Overview and Administrivia
Hal Perkins
Winter 2010
Credits

Some direct ancestors of this quarter:

- UW CSE 401 (Chambers, Snyder, Notkin…)
- UW CSE PMP 582/501 (Perkins)
- Cornell CS 412-3 (Teitelbaum, Perkins)
- Rice CS 412 (Cooper, Kennedy, Torczon)
- Many books (Appel; Cooper/Torczon; Aho, [Lam,] Sethi, Ullman [Dragon Book], Muchnick, …)
Agenda

- Introductions
- Administrivia
- What’s a compiler?
CSE 401 Personnel

- Instructor: Hal Perkins
  - CSE 548; perkins[@]cs
  - Office hours: tbd in CSE 006 + dropins, &c.

- TAs:
  - Jonathan Beall, jibb[@]cs
  - Alexis Cheng, ethidda[@]cs
What’s New?

- 4 credits!
  - New: 1 section/week – mostly project info & small group work
    - Let us know what you need / what helps

- New project!
  - Smaller scope, but still core Java compiler
  - Far less code archeology, more writing
    - You will own the result!
Communications

- Course web site
- Discussion board
  - Link on course web
  - Use for anything relevant to the course
  - Can configure to have postings sent via email
- Mailing list
  - You are automatically subscribed if you are enrolled
  - Will keep this fairly low-volume; limited to things that everyone needs to read
Prerequisites

- CSE 326: Data structures & algorithms
- CSE 322: Formal languages & automata
- CSE 378: Machine organization
  - particularly assembly-level programming for some machine (not necessarily x86)
- CSE 341: Programming Languages
CSE 401 Course Project

- Best way to learn about compilers is to build (at least parts of) one
- Course project
  - Core Java compiler: classes, objects, etc.
    - But cut down to essentials
  - Generate executable x86 code & run it
  - Completed in steps through the quarter
Project Groups

- You are encouraged to work in pairs
  - Pair programming strongly encouraged
- Space for group SVN repositories & other shared files will be provided
- Pick partners by end of the week & send email to instructor with “401 partner” in the subject
Books

- Three good books:
  - Cooper & Torczon, Engineering a Compiler
  - Appel, Modern Compiler Implementation in Java, 2nd ed.
  - Aho, Lam, Sethi, Ullman, “Dragon Book”, 2nd ed (but 1st ed is also fine)
- Cooper/Torczon is the “official” text
- Original minijava project taken from Appel
- If we put these on reserve in the engineering library, would anyone notice?
Requirements & Grading

- Roughly
  - 40% project
  - 15% individual written homework
  - 15% midterm exam (date tba)
  - 25% final exam
  - 5% other

We reserve the right to adjust as needed
Academic Integrity

- We want a cooperative group working together to do great stuff!
- But: you must never misrepresent work done by someone else as your own, without proper credit
- Know the rules – ask if in doubt or if tempted
And the point is...

- How do we execute this?

```c
int nPos = 0;
int k = 0;
while (k < length) {
    if (a[k] > 0) {
        nPos++;
    }
}
```

- The computer only knows 1’s & 0’s
Interpreters & Compilers

- **Interpreter**
  - A program that reads a source program and produces the results of executing that program

- **Compiler**
  - A program that translates a program from one language (the *source*) to another (the *target*)
Common Issues

- Compilers and interpreters both must read the input – a stream of characters – and “understand” it: analysis

```c
while (k < length) {
    if (a[k] > 0)
        { nPos++ ; }
}
```
Interpreter

- Interpreter
  - Execution engine
  - Program analysis interleaved with execution
    
    ```
    running = true;
    while (running) {
      analyze next statement;
      execute that statement;
    }
    ```
  - Usually requires repeated analysis of individual statements (particularly in loops, functions)
  - But: immediate execution, good debugging & interaction, etc.
Compiler

- Read and analyze entire program
- Translate to semantically equivalent program in another language
  - Presumably easier or more efficient to execute
- Offline process
- Tradeoff: compile-time overhead (preprocessing) vs execution performance
Typical Implementations

- Compilers
  - FORTRAN, C, C++, Java, COBOL, (La)TeX, SQL (databases), VHDL, etc., etc.
  - Particularly appropriate if significant optimization wanted/needed
Typical Implementations

- Interpreters
  - Javascript, PERL, Python, Ruby, awk, sed, shells (bash), Scheme/Lisp/ML (although these are often hybrids), postscript/pdf, Java VM, machine simulators (SPIM)
  - Particularly efficient if interpreter overhead is low relative to execution cost of individual statements
    - But even if not (SPIM, Java), flexibility, immediacy, or portability may make it worthwhile
Hybrid approaches

- **Best-known example: Java**
  - Compile Java source to byte codes – Java Virtual Machine (JVM) language (.class files)
  - Execution
    - Interpret byte codes directly, or
    - Compile some or all byte codes to native code
      - Just-In-Time compiler (JIT) – detect hot spots & compile on the fly to native code – standard these days
- **Variation: .NET/Common Language Runtime**
  - All IL compiled to native code before execution
- **More recently: JavaScript & other scripting languages** – compile for efficiency
Why Study Compilers? (1)

- Become a better programmer(!)
  - Insight into interaction between languages, compilers, and hardware
  - Understanding of implementation techniques
  - What is all that stuff in the debugger anyway?
  - Better intuition about what your code does
Why Study Compilers? (2)

- Compiler techniques are everywhere
  - Parsing ("little" languages, interpreters, XML)
  - Software tools (verifiers, checkers, …)
  - Database engines, query languages
  - AI, etc.: domain-specific languages
  - Text processing
    - Tex/LaTeX -> dvi -> Postscript -> pdf
  - Hardware: VHDL; model-checking tools
  - Mathematics (Mathematica, Matlab)
Why Study Compilers? (3)

- Fascinating blend of theory and engineering
  - Direct applications of theory to practice
    - Parsing, scanning, static analysis
  - Some very difficult problems (NP-hard or worse)
    - Resource allocation, “optimization”, etc.
    - Need to come up with good-enough approximations/heuristics
Why Study Compilers? (4)

- Ideas from many parts of CSE
  - AI: Greedy algorithms, heuristic search
  - Algorithms: graph algorithms, dynamic programming, approximation algorithms
  - Theory: Grammars, DFAs and PDAs, pattern matching, fixed-point algorithms
  - Systems: Allocation & naming, synchronization, locality
  - Architecture: pipelines, instruction set use, memory hierarchy management, locality
Why Study Compilers? (5)

- You might even write a compiler some day!
- You \textit{will} write parsers and interpreters for little ad-hoc languages, if not bigger things
Structure of a Compiler

First approximation
- Front end: analysis
  - Read source program and understand its structure and meaning
- Back end: synthesis
  - Generate equivalent target language program
Implications

- Must recognize legal programs (& complain about illegal ones)
- Must generate correct code
- Must manage storage of all variables/data
- Must agree with OS & linker on target format
More Implications

- Need some sort of Intermediate Representation(s) (IR)
- Front end maps source into IR
- Back end maps IR to target machine code
- Often multiple IRs – higher level at first, lower level in later phases
Front End

- Usually split into two parts
  - Scanner: Responsible for converting character stream to token stream
    - Also: strips out white space, comments
  - Parser: Reads token stream; generates IR

- Both of these can be generated automatically
  - Source language specified by a formal grammar
  - Tools read the grammar and generate scanner & parser (either table-driven or hard-coded)
Tokens

- Token stream: Each significant lexical chunk of the program is represented by a token (not a literal string)

  - Operators & Punctuation: `{}` `[]` `!` `+` `-` `=` `*` `;` `:` `…`

  - Keywords: if while return goto

  - Identifiers: id & actual name

  - Constants: kind & value; int, floating-point character, string, …
Scanner Example

- Input text
  ```
  // this statement does very little
  if (x >= y) y = 42;
  ```

- Token Stream
  ```
  IF LPAREN ID(x) GEQ ID(y) IF LPAREN ID(x) GEQ ID(y) RPAREN ID(y) BECOMES INT(42) SCOLON
  ```

- Notes: tokens are atomic items, not character strings; comments & whitespace are not tokens (in most languages – counterexample: Python)
Parser Output (IR)

- Many different forms
  - Engineering tradeoffs have changed over time (e.g., memory is (almost) free these days)
- Common output from a parser is an abstract syntax tree
  - Essential meaning of the program without the syntactic noise
Parser Example

- **Token Stream Input**
  
  ```
  IF LPAREN ID(x) 
  GEQ ID(y) RPAREN 
  ID(y) BECOMES INT(42) SCOLON 
  INT(42) SCOLON 
  ```

- **Abstract Syntax Tree**
  
  ```
  IFStmt
     >=
     ID(x)
     ID(y)
     ID(y)
     INT(42)
  ```
Static Semantic Analysis

- During or (more common) after parsing
  - Type checking
  - Check language requirements like proper declarations, etc.
  - Preliminary resource allocation
  - Collect other information needed by back end analysis and code generation

- Key data structure: Symbol Table
  - Maps names -> meaning/types/details
  - Often one per method/class/block/scope
Back End

- Responsibilities
  - Translate IR into target machine code
  - Should produce “good” code
    - “good” = fast, compact, low power consumption (pick some)
  - Should use machine resources effectively
    - Registers
    - Instructions
    - Memory hierarchy
Back End Structure

- Typically split into two major parts
  - “Optimization” – code improvements
  - Code generation – usually two phases
    - Intermediate (lower-level) code generation
      - Typically source-language and target-machine independent
      - Usually precedes optimization
    - Target Code Generation (machine specific)
      - Instruction selection & scheduling
      - Register allocation
The Result

- **Input**
  
  ```
  if (x >= y)
  y = 42;
  ```

- **Output**
  
  ```
  mov   eax,[ebp+16]
  cmp   eax,[ebp-8]
  jl    L17
  mov    [ebp-8],42
  L17:   
  ```
Some History (1)

- 1950’s. Existence proof
  - FORTRAN I (1954) – competitive with hand-optimized code
- 1960’s
  - New languages: ALGOL, LISP, COBOL, SIMULA
  - Formal notations for syntax, esp. BNF
  - Fundamental implementation techniques
    - Stack frames, recursive procedures, etc.
Some History (2)

- **1970’s**
  - Syntax: formal methods for producing compiler front-ends; many theorems

- **Late 1970’s, 1980’s**
  - New languages (functional; Smalltalk & object-oriented)
  - New architectures (RISC machines, parallel machines, memory hierarchy issues)
  - More attention to back-end issues
Some History (3)

- 1990s and beyond
  - Compilation techniques appearing in many new places
    - Just-in-time compilers (JITs)
    - Software analysis, verification, security
  - Phased compilation – blurring the lines between “compile time” and “runtime”
    - Using machine learning techniques for optimizations(!)
  - Compiler technology critical to effective use of new hardware (RISC, Itanium, complex memory heirarchies)
  - The new 800 lb gorilla - multicore
Some History (3)

- **1990s**
  - Techniques for compiling objects and classes, efficiency in the presence of dynamic dispatch and small methods (Self, Smalltalk – now common in JVMs, etc.)
  - Just-in-time compilers (JITs)
  - Compiler technology critical to effective use of new hardware (RISC, Itanium, parallel machines, complex memory hierarchies)
Some History (4)

- This decade
  - Compilation techniques in many new places
    - Software analysis, verification, security
  - Phased compilation – blurring the lines between “compile time” and “runtime”
    - Using machine learning techniques to control optimizations(!)
  - Dynamic languages – e.g., JavaScript, …
  - The new 800 lb gorilla - multicore
Compiling (or related) Turing Awards

- 1966 Alan Perlis
- 1972 Edsger Dijkstra
- 1976 Michael Rabin and Dana Scott
- 1977 John Backus
- 1978 Bob Floyd
- 1979 Bob Iverson
- 1980 Tony Hoare
- 1984 Niklaus Wirth
- 1987 John Cocke
- 2001 Ole-Johan Dahl and Kristen Nygaard
- 2003 Alan Kay
- 2005 Peter Naur
- 2006 Fran Allen
Any questions?

- Your job is to ask questions to be sure you understand what’s happening and to slow me down
  - Otherwise, I’ll barrel on ahead 😊
Coming Attractions

- Quick review of formal grammars
- Lexical analysis – scanning
  - Background for first part of the project
- Followed by parsing …

- Start reading: ch. 1, 2.1-2.4