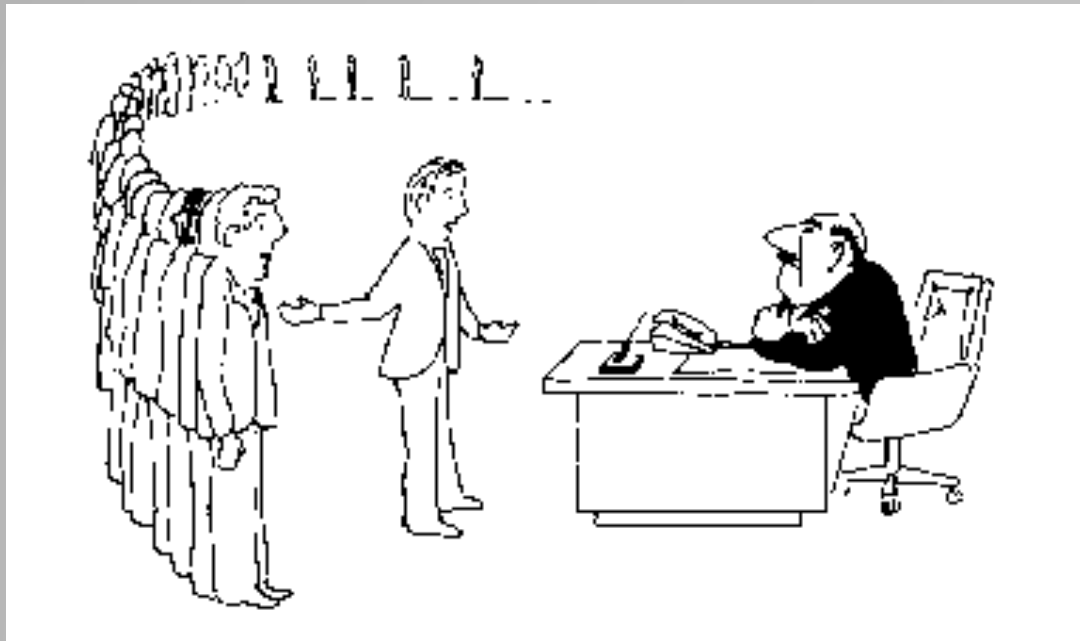
# Populating the NP-Completeness Universe

- Circuit Sat  $\leq_p$  3-SAT
- 3-SAT  $\leq_p$  Independent Set
- 3-SAT  $\leq_p$  Vertex Cover
- Independent Set  $\leq_p$  Clique
- 3-SAT  $\leq_p$  Hamiltonian Circuit
- Hamiltonian Circuit  $\leq_p$  Traveling Salesman
- 3-SAT  $\leq_p$  Integer Linear Programming
- 3-SAT  $\leq_p$  Graph Coloring
- 3-SAT  $\leq_p$  Subset Sum
- Subset Sum  $\leq_p$  Scheduling with Release times and deadlines



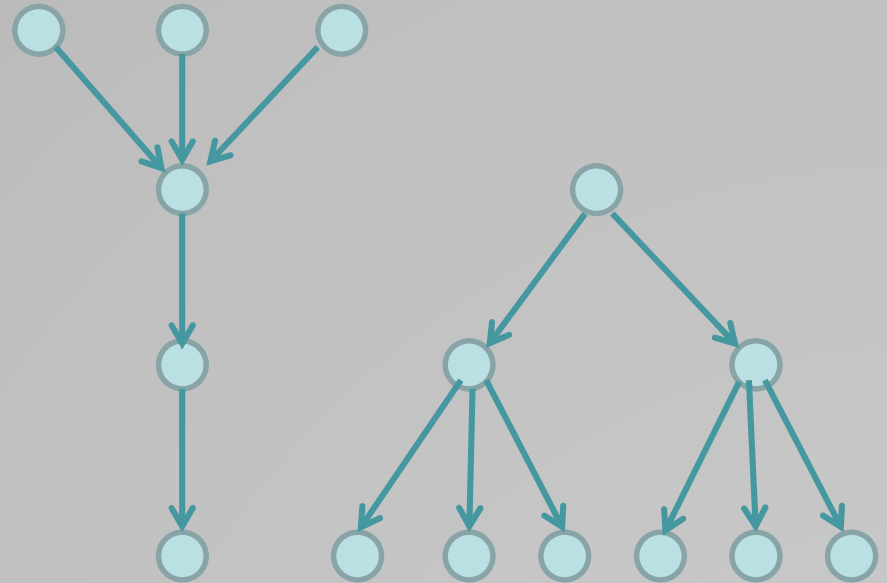
# Coping with NP-Completeness

- Approximation Algorithms
- Exact solution via Branch and Bound
- Local Search



# Multiprocessor Scheduling

- Unit execution tasks
- Precedence graph
- K-Processors
  
- Polynomial time for  $k=2$
- Open for  $k = \text{constant}$
- NP-complete if  $k$  is part of the problem



# Highest level first is 2-Optimal

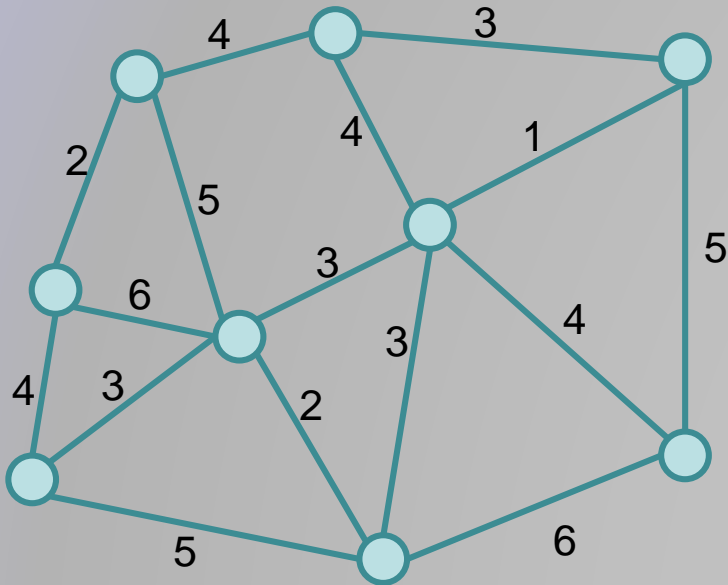
Choose  $k$  items on the highest level

Claim: number of rounds is at least twice the optimal.



# Christofides TSP Algorithm

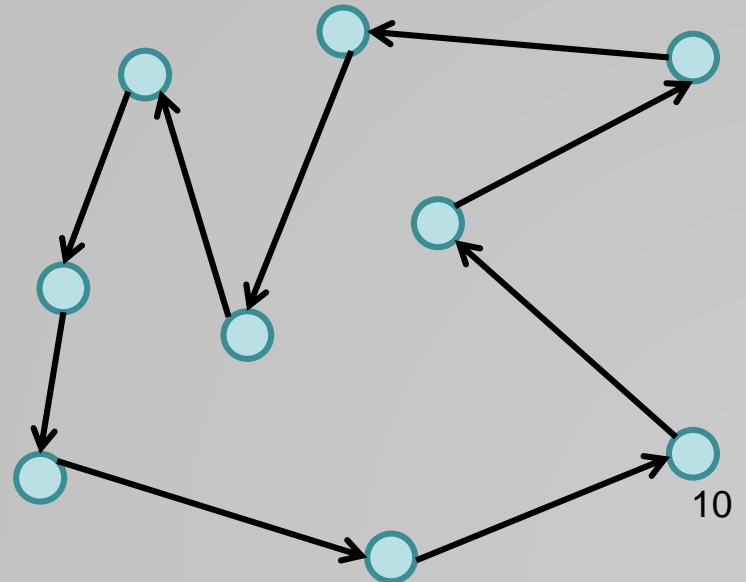
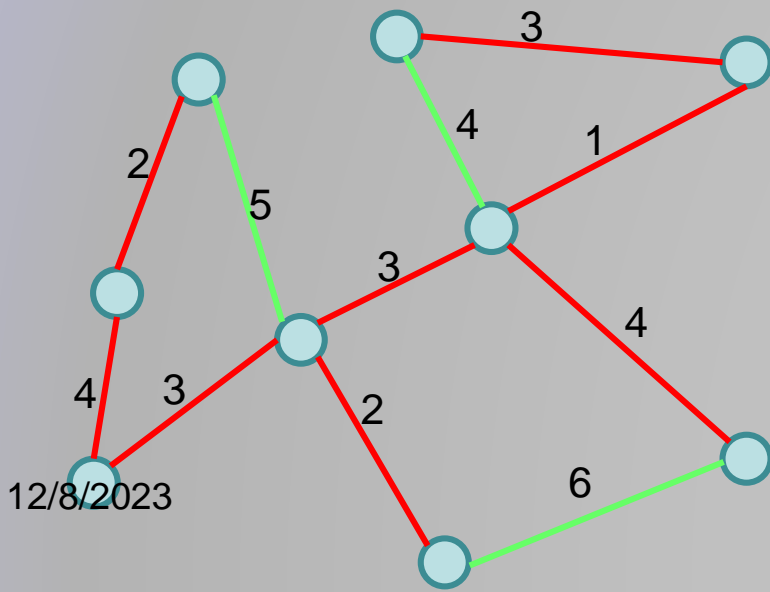
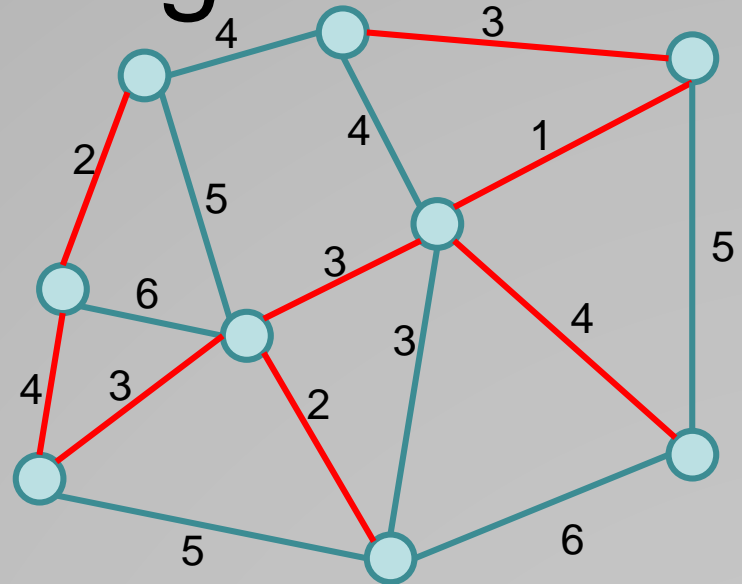
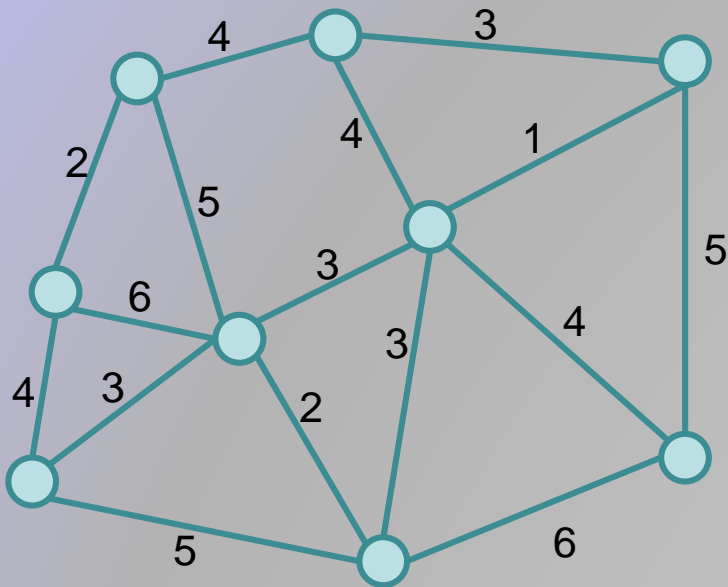
- Undirected graph satisfying triangle inequality



1. Find MST
2. Add additional edges so that all vertices have even degree
3. Build Eulerian Tour

3/2 Approximation

# Christofides Algorithm



# Branch and Bound

- Brute force search – tree of all possible solutions
- Branch and bound – compute a lower bound on all possible extensions
  - Prune sub-trees that cannot be better than optimal

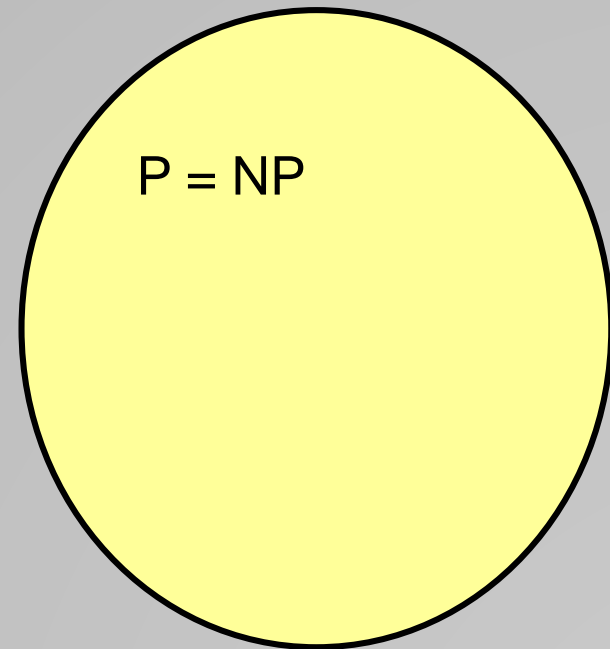
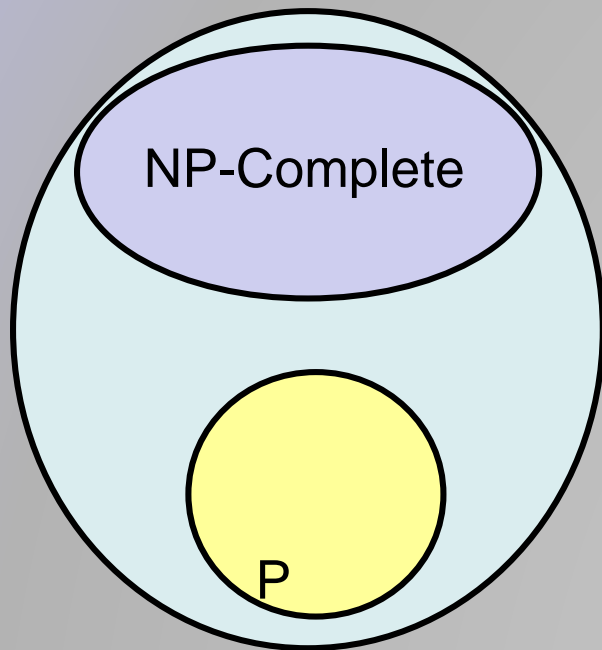


# Local Optimization

- Improve an optimization problem by local improvement
  - Neighborhood structure on solutions
  - Travelling Salesman 2-Opt (or K-Opt)
  - Independent Set Local Replacement

# What we don't know

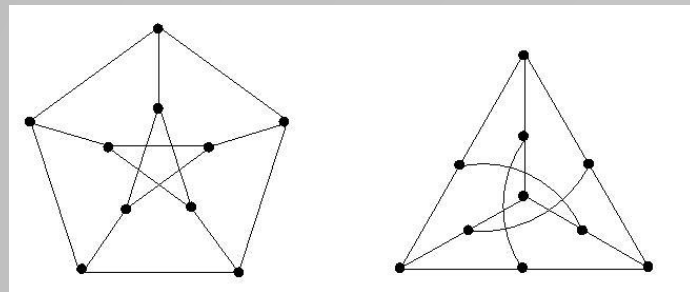
- P vs. NP



# If $P \neq NP$ , is there anything in between

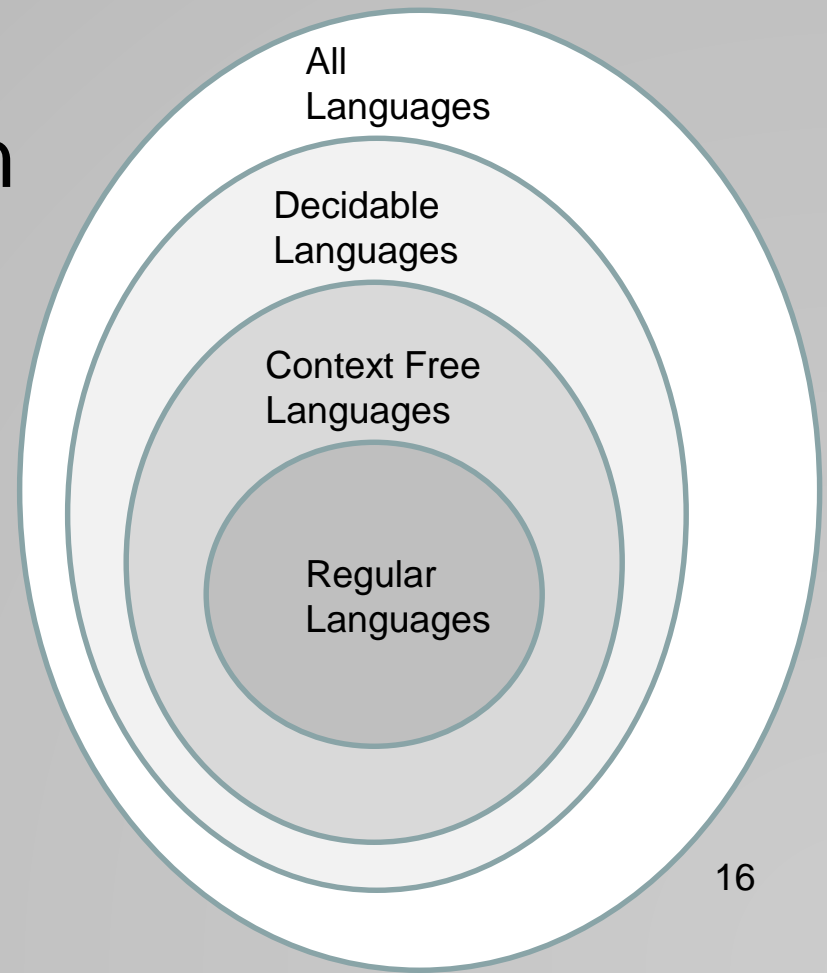
- Yes, Ladner [1975]
- Problems not known to be in  $P$  or  $NP$  Complete
  - Factorization
  - Discrete Log
  - Graph Isomorphism

Solve  $g^k = b$  over a finite group



# Complexity Theory

- Computational requirements to recognize languages
- Models of Computation
- Resources
- Hierarchies





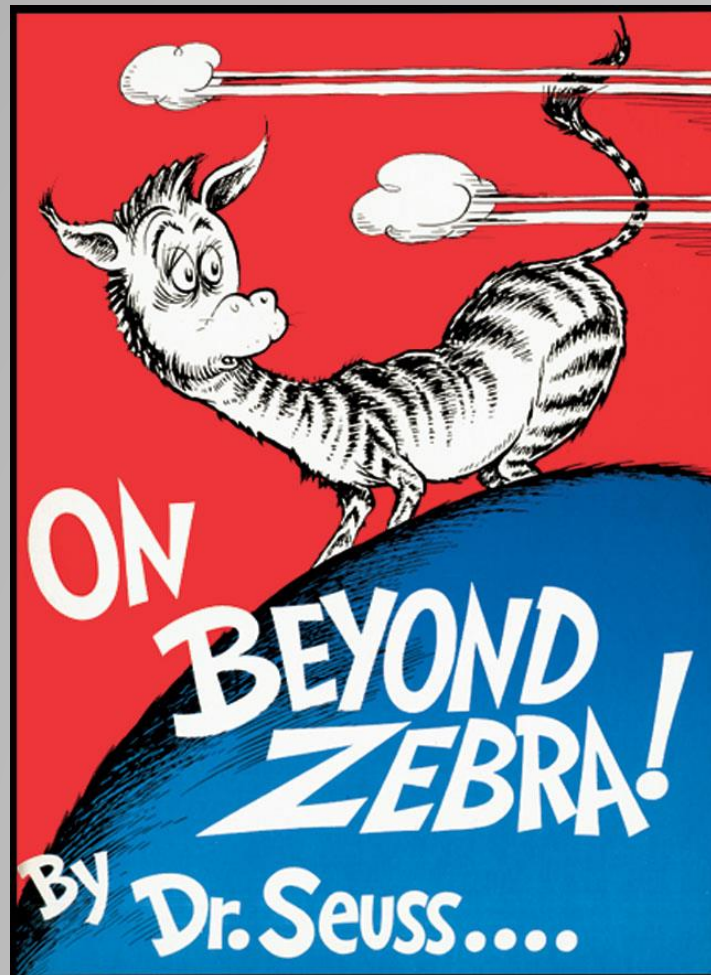
# Time complexity

- P: (Deterministic) Polynomial Time
- NP: Non-deterministic Polynomial Time
- EXP: Exponential Time

# Space Complexity

- Amount of Space (Exclusive of Input)
- L: Logspace, problems that can be solved in  $O(\log n)$  space for input of size  $n$ 
  - Related to Parallel Complexity
- PSPACE, problems that can be required in a polynomial amount of space

# So what is beyond NP?



# NP vs. Co-NP

- Given a Boolean formula, is it true for some choice of inputs
  
- Given a Boolean formula, is it true for all choices of inputs

# Problems beyond NP

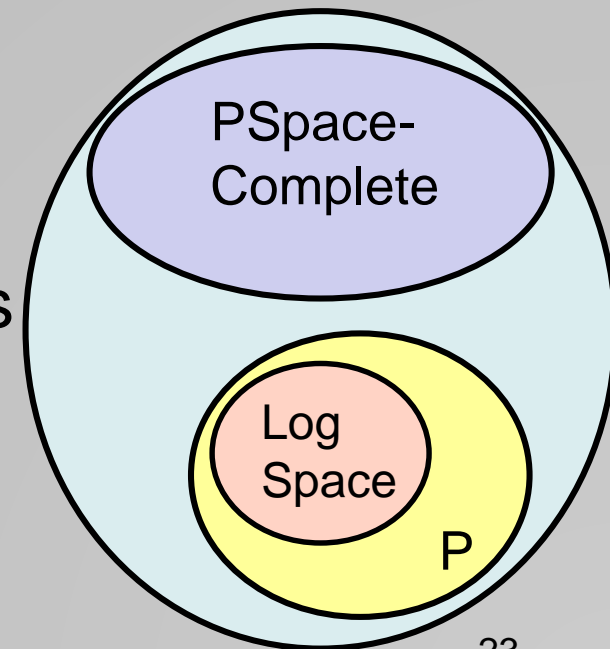
- Exact TSP, Given a graph with edge lengths and an integer  $K$ , does the minimum tour have length  $K$
- Minimum circuit, Given a circuit  $C$ , is it true that there is no smaller circuit that computes the same function as  $C$

# Polynomial Hierarchy

- Level 1
  - $\exists X_1 \Phi(X_1), \forall X_1 \Phi(X_1)$
- Level 2
  - $\forall X_1 \exists X_2 \Phi(X_1, X_2), \exists X_1 \forall X_2 \Phi(X_1, X_2)$
- Level 3
  - $\forall X_1 \exists X_2 \forall X_3 \Phi(X_1, X_2, X_3), \exists X_1 \forall X_2 \exists X_3 \Phi(X_1, X_2, X_3)$

# Polynomial Space

- Quantified Boolean Expressions
  - $\exists X_1 \forall X_2 \exists X_3 \dots \exists X_{n-1} \forall X_n \Phi(X_1, X_2, X_3 \dots X_{n-1} X_n)$
- Space bounded games
  - Competitive Facility Location Problem
  - N x N Chess
- Counting problems
  - The number of Hamiltonian Circuits



# N X N Chess



12/8/2023



# Even Harder Problems

```
public int[] RecolorSwap(int[] coloring) {
    int k = maxColor(coloring);

    for (int v = 0; v < nVertices; v++) {
        if (coloring[v] == k) {
            int[] nbdColorCount = ColorCount(v, k, coloring);
            List<Edge> edges1 = vertices[v].Edges;

            foreach (Edge e1 in edges1) {
                int w = e1.Head;
                if (nbdColorCount[coloring[w]] == 1)
                    if (RecolorSwap(v, w, k, coloring))
                        break;
            }
        }
    }
    return coloring;
}
```

Is this code correct?

# Halting Problem

- Given a program  $P$  that does not take any inputs, does  $P$  eventually exit?

# Impossibility of solving the Halting Problem

Suppose  $\text{Halt}(P)$  returns true if  $P$  halts, and false otherwise

Consider the program  $G$ :

What is  $\text{Halt}(G)$ ?

```
Define G {  
    if (Halt(G)){  
        while (true) ;  
    }  
    else {  
        exit();  
    }  
}
```

# Undecidable Problems

- The Halting Problem is undecidable
- Impossible problems are hard . . .