



# Hash Open Indexing

Data Structures and  
Algorithms



# Warm Up

Discuss with your neighbors:

- What is a collision in a hash table, and how can we handle it?
- What is the load factor?
- What is the probability of a collision in a hash table?
- What's the worst case time complexity for adding an element to a hash table? Why?
- What's the expected case time complexity for adding an element to a hash table? Why?

# Review: Handling Collisions

## Solution 1: Chaining

Each space holds a “bucket” that can store multiple values. Bucket is often implemented with a LinkedList

Operation		Array w/ indices as keys
put(key,value)	best	$O(1)$
	average	$O(1 + \lambda)$
	worst	$O(n)$
get(key)	best	$O(1)$
	average	$O(1 + \lambda)$
	worst	$O(n)$
remove(key)	best	$O(1)$
	average	$O(1 + \lambda)$
	worst	$O(n)$

### Average Case:

Depends on average number of elements per chain

### Load Factor $\lambda$

If  $n$  is the total number of key-value pairs

Let  $c$  be the capacity of array

Load Factor  $\lambda = \frac{n}{c} = \frac{0}{c} = 0$

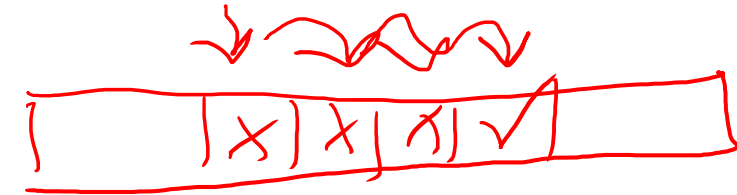
$O(1+0)$

this is why the +1: for an empty table,  $\lambda=0$ , but we don't want to say  $O(0)$  since that doesn't mean the same thing as  $O(1)$ , -we still need to hash and check!

# Handling Collisions

## Solution 2: Open Addressing

Resolves collisions by choosing a different location to store a value if natural choice is already full.



### Type 1: Linear Probing

If there is a collision, keep checking the next element until we find an open spot.

```
public int hashFunction(String s)
    int naturalHash = this.getHash(s);
    if(natural hash in use) {
        int i = 1;
        while (index in use) {
            try (naturalHash + i);
            i++;
        }
    }
```

# Linear Probing

Insert the following values into the Hash Table using a hashFunction of % table size and linear probing to resolve collisions

1, 5, 11, 7, 12, 7, 6, 25

0	1	2	3	4	5	6	7	8	9
	11	12			25	6	17		

# Linear Probing

Insert the following values into the Hash Table using a hashFunction of % table size and linear probing to resolve collisions  
38, 19, 8, 109, 10

	0	1	2	3	4	5	6	7	8	9
8	10								38	109

## Problem:

- Linear probing causes clustering
- Clustering causes more looping when probing

## Primary Clustering

When probing causes long chains of occupied slots within a hash table

# Runtime

When is runtime good?

Empty table

When is runtime bad?

Table nearly full

When we hit a “cluster”

Maximum Load Factor?

$\lambda$  at most 1.0

When do we resize the array?

$\lambda \approx \frac{1}{2}$

Average number of probes for successful probe:

$$\frac{1}{2} \left( 1 + \frac{1}{1-\lambda} \right)$$

Average number of probes for unsuccessful probe:

$$\frac{1}{2} \left( 1 + \frac{1}{(1-\lambda)^2} \right)$$

# Can we do better?

Clusters are caused by picking new space near natural index

## Solution 2: Open Addressing

### Type 2: Quadratic Probing

If we collide instead try the next  $i^2$  space

```
public int hashFunction(String s)
    int naturalHash = this.getHash(s);
    if(natural hash in use) {
        int i = 1;
        while (index in use) {
            try (naturalHash + i * i);
            i++;
        }
    }
```



# Quadratic Probing

Insert the following values into the Hash Table using a hashFunction of % table size and quadratic probing to resolve collisions  
89, 18, 49, 58, 79

0	1	2	3	4	5	6	7	8	9
		58	79					18	<del>49</del>

$$(49 \% 10 + 0 * 0) \% 10 = 9$$

$$(49 \% 10 + 1 * 1) \% 10 = 0$$

$$(58 \% 10 + 0 * 0) \% 10 = 8$$

$$(58 \% 10 + 1 * 1) \% 10 = 9$$

$$(58 \% 10 + 2 * 2) \% 10 = 2$$

$$(79 \% 10 + 0 * 0) \% 10 = 9$$

$$(79 \% 10 + 1 * 1) \% 10 = 0$$

$$(79 \% 10 + 2 * 2) \% 10 = 3$$

## Problems:

If  $\lambda \geq \frac{1}{2}$  we might never find an empty spot

Infinite loop!

Can still get clusters

# Secondary Clustering

Insert the following values into the Hash Table using a hashFunction of % table size and quadratic probing to resolve collisions  
19, 39, 29, 9

0	1	2	3	4	5	6	7	8	9
39			29					9	19

## Secondary Clustering

When using quadratic probing sometimes need to probe the same sequence of table cells, not necessarily next to one another

# Probing

- $h(k)$  = the natural hash
- $h'(k, i)$  = resulting hash after probing
- $i$  = iteration of the probe
- $T$  = table size

## Linear Probing:

$$h'(k, i) = (h(k) + i) \% T$$

## Quadratic Probing

$$h'(k, i) = (h(k) + i^2) \% T$$

For both types there are only  $O(T)$  probes available

- Can we do better?

# Double Hashing

Probing causes us to check the same indices over and over- can we check different ones instead?

Use a second hash function!

$h'(k, i) = (h(k) + i * g(k)) \% T$  <- Most effective if  $g(k)$  returns value prime to table size

```
public int hashFunction(String s)
    int naturalHash = this.getHash(s);
    if(natural hash in use) {
        int i = 1;
        while (index in use) {
            try (naturalHash + i * jump_Hash(key));
            i++;
        }
    }
}
```

# Second Hash Function

Effective if  $g(k)$  returns a value that is *relatively prime* to table size

- If  $T$  is a power of 2, make  $g(k)$  return an odd integer
- If  $T$  is a prime, make  $g(k)$  return any smaller, non-zero integer
  - $g(k) = 1 + (k \% T(-1))$

How many different probes are there?

- $T$  different starting positions
- $T - 1$  jump intervals
- $O(T^2)$  different probe sequences
  - Linear and quadratic only offer  $O(T)$  sequences

← comes from  $g(k)$  after modding by  $T-1$  (we don't allow probe distances of  $T$  since that would put us back where we started! :)

$$(h(k) + i * T) \% T = h(k)$$

for any integer  $i$ .



# Summary

## 1. Pick a hash function to:

- Avoid collisions
- Uniformly distribute data
- Reduce hash computational costs

## 2. Pick a collision strategy

- Chaining
  - LinkedList
  - AVL Tree

No clustering  
Potentially more “compact” ( $\lambda$  can be higher)

- Probing
  - Linear
  - Quadratic
- Double Hashing

Managing clustering can be tricky  
Less compact (keep  $\lambda < \frac{1}{2}$ )  
Array lookups tend to be a constant factor faster than traversing pointers

