Building Java Programs

Chapter 13

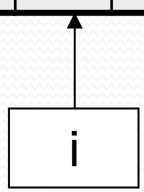
Lecture 13-1: binary search and complexity

reading: 13.1-13.2

Sequential search

- **sequential search**: Locates a target value in an array / list by examining each element from start to finish. Used in `indexOf`.
  - How many elements will it need to examine?
  - Example: Searching the array below for the value **42**:

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	2	7	10	15	20	22	25	30	36	42	50	56	68	85	92	103



  - The array is sorted. Could we take advantage of this?

Binary search (13.1)

- **binary search**: Locates a target value in a *sorted* array or list by successively eliminating half of the array from consideration.
  - How many elements will it need to examine?
  - Example: Searching the array below for the value **42**:

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	2	7	10	15	20	22	25	30	36	42	50	56	68	85	92	103

min (index 0) mid (index 8) max (index 16)

Arrays.binarySearch

```
// searches an entire sorted array for a given value
// returns its index if found;  a negative number if not found
// Precondition: array is sorted
Arrays.binarySearch(array, value)

// searches given portion of a sorted array for a given value
// examines minIndex (inclusive) through maxIndex (exclusive)
// returns its index if found;  a negative number if not found
// Precondition: array is sorted
Arrays.binarySearch(array, minIndex, maxIndex, value)
```

- The `binarySearch` method in the `Arrays` class searches an array very efficiently if the array is sorted.
  - You can search the entire array, or just a range of indexes (useful for "unfilled" arrays such as the one in `ArrayIntList`)

Using binarySearch

```
// index     0  1  2  3   4   5   6   7   8   9  10  11  12  13  14  15
int[] a = {-4, 2, 7, 9, 15, 19, 25, 28, 30, 36, 42, 50, 56, 68, 85, 92};

int index  = Arrays.binarySearch(a, 0, 16, 42);    // index1 is 10

int index2 = Arrays.binarySearch(a, 0, 16, 21);    // index2 is -7
```

- `binarySearch` returns the index where the value is found
- if the value is *not* found, `binarySearch` returns:

  `-(insertionPoint + 1)`

  - where `insertionPoint` is the index where the element *would* have been, if it had been in the array in sorted order.
  - To insert the value into the array, negate `insertionPoint` + 1

```
int indexToInsert21 = -(index2 + 1);  // 6
```

Runtime Efficiency (13.2)

- How much better is binary search than sequential search?

- **efficiency**: measure of computing resources used by code.
  - can be relative to speed (time), memory (space), etc.
  - most commonly refers to run time

- Assume the following:
  - Any single Java statement takes same amount of time to run.
  - A method call's runtime is measured by the total of the statements inside the method's body.
  - A loop's runtime, if the loop repeats N times, is N times the runtime of the statements in its body.

Efficiency examples

```
statement1;
statement2;     } 3
statement3;

for (int i = 1; i <= N; i++) {
    statement4;                  } N
}

for (int i = 1; i <= N; i++) {
    statement5;
    statement6;                  } 3N
    statement7;
}
```

(all together: 4N + 3)

Efficiency examples 2

```
for (int i = 1; i <= N; i++) {
    for (int j = 1; j <= N; j++) {
        statement1;
    }
}

for (int i = 1; i <= N; i++) {
    statement2;
    statement3;
    statement4;
    statement5;
}
```

N^2

$4N$

$N^2 + 4N$

- How many statements will execute if N = 10? If N = 1000?

Algorithm growth rates (13.2)

- We measure runtime in proportion to the input data size, N.
  - **growth rate**: Change in runtime as N changes.

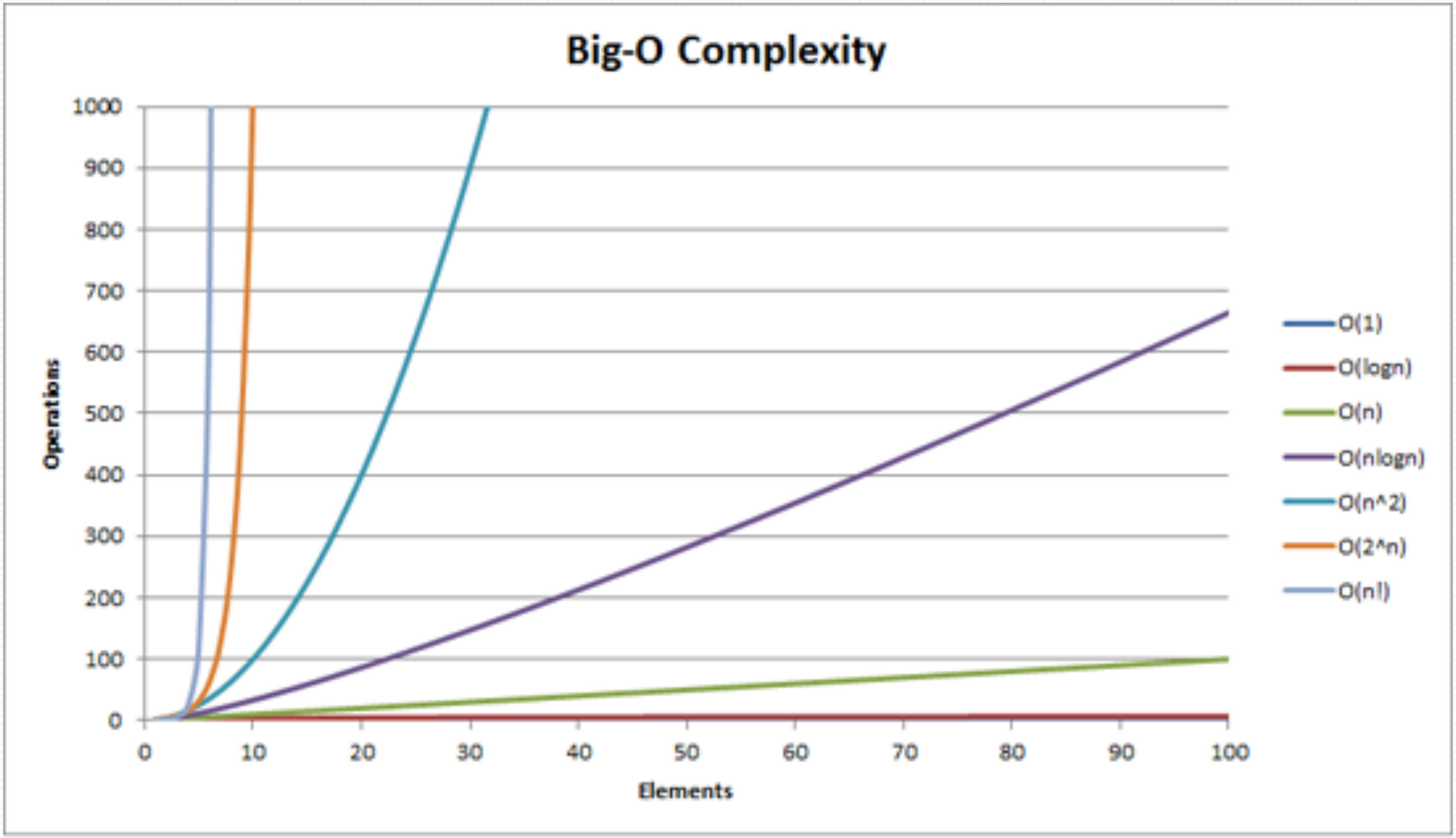
- Say an algorithm runs $\mathbf{0.4N^3 + 25N^2 + 8N + 17}$ statements.
  - Consider the runtime when N is *extremely large* .
  - We ignore constants like 25 because they are tiny next to N.
  - The highest-order term (N^3) dominates the overall runtime.
  - We say that this algorithm runs "on the order of" N^3.
  - or $\mathbf{O(N^3)}$ for short ("**Big-Oh** of N cubed")

Complexity classes

- **complexity class**: A category of algorithm efficiency based on the algorithm's relationship to the input size N.

Class	Big-Oh	If you double N, ...	Example
constant	$O(1)$	unchanged	10ms
logarithmic	$O(\log_2 N)$	increases slightly	175ms
linear	$O(N)$	doubles	3.2 sec
log-linear	$O(N \log_2 N)$	slightly more than doubles	6 sec
quadratic	$O(N^2)$	quadruples	1 min 42 sec
cubic	$O(N^3)$	multiplies by 8	55 min
...	...	...	...
exponential	$O(2^N)$	multiplies drastically	$5 * 10^{61}$ years

Complexity classes



http://recursive-design.com/blog/2010/12/07/comp-sci-101-big-o-notation/ - post about a Google interview

Sequential search

- What is its complexity class?

```
public int indexOf(int value) {
    for (int i = 0; i < size; i++) {
        if (elementData[i] == value) {
            return i;
        }
    }
    return -1;    // not found
}
```

N

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	2	7	10	15	20	22	25	30	36	42	50	56	68	85	92	103

- On average, "only" N/2 elements are visited
  - 1/2 is a constant that can be ignored

Collection efficiency

- Efficiency of our `ArrayIntList` or Java's `ArrayList`:

Method	**ArrayList**
`add`	O(1)
`add(`**index**`, `**value**`)`	O(N)
`indexOf`	O(N)
`get`	O(1)
`remove`	O(N)
`set`	O(1)
`size`	O(1)

Binary search

- **binary search** successively eliminates half of the elements.
  - *Algorithm:* Examine the middle element of the array.
    - If it is too big, eliminate the right half of the array and repeat.
    - If it is too small, eliminate the left half of the array and repeat.
    - Else it is the value we're searching for, so stop.

  - Which indexes does the algorithm examine to find value **42**?
  - What is the runtime complexity class of binary search?

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	2	7	10	15	20	22	25	30	36	42	50	56	68	85	92	103

min mid max

Binary search runtime

- For an array of size N, it eliminates ½ until 1 element remains.

  N, N/2, N/4, N/8, ..., 4, 2, 1

  - How many divisions does it take?

- Think of it from the other direction:
  - How many times do I have to multiply by 2 to reach N?

    1, 2, 4, 8, ..., N/4, N/2, N
  - Call this number of multiplications "x".

    $2^x = N$

    $\mathbf{x = \log_2 N}$

- Binary search is in the **logarithmic** complexity class.

Sorting

- **sorting**: Rearranging the values in an array or collection into a specific order (usually into their "natural ordering").
  - one of the fundamental problems in computer science
  - can be solved in many ways:
    - there are many sorting algorithms
    - some are faster/slower than others
    - some use more/less memory than others
    - some work better with specific kinds of data
    - some can utilize multiple computers / processors, ...

- *comparison-based sorting* : determining order by comparing pairs of elements:
  - `<`, `>`, `compareTo`, ...

Sorting methods in Java

- The `Arrays` and `Collections` classes in `java.util` have a static method `sort` that sorts the elements of an array/list

```
String[] words = {"foo", "bar", "baz", "ball"};
Arrays.sort(words);
System.out.println(Arrays.toString(words));
// [ball, bar, baz, foo]

List<String> words2 = new ArrayList<String>();
for (String word : words) {
    words2.add(word);
}
Collections.sort(words2);
System.out.println(words2);
// [ball, bar, baz, foo]
```

Sorting algorithms

- **bogo sort**: shuffle and pray
- **bubble sort**: swap adjacent pairs that are out of order
- **selection sort**: look for the smallest element, move to front
- **insertion sort**: build an increasingly large sorted front portion
- **merge sort**: recursively divide the array in half and sort it
- **heap sort**: place the values into a sorted tree structure
- **quick sort**: recursively partition array based on a middle value

other specialized sorting algorithms:

- **bucket sort**: cluster elements into smaller groups, sort them
- **radix sort**: sort integers by last digit, then 2nd to last, then ...
- ...

Selection sort

- **selection sort**: Orders a list of values by repeatedly putting the smallest or largest unplaced value into its final position.

  The algorithm:

  - Look through the list to find the smallest value.
  - Swap it so that it is at index 0.

  - Look through the list to find the second-smallest value.
  - Swap it so that it is at index 1.

  ...

  - Repeat until all values are in their proper places.

Selection sort example

- Initial array:

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	22	18	12	-4	27	30	36	50	7	68	91	56	2	85	42	98	25

- After 1st, 2nd, and 3rd passes:

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	**-4**	18	12	**22**	27	30	36	50	7	68	91	56	2	85	42	98	25

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	**2**	12	22	27	30	36	50	7	68	91	56	**18**	85	42	98	25

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	2	**7**	22	27	30	36	50	**12**	68	91	56	18	85	42	98	25

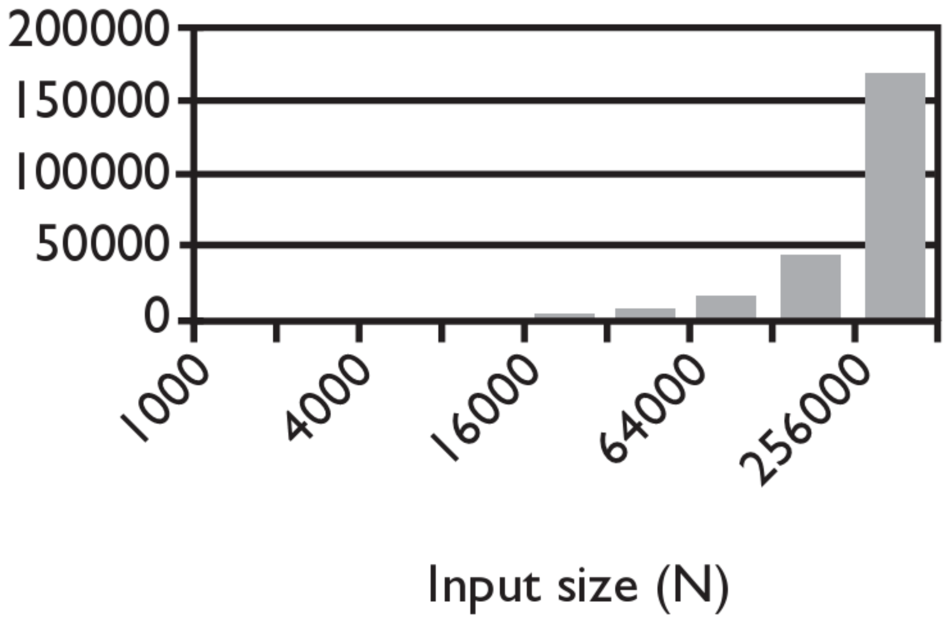
Selection sort code

```
// Rearranges the elements of a into sorted order using
// the selection sort algorithm.
public static void selectionSort(int[] a) {
    for (int i = 0; i < a.length - 1; i++) {
        // find index of smallest remaining value
        int min = i;
        for (int j = i + 1; j < a.length; j++) {
            if (a[j] < a[min]) {
                min = j;
            }
        }
        // swap smallest value its proper place, a[i]
        swap(a, i, min);
    }
}
```

Selection sort runtime (Fig. 13.6)

- What is the complexity class (Big-Oh) of selection sort?

N	Runtime (ms)
1000	0
2000	16
4000	47
8000	234
16000	657
32000	2562
64000	10265
128000	41141
256000	164985



Similar algorithms

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	22	18	12	-4	27	30	36	50	7	68	91	56	2	85	42	98	25

- **bubble sort**: Make repeated passes, swapping adjacent values
  - slower than selection sort (has to do more swaps)

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	18	12	-4	22	27	30	36	7	50	68	56	2	85	42	91	25	98

22 → 50 → 91 → 98 →

- **insertion sort**: Shift each element into a sorted sub-array
  - faster than selection sort (examines fewer values)

index	0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
value	-4	12	18	22	27	30	36	50	7	68	91	56	2	85	42	98	25

sorted sub-array (indexes 0-7)

← 7

Merge sort

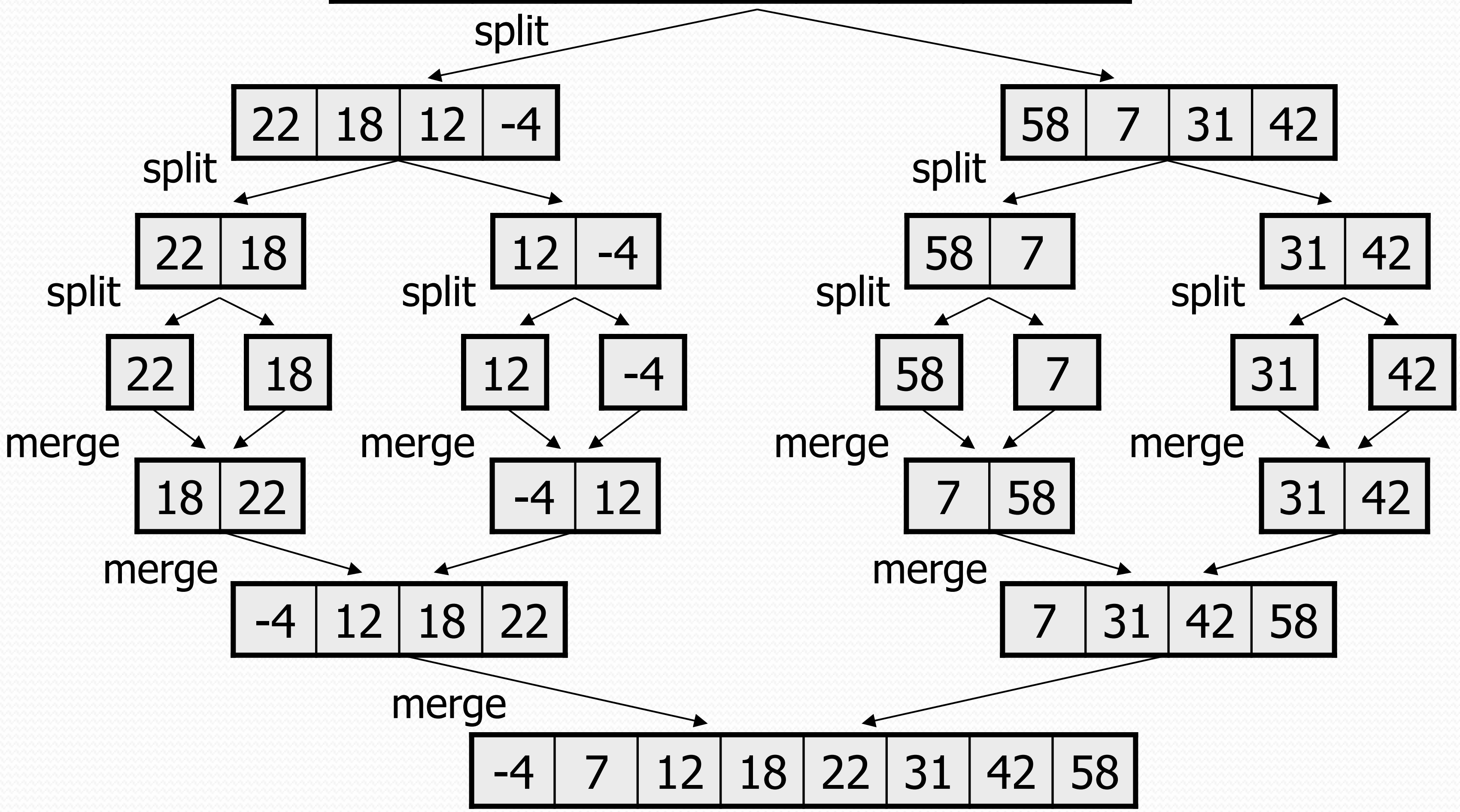
- **merge sort**: Repeatedly divides the data in half, sorts each half, and combines the sorted halves into a sorted whole.

  The algorithm:
  - Divide the list into two roughly equal halves.
  - Sort the left half.
  - Sort the right half.
  - Merge the two sorted halves into one sorted list.

  - Often implemented recursively.
  - An example of a "divide and conquer" algorithm.
    - Invented by John von Neumann in 1945

Merge sort example

index	0	1	2	3	4	5	6	7
value	22	18	12	-4	58	7	31	42



Merging sorted halves

Subarrays								Next include	Merged array							
0	1	2	3	0	1	2	3		0	1	2	3	4	5	6	7
14	32	67	76	23	41	58	85	14 from left	14							
i1				i2					i							
14	32	67	76	23	41	58	85	23 from right	14	23						
	i1			i2						i						
14	32	67	76	23	41	58	85	32 from left	14	23	32					
	i1				i2						i					
14	32	67	76	23	41	58	85	41 from right	14	23	32	41				
		i1			i2							i				
14	32	67	76	23	41	58	85	58 from right	14	23	32	41	58			
		i1				i2							i			
14	32	67	76	23	41	58	85	67 from left	14	23	32	41	58	67		
		i1					i2							i		
14	32	67	76	23	41	58	85	76 from left	14	23	32	41	58	67	76	
			i1				i2								i	
14	32	67	76	23	41	58	85	85 from right	14	23	32	41	58	67	76	85
							i2									i

Merge halves code

```
// Merges the left/right elements into a sorted result.
// Precondition: left/right are sorted
public static void merge(int[] result, int[] left,
                                       int[] right) {
    int i1 = 0;   // index into left array
    int i2 = 0;   // index into right array

    for (int i = 0; i < result.length; i++) {
        if (i2 >= right.length ||
           (i1 < left.length && left[i1] <= right[i2])) {
            result[i] = left[i1];    // take from left
            i1++;
        } else {
            result[i] = right[i2];   // take from right
            i2++;
        }
    }
}
```

Merge sort code

```
// Rearranges the elements of a into sorted order using
// the merge sort algorithm.
public static void mergeSort(int[] a) {
    // split array into two halves
    int[] left  = Arrays.copyOfRange(a, 0, a.length/2);
    int[] right = Arrays.copyOfRange(a, a.length/2,
  a.length);

    // sort the two halves
    ...

    // merge the sorted halves into a sorted whole
    merge(a, left, right);
}
```

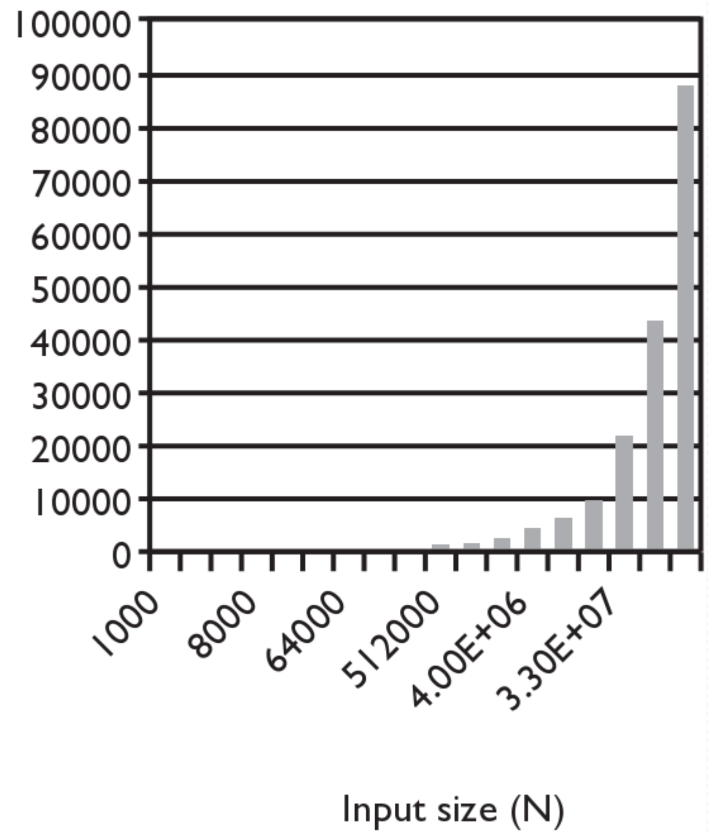
Merge sort code 2

```
// Rearranges the elements of a into sorted order using
// the merge sort algorithm (recursive).
public static void mergeSort(int[] a) {
    if (a.length >= 2) {
        // split array into two halves
        int[] left  = Arrays.copyOfRange(a, 0, a.length/2);
        int[] right = Arrays.copyOfRange(a, a.length/2, a.length);

        // sort the two halves
        mergeSort(left);
        mergeSort(right);

        // merge the sorted halves into a sorted whole
        merge(a, left, right);
    }
}
```

Merge sort runtime

- What is the complexity class (Big-Oh) of merge sort?

N	Runtime (ms)
1000	0
2000	0
4000	0
8000	0
16000	0
32000	15
64000	16
128000	47
256000	125
512000	250
1e6	532
2e6	1078
4e6	2265
8e6	4781
1.6e7	9828
3.3e7	20422
6.5e7	42406
1.3e8	88344



Bogo sort

- **bogo sort**: Orders a list of values by repetitively shuffling them and checking if they are sorted.
  - name comes from the word "bogus"

  The algorithm:
  - Scan the list, seeing if it is sorted. If so, stop.
  - Else, shuffle the values in the list and repeat.

- This sorting algorithm (obviously) has terrible performance!
  - What is its runtime?

Bogo sort code

```
// Places the elements of a into sorted order.
public static void bogoSort(int[] a) {
    while (!isSorted(a)) {
        shuffle(a);
    }
}

// Returns true if a's elements are in sorted order.
public static boolean isSorted(int[] a) {
    for (int i = 0; i < a.length - 1; i++) {
        if (a[i] > a[i + 1]) {
            return false;
        }
    }
    return true;
}
```

Bogo sort code, cont'd.

```
// Shuffles an array of ints by randomly swapping each
// element with an element ahead of it in the array.
public static void shuffle(int[] a) {
    for (int i = 0; i < a.length - 1; i++) {
        // pick a random index in [i+1, a.length-1]
        int range = a.length - 1 - (i + 1) + 1;
        int j = (int) (Math.random() * range + (i + 1));
        swap(a, i, j);
    }
}

// Swaps a[i] with a[j].
public static void swap(int[] a, int i, int j) {
    if (i != j) {
        int temp = a[i];
        a[i] = a[j];
        a[j] = temp;
    }
}
```