



CSE373: Data Structures & Algorithms

Lecture 24: The P vs. NP question, NP-Completeness

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Spring 2015

Admin

- Homework 5 due TONIGHT at 11pm!
- Homework 6 is posted
 - Due one week from today, June 3rd at 11pm
 - No partners

The \$1M question

The Clay Mathematics Institute
Millennium Prize Problems

1. Birch and Swinnerton-Dyer Conjecture
2. Hodge Conjecture
3. Navier-Stokes Equations
4. **P vs NP**
5. Poincaré Conjecture
6. Riemann Hypothesis
7. Yang-Mills Theory

The P versus NP problem

Is one of the biggest open problems in computer science (and mathematics) today

It's currently unknown whether there exist polynomial time algorithms for NP-complete problems

- That is, does $P = NP$?
- People generally believe $P \neq NP$, but no proof yet

But what is the P-NP problem?

Sudoku

2			3		8		5	
		3		4	5	9	8	
		8			9	7	3	4
6		7		9				
9	8						1	7
				5		6		9
3	1	9	7			2		
	4	6	5	2		8		
	2		9		3			1

3x3x3

Sudoku

2	9	4	3	7	8	1	5	6
1	7	3	6	4	5	9	8	2
5	6	8	2	1	9	7	3	4
6	5	7	1	9	2	3	4	8
9	8	2	4	3	6	5	1	7
4	3	1	8	5	7	6	2	9
3	1	9	7	8	4	2	6	5
7	4	6	5	2	1	8	9	3
8	2	5	9	6	3	4	7	1

3x3x3

Sudoku

	F		2						6			C	B	3	
	C				4	8	E	A			0		D		
D	A	8			3		2	7	F			6		5	
6			E	D	F		C		8						7
	9	3		7					A						2
E						6	F	5		8	4		3		1
C	8		1	3	9	D		0	2		E				
	D		6		5	E	B		1					0	4
9	6					1		F	3	2		0		A	
				4		A	8		D	0	9	B		2	5
2		A		0	D		5	6	C						F
5						2					A		4	8	
B						4		1		A	2	F			0
	0		7			F	3	C		D			2	9	B
		5		1			A	9	0	B				D	
	2	D	A			9						1		4	

4x4x4

Sudoku

0	F	9	2	A	7	5	1	4	6	E	D	C	B	3	8
7	C	1	3	6	4	8	E	A	B	5	0	2	D	F	9
D	A	8	4	9	3	B	2	7	F	C	1	6	0	5	E
6	5	B	E	D	F	0	C	2	8	9	3	4	A	1	7
4	9	3	5	7	1	C	0	D	A	F	B	8	E	6	2
E	B	7	0	2	A	6	F	5	9	8	4	D	3	C	1
C	8	F	1	3	9	D	4	0	2	6	E	5	7	B	A
A	D	2	6	8	5	E	B	3	1	7	C	9	F	0	4
9	6	4	8	E	B	1	7	F	3	2	5	0	C	A	D
3	7	C	F	4	6	A	8	E	D	0	9	B	1	2	5
2	1	A	B	0	D	3	5	6	C	4	8	7	9	E	F
5	E	0	D	F	C	2	9	B	7	1	A	3	4	8	6
B	3	6	9	C	E	4	D	1	5	A	2	F	8	7	0
1	0	E	7	5	8	F	3	C	4	D	6	A	2	9	B
8	4	5	C	1	2	7	A	9	0	B	F	E	6	D	3
F	2	D	A	B	0	9	6	8	E	3	7	1	5	4	C

4x4x4

Sudoku

2			3	8		5	
		3		4	5	9	8
		8		9	7	3	4
6		7		9			
9	8					1	7
			5		6		9
3	1	9	7			2	
	4	6	5	2		8	
	2		9	3			1

Suppose you have an algorithm $S(n)$ to solve $n \times n \times n$

F	2				6		C	B	3
C			4	8	E	A		0	D
D	A	8		3	2	7	F		6
6		E	D	F	C	8			7
9	3		7			A			2
E				6	F	5	8	4	3
C	B	1	3	9	D	0	2	E	
D	6		5	E	B	1			0
9	6			1		F	3	2	D
			4	A	8	D	0	9	B
2	A		D	D	5	6	C		F
5				2			A		4
B			4		1	A	2	F	0
D	7		F	3	C	D		2	9
	5	1		A	9	D	B		D
2	D	A		9				1	4

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$n \times n \times n$

$V(n)$ time to verify the solution

Fact: $V(n) = O(n^2 \times n^2)$

Question: is there some constant such that

$S(n) = O(n^{\text{constant}})$?

2			3	8		5	
		3		4	5	9	8
		8		9	7	3	4
6		7		9			
9	8					1	7
				5	6		9
3	1	9	7			2	
		4	6	5	2	8	
	2		9		3		1

Sudoku

P vs NP problem

F	2				6		C	B	3
C			4	8	E	A		0	D
D	A	8		3	2	7	F		6
6		E	D	F	C		8		7
9	3		7			A			2
E				6	F	5	8	4	
C	B		1	3	9	D	0	2	E
D		6		5	E	B		1	
9	6				1	F	3	2	D
			4	A	8	D	0	9	B
2	A		D	D	5	6	C		F
5				2			A		4
B				4		1	A	2	F
D		7		F	3	C	D		2
		5	1		A	9	0	B	
2	D	A		9				1	4

=

Does there exist an algorithm for solving $n \times n \times n$ Sudoku that runs in time $p(n)$ for some polynomial $p(\)$?

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-
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$n \times n \times n$

The P versus NP problem (**informally**)

Is **finding** an answer to a problem *much* more difficult than **verifying** an answer to a problem?

Circuit-Satisfiability

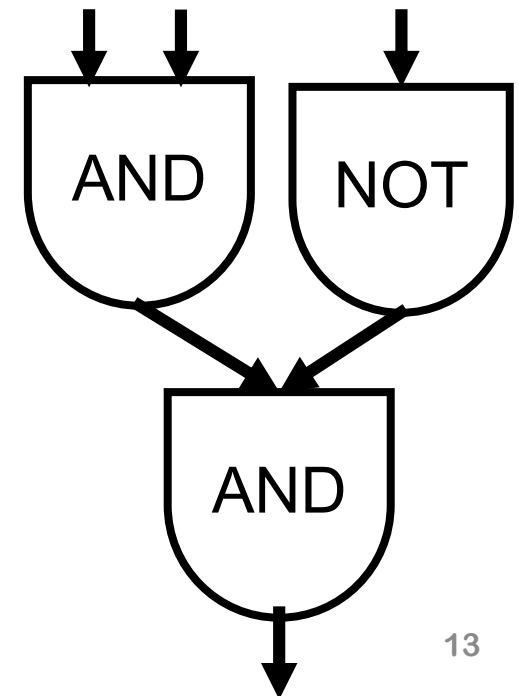
Input: A circuit C with one output

Output: YES if C is satisfiable

NO if C is not satisfiable

The Set “SAT”

$SAT = \{ \text{all satisfiable circuits } C \}$



Sudoku

Input: $n \times n \times n$ sudoku instance

Output: YES if this sudoku has a solution

NO if it does not

The Set “SUDOKU”

SUDOKU = { All solvable sudoku instances }

Polynomial Time and The Class “P”

What is an efficient algorithm?

Is an $O(n)$ algorithm efficient?

How about $O(n \log n)$?

$O(n^2)$?

$O(n^{10})$?

$O(n^{\log n})$?

$O(2^n)$?

$O(n!)$?

polynomial time

$O(n^c)$ for some
constant c

non-polynomial
time

What is an efficient algorithm?

Does an algorithm running in $O(n^{100})$ time count as efficient?

Asking for a poly-time algorithm for a problem sets a (very) low bar when asking for efficient algorithms.

We consider **non-polynomial** time algorithms to be **inefficient**.

And hence a **necessary** condition for an algorithm to be efficient is that it should run in poly-time.

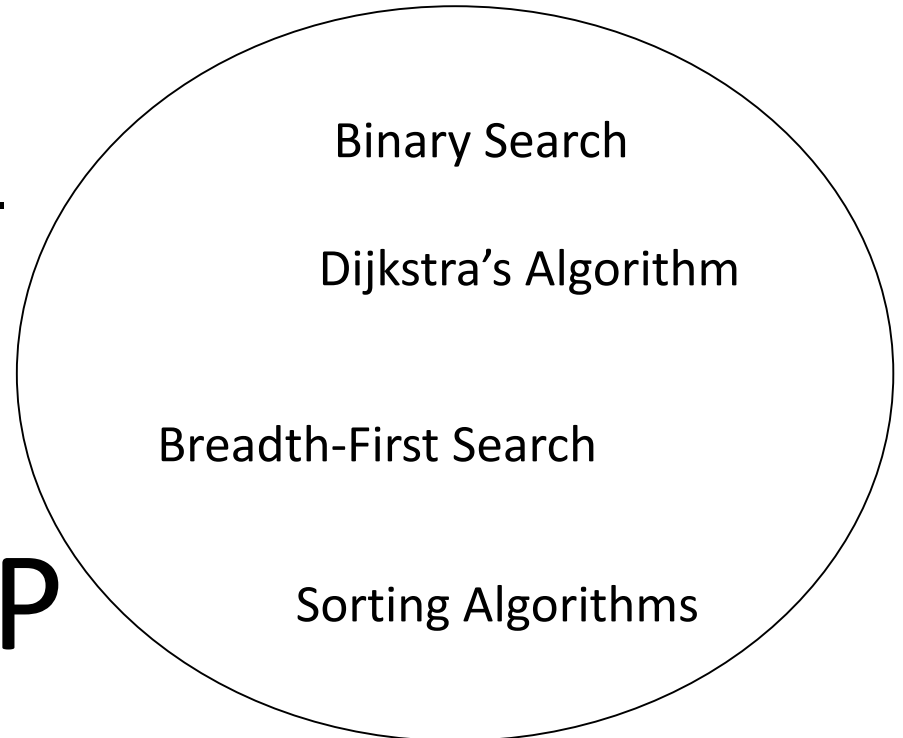
The Class P

The class of all sets that can be **verified** in polynomial time.

AND

The class of all decision problems that can be **decided** in polynomial time.

P



The question is: can we achieve **even** this for

HAM?

SAT?

Sudoku?

Onto the new class, NP

(Nondeterministic Polynomial Time)

Verifying Membership

Is there a short “proof” I can give you to **verify** that:

$G \in \text{HAM?}$

$G \in \text{Sudoku?}$

$G \in \text{SAT?}$

Yes: I can just give you the cycle, solution, circuit

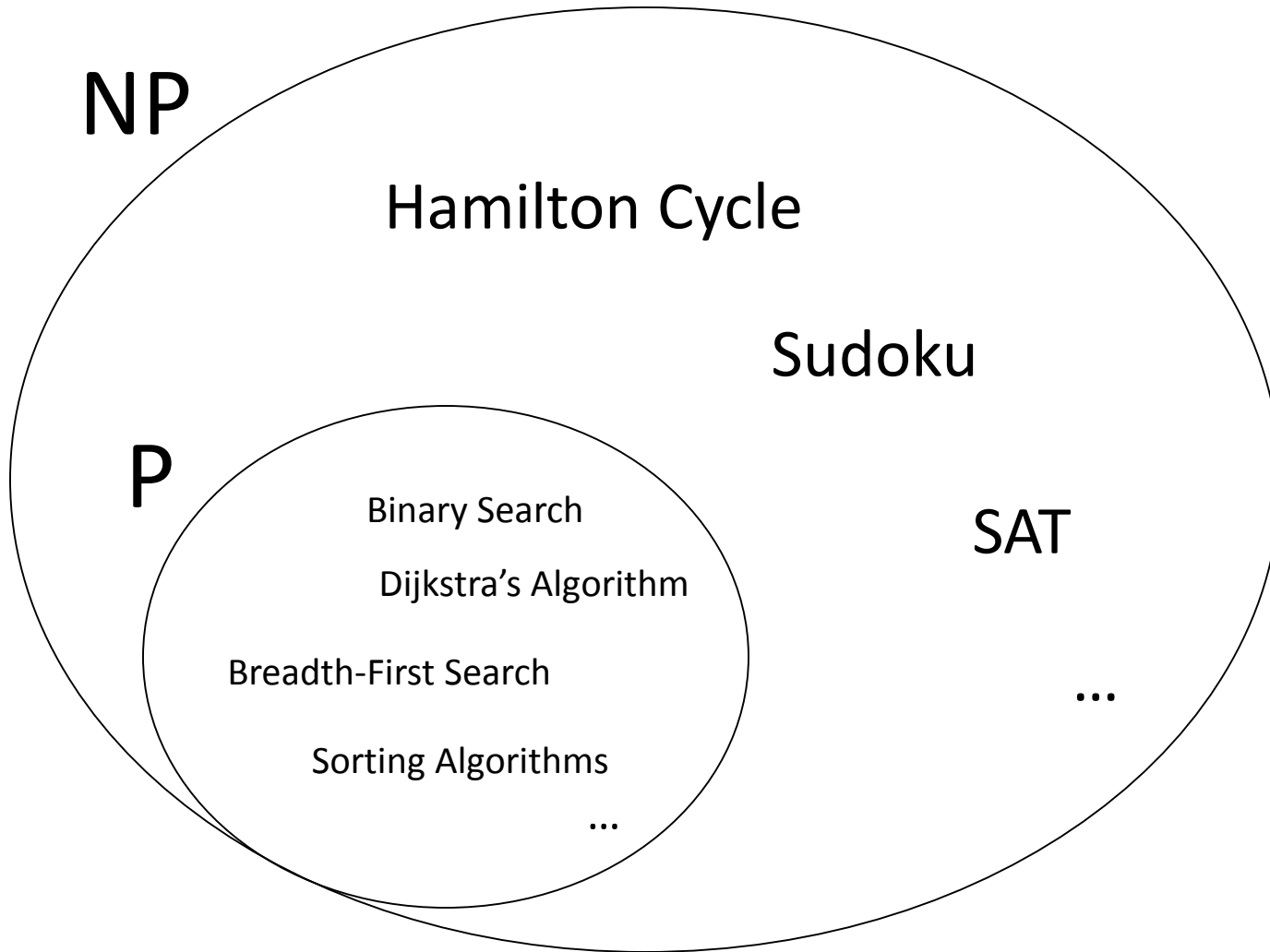
The Class NP

The class of sets for which there exist
“short” proofs of membership
(of polynomial length)
that can “quickly” verified
(in polynomial time).

Fact: $P \subseteq NP$

Recall: The algorithm doesn't have to find the proof; it just needs to be able to verify that it is a “correct” proof.

$$P \subseteq NP$$



Summary: P versus NP

NP: “proof of membership” in a set can be **verified** in polynomial time.

P: in NP (membership verified in polynomial time)

AND membership in a set can be **decided** in polynomial time.

Fact: $P \subseteq NP$

Question: Does $NP \subseteq P$?

i.e. *Does $P = NP$?*

People generally believe $P \neq NP$, but no proof yet

Why Care?

NP Contains Lots of Problems We Don't Know to be in P

Classroom Scheduling

Packing objects into bins

Scheduling jobs on machines

Finding cheap tours visiting a subset of cities

Finding good packet routings in networks

Decryption

...

OK, OK, I care...

How could we prove that $NP = P$?

We would have to show that every set in NP has a polynomial time algorithm...

How do I do that?

It may take a long time!

Also, what if I forgot one of the sets in NP?

How could we prove that $NP = P$?

We can describe **just one** problem L in NP , such that if this problem L is in P , then $NP \subseteq P$.

It is a problem that can capture all other problems in NP .

The “Hardest” Set in NP

We call these problems **NP -complete**

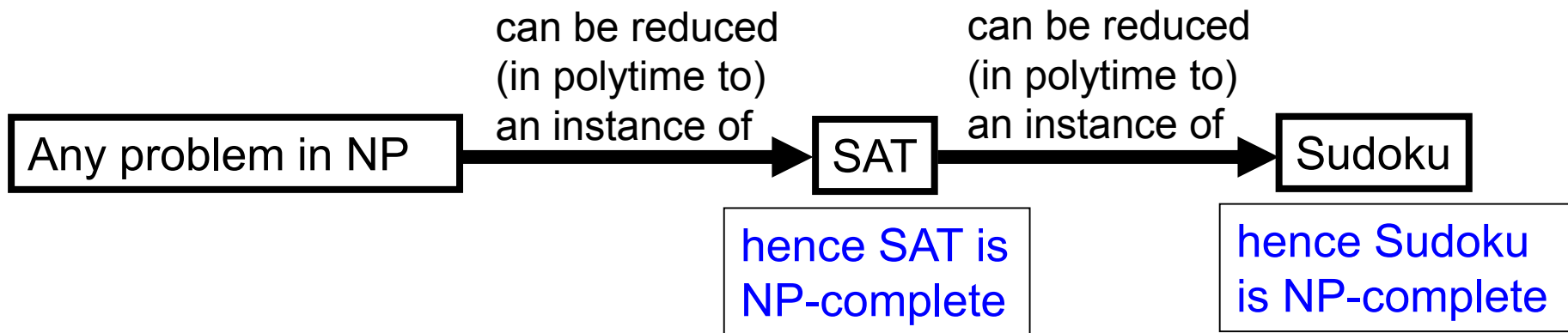
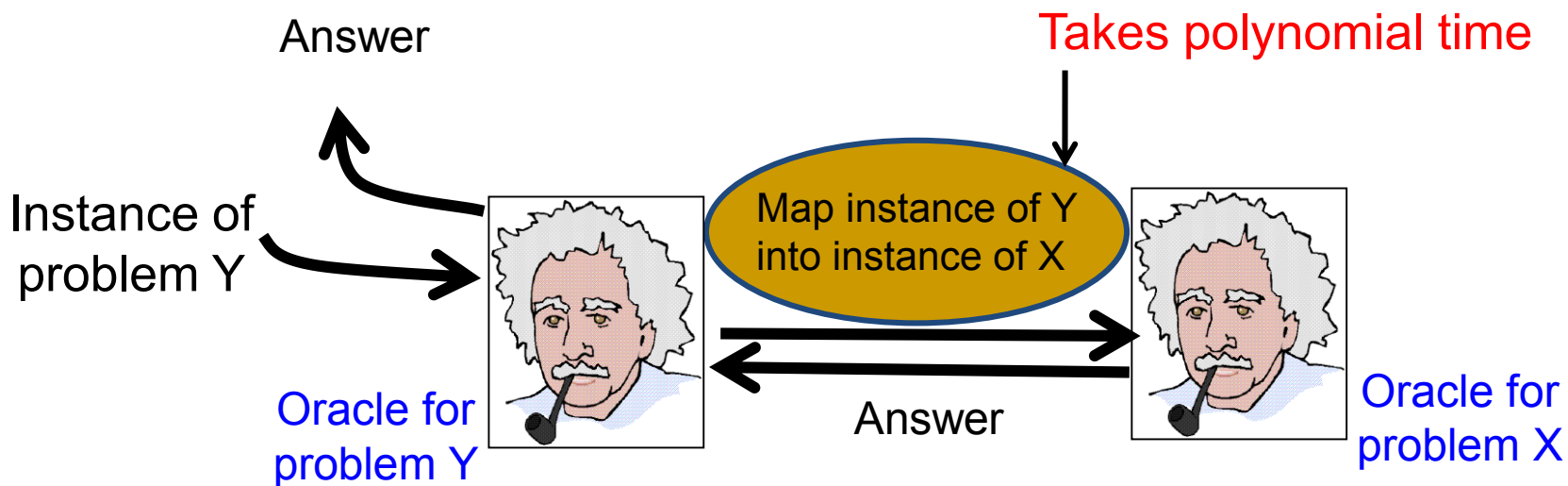
Theorem [Cook/Levin]

SAT is one problem in NP, such that if we can show SAT is in P, then we have shown $NP = P$.

SAT is a problem in NP that can capture all other languages in NP.

We say SAT is **NP-complete**.

Poly-time reducible to each other



NP-complete: The “Hardest” problems in NP

Sudoku

Clique

SAT

Independent-Set

3-Colorability

HAM

These problems are all “polynomial-time equivalent”
i.e., each of these can be reduced to any of the others
in polynomial time

If you get a polynomial-time algorithm for one,
you get a polynomial-time algorithm for ALL.
(you get millions of dollars, you solve decryption, ... etc.)