

CSE 332 Autumn 2023

Lecture 8: Dictionaries, BSTs

Nathan Brunelle

<http://www.cs.uw.edu/332>

Warm Up: Give pseudocode to calculate the height of a Tree

```
treeHeight(root){  
    height = 0;  
    ???  
    return height;  
}
```

Tree Height

```
treeHeight(root){  
    height = 0;  
    if (root.left != Null){  
        height = max(height, treeHeight(root.left));  
    }  
    if (root.right != Null){  
        height = max(height, treeHeight(root.right));  
    }  
    return height;  
}
```

Dictionary (Map) ADT

- **Contents:**
 - Sets of key+value pairs
 - Keys must be comparable
- **Operations:**
 - **insert(key, value)**
 - Adds the (key,value) pair into the dictionary
 - If the key already has a value, overwrite the old value
 - Consequence: Keys cannot be repeated
 - **find(key)**
 - Returns the value associated with the given key
 - **delete(key)**
 - Remove the key (and its associated value)

Naïve attempts

| Data Structure | Time to insert | Time to find | Time to delete |
|----------------------|----------------|------------------|----------------|
| Unsorted Array | $\Theta(n)$ | $\Theta(n)$ | $\Theta(n)$ |
| Unsorted Linked List | $\Theta(n)$ | $\Theta(n)$ | $\Theta(n)$ |
| Sorted Array | $\Theta(n)$ | $\Theta(\log n)$ | $\Theta(n)$ |
| Sorted Linked List | $\Theta(n)$ | $\Theta(n)$ | $\Theta(n)$ |

Less Naïve attempts

- Binary Search Trees (today)
- Tries (Project)
- AVL Trees (next week)
- B-Trees (next week)
- HashTables (week after)
- Red-Black Trees (not included in this course)
- Splay Trees (not included in this course)

Naïve attempts

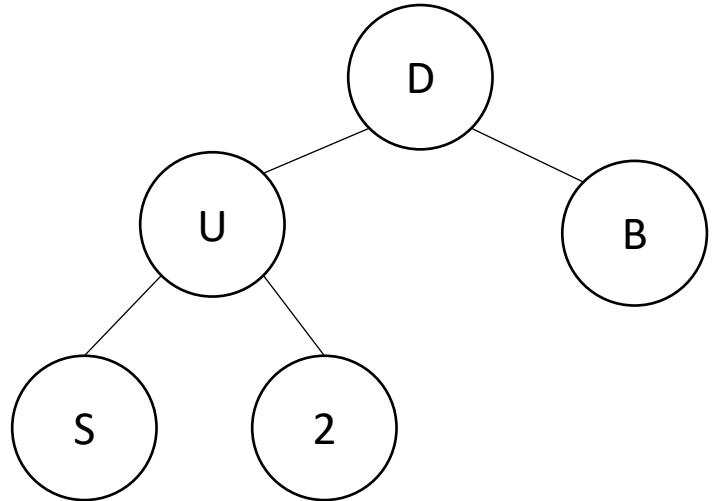
| Data Structure | Time to insert | Time to find | Time to delete |
|---------------------------------|------------------|------------------|------------------|
| Unsorted Array | $\Theta(n)$ | $\Theta(n)$ | $\Theta(n)$ |
| Unsorted Linked List | $\Theta(n)$ | $\Theta(n)$ | $\Theta(n)$ |
| Sorted Array | $\Theta(n)$ | $\Theta(\log n)$ | $\Theta(n)$ |
| Sorted Linked List | $\Theta(n)$ | $\Theta(n)$ | $\Theta(n)$ |
| Binary Search Tree (W.C.) | $\Theta(n)$ | $\Theta(n)$ | $\Theta(n)$ |
| Binary Search Tree (average) | $\Theta(\log n)$ | $\Theta(\log n)$ | $\Theta(\log n)$ |

Tree Height

```
treeHeight(root){  
    height = 0;  
    if (root.left != Null){  
        height = max(height, treeHeight(root.left));  
    }  
    if (root.right != Null){  
        height = max(height, treeHeight(root.right));  
    }  
    return height;  
}
```

More Tree “Vocab”

- Traversal:
 - An algorithm for “visiting” every node in a tree
- Pre-Order Traversal:
 - Root, Left Subtree, Right Subtree
- In-Order Traversal:
 - Left Subtree, Root, Right Subtree
- Post-Order Traversal
 - Left Subtree, Right Subtree, Root



Name that Traversal!

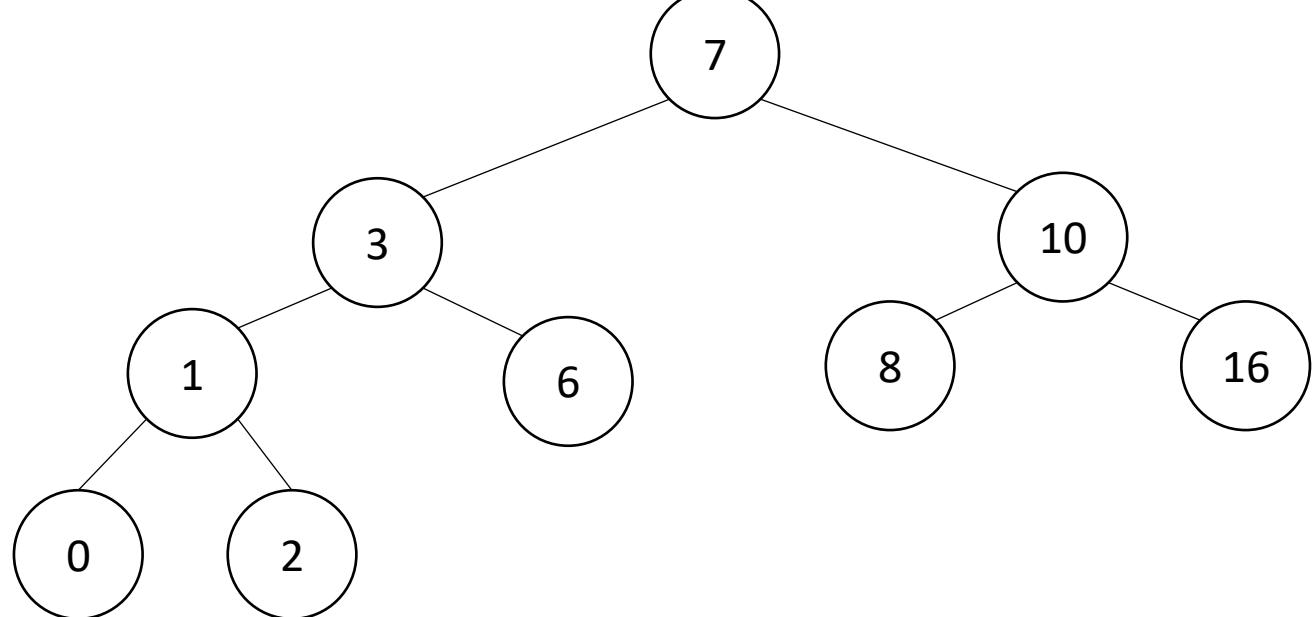
```
AorderTraversal(root){  
    if (root.left != Null){  
        process(root.left);  
    }  
    if (root.right != Null){  
        process(root.right);  
    }  
    process(root);  
}
```

```
BorderTraversal(root){  
    process(root);  
    if (root.left != Null){  
        process(root.left);  
    }  
    if (root.right != Null){  
        process(root.right);  
    }  
}
```

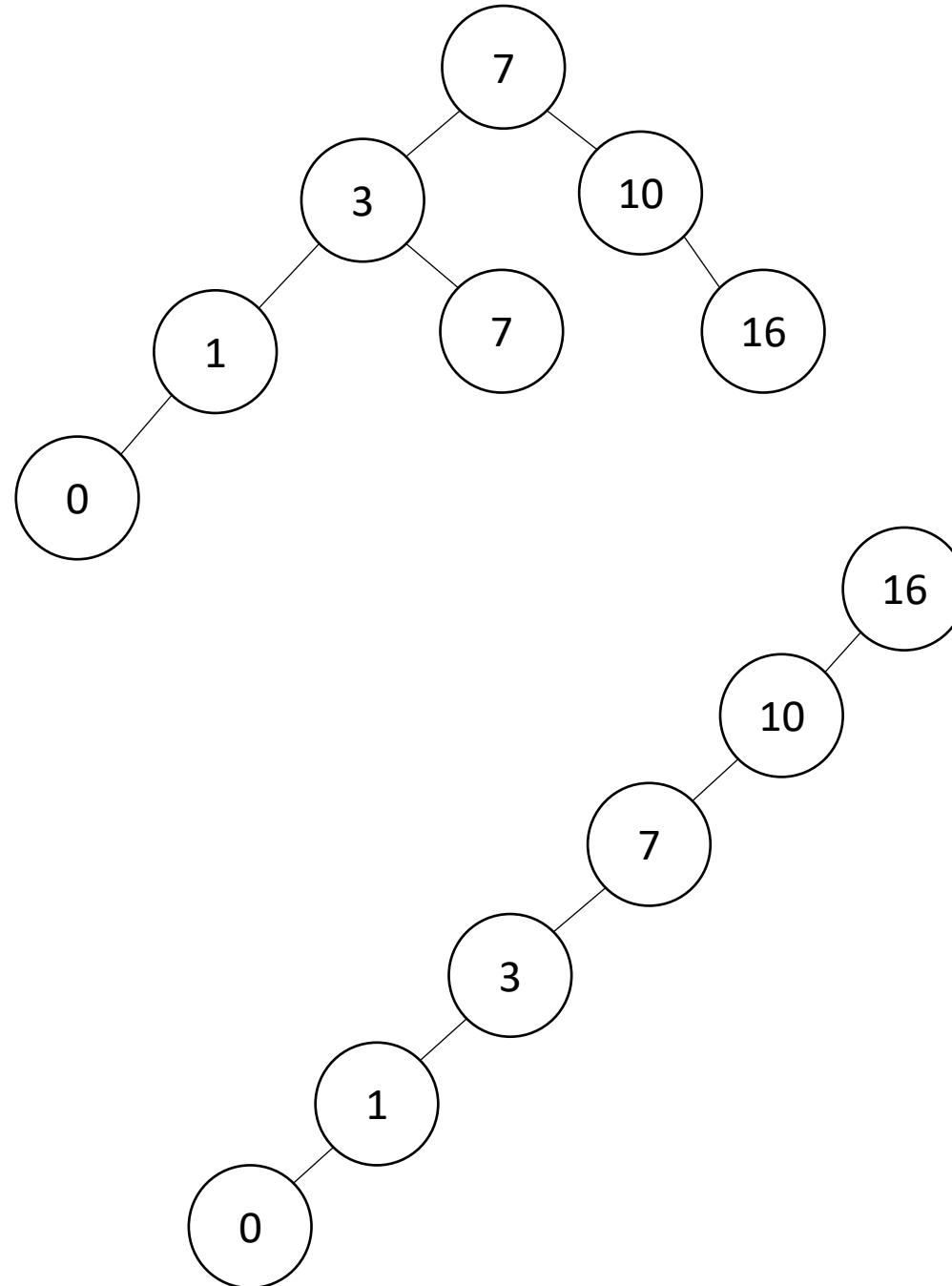
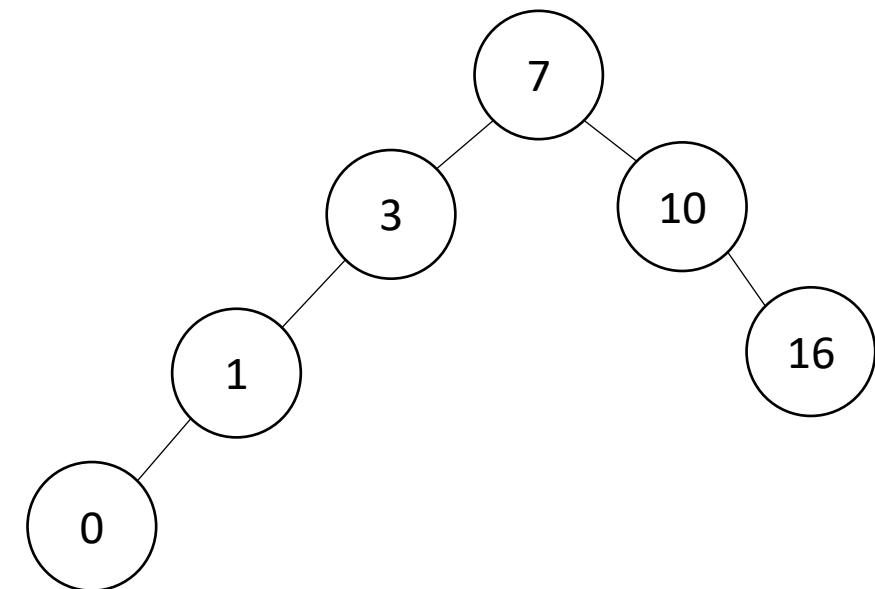
```
CorderTraversal(root){  
    if (root.left != Null){  
        process(root.left);  
    }  
    process(root)  
    if (root.right != Null){  
        process(root.right);  
    }  
}
```

Binary Search Tree

- Binary Tree
 - Definition:
- Order Property
 - All keys in the left subtree are smaller than the root
 - All keys in the right subtree are larger than the root
- Why?

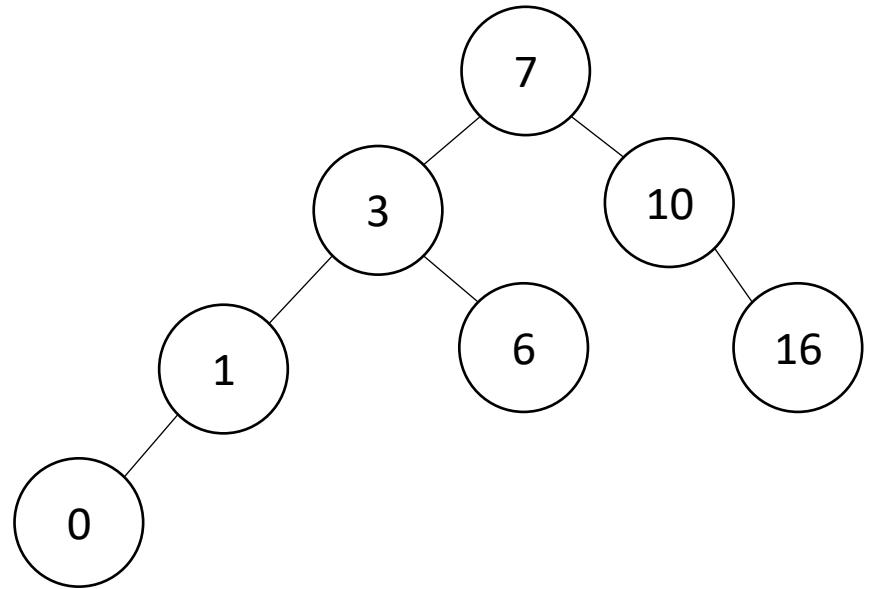


Are these BSTs?



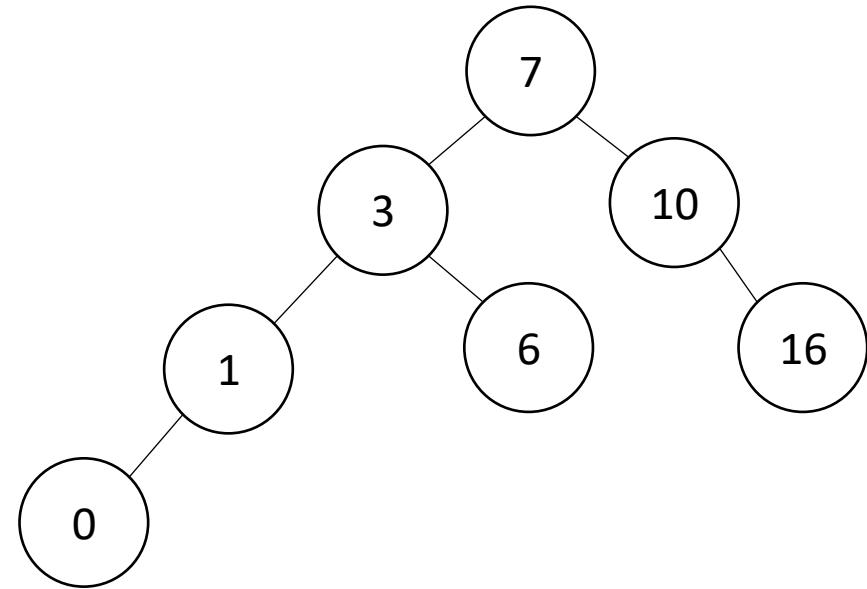
Find Operation (recursive)

```
find(key, root){  
    if (root == Null){  
        return Null;  
    }  
    if (key == root.key){  
        return root.value;  
    }  
    if (key < root.key){  
        return find(key, root.left);  
    }  
    if (key > root.key){  
        return find(key, root.right);  
    }  
    return Null;  
}
```



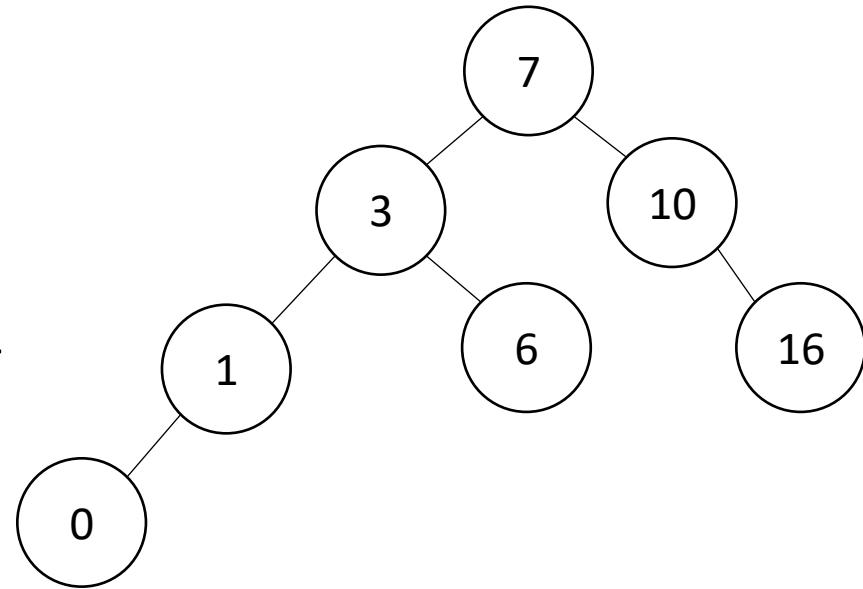
Find Operation (iterative)

```
find(key, root){  
    while (root != Null && key != root.key){  
        if (key < root.key){  
            root = root.left;  
        }  
        else if (key > root.key){  
            root = root.right;  
        }  
    }  
    if (root == Null){  
        return Null;  
    }  
    return root.value;  
}
```



Insert Operation (iterative)

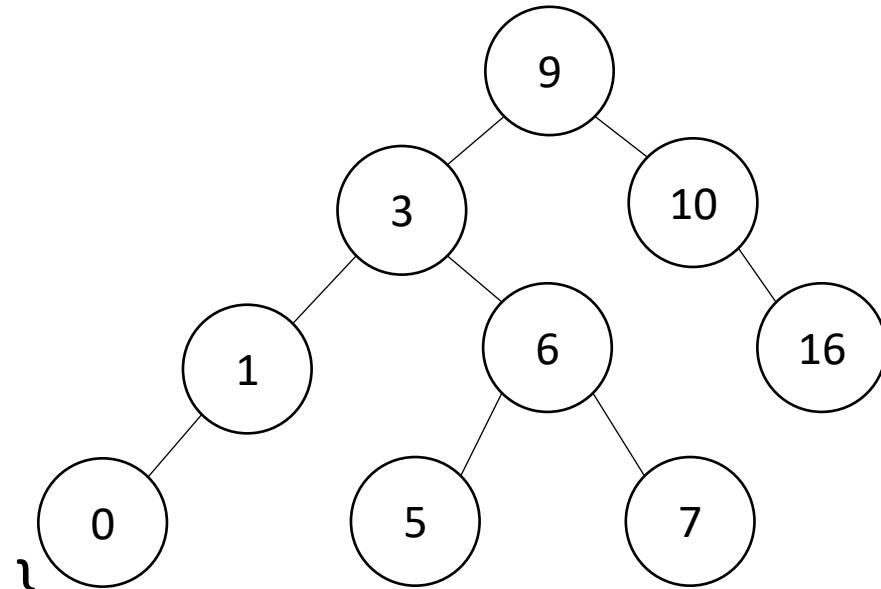
```
insert(key, value, root){  
    if (root == Null){ this.root = new Node(key, value); }  
    parent = Null;  
    while (root != Null && key != root.key){  
        parent = root;  
        if (key < root.key){ root = root.left; }  
        else if (key > root.key){ root = root.right; }  
    }  
    if (root != Null){ root.value = value; }  
    else if (key < parent.key){ parent.left = new Node(key, value); }  
    else{ parent.right = new Node (key, value); }  
}
```



Note: Insert happens only at the leaves!

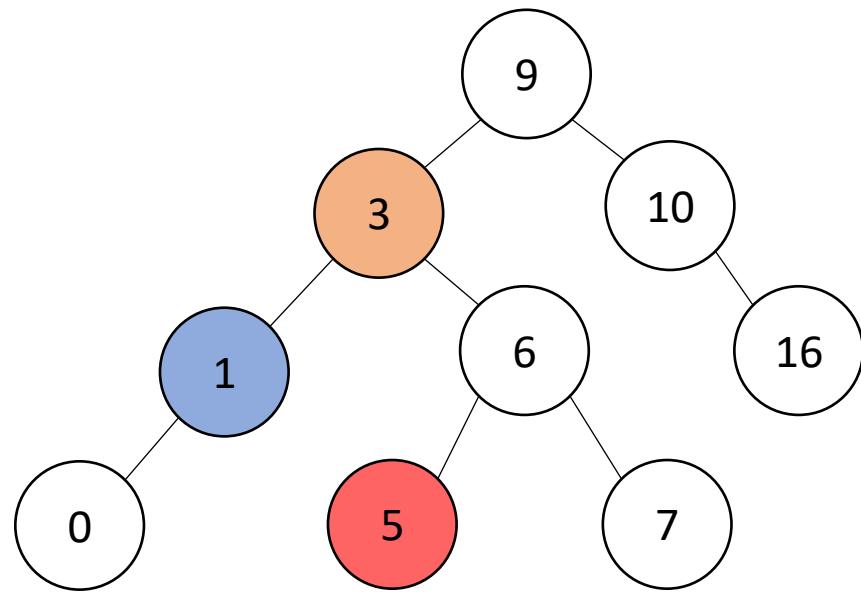
Delete Operation (iterative)

```
delete(key, root){  
    while (root != Null && key != root.key){  
        if (key < root.key){ root = root.left; }  
        else if (key > root.key){ root = root.right; }  
    }  
    if (root == Null){ return; }  
    // Now root is the node to delete, what happens next?  
}
```



Delete – 3 Cases

- 0 Children (i.e. it's a leaf)
- 1 Child
- 2 Children

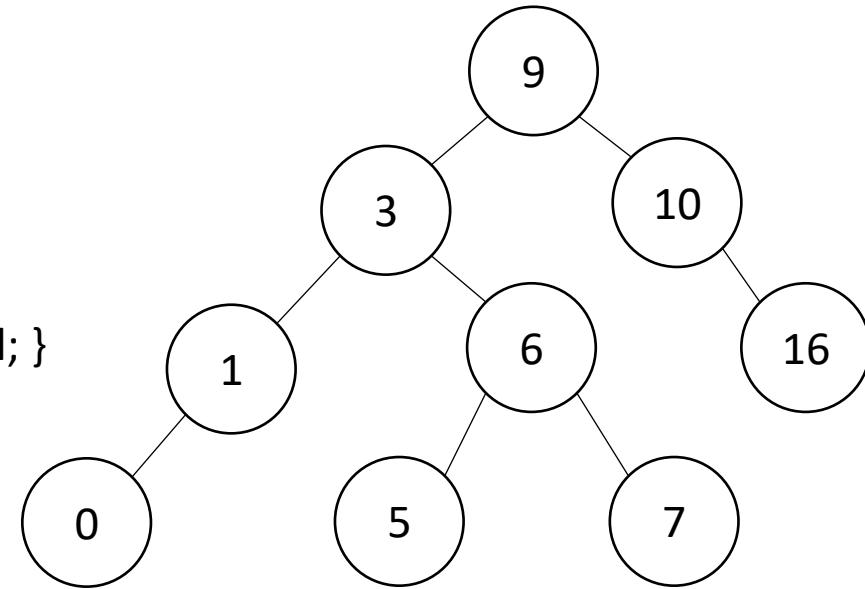


Finding the Max and Min

- Max of a BST:
 - Right-most Thing
- Min of a BST:
 - Left-most Thing

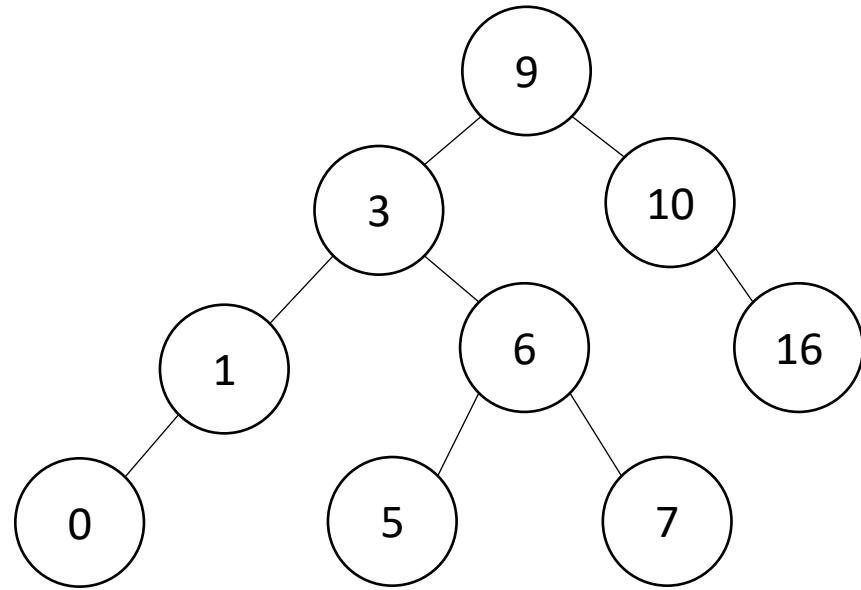
```
maxNode(root){  
    if (root == Null){ return Null; }  
    while (root.right != Null){  
        root = root.right;  
    }  
    return root;  
}
```

```
minNode(root){  
    if (root == Null){ return Null; }  
    while (root.left != Null){  
        root = root.left;  
    }  
    return root;  
}
```



Delete Operation (iterative)

```
delete(key, root){  
    while (root != Null && key != root.key){  
        if (key < root.key){ root = root.left; }  
        else if (key > root.key){ root = root.right; }  
    }  
    if (root == Null){ return; }  
    if (root has no children){  
        make parent point to Null Instead;  
    }  
    if (root has one child){  
        make parent point to that child instead;  
    }  
    if (root has two children){  
        make parent point to either the max from the left or min from the right  
    }  
}
```



Worst Case Analysis

- For each of Find, insert, Delete:
 - Worst case running time matches height of the tree
- What is the maximum height of a BST with n nodes?

Improving the worst case

- How can we get a better worst case running time?

“Balanced” Binary Search Trees

- We get better running times by having “shorter” trees
- Trees get tall due to them being “sparse” (many one-child nodes)
- Idea: modify how we insert/delete to keep the tree more “full”

Idea 1: Both Subtrees of Root have same #
Nodes

Idea 2: Both Subtrees of Root have same height

Idea 3: Both Subtrees of every Node have same # Nodes

Idea 4: Both Subtrees of every Node have same height

Teaser: AVL Tree

- A Binary Search tree that maintains that the left and right subtrees of every node have heights that differ by at most one.
 - Not too weak (ensures trees are short)
 - Not too strong (works for any number of nodes)