

## CSE 417 Algorithms and Computational Complexity

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Autumn 2023  
Lecture 2

## Announcements

- Course website
  - <https://courses.cs.washington.edu/courses/cse417/23/au/>
- Homework due Fridays
  - HW 1, Due Friday, October 6, 11:59 pm
  - Submit solutions via gradescope
- Class discussion through edstem discussion board

## Course Mechanics

- Homework
  - Due Fridays
  - About 5 problems, sometimes programming
  - Programming – your choice of language
  - Target: 1 week turnaround on grading
- Exams – In class
  - MT – Monday, October 30
  - Final – Monday, December 11, 8:30-10:20 AM
- **Approximate** grade weighting
  - HW: 50, MT: 15, Final: 35
- Course web
  - Slides, Handouts
- Instructor Office hours (CSE2 344)
  - Monday 2-3 pm, Thursday 4-5pm.



## TA Office Hours

- Megh Bhalerao,  
Office hours: Friday, 4:30-6:30 pm (TBD)
- Tiernan Kennedy,  
Office hours: Wednesday, 9:00-10:00 am (TBD), Friday, 9:00-10:00 am (TBD)
- Yigao Li,  
Office hours: Tuesday, 11:30am-12:30 pm (TBD), Friday, 1:00-2:00 PM (TBD)
- Kaiyuan Liu,  
Office hours: Monday, 3:00-4:00 pm (TBD), Thursday, 2:00-3:00 pm (TBD)
- Sravani Nanduri,  
Office hours: Monday, 4:30-5:30 pm (CSE2 152); Friday, 11:30am-12:30pm (CSE2 153)
- Albert Weng,  
Office hours: Monday, 3:30-4:30 pm (TBD), Friday, 3:30 – 4:30 pm (TBD)

## Stable Matching: Formal Problem

- Input
  - Preference lists for  $m_1, m_2, \dots, m_n$
  - Preference lists for  $w_1, w_2, \dots, w_n$
- Output
  - Perfect matching  $M$  satisfying stability property (e.g., no instabilities) :

For all  $m', m'', w', w''$   
If  $(m', w') \in M$  and  $(m'', w'') \in M$  then  
( $m'$  prefers  $w'$  to  $w''$ ) or ( $w''$  prefers  $m''$  to  $m'$ )

## Idea for an Algorithm

$m$  proposes to  $w$

If  $w$  is unmatched,  $w$  accepts

If  $w$  is matched to  $m_2$

If  $w$  prefers  $m$  to  $m_2$ ,  $w$  accepts  $m$ , dumping  $m_2$

If  $w$  prefers  $m_2$  to  $m$ ,  $w$  rejects  $m$

Unmatched  $m$  proposes to the highest  $w$  on its preference list **that it has not already proposed to**

### Algorithm

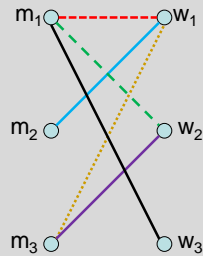
Initially all  $m$  in  $M$  and  $w$  in  $W$  are free  
 While there is a free  $m$   
      $w$  highest on  $m$ 's list that  $m$  has not proposed to  
     if  $w$  is free, then match  $(m, w)$   
     else  
         suppose  $(m_2, w)$  is matched  
         if  $w$  prefers  $m$  to  $m_2$   
             unmatch  $(m_2, w)$   
             match  $(m, w)$

### Example

$m_1: w_1 w_2 w_3$	$m_1 \circ$	$\circ w_1$
$m_2: w_1 w_3 w_2$		
$m_3: w_1 w_2 w_3$		
	$m_2 \circ$	$\circ w_2$
$w_1: m_2 m_3 m_1$		
$w_2: m_3 m_1 m_2$		
$w_3: m_3 m_1 m_2$	$m_3 \circ$	$\circ w_3$

### Example

$m_1: w_1 w_2 w_3$   
 $m_2: w_1 w_3 w_2$   
 $m_3: w_1 w_2 w_3$   
 $w_1: m_2 m_3 m_1$   
 $w_2: m_3 m_1 m_2$   
 $w_3: m_3 m_1 m_2$



Order:  $m_1, m_2, m_3, m_1, m_3, m_1$

### Does this work?

- Does it terminate?
- Is the result a stable matching?
- Begin by identifying invariants and measures of progress
  - $m$ 's proposals get worse (have higher  $m$ -rank)
  - Once  $w$  is matched,  $w$  stays matched
  - $w$ 's partners get better (have lower  $w$ -rank)

Claim: If an  $m$  reaches the end of its list, then all the  $w$ 's are matched

Claim: The algorithm stops in at most  $n^2$  steps

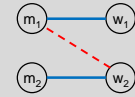
When the algorithm halts, every  $w$  is matched

Hence, the algorithm finds a perfect matching

The resulting matching is stable

Suppose

$(m_1, w_1) \in M, (m_2, w_2) \in M$   
 $m_1$  prefers  $w_2$  to  $w_1$



How could this happen?

### Result

- Simple,  $O(n^2)$  algorithm to compute a stable matching
- Corollary
  - A stable matching always exists

### A closer look

Stable matchings are not necessarily fair

$m_1$ :  $w_1 \ w_2 \ w_3$   
 $m_2$ :  $w_2 \ w_3 \ w_1$   
 $m_3$ :  $w_3 \ w_1 \ w_2$   
 $w_1$ :  $m_2 \ m_3 \ m_1$   
 $w_2$ :  $m_3 \ m_1 \ m_2$   
 $w_3$ :  $m_1 \ m_2 \ m_3$



How many stable matchings can you find?

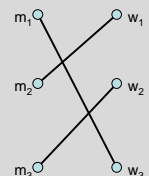
### Algorithm under specified

- Many different ways of picking  $m$ 's to propose
- Surprising result
  - All orderings of picking free  $m$ 's give the same result
- Proving this type of result
  - Reordering argument
  - Prove algorithm is computing something more specific
    - Show property of the solution – so it computes a specific stable matching

### M-rank and W-rank of matching

- m-rank: position of matching  $w$  in preference list
- M-rank: sum of m-ranks
- w-rank: position of matching  $m$  in preference list
- W-rank: sum of w-ranks

$m_1$ :  $w_1 \ w_2 \ w_3$   
 $m_2$ :  $w_1 \ w_3 \ w_2$   
 $m_3$ :  $w_1 \ w_2 \ w_3$   
 $w_1$ :  $m_2 \ m_3 \ m_1$   
 $w_2$ :  $m_3 \ m_1 \ m_2$   
 $w_3$ :  $m_3 \ m_1 \ m_2$



What is the M-rank?

What is the W-rank?

Suppose there are  $n$   $m$ 's, and  $n$   $w$ 's

- What is the minimum possible M-rank?
- What is the maximum possible M-rank?
- Suppose each  $m$  is matched with a random  $w$ , what is the expected M-rank?

## Random Preferences

Suppose that the preferences are completely random

```

m1: w8 w3 w1 w5 w9 w2 w4 w6 w7 w10
m2: w7 w10 w1 w9 w3 w4 w8 w2 w5 w6
...
w1: m1 m4 m9 m5 m10 m3 m2 m6 m8 m7
w2: m5 m8 m1 m3 m2 m7 m9 m10 m4 m6
...

```

If there are  $n$   $m$ 's and  $n$   $w$ 's, what is the expected value of the M-rank and the W-rank when the proposal algorithm computes a stable matching?

## Stable Matching Algorithms

- M Proposal Algorithm
  - Iterate over all  $m$ 's until all are matched
- W Proposal Algorithm
  - Change the role of  $m$ 's and  $w$ 's
  - Iterate over all  $w$ 's until all are matched

## Generating a random permutation

```

public static int[] Permutation(int n, Random rand) {
    int[] arr = IdentityPermutation(n);

    for (int i = 1; i < n; i++) {
        int j = rand.Next(0, i + 1);
        int temp = arr[i];
        arr[i] = arr[j];
        arr[j] = temp;
    }
    return arr;
}

```

## What is the run time of the Stable Matching Algorithm?

Initially all  $m$  in  $M$  and  $w$  in  $W$  are free  
 While there is a free  $m$  **Executed at most  $n^2$  times**  
    $w$  highest on  $m$ 's list that  $m$  has not proposed to  
   if  $w$  is free, then match ( $m$ ,  $w$ )  
   else  
     suppose ( $m_2$ ,  $w$ ) is matched  
     if  $w$  prefers  $m$  to  $m_2$   
       unmatch ( $m_2$ ,  $w$ )  
       match ( $m$ ,  $w$ )

## $O(1)$ time per iteration

- Find free  $m$
- Find next available  $w$
- If  $w$  is matched, determine  $m_2$
- Test if  $w$  prefer  $m$  to  $m_2$
- Update matching

What does it mean for an algorithm  
to be efficient?

## Key ideas

- Formalizing real world problem
  - Model: graph and preference lists
  - Mechanism: stability condition
- Specification of algorithm with a natural operation
  - Proposal
- Establishing termination of process through invariants and progress measure
- Under specification of algorithm
- Establishing uniqueness of solution