Adam Blank Spring 2016



# Foundations of Computing I

\* All slides are a combined effort between previous instructors of the course

## **Exponential Blow-up in Simulating Nondeterminism**

- In general the DFA might need a state for every subset of states of the NFA
  - Power set of the set of states of the NFA
  - n-state NFA yields DFA with at most 2<sup>n</sup> states
  - We saw an example where roughly 2<sup>n</sup> is necessary Is the n<sup>th</sup> char from the end a 1?
- The famous "P=NP?" question asks whether a similar blow-up is always necessary to get rid of nondeterminism for polynomial-time algorithms

#### DFAs **=** Regular expressions

We have shown how to build an optimal DFA for every regular expression

- Build NFA
- Convert NFA to DFA using subset construction
- Minimize resulting DFA

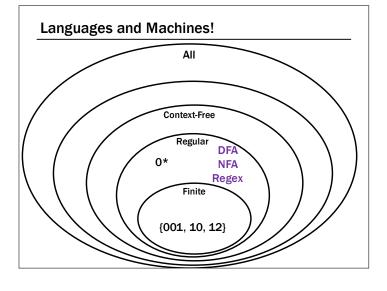
Theorem: A language is recognized by a DFA if and only if it has a regular expression

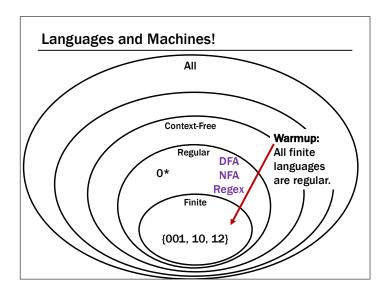
The second direction will be completely untested. I'm happy to discuss it with you at office hours, but we have more important things to discuss today.

### CSE 311: Foundations of Computing

#### Lecture 25: Limits of FSMs



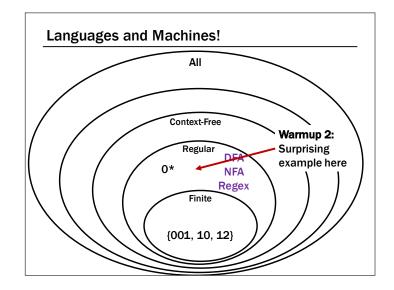




# **DFAs Recognize Any Finite Language**

Construct DFAs for each string in the language.

Then, put them together using the union construction.



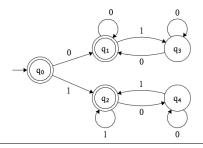
# An Interesting Infinite Regular Language

 $L = \{x \in \{0, 1\}^*: x \text{ has an equal number of substrings } 01 \text{ and } 10\}.$ 

L is infinite.

0, 00, 000, ...

L is regular.



The language of "Binary Palindromes" is Context-Free

 $S \rightarrow \varepsilon$  | 0 | 1 | 0S0 | 1S1

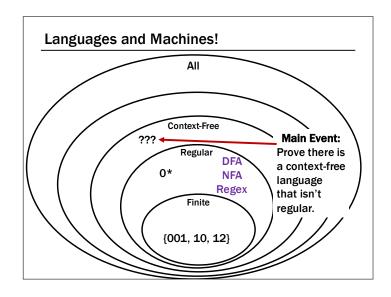
We good?

# The language of "Binary Palindromes" is Regular

Is it though?

Intuition (NOT A PROOF!):

- **Q**: What would a DFA need to keep track of to decide the language?
- A: It would need to keep track of the "first part" of the input in order to check the second part against it
  - ...but there are an infinite # of possible first parts and we only have finitely many states.



B = {binary palindromes} can't be recognized by any DFA

The general proof strategy is:

- Assume (for contradiction) that it's possible.
- Therefore, some DFA (call it M) exists that accepts B
- Our goal is to "confuse" M. That is, we want to show it "does the wrong thing".

How can a DFA be "wrong" or "broken"?

Just like the errors you were getting on the homework, a DFA is "broken" when it accepts or rejects a string it shouldn't.

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**Key Idea 1:** If two strings "collide" at any point, an FSM can no longer distinguish between them!



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**Key Idea 1:** If two strings "collide" at any point, an FSM can no longer distinguish between them!

**Key Idea 2:** Our machine has a finite number of states which means if we have infinitely many strings, two of them must collide!

B = {binary palindromes} can't be recognized by any DFA

The general proof strategy is:

- Assume (for contradiction) that it's possible.
- Therefore, some DFA (call it M) exists that accepts B
- We want to show M accepts or rejects a string it shouldn't.
- We choose an **INFINITE** set of "half strings" (which we intend to complete later). It is imperative that every string in our set have a **DIFFERENT, SINGLE** "accept" completion.

0	1	1	0
00	10	01	01
000	100	001	101
0000	1000	0001	0101
00000	10000	00001	10101

B = {binary palindromes} can't be recognized by any DFA

Suppose for contradiction that some DFA, M, accepts B. We show M accepts or rejects a string it shouldn't. Consider S= $\{1, 01, 001, 0001, 00001, ...\}$  =  $\{0^n1: n \ge 0\}$ .

**Key Idea 2:** Our machine has a finite number of states which means if we have infinitely many strings, two of them must collide!

#### B = {binary palindromes} can't be recognized by any DFA

Suppose for contradiction that some DFA, M, accepts B. We show M accepts or rejects a string it shouldn't. Consider S={1, 01, 001, 0001, 00001, ...} = {0<sup>n</sup>1 :  $n \ge 0$ }.

Since there are finitely many states and infinitely many strings in S, there exists strings  $0^a\mathbf{1} \in S$  and  $0^b\mathbf{1} \in S$  that end in the same state.

SUPER IMPORTANT POINT: You do not get to choose what a and be are. Remember, we've proven they

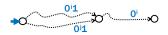
# exist...we have to take the ones we're given!

#### B = {binary palindromes} can't be recognized by any DFA

Suppose for contradiction that some DFA, M, accepts B. We show M accepts or rejects a string it shouldn't. Consider S =  $\{0^n 1 : n \ge 0\}$ .

Since there are finitely many states and infinitely many strings in S, there exists strings  $0^a 1 \in S$  and  $0^b 1 \in S$  that end in the same state with  $a \neq b$ .

Now, consider appending Oa to both strings. Then, since 0°1 and 0°1 are in the same state, 0°10° and 0°10° also end in the same state. Since  $0^a10^a \in B$ , this state must be an accept state. But, then M accepts 0b10a ∉ B.



# Showing a Language L is not regular

- 1. "Suppose for contradiction that some DFA M accepts L."
- 2. Consider an INFINITE set of "half strings" (which we intend to complete later). It is imperative that every string in our set have a DIFFERENT, SINGLE "accept" completion.
- 3. "Since **S** is infinite and **M** has finitely many states, there must be two strings s₁ and s₁ in S for some i ≠j that end up at the same state of M."
- 4. Consider appending the (correct) completion to one of the two strings.
- 5. "Since  $\mathbf{s}_{l}$  and  $\mathbf{s}_{l}$  both end up at the same state of  $\mathbf{M}$ , and we appended the same string t, both sit and sit end at the same state of M. Since  $s_it \in L$  and  $s_it \notin L$ , M does not recognize L."
- 6. "Since M was arbitrary, no DFA recognizes L."

#### B = {binary palindromes} can't be recognized by any DFA

Suppose for contradiction that some DFA, M, accepts B.

We show M accepts or rejects a string it shouldn't.

Consider S =  $\{0^n 1 : n \ge 0\}$ .

Since there are finitely many states and infinitely many strings in S, there exists strings  $0^a\mathbf{1} \in S$  and  $0^b\mathbf{1} \in S$  that end in the same state.

Now, consider appending Oa to both strings.

Key Idea 1: If two strings "collide" at any point, an FSM can no longer distinguish between them!



#### B = {binary palindromes} can't be recognized by any DFA

Suppose for contradiction that some DFA, M, accepts B.

We show M accepts or rejects a string it shouldn't.

Consider S =  $\{0^n 1 : n \ge 0\}$ .

Since there are finitely many states and infinitely many strings in S, there exists strings  $0^a\mathbf{1} \in S$  and  $0^b\mathbf{1} \in S$  that end in the same state with a ≠ h

Now, consider appending Oa to both strings. Then, since Oa1 and 0b1 are in the same state, 0a10a and 0b10a also end in the same state. Since  $0^a10^a \in B$ , this state must be an accept state. But, then M accepts 0b10a ∉ B.



This is a contradiction, because we assumed M accepts B. Since M was arbitrary, there is no DFA that accepts B.

# Prove A = $\{0^n 1^n : n \ge 0\}$ is not regular

Suppose for contradiction that some DFA, M, accepts A.

Let  $S = \{0^n : n \ge 0\}$ . Since S is infinite and M has finitely many states, there must be two strings,  $0^i$  and  $0^j$  (for some  $i \neq j$ ) that end in the same state in M.

Consider appending  $1^i$  to both strings. Note that  $0^i 1^i \in A$ , but 0<sup>j</sup>1<sup>i</sup> ∉ A since i ≠ j. But they both end up in the same state of M. Since that state can't be both an accept and reject state, M does not recognize A.

Since M was arbitrary, no DFA recognizes A.

# **Another Irregular Language Example**

L =  $\{x \in \{0, 1, 2\}^*: x \text{ has an equal number of substrings 01 and 10}\}$ .

Intuition: Need to remember difference in # of **01** or **10** substrings seen, but only hard to do if these are separated by **2**'s.

Suppose for contradiction that some DFA, M, accepts L. Let  $S = \{\epsilon, 012, 012012, 012012012, ...\} = \{(012)^n : n \in \mathbb{N}\}$  Since S is infinite and M is finite, there must be two strings  $(012)^J$  and  $(012)^J$  for some  $i \neq j$  that end up at the same state of M. Consider appending string  $t = (102)^J$  to each of these strings.

Then,  $(012)^I$   $(102)^I$   $\in$  **L** but  $(012)^J$   $(102)^I$   $\notin$  **L** since  $i \neq j$ . So  $(012)^I$   $(102)^I$  and  $(012)^J$   $(102)^I$  end up at the same state of **M** since  $(012)^I$  and  $(012)^J$  do. Since  $(012)^I$   $(102)^I$   $\in$  **L** and  $(012)^J$   $(102)^J$   $\in$  **L**, **M** does not recognize **L**.

Since  ${\bf M}$  was arbitrary, no DFA recognizes  ${\bf L}$ .