

## Lecture04

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# CSE 417 Algorithms

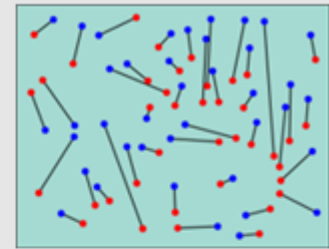
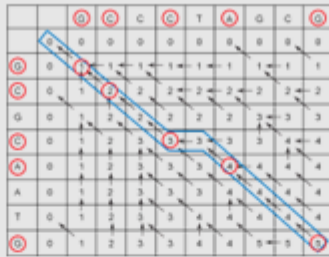
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Autumn 2023

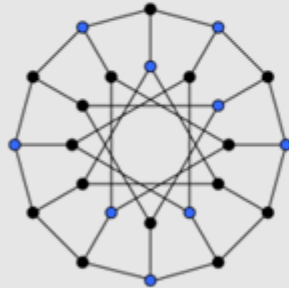
Lecture 4

# Announcements

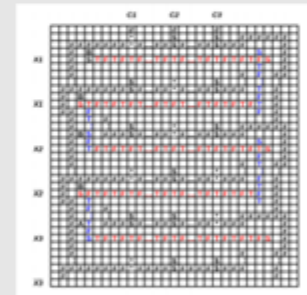
- Reading
  - Chapter 2.1, 2.2
  - Chapter 3 (Mostly review)
  - Start on Chapter 4
- Homework Guidelines
  - Submit homework with Gradescope
  - **Describing an algorithm**
    - Clarity is most important
    - Pseudocode generally preferable to just English
      - But sometimes both methods combined work best
  - **Prove that your algorithm works**
    - A proof is a "convincing argument"
  - **Give the run time for your algorithm**
    - Justify that the algorithm satisfies the runtime bound
  - **You may lose points for style**
  - **Homework assignments will (probably) be worth the same amount**



# Five Problems



- Scheduling
- Weighted Scheduling
- Bipartite Matching
- Maximum Independent Set
- Competitive Facility Location



# Summary – Five Problems

- Scheduling
- Weighted Scheduling
- Combinatorial Optimization
- Maximum Independent Set
- Competitive Scheduling

What does it mean for an algorithm  
to be efficient?

Fast in Practice

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# Definitions of efficiency

- Fast in practice
- Qualitatively better worst case performance than a brute force algorithm

$$T(n) = \frac{f(n)}{n}$$

## Polynomial time efficiency

$$T(n) = 3n^3 + 2n^2$$

- An algorithm is efficient if it has a polynomial run time
- Run time as a function of problem size
  - Run time: count number of instructions executed on an underlying model of computation
  - $T(n)$ : maximum run time for all problems of size at most  $n$

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# Polynomial Time

- Algorithms with polynomial run time have the property that increasing the problem size by a constant factor increases the run time by at most a constant factor (depending on the algorithm)



## Why Polynomial Time?

- Generally, polynomial time seems to capture the algorithms which are efficient in practice
- The class of polynomial time algorithms has many good, mathematical properties



# Polynomial vs. Exponential Complexity

- Suppose you have an algorithm which takes  $n!$  steps on a problem of size  $n$
- If the algorithm takes one second for a problem of size 10, estimate the run time for the following problems sizes:

12	14	16	18	20
2 min	6 hrs	2 mo	50 yrs	70K yrs

42  $n^2$       $O(n^2)$

# Ignoring constant factors

- Express run time as  $O(f(n))$
- Emphasize algorithms with slower growth rates
- Fundamental idea in the study of algorithms
- Basis of Tarjan/Hopcroft Turing Award

$O(n^3)$       $O(n^{2.487})$       $O(n^{2.36})$

# Why ignore constant factors?

- **Constant factors are arbitrary**
  - Depend on the implementation
  - Depend on the details of the model
- **Determining the constant factors is tedious and provides little insight**

# Why emphasize growth rates?

- The algorithm with the lower growth rate will be faster for all but a finite number of cases
- Performance is most important for larger problem size
- As memory prices continue to fall, bigger problem sizes become feasible
- Improving growth rate often requires new techniques

# Formalizing growth rates

- $T(n)$  is  $O(f(n))$   $[T : \mathbb{Z}^+ \rightarrow \mathbb{R}^+]$ 
  - If  $n$  is sufficiently large,  $T(n)$  is bounded by a constant multiple of  $f(n)$
  - Exist  $c, n_0$ , such that for  $n > n_0$ ,  $T(n) < c f(n)$
- $T(n)$  is  $O(f(n))$  will be written as:  
 $T(n) = O(f(n))$   $T(n) \in O(f(n))$ 
  - Be careful with this notation

Prove  $3n^2 + 5n + 20$  is  $O(n^2)$

Let  $c = 6$     suppose  $n > 5$

Let  $n_0 = 5$

$$\begin{aligned} 3n^2 + 5n + 20 &< 3n^2 + n^2 + n^2 \\ &= 5n^2 < 6n^2 \end{aligned}$$

$T(n)$  is  $O(f(n))$  if there exist  $c, n_0$ , such that for  $n > n_0$ ,  
 $T(n) < c f(n)$

Order the following functions in increasing order by their growth rate

a)  $n \log^4 n$

b)  $2n^2 + 10n$

c)  $2^{n/100}$

d)  $1000n + \log^8 n$

e)  $n^{100}$

f)  $3^n$

g)  $1000 \log^{10} n$

h)  $n^{1/2}$





# Lower bounds

$$n^2 \text{ is } O(n^3)$$

- $T(n)$  is  $\Omega(f(n))$ 
  - $T(n)$  is at least a constant multiple of  $f(n)$
  - There exists an  $n_0$ , and  $\varepsilon > 0$  such that  $T(n) > \varepsilon f(n)$  for all  $n > n_0$

- Warning: definitions of  $\Omega$  vary

$$\Theta(T(n))$$

- $T(n)$  is  $\Theta(f(n))$  if  $T(n)$  is  $O(f(n))$  and  $T(n)$  is  $\Omega(f(n))$

$$T(n) = \Theta(f(n))$$

# Useful Theorems

- If  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$  for  $c > 0$  then  $f(n) = \Theta(g(n))$
- If  $f(n)$  is  $O(g(n))$  and  $g(n)$  is  $O(h(n))$  then  $f(n)$  is  $O(h(n))$
- If  $f(n)$  is  $O(h(n))$  and  $g(n)$  is  $O(h(n))$  then  $f(n) + g(n)$  is  $O(h(n))$

# Ordering growth rates

- For  $b > 1$  and  $x > 0$ 
  - $\log^b n$  is  $O(n^x)$
- For  $r > 1$  and  $d > 0$ 
  - $n^d$  is  $O(r^n)$