

Lecture21

CSE 417 Algorithms

Lecture 21, Autumn 2023

Dynamic Programming

Subset Sum etc.

11/17/2023

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Announcements

- Homework 8: Due Wednesday, Nov 29
- Homework 9: Due Friday, Dec 8
- Dynamic Programming Reading:
 - 6.1-6.2, Weighted Interval Scheduling
 - Path Counting, Paragraphing
 - 6.4 Knapsack and Subset Sum
 - 6.6 String Alignment
 - 6.7* String Alignment in linear space
 - 6.8 Shortest Paths (again)
 - 6.9 Negative cost cycles
 - How to make an infinite amount of money

What is the largest sum you can make of the following integers that is ≤ 20

$\{4, 5, 8, 10, 13, 14, 17, 18, 21, 23, 28, 31, 37\}$

What is the largest sum you can make of the following integers that is ≤ 2000

{78, 101, 122, 133, 137, 158, 189, 201, 220, 222, 267, 271, 281, 289, 296, 297, 301, 311, 315, 321, 322, 341, 349, 353, 361, 385, 396 }

Subset Sum Problem

- Given integers $\{w_1, \dots, w_n\}$ and an integer K
- Find a subset that is as large as possible that does not exceed K
- Dynamic Programming: Express as an optimization over sub-problems.
- New idea: Represent at a sub problems depending on K and n
 - Two dimensional grid

1. Double Recursion
2. set size as a n index

Subset Sum Optimization

Opt[j, K] the largest subset of $\{w_1, \dots, w_j\}$ that sums to at most K

$$\text{Opt}[j, K] = \max(\text{Opt}[j - 1, K], \text{Opt}[j - 1, K - w_j] + w_j)$$

$$\text{Opt}[i, K] = \begin{cases} \text{Opt}[i-1, K - w_i] + w_i & \text{if } w_i \in \text{Soln} \\ \text{Opt}[i-1, K] & \text{if } w_i \notin \text{Soln} \end{cases}$$

$Opt[3, 9]$

Subset Sum Grid

$$Opt[j, K] = \max(Opt[j - 1, K], Opt[j - 1, K - w_j] + w_j)$$

$$Opt[3, 9] = \max(Opt[2, 9], Opt[2, 2] + 7)$$

4																
3	0	2	2	4	4	6	7	7	9							
2	0	2	2	4	4	6	6	6	6							
1	0	2	2	2	2	2	2	2								
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17

{2, 4, 7, 10}

Subset Sum Grid

$$\text{Opt}[j, K] = \max(\text{Opt}[j - 1, K], \text{Opt}[j - 1, K - w_j] + w_j)$$

4	0	2	2	4	4	6	7	7	9	10	11	12	13	14	14	16	17
3	0	2	2	4	4	6	7	7	9	9	11	11	13	13	13	13	13
2	0	2	2	4	4	6	6	6	6	6	6	6	6	6	6	6	6
1	0	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2	2
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

{2, 4, 7, 10}

~~Knapack~~
Subset Sum Code

```
for j = 1 to n  
  for k = 1 to W  
    Opt[j, k] = max(Opt[j-1, k], Opt[j-1, k-wj] + vj)
```

$O(n)$

Runtime $O(nW)$

Knapsack Problem

- Items have weights and values
- The problem is to maximize total value subject to a bound on weight
- Items $\{I_1, I_2, \dots, I_n\}$
 - Weights $\{w_1, w_2, \dots, w_n\}$
 - Values $\{v_1, v_2, \dots, v_n\}$
 - Bound K
- Find set S of indices to:
 - Maximize $\sum_{i \in S} v_i$ such that $\sum_{i \in S} w_i \leq K$

Knapsack Recurrence

Subset Sum Recurrence:

$$\text{Opt}[j, K] = \max(\text{Opt}[j - 1, K], \text{Opt}[j - 1, K - w_j] + w_j)$$

Knapsack Recurrence:

$$\text{Opt}[j, K] = \max(\text{Opt}[j - 1, K], \text{Opt}[j - 1, K - w_j] + v_j)$$

Knapsack Grid

$$\text{Opt}[j, K] = \max(\text{Opt}[j - 1, K], \text{Opt}[j - 1, K - w_j] + v_j)$$

4																	
3																	
2	0	3	3	5	5	8	8										
1	0	3	3	3	3	3	3										
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
		1	2	3	4												

Handwritten red annotations: A curved arrow labeled v_j points from the value 3 in row 2, column 3 to the value 5 in row 3, column 4. A circled 0 is in row 3, column 10. Horizontal lines are drawn under the values in row 2 and row 1.

Weights {2, 4, 7, 10} Values: {3, 5, 9, 16}

Knapsack Grid

$$\text{Opt}[j, K] = \max(\text{Opt}[j - 1, K], \text{Opt}[j - 1, K - w_j] + v_j)$$

4	0	3	3	5	5	8	9	9	12	16	16	18	18	21	21	24	25
3	0	3	3	5	5	8	9	9	12	12	14	14	17	17	17	17	17
2	0	3	3	5	5	8	8	8	8	8	8	8	8	8	8	8	8
1	0	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3	3
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

Weights {2, 4, 7, 10} Values: {3, 5, 9, 16}

Alternate approach for Subset Sum

- Alternate formulation of Subset Sum dynamic programming algorithm
 - $\text{Sum}[i, K] = \text{true}$ if there is a subset of $\{w_1, \dots, w_i\}$ that sums to exactly K , false otherwise
 - $\text{Sum}[i, K] = \text{Sum}[i-1, K] \text{ OR } \text{Sum}[i-1, K - w_i]$
 - $\text{Sum}[0, 0] = \text{true}$; $\text{Sum}[i, 0] = \text{false}$ for $i \neq 0$

- To allow for negative numbers, we need to fill in the array between K_{min} and K_{max}

Run time for Subset Sum

- With n items and target sum K , the run time is $O(nK)$
- If K is 1,000,000,000,000,000,000,000,000 this is very slow
- Alternate brute force algorithm: examine all subsets: $O(2^n)$
- Point of confusion: Subset sum is NP Complete

Two dimensional dynamic programming

Subset sum and knapsack

$$\text{Opt}[j, K] = \max(\text{Opt}[j - 1, K], \text{Opt}[j - 1, K - w_j] + w_j)$$

$$\text{Opt}[j, K] = \max(\text{Opt}[j - 1, K], \text{Opt}[j - 1, K - w_j] + v_j)$$

4	0																
3	0																
2	0																
1	0																
	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

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Reducing dimensions

- **Computing values in the array only requires the previous row**
 - Easy to reduce this to just tracking two rows
 - And sometimes can be implemented in a single row
- **Space savings is significant in practice**
- **Reconstructing values is harder**

Longest Common Subsequence

- $C=c_1\dots c_g$ is a subsequence of $A=a_1\dots a_m$ if C can be obtained by removing elements from A (but retaining order)
- $LCS(A, B)$: A maximum length sequence that is a subsequence of both A and B

ocurraneec

attacggct

occurrence

tacgacca

Determine the LCS of the following strings

BARTHOLEMEWSIMPSON

KRUSTYTHECLOWN

String Alignment Problem

- Align sequences with gaps

CAT TGA AT

CAGAT AGGA

- Charge δ_x if character x is unmatched
- Charge γ_{xy} if character x is matched to character y

Note: the problem is often expressed as a minimization problem,
with $\gamma_{xx} = 0$ and $\delta_x > 0$

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LCS Optimization

- $A = a_1 a_2 \dots a_m$
- $B = b_1 b_2 \dots b_n$

- $\text{Opt}[j, k]$ is the length of $\text{LCS}(a_1 a_2 \dots a_j, b_1 b_2 \dots b_k)$

Optimization recurrence

$$\text{If } a_j = b_k, \text{ Opt}[j,k] = 1 + \text{Opt}[j-1, k-1]$$

$$\text{If } a_j \neq b_k, \text{ Opt}[j,k] = \max(\text{Opt}[j-1,k], \text{Opt}[j,k-1])$$