# CSE 332: Data Structures \& Parallelism Lecture 11:More Hashing 

Ruth Anderson

Winter 2019

## Today

- Dictionaries
- Hashing


## Hash Tables: Review

- Aim for constant-time (i.e., $O(1)$ ) find, insert, and delete
- "On average" under some reasonable assumptions
- A hash table is an array of some fixed size
- But growable as we'll see


TableSize - 1


## Hashing Choices

1. Choose a Hash function
2. Choose TableSize
3. Choose a Collision Resolution Strategy from these:

- Separate Chaining
- Open Addressing
- Linear Probing
- Quadratic Probing
- Double Hashing
- Other issues to consider:
- Deletion?
- What to do when the hash table gets "too full"?


## Open Addressing: Linear Probing

- Why not use up the empty space in the table?
- Store directly in the array cell (no linked list)
- How to deal with collisions?
- If $h(k e y)$ is already full,
- try (h(key) + 1) \% TableSize. If full,
- try (h (key) + 2) \% TableSize. If full,
- try (h (key) + 3) \% TableSize. If full...
- Example: insert 38, 19, 8, 109, 10



## Open Addressing: Linear Probing

- Another simple idea: If $h$ (key) is already full,
- try (h (key) + 1) \% TableSize. If full,
- try (h(key) + 2) \% TableSize. If full,
- try (h (key) + 3) \% TableSize. If full...
- Example: insert 38, 19, 8, 109, 10

| 0 | / |
| :---: | :---: |
| 1 | / |
| 2 | / |
| 3 | / |
| 4 | / |
| 5 | / |
| 6 | / |
| 7 | 1 |
| 8 | 38 |
| 9 | 19 |

## Open Addressing: Linear Probing

- Another simple idea: If $h(k e y)$ is already full,
- try (h (key) + 1) \% TableSize. If full,
- try (h (key) + 2) \% TableSize. If full,
- try (h (key) + 3) \% TableSize. If full...
- Example: insert 38, 19, 8, 109, 10

| 0 | 8 |
| :---: | :---: |
| 1 | / |
| 2 | / |
| 3 | / |
| 4 | / |
| 5 | / |
| 6 | / |
| 7 | / |
| 8 | 38 |
| 9 | 19 |

## Open Addressing: Linear Probing

- Another simple idea: If $h(k e y)$ is already full,
- try (h (key) + 1) \% TableSize. If full,
- try (h (key) + 2) \% TableSize. If full,
- try (h (key) + 3) \% TableSize. If full...
- Example: insert 38, 19, 8, 109, 10

| 0 | 8 |
| :---: | :---: |
| 1 | 109 |
| 2 | / |
| 3 | 1 |
| 4 | / |
| 5 | 1 |
| 6 | 1 |
| 7 | / |
| 8 | 38 |
| 9 | 19 |

## Open Addressing: Linear Probing

- Another simple idea: If $h(k e y)$ is already full,
- try (h (key) + 1) \% TableSize. If full,
- try (h (key) + 2) \% TableSize. If full,
- try (h(key) + 3) \% TableSize. If full...
- Example: insert 38, 19, 8, 109, 10

| 0 | 8 |
| :---: | :---: |
| 1 | 109 |
| 2 | 10 |
| 3 | / |
| 4 | / |
| 5 | / |
| 6 | 1 |
| 7 | / |
| 8 | 38 |
| 9 | 19 |

## Open addressing

Linear probing is one example of open addressing
In general, open addressing means resolving collisions by trying a sequence of other positions in the table.

Trying the next spot is called probing

- We just did linear probing:
- $i^{\text {th }}$ probe: (h(key) + i) \% TableSize
- In general have some probe function $\mathbf{f}$ and :
- $i^{\text {th }}$ probe: $\quad(\mathrm{h}(\mathrm{key})+\mathrm{f}(\mathrm{i})) \%$ TableSize

Open addressing does poorly with high load factor $\lambda$

- So want larger tables
- Too many probes means no more $O(1)$


## Terminology

We and the book use the terms

- "chaining" or "separate chaining"
- "open addressing"

Very confusingly,

- "open hashing" is a synonym for "chaining"
- "closed hashing" is a synonym for "open addressing"


## Open Addressing: Linear Probing

What about find? If value is in table? If not there? Worst case?

What about delete?

How does open addressing with linear probing compare to separate chaining?

## Open Addressing: Other Operations

insert finds an open table position using a probe function

What about find?

- Must use same probe function to "retrace the trail" for the data
- Unsuccessful search when reach empty position

What about delete?

- Must use "lazy" deletion. Why?
- Marker indicates "no data here, but don't stop probing"

| $\mathbf{1 0}$ | $\times$ | $/$ | 23 | $/$ | $/$ | 16 | $\times$ | 26 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |

- As with lazy deletion on other data structures, on insert, spots marked "deleted" can be filled in.
- Note: delete with chaining is plain-old list-remove


## Primary Clustering

It turns out linear probing is a bad idea, even though the probe function is quick to compute (a good thing)

- Tends to produce clusters, which lead to long probe sequences
- Called primary clustering
- Saw the start of a cluster in our linear probing example



## Analysis of Linear Probing

- Trivial fact: For any $\lambda<1$, linear probing will find an empty slot
- It is "safe" in this sense: no infinite loop unless table is full
- Non-trivial facts we won't prove:

Average \# of probes given $\lambda$ (in the limit as TableSize $\rightarrow \infty$ )

- Unsuccessful search:

$$
\frac{1}{2}\left(1+\frac{1}{(1-\lambda)^{2}}\right)
$$

- Successful search:

$$
\frac{1}{2}\left(1+\frac{1}{(1-\lambda)}\right)
$$

- This is pretty bad: need to leave sufficient empty space in the table to get decent performance (see chart)


## Analysis in chart form

- Linear-probing performance degrades rapidly as table gets full
- (Formula assumes "large table" but point remains)

- By comparison, separate chaining performance is linear in $\lambda$ and has no trouble with $\lambda>1$


## Open Addressing: Linear probing

$$
(h(k e y)+f(i)) \% \text { TableSize }
$$

- For linear probing:

$$
f(i)=i
$$

- So probe sequence is:
- $0^{\text {th }}$ probe: $\mathrm{h}(\mathrm{key}) ~ \% ~ T a b l e S i z e ~$
- $1^{\text {st }}$ probe: $(\mathrm{h}(\mathrm{key})+1) \%$ TableSize
- $2^{\text {nd }}$ probe: (h(key) + 2) \% TableSize
- $3^{\text {rd }}$ probe: (h(key) + 3) \% TableSize
- ...
- $i^{\text {th }}$ probe: (h(key) + i) \% TableSize


## Open Addressing: Quadratic probing

- We can avoid primary clustering by changing the probe function...

$$
(h(\text { key })+f(i)) \% \text { TableSize }
$$

- For quadratic probing:

$$
f(i)=i^{2}
$$

- So probe sequence is:
- $0^{\text {th }}$ probe: $\mathrm{h}(\mathrm{key}) ~ \% ~ T a b l e S i z e ~$
- $1^{\text {st }}$ probe: $(\mathrm{h}(\mathrm{key})+1) \%$ TableSize
- $2^{\text {nd }}$ probe: $(h(k e y)+4) \%$ TableSize
- $3^{\text {rd }}$ probe: (h(key) + 9) \% TableSize
- ...
- ith probe: (h(key) + i ${ }^{2}$ ) $\%$ TableSize
- Intuition: Probes quickly "leave the neighborhood"


# ith probe: (h(key) + i²) \% TableSize Quadratic Probing Example 



TableSize=10
Insert:
89
18
49
58
79

## Quadratic Probing Example



TableSize = 10
insert(89)

## Quadratic Probing Example



TableSize $=10$
insert(89)
insert(18)

## Quadratic Probing Example



TableSize $=10$
insert(89)
insert(18)
insert(49)

## Quadratic Probing Example



TableSize $=10$
insert(89)
insert(18)
insert(49)
$49 \% 10=9$ collision!
$(49+1) \% 10=0$
insert(58)

## Quadratic Probing Example



## Quadratic Probing Example



## Another Quadratic Probing Example



TableSize $=7$
Insert:

| $\mathbf{7 6}$ | $(\mathbf{7 6} \% 7=\mathbf{6})$ |
| :--- | :--- |
| $\mathbf{4 0}$ | $(\mathbf{4 0} \% \mathbf{7}=\mathbf{5})$ |
| $\mathbf{4 8}$ | $(\mathbf{4 8} \% \mathbf{7}=\mathbf{6})$ |
| $\mathbf{5}$ | $(\mathbf{5} \% \mathbf{7}=\mathbf{5})$ |
| $\mathbf{5 5}$ | $(\mathbf{5 5} \% \mathbf{7}=\mathbf{6})$ |
| $\mathbf{4 7}$ | $(\mathbf{4 7 \%} \mathbf{7}=\mathbf{5})$ |

## Another Quadratic Probing Example



TableSize $=7$

## Insert:

| 76 | $(76 \% 7=6)$ |
| :--- | :--- |
| 40 | $(40 \% 7=5)$ |
| 48 | $(48 \% 7=6)$ |
| 5 | $(5 \% 7=5)$ |
| 55 | $(55 \% 7=6)$ |
| 47 | $(47 \% 7=5)$ |

## Another Quadratic Probing Example

| 0 |  |
| :---: | :---: |
| 1 |  |
| 2 |  |
| 3 |  |
| 4 |  |
| 5 | 40 |
| 6 | 76 |

TableSize $=7$

## Insert:

| 76 | $(76 \% 7=6)$ |
| :--- | :--- |
| 40 | $(40 \% 7=5)$ |
| 48 | $(48 \% 7=6)$ |
| 5 | $(5 \% 7=5)$ |
| 55 | $(55 \% 7=6)$ |
| 47 | $(47 \% 7=5)$ |

## Another Quadratic Probing Example

| 0 | 48 |
| :---: | :---: |
| 1 |  |
| 2 |  |
| 3 |  |
| 4 |  |
| 5 | 40 |
| 6 | 76 |

TableSize $=7$

## Insert:

| 76 | $(76 \% 7=6)$ |
| :--- | :--- |
| 40 | $(40 \% 7=5)$ |
| 48 | $(48 \% 7=6)$ |
| 5 | $(5 \% 7=5)$ |
| 55 | $(55 \% 7=6)$ |
| 47 | $(47 \% 7=5)$ |

## Another Quadratic Probing Example

| 0 | 48 |
| :---: | :---: |
| 1 |  |
| 2 | 5 |
| 3 |  |
| 4 |  |
| 5 | 40 |
| 6 | 76 |

TableSize $=7$

## Insert:

| 76 | $(76 \% 7=6)$ |
| :--- | :--- |
| 40 | $(40 \% 7=5)$ |
| 48 | $(48 \% 7=6)$ |
| 5 | $(5 \% 7=5)$ |
| 55 | $(55 \% 7=6)$ |
| 47 | $(47 \% 7=5)$ |

## Another Quadratic Probing Example

| 0 | 48 |
| :---: | :---: |
| 1 |  |
| 2 | 5 |
| 3 | 55 |
| 4 |  |
| 5 | 40 |
| 6 | 76 |

TableSize $=7$

## Insert:

| 76 | $(76 \% 7=6)$ |
| :--- | :--- |
| 40 | $(40 \% 7=5)$ |
| 48 | $(48 \% 7=6)$ |
| 5 | $(5 \% 7=5)$ |
| 55 | $(55 \% 7=6)$ |
| 47 | $(47 \% 7=5)$ |

## Another Quadratic Probing Example

| 0 | 48 |
| :---: | :---: |
| 1 |  |
| 2 | 5 |
| 3 | 55 |
| 4 |  |
| 5 | 40 |
| 6 | 76 |

TableSize $=7$

## Insert:

| 76 | $(76 \% 7=6)$ |
| :--- | :--- |
| 40 | $(40 \% 7=5)$ |
| 48 | $(48 \% 7=6)$ |
| 5 | $(5 \% 7=5)$ |
| 55 | $(55 \% 7=6)$ |
| 47 | $(47 \% 7=5)$ |

$(47+1) \% 7=6$ collision!
$(47+4) \% 7=2$ collision!
$(47+9) \% 7=0$ collision!
$(47+16) \% 7=0$ collision!
$(47+25) \% 7=2$ collision!

Another Quadratic Probing Example insert(47) will always fail here. Why?

| 0 | 48 |
| :---: | :---: |
| 1 |  |
| 2 | 5 |
| 3 | 55 |
| 4 |  |
| 5 | 40 |
| 6 | 76 |

For all $i$, $\left(5+i^{2}\right) \% 7$ is $0,2,5$, or 6
Proof uses induction and

$$
\left(5+i^{2}\right) \% 7=\left(5+(i-7)^{2}\right) \% 7
$$

In fact, for all $\boldsymbol{c}$ and $\boldsymbol{k}$,

$$
\left(c+i^{2}\right) \% k=\left(c+(i-k)^{2}\right) \% k
$$

## From bad news to good news

## Bad News:

- After TableSize quadratic probes, we cycle through the same indices

Good News:

- If TableSize is prime and $\lambda<1 / 2$, then quadratic probing will find an empty slot in at most TableSize/2 probes
- So: If you keep $\lambda<1 / 2$ and TableSize is prime, no need to detect cycles
- Proof posted in lecture11.txt (slightly less detailed proof in textbook)

For prime TableSize and $0 \leq i, j \leq$ TableSize/2 where i $\neq \mathrm{j}$, (h(key) $+\mathrm{i}^{2}$ ) \% TableSize $\neq\left(\mathrm{h}(\mathrm{key})+\mathrm{j}^{2}\right.$ ) \% TableSize

That is, if TableSize is prime, the first TableSize/2 quadratic probes map to different locations (and one of those will be empty if the table is < half full).

## Quadratic Probing: Success guarantee for $\lambda<1 / 2$

First size/2 probes distinct. If < half full, one is empty.

- If size is prime and $\lambda<1 / 2$, then quadratic probing will find an empty slot in size/2 probes or fewer.
ith probe and show for all $0 \leq i, j \leq$ size/2 and $i \neq j$
jth probe $\quad\left(h(x)+i^{2}\right) \bmod$ size $\neq\left(h(x)+j^{2}\right) \bmod$ size
- by contradiction: suppose that for some $i \neq j$ :
$\left(h(x)+i^{2}\right) \bmod$ size $=\left(h(x)+j^{2}\right) \bmod$ size
$\Rightarrow i^{2} \bmod$ size $=j^{2} \bmod$ size
$\Rightarrow\left(i^{2}-j^{2}\right)$ mod size $=0$
$\Rightarrow[(i+j)(i-j)] \bmod$ size $=0$
One of
BUT size does not divide (i-j) or (i+j)


## these

 must be $=0$ when mod sizeHow can $i+j=0$ or $i+j=$ size when:

$$
i \neq j \quad \text { and } \quad 0 \leq i, j \leq \text { size } / 2 ?
$$

Similarly how can $\mathbf{i}-\mathbf{j}=0$ or $\mathbf{i}-\mathbf{j}=$ size ?

## Clustering reconsidered

- Quadratic probing does not suffer from primary clustering: As we resolve collisions we are not merely growing "big blobs" by adding one more item to the end of a cluster, we are looking $\mathrm{i}^{2}$ locations away, for the next possible spot.
- But quadratic probing does not help resolve collisions between keys that initially hash to the same index
- Any 2 keys that initially hash to the same index will have the same series of moves after that looking for any empty spot
- Called secondary clustering
- Can avoid secondary clustering with a probe function that depends on the key: double hashing...


## Open Addressing: Double hashing

Idea: Given two good hash functions $h$ and $g$, it is very unlikely that for some key, h(key) == g(key)
(h(key) $+\mathrm{f}(\mathrm{i})$ ) \% TableSize

- For double hashing:

$$
f(i)=i * g(k e y)
$$

- So probe sequence is:
- $0^{\text {th }}$ probe: $\mathrm{h}(\mathrm{key}) ~ \% ~ T a b l e S i z e ~$
- $1^{\text {st }}$ probe: $(\mathrm{h}(\mathrm{key})+\mathrm{g}(\mathrm{key}))$ \% TableSize
- $2^{\text {nd }}$ probe: (h(key) +2 *g(key)) $\%$ TableSize
- $3^{\text {rd }}$ probe: (h (key) $\left.+3 * g(k e y)\right)$ \% TableSize
- ...
- ith probe: (h(key) + i*g(key)) \% TableSize
- Detail: Make sure g(key) can't be 0


## Open Addressing: Double Hashing



T = 10 (TableSize)
Hash Functions:

$$
\begin{aligned}
& h(k e y)=\text { key } \bmod T \\
& g(k e y)=1+((k e y / T) \bmod (T-1))
\end{aligned}
$$

Insert these values into the hash table in this order. Resolve any collisions with double hashing:
13
28
33
147
43

## Double Hashing

T = 10 (TableSize)


Hash Functions:

$$
\begin{aligned}
& h(\text { key })=k e y \bmod T \\
& g(\text { key })=1+((\text { key } / T) \bmod (T-1))
\end{aligned}
$$

Insert these values into the hash table in this order. Resolve any collisions with double hashing:
13
28
33
147
43

## Double Hashing

T = 10 (TableSize)


Hash Functions:

$$
\begin{aligned}
& h(\text { key })=k e y \bmod T \\
& g(\text { key })=1+((\text { key } / T) \bmod (T-1))
\end{aligned}
$$

Insert these values into the hash table in this order. Resolve any collisions with double hashing:
13
28
33
147
43

## Double Hashing

T = 10 (TableSize)


## Double Hashing

T = 10 (TableSize)


Hash Functions:

$$
\begin{aligned}
& h(\text { key })=k e y \bmod T \\
& g(\text { key })=1+((\text { key } / T) \bmod (T-1))
\end{aligned}
$$

Insert these values into the hash table in this order. Resolve any collisions with double hashing:
13
28
33
$147 \rightarrow \mathrm{~g}(147)=1+14 \bmod 9=6$
43

## Double Hashing

T = 10 (TableSize)

| 0 |  |
| :---: | :---: |
| 1 |  |
| 2 |  |
| 3 | 13 |
| 4 |  |
| 5 |  |
| 6 |  |
| 7 | 33 |
| 8 | 28 |
| 9 | 147 | Hash Functions:

$$
\begin{aligned}
& h(\text { key })=k e y \bmod T \\
& g(k e y)=1+((k e y / T) \bmod (T-1))
\end{aligned}
$$

Insert these values into the hash table in this order. Resolve any collisions with double hashing:
13
28
33
$147 \rightarrow \mathrm{~g}(147)=1+14 \bmod 9=6$
$43 \rightarrow \mathrm{~g}(43)=1+4 \bmod 9=5$
We have a problem:

$$
\begin{array}{lll}
3+0=3 & 3+\mathbf{5}=\mathbf{8} & \mathbf{3}+10=\mathbf{1 3} \\
& 3+\mathbf{1 5}=\mathbf{1 8} & 3+20=23
\end{array}
$$

## Double-hashing analysis

- Intuition: Since each probe is "jumping" by g(key) each time, we "leave the neighborhood" and "go different places from other initial collisions"

But, as in quadratic probing, we could still have a problem where we are not "safe" due to an infinite loop despite room in table

- It is known that this cannot happen in at least one case:

For primes $p$ and $q$ such that $2<q<p$

$$
\begin{aligned}
& \mathrm{h}(\text { key })=\text { key \% p } \\
& \mathrm{g}(\mathrm{key})=\mathrm{q}-(\text { key \% q) }
\end{aligned}
$$

## Yet another reason to use a prime TableSize

- So, for double hashing
$i^{\text {th }}$ probe: (h(key) + i*g(key)) \% TableSize
- Say g(key) divides Tablesize
- That is, there is some integer $x$ such that $x^{*} g(k e y)=$ Tablesize
- After x probes, we'll be back to trying the same indices as before
- Ex:
- Tablesize=50
- g(key)=25
- Probing sequence:
- h(key)
- h(key)+25
- h(key) $+50=\mathrm{h}$ (key)
- h(key)+75=h(key)+25
- Only 1 \& itself divide a prime


## More double-hashing facts

- Assume "uniform hashing"
- Means probability of $g($ key 1$) ~ \% ~ p==g(k e y 2) \% p$ is 1/p
- Non-trivial facts we won't prove:

Average \# of probes given $\lambda$ (in the limit as TableSize $\rightarrow \infty$ )

- Unsuccessful search (intuitive):

$$
\frac{1}{1-\lambda}
$$

- Successful search (less intuitive):

$$
\frac{1}{\lambda} \log _{e}\left(\frac{1}{1-\lambda}\right)
$$

- Bottom line: unsuccessful bad (but not as bad as linear probing), but successful is not nearly as bad


## Charts



## Where are we?

- Separate Chaining is easy
- find, insert, delete proportional to load factor on average if using unsorted linked list nodes
- If using another data structure for buckets (e.g. AVL tree), runtime is proportional to runtime for that structure.
- Open addressing uses probing, has clustering issues as table fills Why use it:
- Less memory allocation?
- Some run-time overhead for allocating linked list (or whatever) nodes; open addressing could be faster
- Easier data representation?
- Now:
- Growing the table when it gets too full (aka "rehashing")
- Relation between hashing/comparing and connection to Java


## Rehashing

- As with array-based stacks/queues/lists, if table gets too full, create a bigger table and copy everything over
- With separate chaining, we get to decide what "too full" means
- Keep load factor reasonable (e.g., < 1 )?
- Consider average or max size of non-empty chains?
- For open addressing, half-full is a good rule of thumb
- New table size
- Twice-as-big is a good idea, except, uhm, that won't be prime!
- So go about twice-as-big
- Can have a list of prime numbers in your code since you probably won't grow more than 20-30 times, and then calculate after that


## More on rehashing

- What if we copy all data to the same indices in the new table?
- Will not work; we calculated the index based on TableSize
- Go through table, do standard insert for each into new table
- Iterate over old table: O(n)
- n inserts / calls to the hash function: $\mathrm{n} \cdot \mathrm{O}(1)=\mathrm{O}(\mathrm{n})$
- Is there some way to avoid all those hash function calls?
- Space/time tradeoff: Could store h(key) with each data item
- Growing the table is still $O(n)$; saving h (key) only helps by a constant factor


## Hashing and comparing

- Our use of int key can lead to us overlooking a critical detail:
- We initially hash E to get a table index
- While chaining or probing we need to determine if this is the $\mathbf{E}$ that I am looking for. Just need equality testing.
- So a hash table needs a hash function and a equality testing
- In the Java library each object has an equals method and a hashCode method

```
class Object {
    boolean equals(Object o) {...}
    int hashCode() {...}
}
```


## Equal objects must hash the same

- The Java library (and your project hash table) make a very important assumption that clients must satisfy...
- Object-oriented way of saying it:

If a . equals (b), then we must require
a.hashCode ()==b.hashCode ()

- Function object way of saying it:

If $c$. compare $(a, b)==0$, then we must require
h.hash (a) == h.hash(b)

- If you ever override equals
- You need to override hashCode also in a consistent way
- See CoreJava book, Chapter 5 for other "gotchas" with equals


## By the way: comparison has rules too

We have not emphasized important "rules" about comparison for:

- All our dictionaries
- Sorting (next major topic)

Comparison must impose a consistent, total ordering:

For all $\mathbf{a}, \mathrm{b}$, and $\mathbf{c}$,

- If compare $(a, b)<0$, then compare $(b, a)>0$
- If compare $(a, b)=0$, then compare $(b, a)=0$
- If compare (a,b) < 0 and compare (b,c) < 0 , then compare $(a, c)<0$


## A Generally Good hashCode()

## Effective Java

Second Edition
int result = 17; // start at a prime
foreach field $f$
int fieldHashcode =
boolean: (f ? 1:0)
byte, char, short, int: (int) f
long: (int) (f ^ (f >>> 32))
float: Float.floatToIntBits(f)
double: Double.doubleToLongBits(f), then above Object: object.hashCode( )
result = 31 * result + fieldHashcode;
return result;

## Final word on hashing

- The hash table is one of the most important data structures
- Efficient find, insert, and delete
- Operations based on sorted order are not so efficient
- Useful in many, many real-world applications
- Popular topic for job interview questions
- Important to use a good hash function
- Good distribution, Uses enough of key's values
- Not overly expensive to calculate (bit shifts good!)
- Important to keep hash table at a good size
- Prime \#
- Preferable $\lambda$ depends on type of table
- What we skipped: Perfect hashing, universal hash functions, hopscotch hashing, cuckoo hashing
- Side-comment: hash functions have uses beyond hash tables
- Examples: Cryptography, check-sums

