

# CSE 341: Programming Languages

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Lecture 12— Parametric Polymorphism; Equivalence

# Today

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Two more “conceptual” topics

- Higher density of more abstract concepts as course progresses
- Think about the theory and how languages “fit together”, not just how do I “code something up”

## 1. Parametric polymorphism

- Also: Type constructors (e.g., ML’s `list` and `option`)

## 2. Equivalence

- When are two functions or other expressions “the same”

# Parametric Polymorphism

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Fancy phrase for “forall types” or sometimes “generics.” In ML since mid-80s and now in Java, C#, VB, etc.

- (C++ templates are more like macros (later)).

In ML, there’s an implicit “for all” at the beginning of any type with 'a, 'b, etc. Example:

```
('a * 'b) -> ('b * 'a)
```

really means:

```
forall 'a, 'b . (('a * 'b) -> ('b * 'a))
```

(though forall is just for lecture purposes; it is not in ML)

We can *instantiate* the *type variables* to get a *less general* type. For example, with string for 'a and int->int for 'b we get:

```
(string * (int -> int)) -> ((int->int) * string)
```

## All the types

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In principle, we could have a very flexible way of building types:

- *Base types* like `int`, `string`, `real`, ...
- *Compound types* like `t1 * t2` and `t1 -> t2` where `t1` and `t2` are *any type*
- *Polymorphic types* like `forall 'a. t` where `'a` can appear in `t`.

Would let you have types like

```
(forall 'a. 'a -> ('a*'a)) -> ((int*int) * (bool*bool))
```

Every language has limits; in ML there is no type like this.

The `forall` is always implicit and “all the way to the outside left”, for example this *different type*:

```
('a -> ('a * 'a)) -> ((int * int) * (bool * bool))
```

## Example

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This code is fine, but ML disallows it to make *type inference* easier.

```
(* function f does _not_ type-check *)  
fun f pairmaker = (pairmaker 7, pairmaker true)  
val x = f (fn y => (y,y))
```

# Versus Subtyping

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Compare:

```
fun swap (x,y) = (y,x) (* ('a * 'b) -> ('b * 'a) *)
```

with:

```
class Pair {  
  Object x;  
  Object y;  
  Pair(Object _x, Object _y) { x=_x; y=_y; }  
  static Pair swap(Pair pr) {return new Pair(pr.y, pr.x);}  
}
```

ML wins in two ways (for this example):

- Caller instantiates types, so doesn't need to cast fields of result
- Callee cannot return a pair of any two objects.

That's why Java added generics...

# Java Generics

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```
class Pair<T1,T2> {  
    T1 x;  
    T2 y;  
    Pair(T1 _x, T2 _y) { x=_x; y=_y; }  
    static <T1,T2> Pair<T2,T1> swap(Pair<T1,T2> pr) {  
        return new Pair<T2,T1>(pr.y,pr.x);  
    }  
}
```

This really is a step forward despite the clutter, i.e., it is

```
fun swap (x,y) = (y,x)
```

with explicit types and other verbiage.

# Containers

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Parametric polymorphism is also ideal for functions over containers (lists, sets, hashtables, etc.) where elements have the same type.

Example: ML lists

```
val :: : ('a * ('a list)) -> 'a list (* infix is syntax *)
```

```
val map : (('a -> 'b) * ('a list)) -> 'b list
```

```
val sum : int list -> int
```

```
val fold : ('a * 'b -> 'b) -> ('a list) -> 'b
```

list is not a type; if t is a type, then t list is a type.



## User-defined type constructors

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Language-design: If something is useful for a built-in feature, it is useful for programmer-defined stuff too.

So: Let programmers declare type constructors.

Examples:

```
datatype 'a non_mt_list = One of 'a
                        | More of 'a * ('a non_mt_list)
```

```
datatype ('a,'b) mytree =
  Leaf of 'a
  | Node of 'b * ('a,'b) mytree * ('a,'b) mytree
```

Example construction of values:

```
Node("hi",Leaf 17,Leaf 4)      (* (string,int) mytree *)
Node(14,Leaf "hi",Leaf "mom") (* (int,string) mytree *)
(* Node("hi",Leaf 17,Leaf true) *) (* doesn't type-check *)
```

## What about lists?

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Now *everything* about lists is syntactic sugar!

- Constructors use funny (infix) syntax
- `[1,2,3]` syntax is built-in

But otherwise it is basically:

```
datatype 'a list = [] | :: of 'a * ('a list)
```

## One last thing – not on the test

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Polymorphism and mutation can be a dangerous combination.

```
val x = ref []          (* 'a list ref *)
val _ = x := ["hi"]    (* instantiate 'a with string *)
val _ = (hd(!x)) + 7  (* instantiate 'a with int -- bad!! *)
```

To prevent this, ML has “the value restriction”: bindings can only get polymorphic types if they are initialized with values.

Alas, that means this does not work even though it should be fine:

```
val pr_list = List.map (fn x => (x,x))
```

But these all work:

```
val pr_list : int list -> (int*int) list =
  List.map (fn x => (x,x))
val pr_list = fun lst => List.map (fn x => (x,x)) lst
fun pr_list lst = List.map (fn x => (x,x)) lst
```

# Equivalence

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“Equivalence” is a fundamental programming concept

- Code maintenance (simplify code)
- Backward-compatibility (add new optional features)
- Program optimization (make faster without breaking it)
- Abstraction and strong interfaces (previous lecture)

But what does it mean for an expression (or program)  $e_1$  to be “equivalent” to expression  $e_2$ ?

## Toward a definition

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“Equivalence” really *depends on what is observable*.

- Two different sorting algorithms generally “are equivalent”.
- But if one takes a second and the other takes a century?

In programming languages, we generally ignore *internal* differences like running time, private data structures used, etc.

- Otherwise too few things would be “equivalent” — we *want* to justify replacing code with “better (or at least as good) but equivalent”

## A definition

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*Two functions are equivalent if they have the same observable behavior no matter how they are used anywhere in any program.*

Given the same argument/environment:

- they produce the same result.
- they have the same (non)termination behavior.
- they mutate the same memory the same way.
- they do the same input/output.
- they raise the same exceptions.

Discouraging/forbidding 3, 4, and 5, helps ensure equivalence.

- For example, *if* you “stay functional” then  $(f\ x) + (f\ x)$  can be replaced by  $(f\ x)*2$  *without* consulting what  $f$  is bound to.
- (Side)-effects are often worth discouraging in any language.

# Function equivalences

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There are 3 very general things you can do with functions that produce equivalent code. Recognizing them (and their subtle caveats) can make you a better programmer.

1. Systematic renaming of variables
2. “Inlining” by replacing a function call with a body + substitutions
3. Unnecessary function wrapping

*We will probably discuss these notions of equivalence and the notion of “free variables” later in the course.*

# Syntactic Sugar

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When all expressions using one construct are totally equivalent to another more primitive construct, we say the former is “syntactic sugar”.

- Makes language definition easier
- Makes language implementation easier

Examples:

- `e1 andalso e2` (define as a conditional)
- `if e1 then e2 else e3` (define as a case)
- tuples are really records with field names 1, 2, ...

Note: The error messages used to be even worse because the type-checker worked on a desugared version of your code.



# Almost sugar

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#1 `e` is not quite sugar because it works for pairs and triples

*If we ignore types*, then we have this equivalence too:

`let val p = e1 in e2 end` is just `(fn p => e2) e1`.