

1. (18 pts) Big-Oh

(2 pts each) For each of the functions $f(N)$ given below, indicate the tightest bound possible (in other words, giving $O(2^N)$ as the answer to every question is not likely to result in many points). Unless otherwise specified, all logs are base 2. **Your answer should be as “tight” and “simple” as possible.**

You do not need to explain your answer.

a) $f(N) = N \log N + N \log \log N$ _____

b) $f(N) = 50 \log N^2 + 100 (\log N)^2$ _____

c) $f(N) = N \log_2(4^N)$ _____

d) *Push in a **stack** containing N elements implemented using linked list nodes (worst case)* _____

e) *A preorder traversal in a **binary search tree** containing N elements (worst case)* _____

f) *Insert in a **separate chaining hash table** containing N elements where each bucket points to an AVL tree (worst case)* _____

g) *IncreaseKey(k, v) on a **binary min heap** containing N elements. Assume you have a reference to the key k . v is the amount that k should be increased. (worst case)* _____

h) $T(N) = T(N-1) + N$ _____

i) $T(N) = T(N/2) + 100$ _____

2. (6 pts) Big-Oh and Run Time Analysis: Describe the worst case running time of the following pseudocode functions in Big-Oh notation in terms of the variable n .

Your answer should be as “tight” and “simple” as possible.

Showing your work is not required

```
I. int sunny (int n) {
    if (n < 10)
        return n - 1;
    else {
        return sunny (n / 2);
    }
}
```

Runtime:

```
II. int funny (int n, int sum) {
    for (int k = 0; k < n * n; ++k)
        for (int j = 0; j < k; j++)
            sum++;
    return sum;
}
```

```
III. int happy (int n, int sum) {
    for (int k = n; k > 0; k = k - 1) {
        for (int i = 0; i < k; i++)
            sum++;
        for (int j = n; j > 0; j--)
            sum++;
    }
    return sum;
}
```

6. (9 pts) Recurrences

Give a base case and a recurrence for the runtime of the following function. Use variables appropriately for constants (e.g. c_1 , c_2 , etc.) in your recurrence (you do not need to attempt to count the exact number of operations). **YOU DO NOT NEED TO SOLVE** this recurrence.

```
int onion(int n) {
    if (n < 10) {
        return n * n;
    }
    else {
        for (int i = 0; i < n; i++) {
            print "Keep trie-ing!";
            print "Onions rule!"
        }
        return n * onion(n / 3) + 10 * onion(n / 3);
    }
}
```

$T(n) =$ _____ For $n < 10$

$T(n) =$ _____ For $n \geq 10$

Yipee!!!! YOU DO **NOT** NEED TO SOLVE this recurrence...

7. (10 pts) Solving Recurrences

Suppose that the running time of an algorithm satisfies the recurrence relationship:

$$T(1) = 10.$$

and

$$T(N) = 2 * T(N/2) + 9N \quad \text{for integers } N > 1$$

Find the closed form for $T(N)$. **You may assume that N is a power of 2.** Your answer should *not* be in Big-Oh notation – show the relevant exact constants and bases of logarithms in your answer (e.g. do NOT use “ c_1, c_2 ” in your answer). You should not have any summation symbols in your answer. The list of summations on the last page of the exam may be useful. **You must show your work to receive any credit.**

8. (10 pts) B-Trees

- a) (5 pts) Given $M=7$ and $L=20$, what is the minimum number of data items in a B-Tree (as defined in lecture and in Weiss) of height 4? Give a single number for your answer, not a formula. **Explain briefly how you got your answer.**

- b) (5 pts) Given the following parameters for a B-tree with $M= 22$ and $L = 5$:
- Key Size = 8 bytes
 - Pointer Size = 4 bytes
 - Data Size = 50 bytes per record (*includes* the key)

Assuming that M and L were chosen appropriately, **what is the likely size of a disk block** on the machine where this implementation will be deployed? Give a numeric answer and a **short justification based on two equations** using the parameter values above.

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4. (8 pts) 3 Heaps

Given a 3-heap of height h , what are the minimum and maximum number of nodes in the **middle sub-tree** of the root? Give your answer in closed form (there should not be any summation symbols).

Min nodes in middle sub-tree:

Max nodes in middle sub-tree:

5. (10 pts) Binary Max Heaps

Use Floyd's build heap to create a Max heap out of the following array. (Hint: a binary max heap would have the largest value at the root of the tree.) **For any credit, show your tree one step at a time.** You do not need to show the array. THIS IS A BINARY MAX HEAP!

0	1	2	3	4	5	6	7	8	9
2	4	10	3	7	6	13	1	8	21

5) (6 pts) AVL Trees

You are given an AVL-Tree of height 5 and you are told what the largest key in the tree is. If you are doing a **pre-order traversal** of the tree, *how many nodes in the tree will you visit before you encounter the largest key?* Give your answer as a *single number* (not a mathematical expression) but **explain your answer briefly**.

a) In the BEST case, what is the minimum number of nodes you would visit (include the largest key in your count of nodes)? **Explain your answer briefly**.

b) In the WORST case, what is the maximum number of nodes you would visit? (include the largest key in your count of nodes) **Explain your answer briefly**.