## Uncountability and the Halting Problem

## Takeaway 1

There are differing levels of infinity.
Some infinite sets are equal in size.
Other infinite sets are bigger than others.

If this is mind-bending you're in good company.
Cantor's contemporaries accused him of being a "scientific charlatan" and a "corruptor of youth"
But Cantor was right - and his ideas eventually were recognized as correct.

## Let's Do Another!

Let $B=\{0,1\}$. Call a function $g: \mathbb{N} \rightarrow B$ a "binary valued function"

Intuitively, $g$ would be something like public boolean g(int input) \{ \}

If we could write that $g$ in Java.

How many possible $g: \mathbb{N} \rightarrow B$ are there?

## Proof that $[0,1)$ set of binary-valued functions is not countable

Suppose, for the sake of contradiction, that there is a list of them:

| $f$ bijection from $\mathbb{N}$ to function | Output on 0 | Output on 1 | Output on 2 | Output on 3 | Output on 4 | Output on 5 | Output on 6 | Output on 7 | ... |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $f(0)$ | 1 | 0 | 1 | 1 | 1 | 0 | 1 | 1 | ... |
| $f(1)$ | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 0 | ... |
| $f(2)$ | 1 | 1 | 1 | 0 | 0 | 0 | 1 | 1 | ... |
| $f(3)$ | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | ... |
| $f(4)$ | 1 | 0 | 1 | 1 | 1 | 0 | 1 | 1 | ... |
| $f(5)$ | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 1 | ... |
| $f(6)$ | 1 | 1 | 0 | 1 | 0 | 1 | 1 | 0 | ... |
| $f(7)$ | 0 | 2 | 0 | 1 | 1 | 0 | 1 | 0 | ... |
| ... | ... | ... | ... | ... | $\ldots$ | ... | ... | ... | ... |

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| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $f(0)$ | 1 | 0 | 1 | 1 | Goal: find a function $g_{\text {diag }}: \mathbb{N} \rightarrow$ that isn't on our table. (contradiction to bijection) |  |  |  |  |
| $f(1)$ | 0 | 1 | 1 | 0 |  |  |  |  |  |
| $f(2)$ | 1 | 1 | 1 | 0 |  |  |  |  |  |
| $f(3)$ | 0 | 0 | 0 | 0 |  |  |  |  |  |
| $f(4)$ | 1 | 0 | 1 | 1 | 1 | 0 | 1 | 1 | $\cdots$ |
| $f(5)$ | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 1 | -• |
| $f(6)$ | 1 | 1 | 0 | 1 | 0 | 1 | 1 | 0 | ... |
| $f(7)$ | 0 | 2 | 0 | 1 | 1 | 0 | 1 | 0 | - |
| ... | $\cdots$ | $\cdots$ | $\cdots$ | $\cdots$ | $\cdots$ | $\cdots$ | $\cdots$ | $\cdots$ | $\cdots$ |

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| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $f(0)$ | 1 | 0 | 1 | 1 | How do we find a function not on |  |  |  |  |
| $f(1)$ | 0 | 1 | 1 | 0 | Well to make sure it's not $f(i)$ (the |  |  |  |  |
| $f(2)$ | 1 | 1 | 1 | 0 |  |  |  |  |  |
| $f(3)$ | 0 | 0 | 0 | 0 | Have $g_{\text {diag }}(i)=1-f(i)(i)$ |  |  |  |  |
| $f(4)$ | 1 | 0 | 1 | 1 |  | O |  |  | ... |
| $f(5)$ | 0 | 0 | 0 | 1 | 0 | 1 | 1 | 1 | ... |
| $\left\{\begin{array}{l}1 \text { if } f(x) \text { outputs } 0 \text { on input } x \\ 0 \text { if } f(x) \text { outputs } 0 \text { on input } x\end{array}\right.$ |  |  |  |  | 0 | 1 | 1 | 0 | ... |
|  |  |  |  |  | 1 | 0 | 1 | 0 | ... |
|  |  |  |  |  | $\cdots$ | $\cdots$ |  |  | $\ldots$ |

## Wrapping up the proof

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Observe that $g_{\text {diag }}$ is a fully-defined function, and that it has $\mathbb{N}$ as its domain and $\{0,1\}$ as its codomain. It therefore should be in the codomain of $f$. But it cannot be on the list, as $g(i)$ is different from the function in the $i^{\text {th }}$ row on input $i$ for all $i$.

This contradicts $f$ being onto! So we have that the set of binary-valued functions (with $\mathbb{N}$ as their domains) is uncountable.

## Our second big takeaway

How many Java methods can we write:
public boolean g(int input) ?

Can you list them?
Yeah!! Put them in lexicographic order
i.e. in increasing order of length, with ties broken by alphabetical order.

Wait...that means the number of such Java programs is countable. And...the number of functions we're supposed to write is uncountable.

## Our Second big takeaway

There are more functions $g: \mathbb{N} \rightarrow B$ than there are Java programs to compute them.

Some function must be uncomputable.
That is there is no piece of code which tells you the output of the function when you give it the appropriate input.

This isn't just about java programs. (all we used about java was that its programs are strings)...that's...well every programming language.

There are functions that simply cannot be computed.
Doesn't matter how clever you are. How fancy your new programming language is. Just doesn't work.*
*there's a difference between int and $\mathbb{N}$ here, for the proof to work you really need all integers to be valid inputs, not just integers in a certain range.

## Does this matter?

It's even worse than that - almost all functions are not computable.

So...how come this has never happened to you?

This might not be meaningful yet. Almost all functions are also inexpressible in a finite amount of English (English is a language too!)
You've probably never decided to write a program that computes a function you couldn't describe in English...
Are there any problems anyone is interested in solving that aren't computable?

## A Practical Uncomputable Problem

Every pressed the run button on your code and have it take a long time?

Like an infinitely long time?

What didn't your compiler...like, tell you not to push the button yet. It tells you when your code doesn't compile before it runs it... why doesn't it check for infinite loops?

## The Halting Problem

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Given: source code for a program $P$ and $x$ an input we could give to $P$ Return: True if $P$ will halt on $\boldsymbol{x}$, False if it runs forever (e.g. goes in an infinite loop or infinitely recurses)

This would be super useful to solve!

We can't solve it...let's find out why.

## A Proof By Contradiction

Suppose, for the sake of contradiction, there is a program $H$, which given input P.java, $x$ will accurately report
" $P$ would halt when run with input $x$ " or
" $P$ will run forever on input $x$."

Important: $H$ does not just compile P. java and run it. To count, $H$ needs to return "halt" or "doesn't" in a finite amount of time.
And remember, it's not a good idea to say "but $H$ has to run P.java to tell if it'll go into an infinite loop" that's what we're trying to prove!!

## A Very Tricky Program.

Diagonal.java(String x) \{
Run H.exe on input $\langle x, x\rangle$
if(H.exe says "x halts on $x$ ")
while(true) \{//Go into an infinite loop int $x=2+2$;
\}
else //H.exe says "x doesn't halt on $x$ " return; //halt.

## So, uhh that's a weird program.

What do we do with it?
USE IT TO BREAK STUFF
Does Diagonal.java halt when its input is Diagonal.java?

Let's assume it does and see what happens...

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## A Very Tricky Program.

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Diagonal.java(String x) {
    Run H.exe on input <x, x>
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    return; //halt.
```

\}

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What do we do with it?
USE IT TO BREAK STUFF
Does Diagonal.java halt when its input is Diagonal.java?

Let's assume it does and see what happens...
That didn't work.
Let's assume it doesn't and see what happens... That didn't work either.
There's no third option. It either halts or it doesn't. And it doesn't do either. That's a contradiction! H.exe can't exist.

## So...

So there is no general-purpose algorithm that decides whether any input program (on any input string).

The Halting Problem is undecidable (i.e. uncomputable) there is no algorithm that solves every instance of the problem correctly.

## What that does and doesn't mean

That doesn't mean that there aren't algorithms that often get the answer right
For example, if there's no loops, no recursion, and no method calls, it definitely halts. No problem with that kind of program existing.

This isn't just a failure of computers - if you think you can do this by hand, well...
...you cant either.

## Takeaways

Don't expect that there's a better IDE/better compiler/better programming language coming that will make it possible to tell if your code is going to hit an infinite loop.

It's not coming.

# More Uncomputable problems 

Imagine we gave the following task to 142 students:

Write a program that prints "Hello World"

Can you make an autograder?

Technically...NO!

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Can you make an autograder?

Technically...NO!
In practice, we declare the program wrong if it runs for 1 minute or so. That's not right 100\% of the time, but it's good enough for your programming classes.

## How Would we prove that?

With a reduction

Suppose, for the sake of contradiction, I can solve the HelloWorld problem. (i.e. on input P.java I can tell whether it eventually prints HelloWorld)
Let W.exe solve that problem.

Consider this program...

## A Reduction

```
Trick(P,x) {
Run P on }x,//(but only simulate printing if P prints things
Print "Hello World"
}
```

This actually prints "hello world" iff P halts on $x$.
Plug Trick into W and....we solved the Halting Problem!

## Reductions in General

The big idea for reductions is "reusing code" Just like calling a library
But doing it in contrapositive form.

## Instead of

"If I have a library, then I can solve a new problem" reductions do the contrapositive:
"If I can solve a problem I know I shouldn't be able to, then that library function can't exist"

## Fun (Scary?) Fact

## Rice's Theorem

Says any "non-trivial" behavior of programs cannot be computed (in finite time).

## What Comes next?

CSE 312 (foundations II)
Fewer proofs :
Basics of probability theory (super useful in algorithms, ML, and just everyday life). Fundamental statistics.
CSE 332 (data structures and parallelism)
Data structures, a few fundamental algorithms, parallelism.
Graphs. Graphs everywhere.
Also, induction. [same for 421, 422 the algorithms courses]
CSE 431 (complexity theory)
What can't you do with computers in a reasonable amount of time.
Beautiful theorems - more on CFGs, DFAs/NFAs as well.

## We've Covered A LOT

Propositional Logic.
Boolean logic and circuits.
Boolean algebra. You'll use quantifiers in 332 to define big-O
Predicates, quantifiers and predicate logic.
Inference rules and formal proofs for propositional and predicate logic.
English proofs.
Set theory. 431 is basically 10 weeks of fun set proofs.
Modular arithmetic.
Prime numbers.
Interested in crypto? They'll come back.
GCD, Euclid's algorithm and modular inverse

## No really. A lot

Induction and Strong Induction.
Recursively defined functions and sets.
Structural induction.
Regular expressions.

## You'll see these in compilers

Context-free grammars and languages.
Relations and composition.
Transitive-reflexive closure.
Graph representation of relations and their closures.
You'll use graphs at least once a week for the rest of your CS career.

## Like A lot a lot.

DFAs, NFAs and language recognition.
Cross Product construction for DFAs.
Finite state machines with outputs at states.
Conversion of regular expressions to NFAs.
Powerset construction to convert NFAs to DFAs.
Equivalence of DFAs, NFAs, Regular Expressions
Method to prove languages not accepted by DFAs.
Cardinality, countability and diagonalization
Undecidability: Halting problem and evaluating properties of programs.

## Promise you won't ever try to solve the Halting Problem? It's tempting to try to sometimes if you don't remember it's undecidable

