

Section 2: Heaps and Asymptotics

Definition of Big-Oh:

Suppose $f: \mathbb{N} \rightarrow \mathbb{R}$, $g: \mathbb{N} \rightarrow \mathbb{R}$ are two functions,

$$f(n) \in \mathcal{O}(g(n)) \equiv \exists_{c \in \mathbb{R}_{>0}, n_0 \in \mathbb{N}} \forall_{n \in \mathbb{N} \geq n_0} f(n) \leq c \cdot g(n)$$

Definition of Big-Omega:

Suppose $f: \mathbb{N} \rightarrow \mathbb{R}$, $g: \mathbb{N} \rightarrow \mathbb{R}$ are two functions,

$$f(n) \in \Omega(g(n)) \equiv \exists_{c \in \mathbb{R}_{>0}, n_0 \in \mathbb{N}} \forall_{n \in \mathbb{N} \geq n_0} f(n) \geq c \cdot g(n)$$

Definition of Big-Theta:

Suppose $f: \mathbb{N} \rightarrow \mathbb{R}$, $g: \mathbb{N} \rightarrow \mathbb{R}$ are two functions,

$$\begin{aligned} f(n) \in \Theta(g(n)) \\ \equiv f(n) \in \mathcal{O}(g(n)) \wedge f(n) \in \Omega(g(n)) \\ \equiv \exists_{c_0 \in \mathbb{R}_{>0}, n_0 \in \mathbb{N}} \forall_{n \in \mathbb{N} \geq n_0} f(n) \leq c_0 \cdot g(n) \wedge \exists_{c_1 \in \mathbb{R}_{>0}, n_1 \in \mathbb{N}} \forall_{n \in \mathbb{N} \geq n_1} f(n) \geq c_1 \cdot g(n) \end{aligned}$$

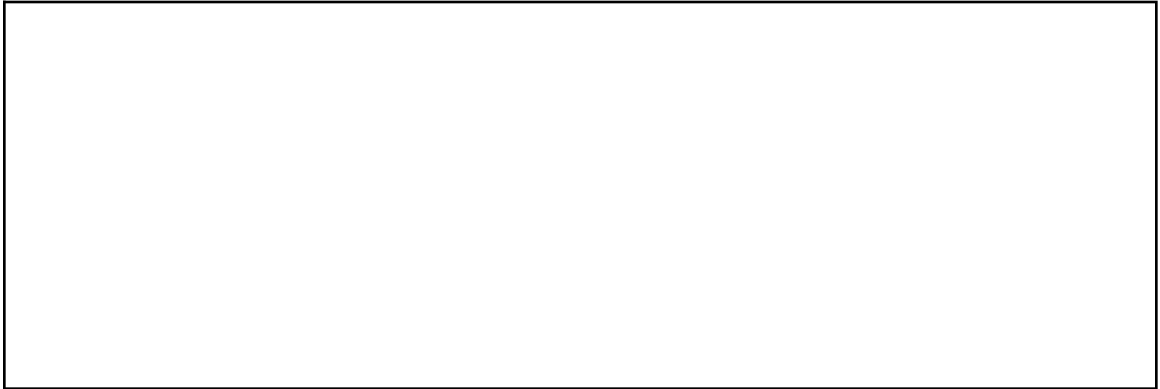
0. Big-Oh Proofs

For each of the following, prove that $f(n) \in \mathcal{O}(g)$:

a) $f(n) = 7n$ $g(n) = \frac{n}{10}$

b) $f(n) = 1000$ $g(n) = 3n^3$

c) $f(n) = 7n^2 + 3n$ $g(n) = n^4$



d) $f(n) = n + 2n \lg n$ $g(n) = n \lg n$



1. Is Your Program Running? Better Catch It!

For each of the following, determine the tight $\Theta(\cdot)$ bound for the worst-case runtime in terms of the free variables of the code snippets.

a)

```
1 int x = 0
2 for (int i = n; i >= 0; i--) {
3     if ((i % 3) == 0) {
4         break
5     }
6     else {
7         x += n
8     }
9 }
```

b)

```
1 int x = 0
2 for (int i = 0; i < n; i++) {
3     for (int j = 0; j < (n * n / 3); j++) {
4         x += j
5     }
6 }
```

c)

```
1 int x = 0
2 for (int i = 0; i < n; i++) {
3     for (int j = 0; j < i; j++) {
4         x += j
5     }
6 }
```

d)

```
1 int x = 0
2 for (int i = 0; i < n; i++) {
3     if (n < 100000) {
4         for (int j = 0; j < i * i * n; j++) {
5             x += 1
6         }
7     } else {
8         x += 1
9     }
10 }
```

e)

```
1 int x = 0
2 for (int i = 0; i < n; i++) {
3     if (i % 5 == 0) {
4         for (int j = 0; j < n; j++) {
5             if (i == j) {
6                 for (int k = 0; k < n; k++) {
7                     x += i * j * k
8                 }
9             }
10         }
11     }
12 }
```

2. Asymptotics Analysis

Consider the following method which finds the number of unique Strings within a given array of length n .

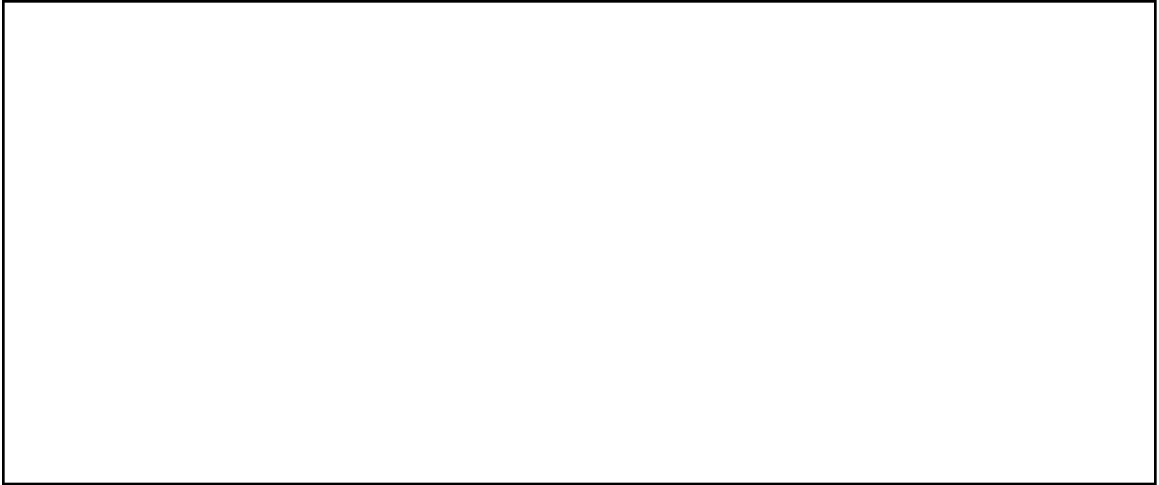
```
1 int numUnique(String[] values) {
2     boolean[] visited = new boolean[values.length]
3     for (int i = 0; i < values.length; i++) {
4         visited[i] = false
5     }
6     int out = 0
7     for (int i = 0; i < values.length; i++) {
8         if (!visited[i]) {
9             out += 1
10            for (int j = i; j < values.length; j++) {
11                if (values[i].equals(values[j])) {
12                    visited[j] = true
13                }
14            }
15        }
16    }
17    return out;
18 }
```

Determine the tight $\mathcal{O}(\cdot)$, $\Omega(\cdot)$, and $\Theta(\cdot)$ bounds of each function below. If there is no $\Theta(\cdot)$ bound, explain why. Start by (1) constructing an equation that models each function then (2) simplifying and finding a closed form.

- a) $f(n)$ = the worst-case runtime of `numUnique`

- b) $g(n)$ = the best-case runtime of `numUnique`

c) $h(n)$ = the amount of memory used by `numUnique` (the space complexity)



3. Oh Snap!

For each question below, explain what's wrong with the provided answer. The problem might be the reasoning, the conclusion, or both!

- a) Determine the tight $\Theta(\cdot)$ bound worst-case runtime of the following piece of code:

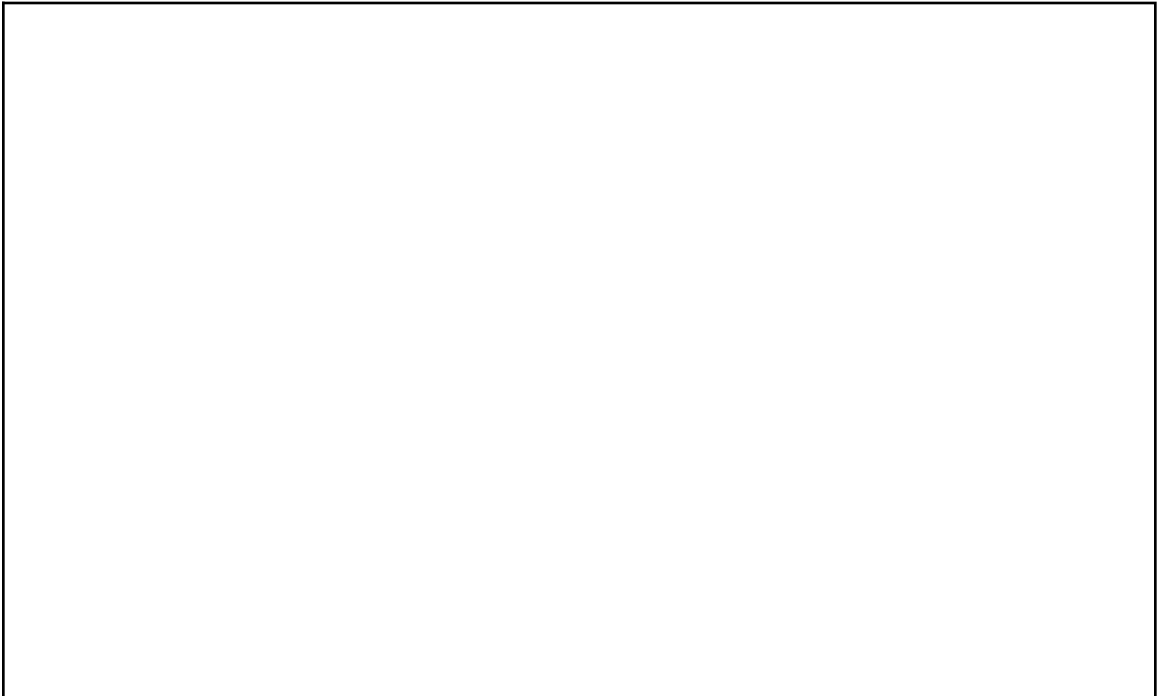
```
1 public static int waddup(int n) {
2     if (n > 10000) {
3         return n
4     } else {
5         for (int i = 0; i < n; i++) {
6             System.out.println("It's dat boi!")
7         }
8         return 0
9     }
10 }
```

Bad answer: The runtime of this function is $\mathcal{O}(n)$, because when searching for an upper bound, we always analyze the code branch with the highest runtime. We see the first branch is $\mathcal{O}(1)$, but the second branch is $\mathcal{O}(n)$.

b) Determine the tight $\Theta(\cdot)$ bound worst-case runtime of the following piece of code:

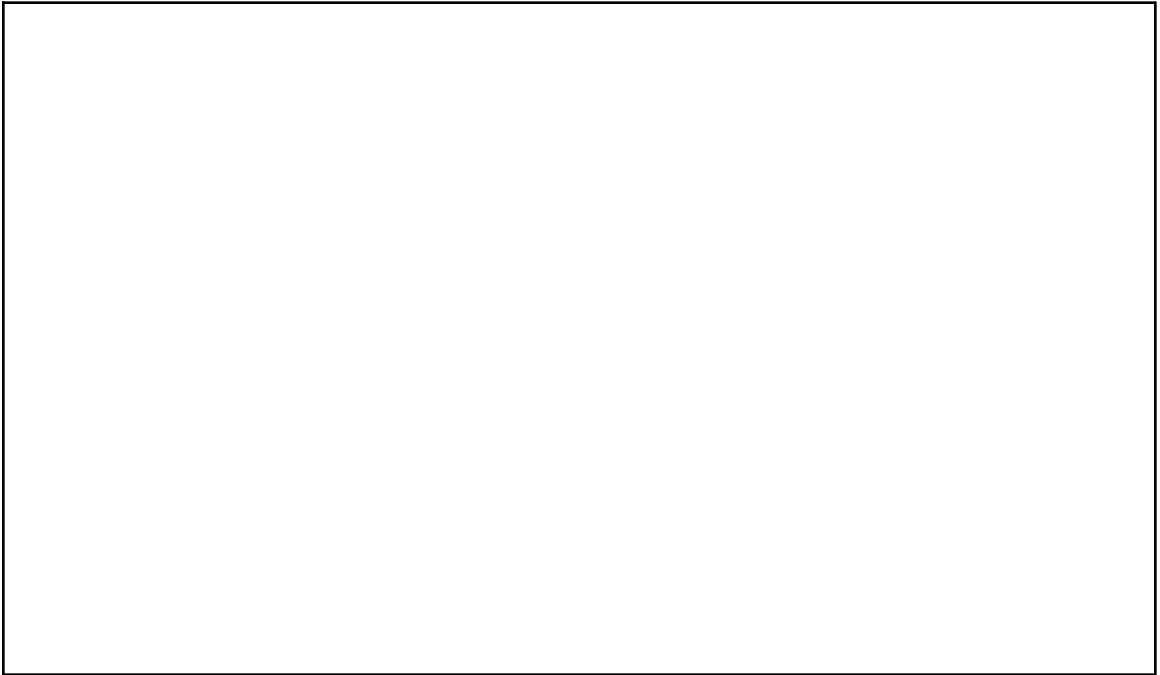
```
1 public static void trick(int n) {
2     for (int i = 1; i < Math.pow(2, n); i *= 2) {
3         for (int j = 0; j < n; j++) {
4             System.out.println("(" + i + ", " + j + ")")
5         }
6     }
7 }
```

Bad answer: The runtime of this function is $\mathcal{O}(n^2)$, because the outer loop is conditioned on an expression with n and so is the inner loop.

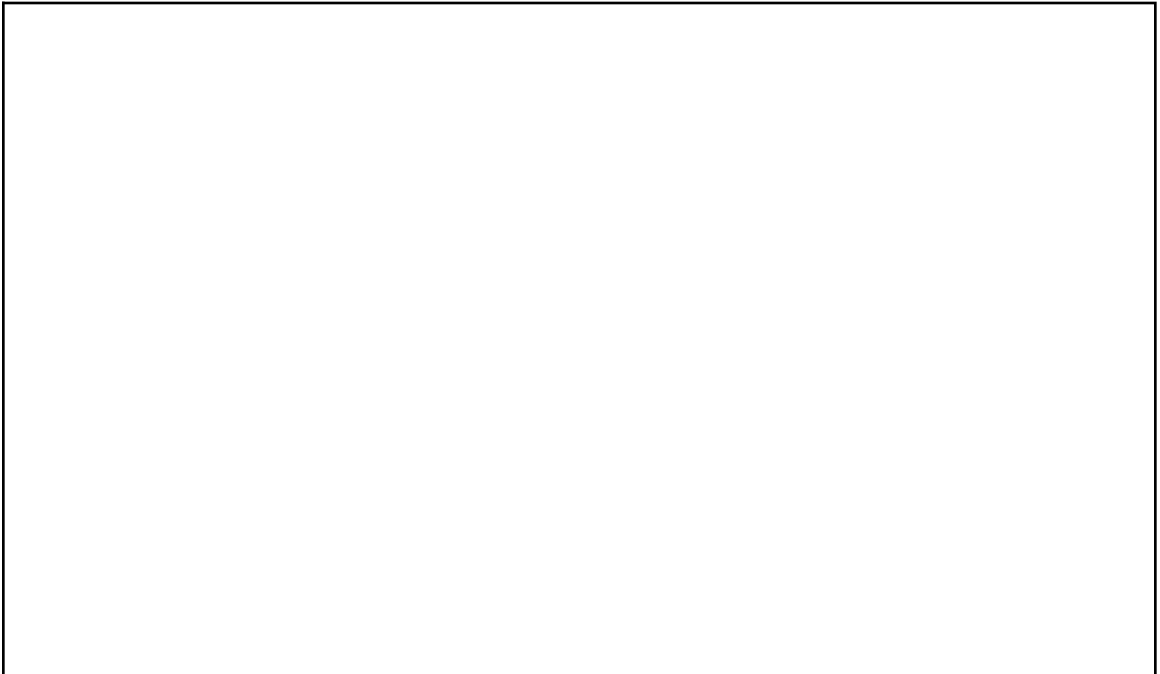


4. Look Before You Heap

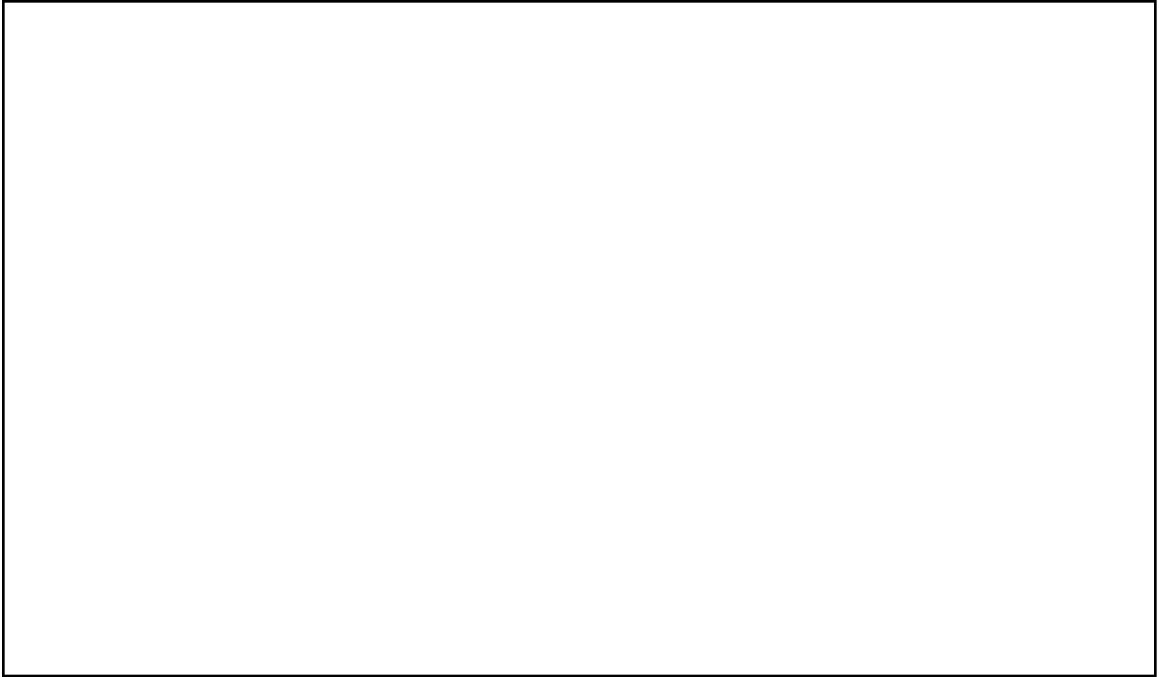
- a) Insert 10, 7, 15, 17, 12, 20, 6, 32 into a *min* heap.



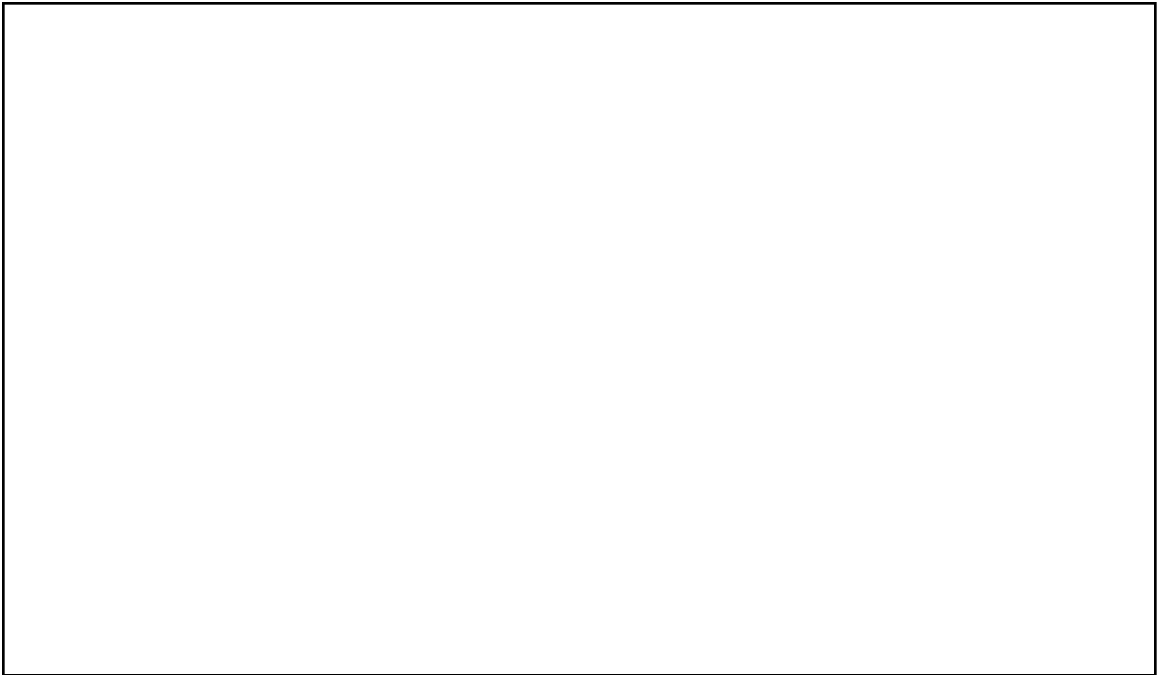
- b) Now, insert the same values into a *max* heap.



c) Now, insert 10, 7, 15, 17, 12, 20, 6, 32 into a *min* heap, but use Floyd's `buildHeap` algorithm.



d) Insert 1, 0, 1, 1, 0 into a *min* heap.



5. \mathcal{O} My Gosh!

Prove that $4n^2 + n^5 \in \Omega(n)$. Use the definition of Big-Omega above.