## CSE 311: Foundations of Computing

Lecture 22: FSMs w/Output, FSM Minimization \& NFAs


## Vending Machine

Enter 15 cents in dimes or nickels Press S or B for a candy bar


## Vending Machine, v0.1



Basic transitions on $\mathbf{N}$ (nickel), D (dime), B (butterfinger), S (snickers)

## Vending Machine, v0.2



Adding output to states: N - Nickel, S - Snickers, B - Butterfinger

## Vending Machine, v1.0



Adding additional "unexpected" transitions to cover all symbols for each state

## State Minimization

- Many different FSMs (DFAs) for the same problem
- Take a given FSM and try to reduce its state set by combining states
- Algorithm will always produce the unique minimal equivalent machine (up to renaming of states) but we won't prove this


## State Minimization Algorithm

1. Put states into groups based on their outputs (or whether they are final states or not)
2. Repeat the following until no change happens
a. If there is a symbol s so that not all states in a group G agree on which group s leads to, split G into smaller groups based on which group the states go to on s

3. Finally, convert groups to states

## State Minimization Example



| present <br> state | 0 | 1 | 2 | 3 | output |
| :---: | :---: | :---: | :---: | :---: | :---: |
| S0 | S0 | S1 | S2 | S3 | 1 |
| S1 | S0 | S3 | S1 | S5 | 0 |
| S2 | S1 | S3 | S2 | S4 | 1 |
| S3 | S1 | S0 | S4 | S5 | 0 |
| S4 | S0 | S1 | S2 | S5 | 1 |
| S5 | S1 | S4 | S0 | S5 | 0 |
| state |  |  |  |  |  |
| transition table |  |  |  |  |  |

Put states into groups based on their outputs (or whether they are final states or not)

## State Minimization Example



| present <br> state | next state |  |  |  | 1 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| S0 | S0 | S1 | S2 | S3 |  |
| S1 | S0 | S3 | S1 | S5 | 1 |
| S2 | S1 | S3 | S2 | S4 | 1 |
| S3 | S1 | S0 | S4 | S5 | 0 |
| S4 | S0 | S1 | S2 | S5 | 1 |
| S5 | S1 | S4 | S0 | S5 | 0 |
| state |  |  |  |  |  |
| transition table |  |  |  |  |  |

Put states into groups based on their outputs (or whether they are final states or not)

## State Minimization Example



| present <br> state | 0 | 1 | 2 | 3 | output |
| :---: | :--- | :--- | :--- | :--- | :---: |
| S0 | S0 | S1 | S2 | S3 | 1 |
| S1 | S0 | S3 | S1 | S5 | 0 |
| S2 | S1 | S3 | S2 | S4 | 1 |
| S3 | S1 | S0 | S4 | S5 | 0 |
| S4 | S0 | S1 | S2 | S5 | 1 |
| S5 | S1 | S4 | S0 | S5 | 0 |
| state |  |  |  |  |  |
|  | transition table |  |  |  |  |

Put states into groups based on their outputs (or whether they are final states or not)

If there is a symbol s so that not all states in a group $G$ agree on which group s leads to, split G based on which group the states go to on s

## State Minimization Example



| present <br> state | 0 | 1 | 2 | 3 | output |
| :---: | :--- | :--- | :--- | :--- | :---: |
| S0 | S0 | S1 | S2 | S3 | 1 |
| S1 | S0 | S3 | S1 | S5 | 0 |
| S2 | S1 | S3 | S2 | S4 | 1 |
| S3 | S1 | S0 | S4 | S5 | 0 |
| S4 | S0 | S1 | S2 | S5 | 1 |
| S5 | S1 | S4 | S0 | S5 | 0 |
|  |  |  |  |  |  |
| state |  |  |  |  |  |
| transition table |  |  |  |  |  |

Put states into groups based on their outputs (or whether they are final states or not)

If there is a symbol s so that not all states in a group $G$ agree on which group s leads to, split G based on which group the states go to on s

## State Minimization Example



| present <br> state | next state |  |  |  | 1 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| S0 | output |  |  |  |  |
| S1 | S0 | S1 | S2 | S3 |  |
| S2 | S3 | S1 | S5 | 0 |  |
| S2 | S3 | S2 | S4 | 1 |  |
| S3 | S1 | S0 | S4 | S5 | 0 |
| S4 | S0 | S1 | S2 | S5 | 1 |
| S5 | S1 | S4 | S0 | S5 | 0 |
| state |  |  |  |  |  |
| transition table |  |  |  |  |  |

Put states into groups based on their outputs (or whether they are final states or not)

If there is a symbol s so that not all states in a group $G$ agree on which group s leads to, split G based on which group the states go to on s

## State Minimization Example



| present <br> state | next state |  |  |  | 1 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| S0 | output |  |  |  |  |
| S1 | S0 | S1 | S2 | S3 |  |
| S2 | S3 | S1 | S5 | 0 |  |
| S2 | S3 | S2 | S4 | 1 |  |
| S3 | S1 | S0 | S4 | S5 | 0 |
| S4 | S0 | S1 | S2 | S5 | 1 |
| S5 | S1 | S4 | S0 | S5 | 0 |
| state |  |  |  |  |  |
| transition table |  |  |  |  |  |

Put states into groups based on their outputs (or whether they are final states or not)

If there is a symbol s so that not all states in a group $G$ agree on which group s leads to, split G based on which group the states go to on s

## State Minimization Example



| present <br> state | 0 | 1 | 2 | 3 | output |
| :---: | :--- | :--- | :--- | :--- | :---: |
| S0 | S0 | S1 | S2 | S3 | 1 |
| S1 | S0 | S3 | S1 | S5 | 0 |
| S2 | S1 | S3 | S2 | S4 | 1 |
| S3 | S1 | S0 | S4 | S5 | 0 |
| S4 | S0 | S1 | S2 | S5 | 1 |
| S5 | S1 | S4 | S0 | S5 | 0 |
| state |  |  |  |  |  |
|  | transition table |  |  |  |  |

Put states into groups based on their outputs (or whether they are final states or not)

If there is a symbol s so that not all states in a group $G$ agree on which group s leads to, split G based on which group the states go to on s

## State Minimization Example



| present <br> state | 0 | 1 | 2 | 3 |  |
| :---: | :--- | :--- | :--- | :--- | :---: |
| S0 | S0 | S1 | S2 | S3 | 1 |
| S1 | S0 | S3 | S1 | S5 | 0 |
| S2 | S1 | S3 | S2 | S4 | 1 |
| S3 | S1 | S0 | S4 | S5 | 0 |
| S4 | S0 | S1 | S2 | S5 | 1 |
| S5 | S1 | S4 | S0 | S5 | 0 |
| state |  |  |  |  |  |
| transition table |  |  |  |  |  |

Finally convert groups to states:
Can combine states S0-S4 and S3-S5.

In table replace all S4 with S0 and all S5 with S3

## Minimized Machine



| present <br> state | 0 | 1 | 2 | 3 |  |
| :---: | :--- | :--- | :--- | :--- | :---: |
| S0 | S0 | S1 | S2 | S3 | 1 |
| S1 | S0 | S3 | S1 | S3 | 0 |
| S2 | S1 | S3 | S2 | S0 | 1 |
| S3 | S1 | S0 | S0 | S3 | 0 |
|  |  |  |  |  |  |
| state |  |  |  |  |  |
| transition table |  |  |  |  |  |

## A Simpler Minimization Example



## A Simpler Minimization Example



Split states into
final/non-final groups

Every symbol causes the DFA to go from one group to the other so neither group needs to be split

## Minimized DFA



## Another way to look at DFAs

Definition: The label of a path in a DFA is the concatenation of all the labels on its edges in order

Lemma: $x$ is in the language recognized by a DFA iff $x$ labels a path from the start state to some final state


## Nondeterministic Finite Automata (NFA)

- Graph with start state, final states, edges labeled by symbols (like DFA) but
- Not required to have exactly 1 edge out of each state labeled by each symbol- can have 0 or >1
- Also can have edges labeled by empty string $\varepsilon$
- Definition: $x$ is in the language recognized by an NFA if and only if $x$ labels a path from the start state to some final state



## Consider This NFA



What language does this NFA accept?

## Consider This NFA



What language does this NFA accept?

$$
10(10)^{*} \cup 111(0 \cup 1)^{*}
$$

## NFA $\varepsilon$-moves



## NFA $\varepsilon$-moves

Strings over $\{0,1,2\}$ w/even \# of 2's OR sum to 0 mod 3


## Three ways of thinking about NFAs

- Outside observer: Is there a path labeled by x from the start state to some final state?
- Perfect guesser: The NFA has input x and whenever there is a choice of what to do it magically guesses a good one (if one exists)
- Parallel exploration: The NFA computation runs all possible computations on $x$ step-by-step at the same time in parallel

NFA for set of binary strings with a 1 in the $3^{\text {rd }}$ position from the end

NFA for set of binary strings with a 1 in the $3^{\text {rd }}$ position from the end


## Compare with the smallest DFA



## Parallel Exploration view of an NFA



Input string 0101100


